



Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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Open-ended intergovernmental working group on the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto

First session

Vienna, 17-19 May 2011

Draft report

I. Introduction

1. In its resolution 5/5, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime decided to establish an open-ended intergovernmental working group to consider and explore options and make proposals for the establishment of a mechanism or mechanisms to assist the Conference in reviewing the implementation of the Convention and the Protocols thereto, as well as to prepare terms of reference for such proposed review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports, for consideration and possible adoption by the Conference at its sixth session.

II. Organization of the meeting

A. Opening of the meeting

2. The first session of the open-ended intergovernmental working group on the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto was held in Vienna from 17 to 19 May 2011.

3. The meeting was opened by Dominica Krois (Poland), Vice-President of the Conference of the Parties to the Convention, who informed the meeting that, at its meeting held on 7 March 2011, the Extended Bureau of the Conference nominated Mexico for the office of the Chair of the working group and that the Government of Mexico had nominated Ulises Canchola Gutiérrez (Mexico) for that office. The



meeting elected Ulises Canchola Gutiérrez (Mexico) as the Chair of the working group. The Chair addressed the meeting and presented an overview of the mandate of the group, its objectives and the subject matters under its consideration.

B. Adoption of the agenda and organization of work as amended

4. At its 1st meeting, on 17 May 2011, the working group adopted by consensus its amended provisional agenda and organization of work:*

1. Organizational matters:
 - (a) Opening of the meeting;
 - (b) Adoption of the agenda and organization of work.
2. (a) Options and proposals for the establishment of a mechanism or mechanisms to assist the Conference in the review of the implementation of the Convention and the Protocols thereto: progress report on the voluntary pilot programme to review the implementation of the Convention and the Protocols thereto.
3. Terms of reference for the proposed review mechanisms, guidelines for governmental experts and a blueprint for the country review reports.
4. Other matters.
5. Adoption of the report.

C. Attendance

5. The meeting was attended by representatives of [...] States parties to the United Nations Convention against Transnational Organized Crime and the Protocols thereto and [...] States signatories. A list of participants is contained in document CTOC/COP/WG.5/2011/INF.1/Rev.1.

D. Documentation

6. The documents before the working group are listed in the annex to the present report.

* As part of the discussions of the working group, a presentation of the comprehensive self-assessment software (“omnibus survey software”) was given on 17 May 2011.

III. Consideration of the draft terms of reference, the draft guidelines and the draft blueprint

7. At its first session, on 17-19 May 2011, the working group considered agenda item 3, entitled “Terms of reference for the proposed review mechanisms, guidelines for governmental experts and a blueprint for the country review reports”. For its consideration of the agenda item, the working group had before it the following:

(a) Draft terms of reference of the mechanism for the review of implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto (CTOC/COP/WG.5/2011/2);

(b) Draft guidelines for governmental experts and the secretariat in the conduct of country reviews (CTOC/COP/WG.5/2011/3);

(c) Draft blueprint for country review reports and executive summaries (CTOC/COP/WG.5/2011/4);

(d) Draft indicative thematic distribution of the Organized Crime Convention and the Protocols thereto (CTOC/COP/WG.5/2011/5).

8. The working group finalized its first and preliminary reading of the rolling text of the draft terms of reference, the draft guidelines and the draft blueprint.

9. It was noted that the progress achieved by the working group in its deliberations would be reflected in the rolling text of the revised draft terms of reference,¹ the revised draft guidelines² and the revised draft blueprint.³

10. It was agreed that interested States parties could continue to hold consultations on the revised draft terms of reference, the revised draft guidelines, the revised draft blueprint and the draft indicative thematic distribution before the second session of the working group.

¹ To be issued subsequently as CTOC/COP/WG.5/2011/2/Rev.1.

² To be issued subsequently as CTOC/COP/WG.5/2011/3/Rev.1.

³ To be issued subsequently as CTOC/COP/WG.5/2011/4/Rev.1.

Annex

List of documents before the open-ended intergovernmental working group on the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto at its first session

<i>Document number</i>	<i>Agenda item</i>	<i>Title or description</i>
CTOC/COP/WG.5/2011/1	1 (b)	Provisional agenda and organization of work
CTOC/COP/WG.5/2011/2	3	Draft terms of reference of the mechanism for the review of implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto
CTOC/COP/WG.5/2011/3	3	Draft guidelines for governmental experts and the secretariat in the conduct of country reviews
CTOC/COP/WG.5/2011/4	3	Draft blueprint for country review reports and executive summaries
CTOC/COP/WG.5/2011/5	3	Draft indicative thematic distribution of the Organized Crime Convention and the Protocols thereto
CTOC/COP/WG.5/2011/CRP.1	3	Terms of reference for a review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports: proposals and initiatives of States parties and signatories
CTOC/COP/WG.5/2011/CRP.2	3	Non-paper by Switzerland on proposals for the allocation of the articles of the Convention and the three Protocols to the five thematic areas and the two review cycles
CTOC/COP/WG.5/2011/CRP.3	3	Non-paper by Mexico: Proposal for a mechanism for the review of the implementation of the UNTOC and Protocols thereto: Draft Terms of Reference
CTOC/COP/WG.5/2011/CRP.4	4	Non-paper by the United States of America: Reformulated UNTOC Self-Assessment Checklist
CTOC/COP/WG.5/2011/CRP.5	3	Non-paper by Mexico: Reviewing and reviewed States – distribution in a cycle
CTOC/COP/2010/CRP.1	2 (a)	Report of the Secretariat containing the progress report and lessons learned from the pilot programme to review implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto
CAC/COSP/IRG/2011/2	2	Note by the Secretariat on country reviews: lessons learned from the first year of the current review cycle