



**Executive Board of the
United Nations Development
Programme, the United Nations
Population Fund and the United
Nations Office for Project Services**

Distr.: General
21 June 2013

Original: English

Second regular session 2013

9 to 13 September 2013, New York

Item 1 of the provisional agenda

Organizational matters

**Decisions adopted by the Executive Board at its annual
session 2013
(3 to 14 June 2013, New York)**

Contents

2013/11	Cumulative review and annual report of the Administrator on the UNDP strategic plan: performance and results for 2008-2012	3
2013/12	Draft UNDP strategic plan, 2014-2017	3
2013/13	Status of regular funding commitments to UNDP and its associated funds and programmes for 2013 and onwards.	5
2013/14	Report on the UNDP global programme, 2009-2013: performance and results.	6
2013/15	UNDP evaluation.	6
2013/16	Report on results achieved by the United Nations Capital Development Fund in 2012 and report on the stakeholders consultations on scenarios for the future of UNCDF.	9
2013/17	Report of the Administrator on the evolution of the role and function of United Nations Volunteers programme since its inception	10
2013/18	Response to Executive Board decision 2013/4 on UNDP programming arrangements.	11
2013/19	Report of the Executive Director for 2012: cumulative analysis of progress in the implementation of the UNFPA strategic plan, 2008-2013.	11
2013/20	Report on contributions by Member States and others to UNFPA and revenue projections for 2013 and future years	13
2013/21	Revised UNFPA evaluation policy.	14
2013/22	Annual report of the Executive Director, UNOPS	15



2013/23	UNOPS strategic plan, 2014-2017	15
2013/24	Reports of UNDP, UNFPA and UNOPS on internal audit and oversight for 2012.	16
2013/25	Reports of the ethics offices of UNDP, UNFPA and UNOPS	18
2013/26	Overview of decisions adopted by the Executive Board at its annual session 2013	19

2013/11

Cumulative review and annual report of the Administrator on the UNDP strategic plan: performance and results for 2008-2012

The Executive Board

1. *Takes note* of the present report (DP/2013/11);
2. *Welcomes* the reported progress made across all outcomes areas;
3. *Encourages* UNDP to use the lessons identified in the report, including those from the evaluation reports presented at the annual session, for the preparation of the strategic plan, 2014-2017;
4. *Further encourages* UNDP, in future reports, to continue improving its results-reporting systems to ensure more evidence-based information on the achievement of expected results at an aggregate level, including an analytical narrative on the development contributions of UNDP, as well as on challenges identified.

14 June 2013

2013/12

Draft UNDP strategic plan, 2014-2017

The Executive Board

1. *Recalls* its decision 2012/9 on the annual report of the Administrator on the strategic plan;
2. *Takes note with appreciation* of the consultative and participatory process conducted by UNDP in developing the draft strategic plan, 2014-2017, and *requests* UNDP to continue consulting with Member States and other relevant stakeholders in an inclusive manner with a view to finalizing the plan and its annexes for adoption at the second regular session 2013;
3. *Notes* the inclusion in the strategic plan of some terms that have not been intergovernmentally endorsed in the United Nations system, and that for this reason these cannot be considered intergovernmentally agreed;
4. *Endorses* the new vision statement of the draft strategic plan, 2014-2017, which is to help countries achieve the simultaneous eradication of poverty, and significant reduction of inequalities and exclusion;
5. *Welcomes* the structure and framework of the draft strategic plan, 2014-2017, including its proposed set of focused areas of work and development outcomes, recognizing that the exact formulation of these is still evolving, and *requests* UNDP to prepare a final version of the draft based, inter alia, on the comments and inputs received from Member States during the annual session 2013 of the Executive Board and during the ongoing process, which will require further consultations, clarification and amendments, bearing in mind the need for organizational focus, for finalization and approval at its second regular session 2013;
6. *Requests* UNDP to initiate actions to finalize the integrated budget, 2014-2017, and the integrated results and resources framework, on the basis of the framework and structure of the draft strategic plan, 2014-2017, recognizing that the exact

formulation of these is still evolving, for adoption by the Executive Board at its second regular session 2013;

7. *Takes note* of the structure and approach of the draft integrated results and resources framework of the draft strategic plan, 2014-2017, recognizing that the exact formulation of these is still evolving, and *requests* UNDP to further develop the indicators, baselines, milestones and targets with a view to their finalization by the annual session 2014;

8. *Notes* that the implementation of the strategic plan, 2014-2017, in programme countries must take into account country-level dialogue about national priorities and needs on a demand-driven basis, as reflected in programming instruments at the country level;

9. *Requests* UNDP to provide more information, in time for the second regular session 2013, on how results will be achieved, inter alia, by clearly demonstrating how outputs are directly attributable to UNDP; how outputs and outcomes are linked; and how risks are assessed and will be managed, as a means to achieve the results;

10. *Takes note* of the efforts by UNDP to include the mandates of the quadrennial comprehensive policy review of operational activities for development of the United Nations system in the next UNDP strategic plan, 2014-2017; *requests* UNDP to fully align the next strategic plan, 2014-2017, with these mandates, and *further requests* UNDP to continue contributing to discussions with other funds and programmes toward developing a common approach to tracking implementation of the quadrennial review;

11. *Recalls* paragraph 2 of decision 2013/2 and *requests* UNDP to take fully into account, in the strategic plan, 2014-2017, findings, conclusions and recommendations of all relevant evaluation reports, presented to the Executive Board in the period of the current strategic plan, 2008-2013, particularly those presented at the annual session 2013 of the Executive Board;

12. *Requests* UNDP to provide further information in time for the second regular session 2013, on the way in which the organization will work together and divide labour within the United Nations system, including with other funds, programmes and specialized agencies of the United Nations development system, taking into account their respective mandates, in order to enhance coherence and avoid overlap and duplication, with the aim of improving results on the ground and delivery for programme countries;

13. *Requests* UNDP to indicate the allocation, per year, of core and non-core resources against the development outcomes in the integrated results and resources framework, 2014-2017, when finalizing the draft integrated budget, 2014-2017;

14. *Requests* UNDP to fully take into account the views of Member States in finalizing the strategic plan, 2014-2017, including mainstreaming South-South cooperation and the need for UNDP to engage effectively in addressing extreme poverty, for adoption at the second regular session 2013.

