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Rapport d'activité du Bureau des services de contrôle interne

Rapport d'activité du Bureau des services de contrôle interne pour la période du 1^{er} juillet 2010 au 30 juin 2011

Additif

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* A/66/150.



Liste des sigles et acronymes

AMISOM	Mission de l'Union africaine en Somalie
BAJ	Bureau des affaires juridiques
BCAH	Bureau de la coordination des affaires humanitaires
BINUB	Bureau intégré des Nations Unies au Burundi
BONUCA	Bureau d'appui des Nations Unies pour la consolidation de la paix en République centrafricaine
BSCI	Bureau des services de contrôle interne
BUNUTIL	Bureau des Nations Unies au Timor-Leste
CCNUCC	Convention-cadre des Nations Unies sur les changements climatiques
CEA	Commission économique pour l'Afrique
CEE	Commission économique pour l'Europe
CEPALC	Commission économique pour l'Amérique latine et les Caraïbes
CESAO	Commission économique et sociale pour l'Asie occidentale
CESAP	Commission économique et sociale pour l'Asie et le Pacifique
CNUCED	Conférence des Nations Unies sur le commerce et le développement
DAES	Département des affaires économiques et sociales
DAM	Département de l'appui aux missions
DAP	Département des affaires politiques
DG	Département de la gestion
DGACM	Département de l'Assemblée générale et de la gestion des conférences
DI	Département de l'information
DOMP	Département des opérations de maintien de la paix
DSS	Département de la sûreté et de la sécurité
EC-ESA	Comité exécutif pour les affaires économiques et sociales
EMG	Groupe de la gestion de l'environnement
EOSG	Cabinet du Secrétaire général
FINUL	Force intérimaire des Nations Unies au Liban
FNUD	Fonds des Nations Unies pour la démocratie
FNUOD	Force des Nations Unies chargée d'observer le désengagement
HCDH	Haut-Commissariat des Nations Unies aux droits de l'homme

HCR	Haut-Commissariat des Nations Unies pour les réfugiés
MANUA	Mission d'assistance des Nations Unies en Afghanistan
MANUI	Mission d'assistance des Nations Unies pour l'Iraq
MINUAD	Opération hybride Union africaine-Nations Unies au Darfour
MINUK	Mission d'administration intérimaire des Nations Unies au Kosovo
MINUL	Mission des Nations Unies au Libéria
MINURCAT	Mission des Nations Unies en République centrafricaine et au Tchad
MINURSO	Mission des Nations Unies pour l'organisation d'un référendum au Sahara occidental
MINUS	Mission des Nations Unies au Soudan
MINUSTAH	Mission des Nations Unies pour la stabilisation en Haïti
MINUT	Mission intégrée des Nations Unies au Timor-Leste
MONUC/MONUSCO	Mission de l'Organisation des Nations Unies en République démocratique du Congo/Mission de l'Organisation des Nations Unies pour la stabilisation en République démocratique du Congo
OHRLLS	Bureau du Haut-Représentant pour les pays les moins avancés, les pays en développement sans littoral et les petits États insulaires en développement
ONU-Habitat	Programme des Nations Unies pour les établissements humains
ONUCI	Opération des Nations Unies en Côte d'Ivoire
ONUG	Office des Nations Unies à Genève
ONUN	Office des Nations Unies à Nairobi
ONUST	Organisme des Nations Unies chargé de la surveillance de la trêve
ONUV	Office des Nations Unies à Vienne
OOSA	Bureau du Conseiller spécial pour l'Afrique
PNUD	Programme des Nations Unies pour le développement
PNUE	Programme des Nations Unies pour l'environnement
SIPS	Stratégie internationale de prévention des catastrophes
TPIR	Tribunal pénal international pour le Rwanda
TPIY	Tribunal pénal international pour l'ex-Yougoslavie
UNCC	Commission d'indemnisation des Nations Unies
UNCCD	Convention des Nations Unies sur la lutte contre la désertification

UNFICYP	Force des Nations Unies chargée du maintien de la paix à Chypre
UNICEF	Fonds des Nations Unies pour l'enfance
UNICRI	Institut interrégional de recherche des Nations Unies sur la criminalité et la justice
UNIDIR	Institut des Nations Unies pour la recherche sur le désarmement
UNJSPF	Caisse commune des pensions du personnel des Nations Unies
UNMOGIP	Groupe d'observateurs militaires des Nations Unies dans l'Inde et le Pakistan
UNODC	Office des Nations Unies contre la drogue et le crime
UNRWA	Office de secours et de travaux des Nations Unies pour les réfugiés de Palestine dans le Proche-Orient
UNSOA	Bureau d'appui de l'ONU pour la Mission de l'Union africaine en Somalie
UNU	Université des Nations Unies

