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**Organe subsidiaire de mise en œuvre**

**Trente-septième session**

Doha, 26 novembre-1<sup>er</sup> décembre 2012

Point 9 a) et b) de l'ordre du jour

**Plans nationaux d'adaptation**

**Plans nationaux d'adaptation**

**Projet de conclusions proposé par le Président**

1. L'Organe subsidiaire de mise en œuvre (SBI) a accueilli avec satisfaction les documents examinés au titre de ce point de l'ordre du jour<sup>1</sup>, notamment le rapport du Comité de l'adaptation<sup>2</sup>, la compilation des études de cas sur les processus nationaux de planification de l'adaptation menées dans le cadre du programme de travail de Nairobi sur les incidences des changements climatiques et la vulnérabilité et l'adaptation à ces changements<sup>3</sup>, ainsi que le rapport sur la vingt-deuxième réunion du Groupe d'experts des pays les moins avancés (PMA)<sup>4</sup>.
2. Le SBI a pris note des progrès réalisés par le Groupe d'experts des PMA en matière de conseils et d'assistance techniques au processus des plans nationaux d'adaptation. Il s'est félicité des directives techniques que le Groupe d'experts avait établies à l'appui de ce processus et attendait avec intérêt l'application de ces directives par l'ensemble des Parties aux fins de l'élaboration de leur plan national d'adaptation, selon que de besoin.
3. Le SBI a pris note des activités recensées par le Groupe d'experts pour lesquelles les PMA auraient besoin d'un appui afin d'élaborer et d'exécuter leur plan national d'adaptation<sup>5</sup>, et a demandé instamment au Fonds pour l'environnement mondial, à ses agents d'exécution et aux autres organisations compétentes de prendre en compte les besoins d'appui recensés dans leurs efforts visant à aider les PMA parties à mener à bien le processus des plans nationaux d'adaptation.

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<sup>1</sup> FCCC/SBI/2012/8, FCCC/SBI/2012/27, FCCC/SB/2012/3, FCCC/CP/2012/6.

<sup>2</sup> FCCC/SB/2012/3.

<sup>3</sup> FCCC/SBSTA/2012/INF.6.

<sup>4</sup> FCCC/SB/2012/27.

<sup>5</sup> FCCC/SBI/2012/27, par. 19.

4. Il s'est félicité des nombreuses initiatives appuyant le processus des plans nationaux d'adaptation dont les Parties, les organismes des Nations Unies et d'autres organisations avaient rendu compte dans leurs déclarations et communications<sup>6</sup>, et les a invités à continuer de le tenir informer de leurs efforts, conformément aux dispositions des paragraphes 32 à 35 de la décision 5/CP.17.
5. Le SBI attendait avec intérêt les travaux que le Comité de l'adaptation devait consacrer aux questions relatives à l'élaboration et à l'exécution des plans nationaux d'adaptation par les pays en développement parties qui n'étaient pas des PMA et les recommandations qu'il recevrait sur ces questions.
6. Le SBI attendait également avec intérêt la mise en œuvre, avant sa trente-neuvième session au plus tard, de mesures d'appui aux PMA parties s'inscrivant dans le processus des plans nationaux d'adaptation et relevant du Fonds pour les PMA.
7. Le SBI attend en outre avec intérêt la mise en œuvre de mesures d'appui aux Parties qui ne sont pas des PMA s'inscrivant dans le processus des plans nationaux d'adaptation et relevant du Fonds spécial pour les changements climatiques.
8. Le SBI a invité les pays développés parties à continuer de contribuer au Fonds pour les PMA et au Fonds spécial pour les changements climatiques afin de soutenir les activités préparatoires du processus des plans nationaux d'adaptation conformément à la décision 1/CP.16, notamment son paragraphe 18, et aux autres décisions pertinentes de la Conférence des Parties.
9. Le SBI a également invité les Parties et les organisations compétentes à continuer de concourir aux travaux sur le processus des plans nationaux d'adaptation dans les PMA.
10. Le SBI a prié le secrétariat d'établir une note d'information récapitulative sur le processus des plans nationaux d'adaptation, notamment sur les efforts mentionnés au paragraphe 4 ci-dessus, conformément aux dispositions du paragraphe 36 de la décision 5/CP.17, afin que le SBI l'examine à sa quarante et unième session.
11. À sa trente-septième session, le SBI a décidé de recommander le projet de décision figurant en annexe, afin que la Conférence des Parties l'examine et en adopte la version finale à sa dix-huitième session.

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<sup>6</sup> FCCC/SBI/2012/MISC.1, FCCC/SBI/2012/MISC.2 et Add.1 et FCCC/SBI/2012/MISC.3.

## Annexe

[English only]

### Draft decision -/CP.18

#### National adaptation plans

*[The Conference of the Parties,*

*[Recalling Article 4, paragraphs 1, 4 and 9, and Article 11, paragraph 5, of the Convention,]*

*[Also recalling decisions 11/CP.1, 27/CP.7, 1/CP.16 and 5/CP.17,]*

*Further recalling* the initial guidelines for the formulation of national adaptation plans by the least developed country Parties adopted under decision 5/CP.17,

*Reaffirming* that because of their development status, climate change risks magnify the development challenges for the least developed country Parties,

*Recalling* that the national adaptation plans are a process to enable the least developed country Parties to formulate and implement national adaptation plans, building upon their experience in preparing and implementing national adaptation programmes of action, as a means of identifying medium- and long-term adaptation needs and developing and implementing strategies and programmes to address those needs; and that other developing country Parties were invited to employ the modalities formulated to support the national adaptation plans in the elaboration of their planning effort,<sup>7</sup>

*Underlining* that planning for adaptation at the national level is a continuous, progressive and iterative process, the implementation of which should be based on nationally identified priorities, including those reflected in the relevant national documents, plans and strategies, and coordinated with national sustainable development objectives, plans, policies and programmes,

*[Recalling* the request to the Adaptation Committee, in accordance with its agreed functions, to consider, in its workplan, the relevant modalities for supporting interested developing countries that are not least developed country Parties to plan, prioritize and implement their national adaptation planning measures, including through the use of the modalities contained in decision 5/CP.17,]

*Reaffirming* the importance of the need to address adaptation planning in the broader context of sustainable development planning,

*Underlining* that the national adaptation plan process should build on and complement existing adaptation planning, should not be prescriptive and should facilitate country-driven, gender-sensitive, participatory action taking into consideration vulnerable groups, communities and ecosystems,

*Recognizing* the value of the experiences gained in preparing and implementing national adaptation programmes of action<sup>8</sup> and stressing that support for the national

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<sup>7</sup> Decision 1/CP.16, paragraphs 15 and 16.

<sup>8</sup> National adaptation programmes of action (NAPAs), are prepared by least developed country Parties are available at <unfccc.int/4722>.

adaptation plan process [should not be at the expense of][should be provided while maintaining progress for] the national adaptation programmes of action,

*Appreciating* the contributions made by developed country parties to the Least Developed Countries Fund and the Special Climate Change Fund to date,

*Recognizing* that the Green Climate Fund will support developing countries in pursuing project-based and programmatic approaches in accordance with climate change strategies and plans,<sup>9</sup> such as national adaptation programmes of action, national adaptation plans and other related activities,

*Also recognizing* the important role of the Convention in catalysing support for the least developed country Parties to undertake the national adaptation plan process, noting the range of activities and programmes, both under and outside the Convention process, which contribute to, and enhance, the national adaptation plan process,

*Recalling* its request to the Subsidiary Body for Implementation to consider guidance on policies and programmes to enable support for the national adaptation plan process for the least developed country Parties, at its thirty-sixth session, for consideration by the Conference of the Parties at its eighteenth session,

*Welcoming* the Nairobi work programme on impacts, vulnerability and adaptation to climate change compilation of case studies on national adaptation planning processes,<sup>10</sup> and the report of the twenty-second meeting of the Least Developed Countries Expert Group,<sup>11</sup>

1.

[Option 1:

{~~[[Decides to adopt the following guidance for]]~~~~Invites~~~~Requests~~ the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, for the operation of the Least Developed Countries Fund, ~~[in enabling support for ]~~~~to support activities~~ ~~[to enable the preparation of]~~ ~~[by the least developed country Parties undertaken under]]~~ the national adaptation plan process~~].];~~ ~~while maintaining progress for the least developed countries work programme, which includes the national adaptation programmes of action,~~ the operating entity is requested]:

(a) As a first step under the national adaptation plan process, to provide funding from the Least Developed Countries Fund, ~~[as appropriate]~~, to meet the agreed full cost of activities to enable ~~[the preparation of the NAP process]~~~~[the formulation of national adaptation plans]~~ as described in the elements contained in paragraphs 2–6 of the initial guidelines for national adaptation plans in the annex to decision 5/CP.17;

(b) ~~Maintain balanced access for the NAPAs and NAPs, while maintaining progress for the LDC work programme~~~~To ensure separation of the funding for the national adaptation plan process from funds for the national adaptation programmes of action under the Least Developed Countries Fund, noting that there are linkages between the two;~~

(c) ~~[To ensure complementarity of funding between the Least Developed Countries Fund and other funds with which the operating entity is entrusted, such as the Special Climate Change Fund;]~~

(d) ~~[To continue with]~~~~adopt~~ simplified procedures and arrange for expedited access, including direct access to the Least Developed Countries Fund by the least

<sup>9</sup> Decision 3/CP.17, annex, paragraph 36.