14 June 2013

2013/13

Status of regular funding commitments to UNDP and its associated funds and programmes for 2013 and onwards

The Executive Board

1. *Takes note* of the report on the status of regular funding commitments to UNDP and its funds and programmes for 2013 and onwards (DP/2013/13), and its annexes;
2. *Takes note with concern* that 2012 contributions to regular resources decreased by 13.2 per cent, to \$846.1 million from \$974.51 million in 2011, which is well below the revised funding target of \$1.05 billion for 2012 regular resources (DP/2011/22, paragraph 204);
3. *Also takes note with concern* that total contributions (regular and other resources) to UNDP also decreased in 2012 to \$4.64 billion from \$4.82 billion in 2011;
4. *Recalls* General Assembly resolution 67/226 of 21 December 2012 on the quadrennial comprehensive policy review of operational activities for development of the United Nations system;
5. *Reiterates* that core/regular resources, because of their untied nature, continue to be the bedrock of operational activities for development of the United Nations system and, in this regard, *reiterates* the need for UNDP to address, on a continuous basis, the imbalance between core/regular and non-core/other resources;
6. *Stresses* the need to avoid the use of core/regular resources to subsidize non-core financed activities, including the use of core/regular resources to cover costs related to the management and support of non-core funds and their programme activities;
7. *Recognizes* that Member States and UNDP should prioritize the allocation of core/regular resources and non-core resources that are more predictable, flexible, less earmarked and better aligned with the priorities of programme countries, including those included in the United Nations Development Assistance Framework, and with the strategic plan and mandate of UNDP;
8. *Calls upon* UNDP to make every effort possible to broaden the donor base, inter alia, by increasing the number of countries and other partners making financial contributions to UNDP in order to reduce the reliance on a limited number of donors;
9. *Encourages* all countries that have not yet done so to provide contributions to regular resources for 2013;
10. *Urges* donor countries and other countries in a position to do so to maintain and substantially increase their voluntary contributions, in a manner consistent with their capacities, to the core/regular budget of UNDP and to contribute on a multi-year basis, in a sustained and predictable manner.

14 June 2013

2013/14

Report on the UNDP global programme, 2009-2013: performance and results

The Executive Board

1. *Takes note* of the final report on the global programme, 2009-2013 (DP/2013/14);
2. *Recommends* that UNDP provide more integrated and programme-focused policy advice, covering the areas of work to be identified in the strategic plan, 2014-2017, to be more effective in helping countries respond to increasingly complex and interconnected development challenges.

13 June 2013

2013/15

UNDP evaluation

- (a) **Annual report on evaluation and the management response;**
- (b) **Evaluation of the UNDP strategic plan, 2008-2013, and the management response;**
- (c) **Evaluation of the global programme and the management response;**
- (d) **Evaluation of the regional programme for Africa and the management response;**
- (e) **Evaluation of the regional programme for Arab States and the management response;**
- (f) **Evaluation of the regional programme for Asia and the Pacific and the management response;**
- (g) **Evaluation of the regional programme for Europe and the Commonwealth of Independent States and the management response;**
- (h) **Evaluation of the regional programme for Latin America and the Caribbean and the management response;**
- (i) **Evaluation of UNDP contribution to South-South and triangular cooperation and the management response.**

The Executive Board

1. *Welcomes* the commitment of UNDP management to evaluation and to creating a culture of evaluation throughout the organization, as well as the commitment and work of the Evaluation Office; *encourages* both UNDP management and the Evaluation Office to continue these efforts; and, in this regard, *emphasizes* the importance of providing adequate and timely funding to the evaluation function at the corporate, regional and country levels as a way of safeguarding its effectiveness and independence; and *requests* UNDP management to consult the Executive Board

on any changes in the allocation to the evaluation function subsequent to approval by the Executive Board;

2. *Takes note* of the efforts of UNDP management to ensure that all evaluations, centralized and decentralized, receive a management response with specific timetables for action;

3. *Further takes note* of the suggestion for an independent review of the UNDP evaluation policy, in compliance with Executive Board decision 2010/16; and *requests* that the Evaluation Office facilitate this review under Executive Board guidance;

With regard to the annual report on evaluation (DP/2013/16) and the management response:

4. *Notes* the increase in evaluation compliance of country programmes completed in 2012;

5. *Requests* UNDP, in cooperation with other United Nations organizations, to continue its support to build national evaluation capacity in a systematic manner with clear objectives, measurable targets and timelines through cost-effective approaches;

6. *Requests* the UNDP Evaluation Office to better mainstream the principles of gender equality throughout its evaluation work, including in efforts to reinforce a culture of evaluation in the organization, and to report on its efforts in future reports;

7. *Notes* the improvement in the quality of decentralized evaluations but at the same time *notes* the decline in the monitoring and evaluation capacity at the country office level; *welcomes* the suggestion of the peer review panel that the link between decentralized evaluations and the central evaluation function should be significantly strengthened; and *supports* the need for a formative evaluation to understand why, in several areas of UNDP work, decentralized evaluation and monitoring remain below quality standards;

8. *Approves* the revised programme of work for 2013 and *requests* UNDP to give an oral presentation on the proposed topics for the two remaining thematic evaluations at the second regular session of the Executive Board for its approval;

9. *Notes that* the programme of work for 2014 is at an early stage of development, and *requests* that the Evaluation Office provide a detailed workplan which fully integrates the new UNDP strategic plan, 2014-2017, to the Executive Board at its first regular session 2014; and *further requests* that the 2014 work plan set out a comprehensive medium-term evaluation plan for UNDP;

10. *Welcomes* the findings of the peer review panel on methodology and knowledge-sharing contained in the report, and *urges* the Evaluation Office to implement the suggestions of the panel;

11. *Approves* the new series of evaluations focused on gauging impacts and *supports* the effort of the Evaluation Office to conduct joint evaluations with programme partners;

With regard to the evaluation of UNDP strategic plan, 2008-2013 (DP/2013/17), and the management response (DP/2013/18):

12. *Urges* UNDP to take fully into account the findings and recommendations of the report in the development of the new strategic plan, 2014-2017, especially with regard to prioritizing support at the country level; and *further requests* that UNDP also take into account the finding and recommendations on: (a) focused and clear strategic direction; (b) the need to strengthen capacity development and sustainability of results at country level; (c) clearer division of roles and responsibilities at global, regional and country levels; and (d) strengthened accountability framework, including monitoring, evaluation and reporting;

