



Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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Report on the meeting of the open-ended intergovernmental working group on the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto held in Vienna from 17 to 19 May 2011

I. Introduction

1. In its resolution 5/5, the Conference of the Parties to the United Nations Convention against Transnational Organized Crime decided to establish an open-ended intergovernmental working group to consider and explore options and make proposals for the establishment of a mechanism or mechanisms to assist the Conference in reviewing the implementation of the Convention and the Protocols thereto, as well as to prepare terms of reference for such a proposed review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports, for consideration and possible adoption by the Conference at its sixth session.

II. Organization of the meeting

A. Opening of the meeting

2. The first session of the open-ended intergovernmental working group on the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto was held in Vienna from 17 to 19 May 2011.

3. The meeting was opened by Dominica Krois (Poland), Vice-President of the Conference of the Parties to the Convention, who informed the working group that the extended Bureau of the Conference, at its meeting held on 7 March 2011, had nominated Mexico for the office of Chair of the working group and that the Government of Mexico had nominated Ulises Canchola Gutiérrez (Mexico) for that office; the working group then elected Mr. Canchola Gutiérrez as its Chair. The



Chair made a statement and presented an overview of the mandate of the group, its objectives and the subject matter under its consideration.

B. Adoption of the agenda and organization of work

4. At its 1st meeting, on 17 May 2011, the working group adopted by consensus its provisional agenda and organization of work, as amended.¹ The agenda was as follows:

1. Organizational matters:
 - (a) Opening of the meeting;
 - (b) Adoption of the agenda and organization of work.
2. Options and proposals for the establishment of a mechanism or mechanisms to assist the Conference in the review of the implementation of the Convention and the Protocols thereto:
 - (a) Progress report on the voluntary pilot programme to review the implementation of the Convention and the Protocols thereto.
3. Terms of reference for the proposed review mechanisms, guidelines for governmental experts and a blueprint for the country review reports.
4. Other matters.
5. Adoption of the report.

C. Attendance

5. The meeting was attended by representatives of 77 States parties to the United Nations Convention against Transnational Organized Crime and the Protocols thereto and 7 States signatories. A list of participants is contained in document CTOC/COP/WG.5/2011/INF/1/Rev.2.

D. Documentation

6. The documents before the working group are listed in the annex to the present report.

III. Consideration of the draft terms of reference, draft guidelines and draft blueprint

7. At its meeting from 17 to 19 May 2011, the working group considered agenda item 3, entitled “Terms of reference for the proposed review mechanisms, guidelines for governmental experts and a blueprint for the country review reports”.

¹ As part of the discussions of the working group, a presentation on the comprehensive self-assessment software (“omnibus survey software”) was given on 17 May 2011.

For its consideration of the agenda item, the working group had before it the following:

(a) Draft terms of reference of the mechanism for the review of implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto (CTOC/COP/WG.5/2011/2);

(b) Draft guidelines for governmental experts and the secretariat in the conduct of country reviews (CTOC/COP/WG.5/2011/3);

(c) Draft blueprint for country review reports and executive summaries (CTOC/COP/WG.5/2011/4);

(d) Draft indicative thematic distribution of the Organized Crime Convention and the Protocols thereto (CTOC/COP/WG.5/2011/5).

8. The working group finalized its first and preliminary reading of the rolling text of the draft terms of reference, the draft guidelines and the draft blueprint.

9. It was noted that the progress achieved by the working group in its deliberations would be reflected in the rolling text of the revised draft terms of reference,² the revised draft guidelines³ and the revised draft blueprint.⁴

10. It was agreed that States parties and signatory States could continue to hold informal consultations on the revised draft terms of reference, the revised draft guidelines, the revised draft blueprint, the draft indicative thematic distribution and issues relating thereto before the next session of the working group. In consultation with the extended Bureau of the Conference, the secretariat will inform States parties and signatory States of the dates foreseen for those consultations.

IV. Other matters

11. It was noted that further consultation among States parties and signatory States, with the participation of the secretariat, was warranted regarding the finalization of the primary information-gathering tool, pursuant to resolution 5/5.

V. Adoption of the report

12. At its 6th meeting, on 19 May 2011, the open-ended intergovernmental working group adopted the present report.

² To be issued subsequently as CTOC/COP/WG.5/2011/2/Rev.1.

³ To be issued subsequently as CTOC/COP/WG.5/2011/3/Rev.1.

⁴ To be issued subsequently as CTOC/COP/WG.5/2011/4/Rev.1.

Annex

List of documents and conference room papers before the open-ended intergovernmental working group on the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto at its first session

<i>Symbol</i>	<i>Agenda item</i>	<i>Title or description</i>
CTOC/COP/WG.5/2011/1	1 (b)	Provisional agenda and organization of work
CTOC/COP/WG.5/2011/2	3	Draft terms of reference of the mechanism for the review of implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto
CTOC/COP/WG.5/2011/3	3	Draft guidelines for governmental experts and the secretariat in the conduct of country reviews
CTOC/COP/WG.5/2011/4	3	Draft blueprint for country review reports and executive summaries
CTOC/COP/WG.5/2011/5	3	Draft indicative thematic distribution of the Organized Crime Convention and the Protocols thereto
CAC/COSP/IRG/2011/2	2	Note by the Secretariat on country reviews: lessons learned from the first year of the current review cycle
CTOC/COP/WG.5/2011/L.1	5	Draft report
CTOC/COP/WG.5/2011/CRP.1	3	Terms of reference for a review mechanism or mechanisms, guidelines for governmental experts and a blueprint for the country review reports: proposals and initiatives of States parties and signatories
CTOC/COP/WG.5/2011/CRP.2	3	Non-paper by Switzerland: proposals for the allocation of the articles of the Convention and the three Protocols to the five thematic areas and the two review cycles
CTOC/COP/WG.5/2011/CRP.3	3	Non-paper by Mexico: proposal for a mechanism for the review of the implementation of the Organized Crime Convention and the Protocols thereto; draft terms of reference
CTOC/COP/WG.5/2011/CRP.4	4	Non-paper by the United States of America: reformulated self-assessment checklist
CTOC/COP/WG.5/2011/CRP.5	3	Non-paper by Mexico: reviewing and reviewed States – distribution in a cycle
CTOC/COP/2010/CRP.1	2 (a)	Report of the Secretariat on progress made and lessons learned from the pilot programme to review implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto