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### PROGRAMME BUDGET FOR THE BIENNIUM 1984-1985

REVIEW OF THE IMPLEMENTATION OF THE RECOMMENDATIONS AND DECISIONS  
ADOPTED BY THE GENERAL ASSEMBLY AT ITS TENTH SPECIAL SESSION:  
ADVISORY BOARD ON DISARMAMENT STUDIES

#### Draft statute of the United Nations Institute for Disarmament Research

#### Report of the Secretary-General

### I. BACKGROUND

1. The United Nations Institute for Disarmament Research (UNIDIR) was established in October 1980 pursuant to General Assembly resolution 34/83 M within the framework of the United Nations Institute for Training and Research (UNITAR) as an interim arrangement until the second special session of the General Assembly devoted to disarmament. It was set up at Geneva after consultations involving the Secretary-General and his Advisory Board on Disarmament Studies, as well as the Executive Director and the Board of Trustees of UNITAR.

2. General Assembly resolution 37/99 K on institutional arrangements relating to the process of disarmament provides, inter alia, that the Institute shall function as an autonomous institution, working in close relationship with the Department for Disarmament Affairs, and that its activities shall be funded by voluntary contributions from States and public and private organizations. In the same resolution, the General Assembly also requested the Secretary-General to give the Institute administrative and other support, and decided that the Secretary-General's Advisory Board on Disarmament Studies should function as the Board of Trustees of UNIDIR and, in that capacity draft the statute of the Institute to be submitted to the General Assembly at its thirty-eighth session.

3. The Board, in pursuance of that resolution, prepared the draft statute during its eighth session in September 1983. The draft was transmitted to the General Assembly as annex IV to the report of the Secretary-General on the work of the Board. 1/ Subsequently, a draft resolution was submitted to the General Assembly at its thirty-eighth session, 2/ by which the General Assembly, inter alia, would have approved the draft statute.

4. The Advisory Committee on Administrative and Budgetary Questions, in its report on the financial and administrative implications of the draft resolution, noted that the attempt made by the Board to make the statute cover both the financing of UNIDIR on a voluntary basis and the possibility of a decision by the Assembly to fund certain expenditures from the United Nations regular budget had resulted in provisions in the UNIDIR draft statute which were vague as to the precise nature of the arrangements for providing financial and other support to the Institute. The Committee believed that the financial and administrative management of the Institute should be clearly defined from the outset and that uncertainties could lead to later difficulties in applying the statute. 3/

5. In the light of these observations, the General Assembly, at its thirty-eighth session, decided not to take action on the draft statute of UNIDIR but to return it to the Board of Trustees with a request that the Board should spell out the meaning of its provisions before the thirty-ninth session of the General Assembly.

6. The Board of Trustees of UNIDIR, at its eleventh session recommended that the draft statute of the Institute, 4/ as amended, should be submitted to the General Assembly for consideration and approval.

## II. ADMINISTRATIVE AND FINANCIAL IMPLICATIONS OF THE DRAFT STATUTE FOR 1985

7. Paragraph 1 of article VII of the draft statute provides that "voluntary contributions from States and public and private organizations shall form the principal source of financing of the Institute. Other sources of financing may include the United Nations regular budget with respect to:

"(a) Support for Director and the staff of the Institute referred to in article IV. The annual level of such support shall not exceed one half of the annual income of the Institute received from voluntary sources;

"(b) Specific activities which the General Assembly may request the Institute to add to its regular work programme".

8. In accordance with article III, paragraph 2 (b), of the draft statute, the Board of Trustees has considered and adopted a proposed budget for 1985 amounting to \$439,200. Those budget estimates were based on an anticipated income of \$293,000 from voluntary sources, on the basis of three firm pledges from Governments. In accordance with article III, paragraph 2 (c), of the draft statute, the Board of Trustees has considered and recommended that, in addition,

the sum of \$146,500 representing one half of the 1985 income from voluntary contributions (\$293,000), be provided from the regular budget of the United Nations.

9. The proposed budget in the amount of \$439,200 would be used for the implementation of the 1985 work programme of the Institute as approved by the Board of Trustees. 5/

10. Article VIII, paragraph 3, of the statute provides that

"Budget estimates under the United Nations regular budget as recommended by the Board of Trustees shall be submitted annually to the Secretary-General for review and submission to the General Assembly for approval in accordance with United Nations regulations, rules and procedures. The budget estimates financed from voluntary sources as approved by the Board of Trustees shall accompany the submission for information".

The resource requirements to be financed both by voluntary contribution and by the United Nations regular budget can be broken down as follows:

(a) Staff costs. A provision of \$249,600 would be required for salaries and related costs for the post of a Director at the D-2 level (\$96,400), the post of an Assistant Director at the D-1 level (\$88,200) and two General Service posts (\$65,000).

(b) Travel. A provision of \$30,000 would be needed for travel of staff. Travel requirements relate to administration and management, project formulation and implementation, fund-raising, co-ordination and liaison with other research organizations.