I. Introduction

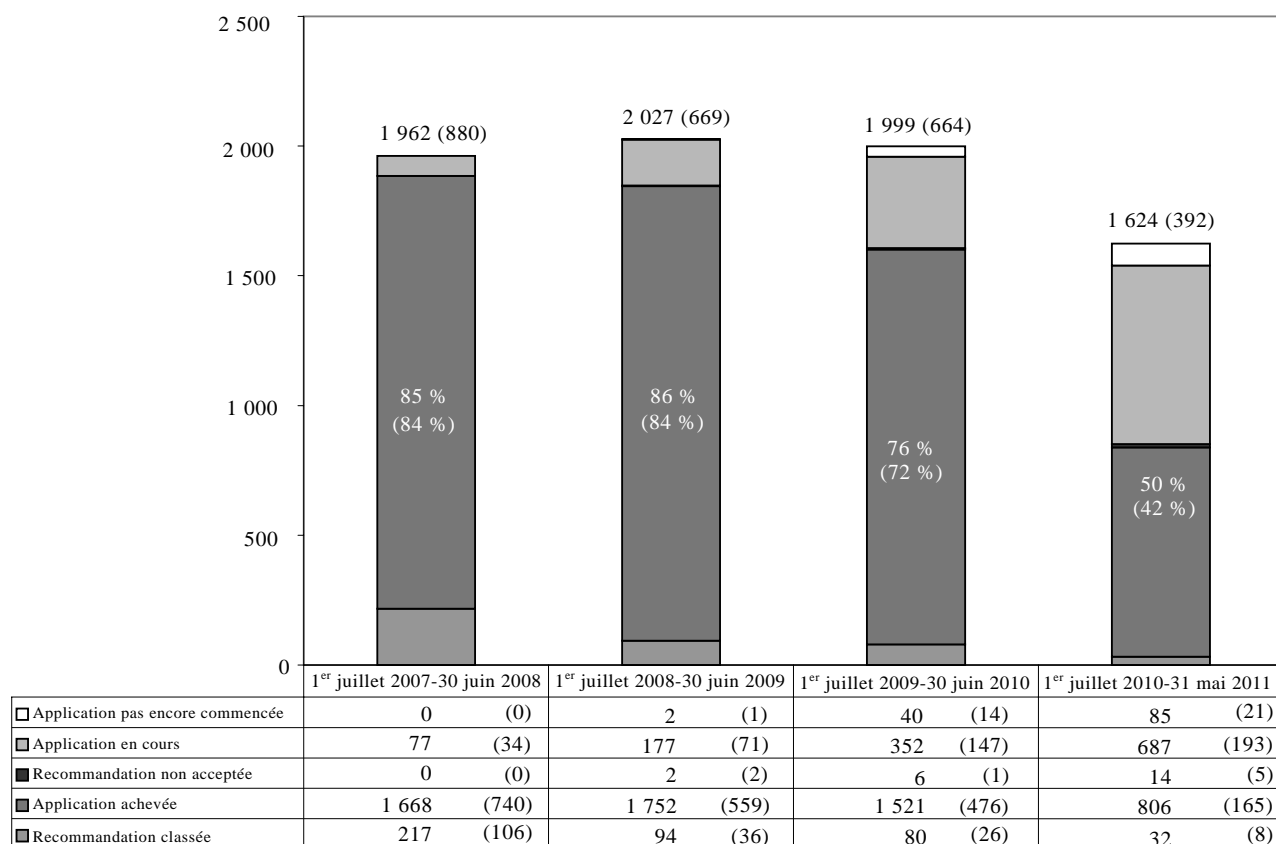
1. Le présent additif donne des renseignements sur l'état d'avancement de l'application des recommandations formulées par le Bureau des services de contrôle interne (BSCI). Le rapport comprend quatre grandes sections (II à V). La section II présente un bilan général de la mise en œuvre des recommandations. La section III donne des précisions, entité par entité, sur les recommandations restant à appliquer. La section IV dresse la liste des recommandations du Bureau ayant des incidences financières (dépenses inutiles ou excessives, pertes, et possibilités d'éviter des coûts à l'avenir). La section V donne la liste des rapports de contrôle publiés par le Bureau entre le 1^{er} juillet 2010 et le 30 juin 2011.

II. Bilan général de la mise en œuvre des recommandations

2. Au cours de la période du 1^{er} juillet 2010 au 31 mai 2011, le Bureau a formulé 1 624 recommandations à l'intention d'entités du système des Nations Unies¹, dont 392 (24 %) étaient jugées d'importance critique. Au 30 juin 2011, les directeurs de programme avaient appliqué 806 recommandations (50 %) du total de celles formulées entre le 1^{er} juillet 2010 et le 31 mai 2011, dont 165 (42 %) étaient des recommandations d'importance critique formulées pendant la même période. La figure ci-après indique la répartition par période des recommandations faites entre le 1^{er} juillet 2007 et le 30 juin 2011, ainsi que le pourcentage de recommandations appliquées, par période également.