13. *Recalls* paragraph 2 of decision 2013/2, and *requests* UNDP to take fully into account, findings, conclusions and recommendations of all relevant evaluation reports presented to the Executive Board in the period of the current strategic plan, 2008-2013, particularly those presented at the annual session 2013 of the Executive Board;

14. *Welcomes* the evidence that UNDP has become a stronger organization since the adoption of the strategic plan, 2008-2013, and that UNDP is likely to have made important development contributions across all of its focus areas;

15. *Urges* UNDP to better address cross-cutting issues, such as capacity development and gender equality at country level, to strengthen and institutionalize knowledge management and learning as central to the development results contribution of UNDP;

16. *Requests* UNDP to brief the Executive Board by the time of its second regular session 2013, on the way in which UNDP addresses findings, conclusions and recommendations of all relevant evaluation reports presented to the Executive Board in the period of the current strategic plan, 2008-2013, particularly those presented at the annual session 2013 of the Executive Board;

With regard to the evaluation of the global programme (DP/2013/19) and the management response (DP/2013/20):

17. *Takes note* of the report on the evaluation of the global programme and the management response;

18. *Requests* UNDP to take into account and fully integrate the findings and recommendations of the evaluation report in the new strategic plan, 2014-2017, and the future global programme, with due regard to issues raised in the report, including the need for: (a) a more clearly defined value added of the global programme to the regional and country programmes of UNDP; (b) a more systematic knowledge-sharing approach; (c) better integration of gender equality in all thematic areas and adequate allocation of resources for gender equality in programme planning and implementation; and (d) clearer lines of accountability for more effective coordination between policy and regional bureaux;

19. *Requests* UNDP to ensure that the development of the global programme includes clearly elaborated results frameworks, and *further requests* that the global programme be presented at the first regular session 2014;

20. *Notes* the recommendation, and acceptance of the recommendation in the management response, to develop a corporate strategy by June 2014 to guide advisory services; and *requests* that the strategy address the allocation of advisory service resources among country, regional and global levels in support of stronger performance at country level, and make a clearer distinction between 'policy' functions and the provision of 'advisory or technical' expertise;

With regard to the evaluation of the regional programmes for Africa, Asia and the Pacific, Arab States, Europe and the Commonwealth of Independent States, Latin America and the Caribbean and South-South and triangular cooperation (DP/2013/21, DP/2013/23, DP/2013/25, DP/2013/27, DP/2013/29, and DP/2013/31), and the management responses (DP/2013/22, DP/2013/24, DP/2013/26, DP/2013/28, DP/2013/30, and DP/2013/32):

21. *Takes note* of the reports on the evaluation of the regional programmes and of South-South and triangular cooperation and the management responses;
22. *Requests* UNDP to take into account and fully integrate the findings and recommendations of the reports into the new strategic plan, 2014-2017, and future regional programmes with due attention to the systemic issues raised in the reports including the need for: (a) clear and focused regional frameworks and results oriented regional strategies aligned with the UNDP strategic plan, 2014-2017, and regional needs and demands; (b) improved monitoring, evaluation, reporting and communication for results; (c) regional service centres focusing their expertise on UNDP thematic priorities, cross-country exchanges and knowledge-sharing; and (d) inclusive consultation processes with all relevant stakeholders when designing regional programmes, strategies and projects;
23. *Recognizes* the comparative advantage of UNDP in supporting and facilitating South-South and triangular cooperation;
24. *Notes* the efforts by UNDP to mainstream South-South cooperation in its programmes, and *requests* UNDP to take a more systematic approach in this regard, particularly in the areas of knowledge-sharing platforms and institutional reporting systems concerning South-South cooperation with a view to enhancing learning and/or systematically providing information on performance;
25. *Requests* UNDP to clarify the division of labour, roles, responsibilities, and lines of accountability for outcome achievement between UNDP and the United Nations Office for South-South Cooperation and to ensure that South-South and triangular cooperation is positioned as a key element in UNDP efforts to contribute to enhanced national capacities for sustainable development and the achievement of the internationally agreed development goals, including the Millennium Development Goals.

13 June 2013

2013/16

Report on results achieved by UNCDF in 2012 and report on the stakeholders consultations on scenarios for the future of UNCDF

The Executive Board

1. *Takes note* of the report (DP/2013/33) and *welcomes* the continued strong performance of UNCDF against set targets;
2. *Welcomes* the new initiatives of UNCDF and partnerships with public and private-sector development partners in 2012 within the areas of expertise of UNCDF which are relevant in the discussions on the post-2015 development agenda;
3. *Notes with concern* the growing imbalance between the limited core resources of UNCDF and its fast-growing non-core resources which will prevent UNCDF, in the short term, from fulfilling its core mandate of providing capital investment to the least developed

countries (LDCs) and *recognizes* the need for management to address the unsustainable nature of this situation as a top priority;

4. *Also notes with concern* that the systematic use of the core budget to cover the administrative costs of implementing projects financed by non-core contributions could further prevent UNCDF from delivering on its mandate and, in this regard, *welcomes* the previous measures taken in order to ease the pressure on the core resources of UNCDF, in particular decision 2013/9;

5. *Further notes with concern* the dependence of UNCDF on very few donors for its core resources and *recognizes* the need for management to intensify its efforts to broaden the core-donor base, including from resources from the private sector;

6. *Calls on* Member States, in a position to do so, to contribute to the core resources of UNCDF to ensure that it can reach the ‘critical mass’ target of \$25 million per year in annual core resources to ensure continued support to at least forty LDCs.

13 June 2013

2013/17

Report of the Administrator on the evolution of the role and function of the United Nations Volunteers programme since its inception

The Executive Board

1. *Notes* with appreciation the report of the Administrator (DP/2013/34) on the evolution of the role and the function of United Nations Volunteers (UNV) programme, and how they have influenced the operations of the UNV;

2. *Takes note with appreciation* of the contribution of UNV to the culture of volunteerism throughout the United Nations development system through the work carried out since 1971, and particularly UNV work towards the achievement of internationally agreed development commitments, such as the Millennium Development Goals;

3. *Recognizes* past and ongoing efforts of all development partners to support the work of UNV, specifically their financial and human resources contributions, notably from developing countries that currently provide the majority of United Nations Volunteers deployed globally;

4. *Welcomes* the leadership role of UNV in developing and promoting a youth volunteer programme in the context of the Five-Year Action Agenda of the Secretary-General;

5. *Welcomes* the request of the General Assembly to the Secretary-General for UNV to develop a plan of action to integrate volunteering in peace and development in the next decade for consideration at its seventieth session, in line with General Assembly resolution 67/138 of 20 December 2012 on integrating volunteering in the next decade;

6. *Welcomes* resolution 67/138, which called on organizations in the United Nations system to continue to assist in the promotion of United Nations Volunteers;

7. *Recognizes* UNDP for the continuous programmatic, financial, administrative and legal support provided to UNV to deliver on its mandate; and

8. *Welcomes* the initiative of UNV to develop a strategic framework for the period 2014-2017.