(c) Ad hoc expert groups. An estimated cost of \$36,000 would be required in 1985 to hold six meetings of ad hoc expert groups relating to the following projects:

- (i) Limitation of military uses of outer space;
- (ii) International law of disarmament;
- (iii) Non-proliferation of nuclear weapons - trends and prospects;
- (iv) International nuclear commerce and the non-proliferation régime;
- (v) Studies on verification;
- (vi) Security and disarmament in Europe.

(d) Contractual services. An estimated amount of \$109,000 for contractual services would be required in 1985 in order to provide for technical expertise not otherwise available from within the Institute in the implementation of its research programme. This provision would be distributed between projects as follows:

	\$
Limitation of military uses of outer space	25 000
The non-proliferation of nuclear weapons: the non-proliferation régime - trends and prospects	5 000
Studies on verification: verification of possible confidence-building and disarmament agreements in the context of Europe	4 000
Nuclear test ban	2 000
The subjective factors in disarmament: perceptions, concept formation, styles of arguments, implications	5 000
Arms transfer dependence	5 000
Disarmament	15 000
Security and disarmament in Europe	15 000
Fellowship programme	<u>33 000</u>
Total	<u><u>109 000</u></u>

11. Should the General Assembly agree to provide the Institute with financial support from the regular budget in the amount recommended by the Board of Trustees, an additional appropriation of \$146,500 would be required under a new subsection of the programme budget, i.e., subsection 2C, United Nations Institute for Disarmament Research (to be established).

12. Should the General Assembly adopt the draft statute now before it, the Secretary-General would, in future years, review the recommendations of the Board of Trustees of the Institute regarding the latter's annual work programme and the related budget estimates. Should the Board recommend some financing under the regular budget, the appropriate submissions would be made to the Advisory Committee on Administrative and Budgetary Questions and the Fifth Committee, in accordance with article VIII, paragraph 3 of the statute. In addition, should the General Assembly request the Institute to undertake specific work in addition to its regular work programme, possible additional requirements under subsection 2C would be reviewed within the framework of the programme budget implications procedure.

### III. REVIEW OF THE CURRENT FINANCIAL SITUATION OF THE INSTITUTE

13. In the course of the implementation of resolution 37/99 K, the Secretary-General established a trust fund for the Institute. The accounts of UNIDIR, which were transferred from UNITAR effective 1 January 1983, showed a deficit of \$92,400.

14. The opening 1984 balance of the Trust Fund was negative and amounted to \$137,900. An excess of expenditures of \$21,800 over income was recorded for the period from 1 January to 30 September 1984, bringing the deficit as of 30 September 1984 to \$159,700. It is estimated that a deficit of the order of \$175,000 will be recorded at the end of the current year.

15. While it is hoped that voluntary contributions to the Trust Fund, which are now of the order of \$300,000 per year, will, in the future years form the principal source of financing of the Institute, as provided in paragraph 1 of article VII of the draft statute, it is not anticipated that the above deficit could be absorbed within extrabudgetary resources in the foreseeable future. Therefore, a one-time grant of \$175,000 from the United Nations regular budget for the biennium 1984-1985 would be necessary in order to eliminate the deficit. Alternatively, the sum may be treated as an advance to be recovered from future income of the Institute.

#### IV. CONCLUSION

16. The possibility of offsetting the cost of the proposed regular budget contribution to the activities of the Institute in 1985 by savings under subsection 2B, Department for Disarmament Affairs, has been reviewed. Three programme elements within subsection 2B of the programme budget for the biennium 1984-1985 have been identified as being of the lowest priority. They are:

(a) Programme element 2.7, Other information work, of subprogramme 2, Information on Disarmament, with the output: Publications of United Nations Study Series and preparation of non-recurrent booklets on specific items;

(b) Programme element 3.3, Depository and analyses of disarmament related information, of subprogramme 3, Studies on disarmament, with the output: research papers providing in-depth political analyses of trends and events; statistical data and comparisons;

(c) Programme element 5.4, Internship and training programmes, of subprogramme 5, World Disarmament Campaign, with the output: disarmament internship programme for graduate students, development of a disarmament training programme for educators and development of a disarmament training programme for journalists.

In view of their usefulness for the programme as a whole and, in the case of Internship and training programmes, these activities, because of their continuing nature, are not proposed for curtailment, postponement or termination.

17. Accordingly, should the General Assembly decide to adopt the draft statute of the United Nations Institute for Disarmament Research as proposed by its Board of Trustees, an additional appropriation of \$146,500 would be required as a contribution for the financing of the 1985 operations of the Institute under a new section 2C, the United Nations Institute for Disarmament Research, to be established within the programme budget for the biennium 1984-1985. In addition, a provision of \$175,000 is requested under the same section of the 1984-1985 programme budget as a one-time grant to the Institute, in order to eliminate its current deficit. Alternatively, the sum may be treated as an advance to be recovered from future income of the Institute.

Notes

- 1/ A/38/467.
- 2/ A/C.1/38/L.8/Rev.1.
- 3/ Official Records of the General Assembly, Thirty-eighth Session,  
Supplement No. 7A (A/37/7/Add.1-23), document A/37/7/Add.11.
- 4/ A/39/549, annex II.
- 5/ A/39/549, paras. 26 and 27.

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