¹ La période considérée va du 1^{er} juillet 2010 au 30 juin 2011 mais, en raison des délais impartis, les statistiques ne portent que sur les recommandations formulées jusqu'au 31 mai 2011 et leur état d'application au 30 juin 2011.

Répartition des recommandations formulées entre le 1^{er} juillet 2007 et le 31 mai 2011 suivant l'état d'avancement de leur application au 30 juin 2011, et taux d'application^a



^a Les chiffres concernant les recommandations d'importance critique sont donnés entre parenthèses, avec le taux d'application. Une recommandation peut être classée sans avoir été appliquée soit parce qu'elle est devenue caduque, soit parce que l'administration accepte d'être responsable du risque résiduel découlant du fait que la recommandation n'a pas été appliquée.

III. Analyse des recommandations n'ayant pas encore été appliquées

3. Le tableau 1 montre pour chaque département ou bureau des Nations Unies l'état d'application des 1 461 recommandations qui n'avaient pas été entièrement appliquées au 30 juin 2011, dont 320 (22 %) en retard (n'avaient pas été appliquées dans les délais impartis), et 785 (54 %) pour lesquelles il restait encore du temps jusqu'à la date visée. Les 356 autres recommandations (soit 24 % de l'ensemble) n'étaient pas assorties de délais.

4. Les chiffres concernant les recommandations qui n'avaient pas été entièrement appliquées ont été communiqués aux départements et bureaux en cause, pour qu'ils aient la possibilité d'actualiser l'état d'avancement de leur application aux fins du présent additif.

Tableau 1
**Ventilation des recommandations formulées jusqu'au 31 mai 2011
 qui n'avaient pas été entièrement appliquées au 30 juin 2011**

	Toutes recommandations non appliquées		Ventilation selon l'état d'application						Ventilation selon le délai imparti					
			D'importance critique			D'importance non critique			D'importance critique			D'importance non critique		
	D'importance critique	D'importance non critique	Application non commencée	En cours	Non acceptée ^a	Application non commencée	En cours	Non acceptée ^a	Délai non écoulé	En retard	Pas de délai	Délai non écoulé	En retard	Pas de délai
BAJ	–	3	–	–	–	–	3	–	–	–	–	–	–	3
BCAH	14	17	–	14	–	–	17	–	13	1	–	16	1	–
BINUB	3	3	–	3	–	–	3	–	2	–	1	1	–	2
Bureau de la déontologie	–	2	–	–	–	–	2	–	–	–	–	2	–	–
Bureau des affaires spatiales	4	3	–	4	–	1	2	–	4	–	–	3	–	–
Bureau des TIC	5	7	–	5	–	–	7	–	3	–	2	5	1	1
CCNUCC	13	17	–	13	–	–	17	–	13	–	–	17	–	–
CEA	5	9	–	5	–	–	9	–	3	2	–	8	1	–
CEE	1	3	–	1	–	–	3	–	–	1	–	3	–	–
CEPALC	2	9	–	2	–	–	9	–	1	–	1	9	–	–
CESAO	–	3	–	–	–	–	3	–	–	–	–	1	–	2
CESAP	2	5	–	2	–	–	5	–	2	–	–	5	–	–
CNUCED	8	18	–	8	–	–	18	–	7	1	–	18	–	–
DAES ^b	7	37	5	2	–	25	12	–	–	2	5	12	–	25
DAM	10	19	–	10	–	–	19	–	10	–	–	19	–	–
DAP	4	11	–	4	–	–	11	–	4	–	–	9	2	–
DG	39	83	5	32	2	4	75	4	25	8	6	49	28	6
DGACM	–	1	–	–	–	–	1	–	–	–	–	1	–	–
DI	3	9	–	3	–	–	9	–	3	–	–	9	–	–
Direction exécutive du CCT	1	–	–	1	–	–	–	–	1	–	–	–	–	–
DOMP	25	10	–	25	–	–	10	–	24	–	1	10	–	–
DSS	4	18	–	4	–	–	15	3	1	1	2	8	–	10
EC-ESA	1	–	–	1	–	–	–	–	1	–	–	–	–	–