13 June 2013

2013/18

Response to Executive Board decision 2013/4 on UNDP programming arrangements

The Executive Board

1. *Takes note* of the present response to Executive Board decision 2013/4 on UNDP programming arrangements (DP/2013/37);
2. *Takes note* of the information provided by UNDP for inclusion of UNCDF in the programming arrangements; *reiterates* decision 2013/4 on the inclusion of UNCDF in the programming arrangements; and *requests* UNDP to provide further information about the rationale for the actual amount to be allocated to UNCDF before the second regular session 2013;
3. *Recalls* decision 2013/4, *acknowledges* the information provided in DP/2013/37 and *requests* UNDP to provide, in the informal discussion leading up to the formal discussion of the integrated budget, before the second regular session 2013, information presenting the funding requirements and the rationale, the interrelationships and the synergies for the functions financed under the following fixed lines: development support services, economists' programme, policy advisory services, as well as the Office of Development Studies.

14 June 2013

2013/19

Report of the Executive Director for 2012: cumulative analysis of progress in implementation of the UNFPA strategic plan, 2008-2013

The Executive Board

1. *Takes note* of the documents that make up the report of the Executive Director for 2012: DP/FPA/2013/3 (Part I, Part I/Add.1 and Part II);
2. *Takes note* of the progress achieved in implementing the development results framework of the UNFPA strategic plan, 2008-2013;
3. *Acknowledges* the important global leadership that UNFPA has taken in a number of global processes to accelerate progress on the International Conference on Population and Development (ICPD) agenda, and to contribute to the achievement of the Millennium Development Goals (MDGs) and to the discussion on the post-2015 development agenda;
4. *Encourages* UNFPA to contribute further to accelerating progress towards achieving Millennium Development Goal 5 by 2015, since the accomplishment of this goal continues to lag behind the other MDGs;

5. *Encourages* UNFPA to continue improving analysis in future annual reports on how the Fund has contributed to outcomes, handled risks and incorporated lessons learned;
6. *Acknowledges* the efforts that UNFPA has undertaken to implement its revised strategic direction and to address the recommendations of the midterm review of the strategic plan, 2008-2013, through the business plan, and *recognizes* that as a result UNFPA is becoming a more results-oriented organization;
7. *Appreciates* the ongoing inclusive, consultative and participatory process based on thoughtful reflection and research for developing the next UNFPA strategic plan, 2014-2017;
8. *Notes* that the implementation of the strategic plan in programme countries must take into account country-level dialogue about national priorities and needs, on a demand-driven basis, and as reflected in programming instruments at the country level;
9. *Welcomes* the strategic direction laid out in the UNFPA draft strategic plan, 2014-2017, as earlier agreed in the midterm review of the strategic plan, 2008-2013, (DP/FPA/2011/11);
10. *Calls upon* UNFPA to further develop in the strategic plan, 2014-2017, its planned engagement on issues related to population dynamics, human rights and gender equality – integral parts of the ICPD agenda – and by doing so to demonstrate how this engagement contributes to achieving the strategic goal of UNFPA, including for the most vulnerable and marginalized women, adolescents and youth;
11. *Takes note with appreciation* of the efforts by UNFPA to include the mandates of the quadrennial comprehensive policy review of operational activities for development of the United Nations system in the next UNFPA strategic plan, 2014-2017, and *requests* UNFPA to fully align the next strategic plan, 2014-2017, with these mandates, and *further requests* UNFPA to continue contributing to discussions with other funds and programmes towards developing a common approach to tracking implementation of the quadrennial review;
12. *Notes* the proposals on the differentiated UNFPA engagement according to country context, *acknowledges* the principle of basing the business model and resource allocation system – as described in paragraphs 17 to 31 and paragraphs 88 to 98, respectively, of the draft UNFPA strategic plan, 2014-2017, of 20 May 2013 – on the strategic focus of the strategic plan, 2014-2017, *notes* that these are still to be finalized and, in this regard, *requests* UNFPA to continue consulting with Member States on how this will be implemented;
13. *Requests* UNFPA to further elaborate on how it will plan and budget activities at regional and global levels for the strategic plan period, 2014-2017, and *requests* UNFPA to demonstrate how its work at all levels contributes to achieving the strategic goal of the organization as reflected in the next strategic plan, 2014-2017;
14. *Commends* UNFPA for presenting a draft integrated budget, 2014-2017, for consultation with the Executive Board;
15. *Encourages* UNFPA to include further information in the next UNFPA strategic plan, 2014-2017, on the way in which it will work together and divide labour within the United Nations development system, including with other funds, programmes and specialized agencies, taking into account their respective mandates, in order to enhance coherence and avoid overlap and duplication, with the ultimate goal of

improving results on the ground and delivery in programme countries; and *requests* UNFPA to provide more information when developing the next UNFPA strategic plan, 2014-2017, on how results will be achieved, inter alia, by demonstrating how outputs are directly attributable to UNFPA, the link between outputs and outcomes, and how risks are assessed and will be managed;

16. *Appreciates* the improvements made in the draft results framework, 2014-2017, and *requests* UNFPA to include in the results framework baselines and targets for outcomes and outputs;

17. *Recognizes* the importance of increasing and achieving stability and predictability in: (a) contributions to UNFPA regular resources, which are the foundation and bedrock of its operations, and (b) qualitatively better earmarked funding;

18. *Recognizes* that timeliness in the payment of contributions is essential for maintaining liquidity and for facilitating continuous programme implementation to assist countries in achieving ICPD goals and other internationally agreed development goals, including the Millennium Development Goals, as well as for UNFPA engagement in all relevant processes and activities leading to the adoption of the post-2015 development agenda.