<sup>10</sup> FCCC/SBSTA/2012/INF.6.

<sup>11</sup> FCCC/SBI/2012/27.

developed country Parties for the national adaptation plan process, while ensuring sound financial management;]

(e) [To ensure transparency in all steps relating to the funding of the development of the national adaptation plans;]

(f) To [encourage][ensure] a flexible, ~~multiple entry~~ approach that enables the least developed country Parties to access funding for components of the national adaptation plan process as identified by the least developed countries Parties in response to national needs and circumstances;

~~(g) — To encourage the use of national and, where appropriate, regional experts;~~

(g) [To adopt streamlined procedures for the operation of the Least Developed Countries Fund in supporting the national adaptation plan process;]}

2. *Requests* the operating entity referred to in paragraph 1 above to include in its report to the Conference of the Parties information on the steps it has undertaken to implement the provisions of this decision;

2 bis: *Urges* developed country Parties to mobilize financial support for the national adaptation plan process for interested developing country Parties that are not least developed country Parties through bilateral and multilateral channels, including through the Special Climate Change Fund, in accordance with decision 1/CP.16, as it urged developed country Parties to mobilize financial support for the national adaptation plan process for least developed country Parties in decision 5/CP.17, paragraph 21;<sup>12</sup>

2 ter: *Requests* the Global Environment Facility, as an operating entity of the financial mechanism, through the Special Climate Change Fund, to consider how to enable activities for the preparation of the national adaptation plan process for interested developing country Parties that are not least developed country Parties, as it requested the Global Environment Facility, through the Least Developed Countries Fund, to consider how to enable activities for the preparation of the national adaptation plan process for the least developed country Parties in decision 5/CP.17, paragraph 22;<sup>13</sup>

3. *Invites* developed country Parties to further contribute to the Least Developed Countries Fund and the Special Climate Change Fund to support the activities for the preparation of the national adaptation plan process in accordance with decision 1/CP.16, including paragraph 18, and other relevant decisions of the Conference of the Parties;

4. *Invites* the operating entities of the financial mechanism of the Convention, bilateral and multilateral agencies and other relevant organizations, as appropriate, to take this decision into account when providing financial and technical support to developing country Parties in responding to decision 5/CP.17;

5. [*Invites* the Green Climate Fund Board to take into account the national adaptation plan process when designing its modalities, including for direct access, in accordance with decision 3/CP.17, paragraph 3;]

6. [*Requests* the [Standing Committee and] the Green Climate Fund to develop recommendations for the predictability, accessibility, and adequacy of financial resources for the formulation and implementation of national adaptation plans;]

7. *Invites* Parties and relevant organizations to continue to assist the least developed country Parties, drawing upon the work of and where appropriate, in consultation with, the Least Developed Countries Expert Group, in building national institutional arrangements

<sup>12</sup> FCCC/SB/2012/3, paragraph 27 (d).

<sup>13</sup> FCCC/SB/2012/3, paragraph 27 (e).

and capacities, and to support scientific and technical capacity needs, as identified by the least developed country Parties, to undertake the national adaptation plan process;

8. *Invites* United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, to support the national adaptation plan process in the least developed country Parties and, where possible, to consider establishing or enhancing support programmes for the national adaptation plan process within their mandates, as appropriate, which could facilitate financial and technical support to the least developed country Parties, drawing upon the work of and where appropriate, in consultation with, the Least Developed Countries Expert Group, and to keep the Subsidiary Body for Implementation informed, through the secretariat, on how they have responded to this invitation;

9. *Invites* Parties and relevant organizations to share best practices and lessons learned in addressing adaptation, through the ongoing work of the Least Developed Countries Expert Group, the Nairobi work programme on impacts, vulnerability and adaptation to climate change, and other bodies and ongoing work under the Convention;

10. *Reiterates* the request to the Least Developed Countries Expert Group, the Adaptation Committee and other relevant bodies under the Convention to include information in their reports on how they have responded to the requests made in this decision and on their activities relevant to the national adaptation plan process, as per their respective mandates, and to make recommendations accordingly;

[*Decides* to assess progress made in implementing this decision, and to consider the adoption of further guidance, as appropriate, at its twentieth session.]]

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