13 June 2013

2013/20

Report on contributions by Member States and others to UNFPA and revenue projections for 2013 and future years

The Executive Board

1. *Takes note* of the report on contributions by Member States and others to UNFPA and revenue projections for 2013 and future years (DP/FPA/2013/4);
2. *Takes note* that 2012 contribution revenue to regular resources decreased by 2.9 per cent, to \$437.5 million from \$450.7 million in 2011, which is below the revised funding target of the strategic plan of \$500 million for 2012 regular resources. In 2012 contribution revenue to co-financing resources increased by 12.5 per cent, to \$525.7 million from \$440.1 million in 2011, which is well above the target of \$330 million (DP/FPA/2013/4, paragraphs 9 and 10);
3. *Takes note also* that 2012 total contributions (regular and co-financing resources) to UNFPA increased by 8.1 per cent, to \$963.2 million from \$890.8 million in 2011;
4. *Commends* the efforts being made by UNFPA to broaden the funding base and mobilize additional resources and other forms of support from diversified sources, including from the private sector;
5. *Emphasizes* that regular resources are the bedrock of UNFPA and essential to maintaining the multilateral, neutral and universal nature of its work, and *encourages* UNFPA to further mobilize these resources while also continuing to mobilize supplementary resources for its thematic funds and programmes;
6. *Encourages* all Member States to increase their core contributions and also *encourages* countries that are in a position to do so to make contributions by the first half of the year and to make multi-year pledges in order to ensure effective programming;
7. *Encourages* all programme country Governments to expand contributions to programmes in their own countries;

8. *Encourages* all Member States to increase support for Millennium Development Goals 3, 4, 5 and 6 in order to accelerate results and enable the attainment of the targets by 2015;
9. *Emphasizes* that UNFPA needs strong political and increased financial support as well as predictable core funding in order to enhance its assistance to countries to fully integrate the agenda of the International Conference on Population and Development into national development strategies and frameworks and achieve the internationally agreed development goals.

7 June 2013

2013/21

Revised UNFPA evaluation policy

The Executive Board

1. *Recalls* decision 2012/26 on the biennial report on evaluation and the review of the UNFPA evaluation policy;
2. *Recognizes* that the quadrennial comprehensive policy review of operational activities for development of the United Nations system (General Assembly resolution 67/226) contains key principles with regard to evaluation, which are applicable for all funds and programmes, including UNFPA;
3. *Welcomes* the consultative and inclusive process that UNFPA management utilized in developing the revised evaluation policy with significant input from Member States and experts;
4. *Approves* the revised UNFPA evaluation policy (DP/FPA/2013/5);
5. *Welcomes* the creation of an independent UNFPA Evaluation Office with a direct reporting line to the Executive Board, assuming the roles and responsibilities as set out in the revised evaluation policy;
6. *Recognizes* that strengthened accountability, increased institutional learning and better evidence-based decision-making are three equally important purposes of evaluation, and *emphasizes* the role of evaluation in contributing to more effective programming;
7. *Acknowledges with appreciation* the steps taken by UNFPA to ensure an independent, credible and useful evaluation function;
8. *Requests* UNFPA to ensure compliance with the evaluation policy at all levels of the Fund through the establishment of appropriate mechanisms for its implementation, including adequate regional evaluation support and increased capacities in the field of evaluation;
9. *Requests* that UNFPA allocate sufficient human and financial resources to the Evaluation Office and the overall evaluation function when presenting the integrated budget at the second regular session 2013, while at the same time encouraging the Fund to preserve, to the extent possible, resources available for programmes;
10. *Requests* the Executive Director of UNFPA, when appointing, renewing and/or dismissing the Director of the Evaluation Office, to consult with the Executive Board in line with the standards of the United Nations Evaluation Group;

11. *Requests* the UNFPA Evaluation Office to include in its annual report, to be presented to the Executive Board at its annual session every year, information on evaluation activities and results as well as on the status of the implementation of the revised evaluation policy and biennial evaluation plan;

12. *Requests* the UNFPA Evaluation Office to present the biennial budgeted evaluation plan for approval at the second regular session of the Executive Board beginning 2013;

13. *Requests* UNFPA that any review of the evaluation policy focus not only on the policy itself but also on its implementation.

7 June 2013

2013/22

Annual Report of the Executive Director, UNOPS

The Executive Board

1. *Takes note* of the present report (DP/OPS/2013/2) and the details of the operational and management results of the organization provided therein;

2. *Takes note* of: (a) the significant contributions made by UNOPS, often in the most challenging environments, to the operational results of the United Nations and its partners; and (b) the increased focus on sustainability and investment in its core areas of expertise, notably infrastructure, procurement and project management;

3. *Takes note* of the support given by UNOPS to the United Nations and its partners to implement peacebuilding, humanitarian and development operations that matter for people in need;

4. *Takes note with appreciation* of the demonstrated ability of UNOPS to: (a) continuously improve its efficiency, including through the adoption of internationally recognized standards of performance; and (b) enhance the quality of its products and services while simultaneously reducing its management budget.

7 June 2013

2013/23

UNOPS strategic plan, 2014-2017

The Executive Board

1. *Expresses* appreciation to UNOPS for the consultative process in the preparation of the strategic plan, 2014-2017;

2. *Endorses* the strategic plan, 2014-2017, as contained in document DP/OPS/2013/3, recognizing its foundation on earlier decisions and policy guidance;

3. *Welcomes* the enhanced focus of UNOPS services to partners in the United Nations and beyond, the emphasis on sustainability, national ownership and capacity development, recognizing that it is firmly grounded in the self-financing business model of UNOPS;

4. *Takes note with appreciation* of the inclusion in the UNOPS strategic plan of measures that will strengthen the contribution of UNOPS to a broad range of stakeholders, including other organizations within the United Nations development system, national governments, international financial institutions and non-governmental organizations and foundations, and *encourages* UNOPS to continue engaging with partners in this regard in the future;
5. *Calls on* United Nations system organizations to actively seek efficiency gains through greater collaboration, taking into account the competitive advantages of UNOPS in its mandated areas of expertise: procurement, infrastructure and project management, including provision of implementation, transactional and management advisory services;
6. *Encourages* UNOPS to contribute, within its mandated areas of work, to innovation and the adaptation of best-practice standards of sustainability and quality;
7. *Supports* the development of a sustainability screening tool for review of UNOPS engagements against the three dimensions of sustainability, and *requests* UNOPS to widely share this instrument after its finalization.

7 June 2013

2013/24

Reports of UNDP, UNFPA and UNOPS on internal audit and oversight for 2012

(a) UNDP report on internal audit and investigations

(b) Report of the Director of the Division for Oversight Services on UNFPA internal audit and oversight activities in 2012

(c) Activity report for 2012 of the Internal Audit and Investigations Group of UNOPS

The Executive Board

With regard to UNDP, UNFPA and UNOPS:

1. *Welcomes* the ongoing commitment of UNDP, UNFPA and UNOPS to greater accountability and transparency and, in this regard, *welcomes* the implementation of the decision on public disclosure of the internal audits;
2. *Takes note* of the respective progress made by UNDP, UNFPA and UNOPS in the implementation of audit recommendations;
3. *Notes* the number of recurring recommendations on project management and monitoring, procurement and human resources, and *urges* UNDP, UNFPA and UNOPS to address recurring and outstanding issues in these areas in a timely and thorough manner;
4. *Notes with appreciation* the work of the organizations in preparing an increased number of joint audits, and *encourages* UNDP, UNFPA and UNOPS to work collaboratively with each other, and with other United Nations development organizations, to find opportunities for further joint approaches, and in addition *underscores* the importance of the review of the harmonized approach to cash

transfers (HACT), which should provide clear guidance for adequate application of this approach jointly developed by the organizations;

5. *Requests* UNDP, UNFPA and UNOPS to ensure that the information provided to the Executive Board by their audit and investigation offices is presented in an informative and consistent manner from year to year, and in a way that facilitates easy comparison between the organizations, with regard to data on allegations, investigations and actions thereon, including a list of internal audits carried out and ratings received; and also clearly describes the way in which audit priorities address current and emerging risks;

6. *Underscores* the need for the audit and investigation offices to be sufficiently resourced, including the investigation function, in order for the offices to carry out their full mandate effectively;

With regard to UNDP:

7. *Takes note* of the report on internal audit and investigations (DP/2013/35), its annexes and the management response;

8. *Expresses* its continuing support for strengthening the internal audit and investigation functions of UNDP;

9. *Takes note* of the annual report of the Audit Advisory Committee for the calendar year 2012;

10. *Notes* the importance of the Office of Audit and Investigations for UNDP and, in this regard, *requests* UNDP to assess and ensure that the Office of Audit and Investigations has the level of resources, including staffing, to respond adequately to the needs for audit, investigation and advisory services;

11. *Reiterates* the concern by the Audit Advisory Committee that the large number of open investigation cases may undermine the overall integrity and reputation of UNDP, and *encourages* UNDP to prioritize the clearance of cases carried forward;

With regard to UNFPA:

12. *Takes note* of the report of the Director of the Division for Oversight Services on UNFPA internal audit and oversight activities in 2012 (DP/FPA/2013/6), its annexes and the management response;

13. *Expresses* its continuing support for the strengthening of the oversight functions at UNFPA, and *reiterates* the importance of safeguarding the quality, impartiality and independence of these functions;

14. *Acknowledges and supports* the engagement of the Division for Oversight Services in joint oversight activities;

15. *Takes note* of the annual report of the Audit Advisory Committee and the management response thereto contained in document DP/FPA/2013/6/Add.1;

16. *Notes* that the audit risk assessment found that UNFPA is exposed to significant risk and, in view of this, *encourages* UNFPA to redouble its effort to enhance risk management across the organization in line with the recommendations of the Audit Advisory Committee, and *looks forward* to viewing the Enterprise Risk Management Plan by December 2013;

17. *Requests* UNFPA to provide further information to the Executive Board with regard to overcoming the limitations and weaknesses identified in the performance audit of the global and regional programme of UNFPA;

18. *Notes* the work undertaken by UNFPA to implement outstanding recommendations, and *encourages* UNFPA to implement urgently the outstanding recommendations that remain, particularly in the area of information and communications technology;

19. *Notes* the importance of the Division for Oversight Services for UNFPA, and *also notes* the incomplete implementation level of the audit programme in 2012 and, in this regard, *encourages* UNFPA to ensure that the Division for Oversight Services has the appropriate level and balance of financial and staffing resources to respond adequately to the needs for audit, investigation and advisory services;

20. *Notes with appreciation* that the Division for Oversight Services plans audit activities around identified key priorities and risks, and *urges* the Division for Oversight Services to include in its reporting to the Executive Board information on the extent to which the audit plan addresses these priorities and risks clearly;

With regard to UNOPS:

21. *Takes note* of the annual activities report for 2012 of the Internal Audit and Investigations Group of UNOPS (DP/OPS/2013/4) and its annexes;

22. *Takes note* of the progress made in implementation of audit recommendations more than 18 months old;

23. *Takes note* of the annual report of the Strategy and Audit Advisory Committee for 2012 (in line with Executive Board decision 2008/37).

13 June 2013

2013/25

Reports of the ethics offices of UNDP, UNFPA and UNOPS

The Executive Board

1. *Takes note* of the reports of the ethic offices of UNDP, UNFPA and UNOPS (DP/2013/36, DP/FPA/2013/2 and DP/OPS/2013/5), and the UNDP, UNFPA and UNOPS management responses;

2. *Recognizes* that the ethics offices of UNDP, UNFPA and UNOPS provide vital contributions to fostering a culture of ethics, integrity and accountability in the organizations and within the United Nations system;

3. *Welcomes* the efforts of the ethics offices to enhance outreach and awareness about their services through face to face training, online communication and social media, as well as their commitment and efforts to protect staff from retaliation for reporting misconduct;

4. *Encourages* the continued participation of the ethics offices of UNDP, UNFPA and UNOPS in the United Nations Ethics Committee and in the Ethics Network of Multilateral Organizations;

5. *Welcomes* the UNDP, UNFPA and UNOPS practice of including the director of the ethics office in relevant senior management meetings and decision-making processes;

6. *Requests* the management of UNDP, UNFPA and UNOPS to continue to strengthen the functions of their ethics offices in their respective organizations, including through the provision of adequate resources, in order to implement the

recommendations to strengthen an organizational culture of integrity and compliance, ensure that their ethics offices can work effectively to identify and meet the ethics needs of their staff at all levels, and bring best practices to their organizations.

7 June 2013

2013/26

Overview of decisions adopted by the Executive Board at its annual session 2013

The Executive Board

Recalls that during its annual session 2013, it:

Item 1

Organizational matters

Approved the agenda and workplan for its annual session 2013 (DP/2013/L.2);

Approved the report of the first regular session 2013 (DP/2013/9);

Agreed to the following schedule of future sessions of the Executive Board in 2013:

Second regular session 2013: 9 to 13 September 2013;

Adopted the tentative workplan for the second regular session 2013 of the Executive Board.

UNDP segment

Item 2

Annual report of the Administrator

Adopted decision 2013/11 on the cumulative review and annual report of the Administrator on the UNDP strategic plan: performance and results for 2008-2012;

Took note of the report of UNDP on the recommendations of the Joint Inspection Unit in 2012 (DP/2013/11/Add.1);

Took note of the statistical annex (DP/2013/11/Add.2).

Item 3

UNDP strategic plan, 2014-2017

Adopted decision 2012/12 on the draft UNDP strategic plan, 2014-2017.

Item 4

Funding commitments

Adopted decision 2012/13 on the status of regular funding commitments to UNDP and its associated funds and programmes for 2013 and onwards.

Item 5

Human Development Report

Took note of the oral report on consultations regarding the 2014 Human Development Report in accordance with General Assembly resolution 57/264.

Item 6**Country programmes and related matters (UNDP)**

Adopted decision 2013/14 on the UNDP global programme, 2009-2013: performance and results;

Took note of the first one-year extensions of the country programmes for Afghanistan, Angola, Kenya and Venezuela for a period of one year, from 1 January to 31 December 2014 (DP/2013/15);

Approved the second one-year extensions of the country programmes for Guinea-Bissau and Mali, from 1 January to 31 December 2014;

Approved the exceptional third-year extensions of the country programmes for Madagascar, Tunisia and Paraguay, from 1 January to 31 December 2014;

Approved the two-year extension of the country programme for Côte d'Ivoire, from 1 January 2014 to 31 December 2015;

Approved the two-and-half-year extension of the country programme for South Sudan, from 1 January 2014 to 30 June 2016;

Approved, on an exceptional basis, the common country programme document, including the results and resources framework, for Rwanda;

Approved, on an exceptional basis, country programme document for Egypt;

Took note of the following draft country programme documents and the comments made thereon:

Africa

Draft country programme document for Benin (DP/DCP/BEN/2)

Draft country programme document for Burundi (DP/DCP/BDI/3)

Draft country programme document for the Republic of the Congo (DP/DCP/COG/2)

Draft country programme document for Niger (DP/DCP/NER/2)

Draft country programme document for Nigeria (DP/DCP/NGA/2)

Draft country programme document for Togo (DP/DCP/TGO/2)

Asia and the Pacific

Draft common country programme document for Bhutan (DP/FPA/OPS-ICEF/DCCP/2013/BTN/1 and Add.1)

Latin America and the Caribbean

Draft country programme document for Cuba (DP/DCP/CUB/2).

Item 7**Evaluation (UNDP)**

Adopted decision 2013/15 on the (a) annual report on evaluation and the management response; (b) evaluation of the UNDP strategic plan, 2008-2013, and the management response; (c) evaluation of the global programme and the management response; (d) evaluation of the regional programme for Africa and the management response; (e) evaluation of the regional programme for Arab States and the management response; (f) evaluation of the regional programme for Asia and the Pacific and the management response; (g) evaluation of the regional programme for Europe and the Commonwealth of Independent States and the management

response; (h) evaluation of the regional programme for Latin America and the Caribbean and the management response; and (i) evaluation of UNDP contribution to South-South and triangular cooperation and the management response.

Item 8

United Nations Capital Development Fund (UNCDF)

Adopted decision 2013/16 on the report on results achieved by UNCDF in 2012 and report on the stakeholders consultations on scenarios for the future of UNCDF.

Item 9

United Nations Volunteers

Adopted decision 2013/17 on the report of the Administrator on the evolution of the role and function of the United Nations Volunteers programme since its inception.

Item 18

Programming arrangements (UNDP)

Adopted decision 2013/18 on the response to Executive Board decision 2013/4 on UNDP programming arrangements.

UNFPA segment

Item 10

Annual report of the Executive Director

Adopted decision 2013/19 on the report of the Executive Director for 2012: cumulative analysis of progress in implementation of the UNFPA strategic plan, 2008-2013;

Took note of the statistical and financial review, 2012 (DP/FPA/2013/3, Part I, Add.1);

Took note of the report on the recommendations of the Joint Inspection Unit in 2012 (DP/FPA/2013/3, Part II).

Item 11

Funding commitments to UNFPA

Adopted decision 2013/20 on the report on contributions by Member States and others to UNFPA and revenue projections for 2013 and future years.

Item 12

Country programmes and related matters (UNFPA)

Approved the second one-year programme extensions for Guinea-Bissau and Mali;

Approved the second one-year extension for the Madagascar programme;

Approved the two-year programme extension for Côte d'Ivoire;

Approved the exceptional two-and-a-half year programme extension for South Sudan;

Approved the exceptional third one-year programme extension for Tunisia;

Took note of the six-month programme extension for Kenya (DP/FPA/2013/9);

Took note of the one-year programme extensions for Afghanistan, Angola, Paraguay, Timor-Leste and Venezuela (Bolivarian Republic of); (DP/FPA/2013/7, DP/FPA/2013/8 and DP/FPA/2013/9);

Approved, on an exceptional basis, the country programme document for Egypt

Approved, on an exceptional basis, the common country programme document, including the results and resources framework, for Rwanda;

Took note of the following draft country programme documents and the comments made thereon:

Africa

Draft country programme document for Benin (DP/FPA/DCP/BEN/8)

Draft country programme document for the Niger (DP/FPA/DCP/NER/8)

Draft country programme document for Nigeria (DP/FPA/DCP/NGA/7)

Draft country programme document for the Republic of the Congo (DP/FPA/DCP/COG/5)

Draft country programme document for Togo (DP/FPA/DCP/TGO/6)

Asia and the Pacific

Draft common country programme document for Bhutan (DP/FPA/OPS-ICEF/DCCP/2013/BTN/1) and addendum (DP/FPA/OPS-ICEF/DCCP/2013/BTN/1/Add.2)

Latin America and the Caribbean

Draft country programme document for Cuba (DP/FPA/DCP/CUB/8).

Item 13

Evaluation (UNFPA)

Adopted decision 2013/21 on the revised UNFPA evaluation policy.

UNOPS segment

Item 14

United Nations Office for Project Services

Adopted decision 2013/22 on the annual report of the Executive Director, UNOPS.

Item 14

United Nations Office for Project Services

Adopted decision 2013/23 on the UNOPS strategic plan, 2014-2017.

Joint segment

Item 15

Internal audit and oversight

Adopted decision 2013/24 on the (a) UNDP report on internal audit and investigations; (b) report of the Director, Division for Oversight Services, on UNFPA internal audit and oversight activities in 2012; and (c) activity report for 2012 of the Internal Audit and Investigations Group of UNOPS.

Item 16
Reports of the ethics offices of UNDP, UNFPA and UNOPS

Adopted decision 2013/25 on the reports of the ethics offices of UNDP, UNFPA and UNOPS.

Item 17
Filed visits

Took note of the report on the field visit to Timor-Leste (DP-FPA-OPS/2013/CRP.1);

Took note of the report of the joint field visit of the Executive Boards of UNDP/UNFPA/UNOPS, UNICEF, UN-Women and WFP to Myanmar (DP/FPA/OPS-ICEF-UNW-WFP/2013/CRP.1).

Item 19
Other matters

Held the following briefings and consultations:

UNDP

Informal consultation on: (a) the cumulative review of the strategic plan and annual report of the Administrator; and (b) steps and timeline for the preparation of the next UNDP gender equality strategy (decision 2013/1);

Informal consultation on the draft UNV strategic framework, 2014-2017, and informal discussion of the first draft of the UNV strategic framework, 2014-17, by the UNV Executive Coordinator.

UNFPA

Informal consultation on the draft UNFPA strategic plan, 2014-2017, and the draft integrated budget, 2014-2017.

UNOPS

Informal consultation on the UNOPS management budget for 2014-2015, and revision of the methodology for calculation of UNOPS operational reserve.

14 June 2013

**TENTATIVE WORKPLAN
EXECUTIVE BOARD OF UNDP, UNFPA and UNOPS
SECOND REGULAR SESSION 2013
(9 to 13 September 2013, New York)**

<i>Day/date</i>	<i>Time</i>	<i>Item</i>	<i>Subject</i>	
Monday, 9 September	10 a.m. – 1 p.m.	1	ORGANIZATIONAL MATTERS <ul style="list-style-type: none"> • Adoption of the agenda and workplan for the session • Adoption of the report of the annual session 2013 <p style="text-align: center;">UNFPA segment</p> <p style="text-align: center;">STATEMENT BY THE UNFPA EXECUTIVE DIRECTOR</p>	
		7	UNFPA STRATEGIC PLAN, 2014-2017 <ul style="list-style-type: none"> • UNFPA strategic plan, 2014-2017 	
		8	UNFPA: FINANCIAL, BUDGETARY AND ADMINISTRATIVE MATTERS <ul style="list-style-type: none"> • UNFPA integrated budget, 2014-2017 • Report of the ACABQ on the UNFPA integrated budget, 2014-2017 • UNFPA financial statements for the year 2012 	
	<i>1.15 - 2.30 p.m.</i>		<i>Informal consultation on UNFPA items</i>	
	3 – 5 p.m.	8	UNFPA: FINANCIAL, BUDGETARY AND ADMINISTRATIVE MATTERS (cont'd)	
		12	UNFPA COUNTRY PROGRAMMES AND RELATED MATTERS <ul style="list-style-type: none"> • Draft country programme documents • Approval of country programmes 	
<i>5 - 6 p.m.</i>		<i>Informal consultations on draft decisions</i>		
Tuesday, 10 September	10 a.m. – 1 p.m.		UNDP segment	
			STATEMENT BY THE ADMINISTRATOR	
		2	UNDP STRATEGIC PLAN, 2014-2017 <ul style="list-style-type: none"> • UNDP strategic plan, 2014-2017 	
	3	UNDP: FINANCIAL, BUDGETARY AND ADMINISTRATIVE MATTERS <ul style="list-style-type: none"> • UNDP integrated budget, 2014-2017 • Report of the ACABQ on the UNDP integrated budget, 2014-2017 • Annual review of the financial situation, 2012 • Detailed information relating to the annual review of the financial situation, 2012 		
3 – 5 p.m.	3	UNDP: FINANCIAL, BUDGETARY AND ADMINISTRATIVE MATTERS (cont'd)		
<i>5 - 6 p.m.</i>		<i>Informal consultations on draft decisions</i>		

Wednesday, 11 September	10 a.m. – 1 p.m.	4	UNDP segment (cont'd) UNDP COUNTRY PROGRAMMES AND RELATED MATTERS <ul style="list-style-type: none"> • Draft country programme documents • Extensions of country programmes • Approval of country programmes 	
		5	EVALUATION <ul style="list-style-type: none"> • Report on the implementation of the evaluation recommendations (decision 2012/23) 	
		6	PROGRAMMING ARRANGEMENTS <ul style="list-style-type: none"> • Report on UNDP physical presence (decision 2013/4) 	
	3 – 5 p.m.	9	UNOPS segment STATEMENT BY THE UNOPS EXECUTIVE DIRECTOR <ul style="list-style-type: none"> • UNOPS biennial budget estimates for 2014-2015 • Report of the ACABQ on the UNOPS biennial budget estimates for 2014-2015 • Annual statistical report on the procurement activities of United Nations system organizations 2012 	
	<i>5 - 6 p.m.</i>		<i>Informal consultations on draft decisions</i>	
Thursday, 12 September	10 a.m. – 1 p.m.	10	Joint segment FINANCIAL, BUDGETARY AND ADMINISTRATIVE MATTERS <ul style="list-style-type: none"> • Joint UNDP, UNFPA and UNOPS report on joint procurement activities (decision 2012/25) 	
		11	FOLLOW-UP TO UNAIDS PROGRAMME COORDINATING BOARD MEETING <ul style="list-style-type: none"> • Report on the implementation of the decisions and recommendations of the Programme Coordinating Board of the Joint United Nations Programme on HIV/AIDS 	
			<i>3 - 4 p.m.</i>	<i>Informal consultations on draft decisions</i>
		4 – 6 p.m.	13	OTHER MATTERS <ul style="list-style-type: none"> • Adoption of pending decisions
		1	ORGANIZATIONAL MATTERS <ul style="list-style-type: none"> • Draft workplan for 2014 • Tentative workplan for the first regular session 2014 	
Friday, 13 September				