	Toutes recommandations non appliquées		Ventilation selon l'état d'application						Ventilation selon le délai imparti					
			D'importance critique			D'importance non critique			D'importance critique			D'importance non critique		
	D'importance critique	D'importance non critique	Application non commencée	En cours	Non acceptée ^a	Application non commencée	En cours	Non acceptée ^a	Délai non écoulé	En retard	Pas de délai	Délai non écoulé	En retard	Pas de délai
EOSG	11	–	–	11	–	–	–	–	3	–	8	–	–	–
FINUL	4	15	–	4	–	–	15	–	2	1	1	15	–	–
FNUD	2	3	–	2	–	–	3	–	2	–	–	3	–	–
FNUOD	1	2	–	1	–	–	2	–	–	1	–	–	2	–
HCDH	11	12	–	11	–	–	12	–	10	1	–	10	2	–
HCR	119	159	15	104	–	27	130	2	8	59	52	11	77	71
MANUA ^c	3	16	–	2	1	–	16	–	3	–	–	16	–	–
MANUI ^d	11	21	1	7	3	–	17	4	3	3	5	6	4	11
MINUAD	28	41	3	24	1	5	36	–	5	9	14	15	5	21
MINUL	17	38	–	17	–	6	32	–	3	3	11	11	11	16
MINUS	23	55	5	18	–	5	50	–	10	3	10	43	2	10
MINUSTAH	11	20	–	10	1	–	20	–	7	1	3	16	–	4
MINUT	7	11	–	7	–	–	11	–	7	–	–	11	–	–
MONUC/MONUSCO	8	17	–	8	–	–	17	–	8	–	–	16	1	–
Office des Nations Unies contre la drogue et le crime	6	13	–	6	–	–	13	–	2	3	1	7	2	4
OHRLLS	1	4	–	1	–	–	4	–	1	–	–	4	–	–
ONUCI	9	15	–	9	–	7	8	–	8	–	1	10	1	4
ONUG	1	5	–	1	–	–	5	–	–	1	–	5	–	–
ONUN	12	36	–	12	–	–	36	–	9	1	2	26	6	4
ONUV	–	1	–	–	–	–	1	–	–	–	–	–	–	1
OSAA	3	11	–	3	–	–	11	–	–	–	3	–	1	10
PNUD	–	2	–	–	–	–	2	–	–	–	–	2	–	–
PNUE	7	27	–	7	–	–	26	1	2	5	–	15	9	3
PNUE-EMG	1	–	–	1	–	–	–	–	–	–	1	–	–	–
SIPS	3	2	–	3	–	–	2	–	3	–	–	2	–	–
TPIR	4	9	–	4	–	–	9	–	4	–	–	9	–	–
TPIY	4	11	–	4	–	1	10	–	3	1	–	8	2	1

	Toutes recommandations non appliquées		Ventilation selon l'état d'application						Ventilation selon le délai imparti					
			D'importance critique			D'importance non critique			D'importance critique			D'importance non critique		
	D'importance critique	D'importance non critique	Application non commencée	En cours	Non acceptée ^a	Application non commencée	En cours	Non acceptée ^a	Délai non écoulé	En retard	Pas de délai	Délai non écoulé	En retard	Pas de délai
UNCCD	4	13	–	4	–	–	13	–	3	–	1	8	3	2
UNFICYP	1	1	–	1	–	–	1	–	–	1	–	–	1	–
UNICRI	5	3	–	5	–	–	3	–	–	–	5	–	–	3
UNIDIR	–	6	–	–	–	–	6	–	–	–	–	6	–	–
UNJSPF	3	35	–	3	–	–	5	29	1	–	3	–	7	27
UNJSPF-Division de la gestion des investissements	7	24	2	5	–	–	5	19	–	4	3	–	15	7
UNMOGIP	2	3	–	2	–	–	3	–	2	–	–	–	2	1
UNRWA	4	4	–	4	–	–	4	–	3	–	1	4	–	–
UNSOA	1	–	–	1	–	–	–	–	1	–	–	–	–	–
UNU	4	1	–	4	–	–	1	–	4	–	–	1	–	–
Total	505	956	36	461	8	91	850	15	248	120	137	537	200	219

^a Les recommandations « non acceptées » comprennent celles qui ont été rejetées ou n'ont pas suscité de réaction.

^b Les recommandations non appliquées comprennent deux recommandations d'importance critique formulées en mai 2011.

^c Les recommandations non appliquées comprennent sept recommandations d'importance non critique formulées en mai 2011.

^d Les recommandations non appliquées comprennent trois recommandations d'importance critique et sept recommandations d'importance non critique formulées en mai 2011.

IV. Incidences financières des recommandations du Bureau des services de contrôle interne

5. On trouvera récapitulées au tableau 2 les recommandations du BSCI ayant des incidences financières. Le Bureau a simplifié comme suit le classement des incidences financières découlant des résultats des contrôles :

a) Dépenses inutiles ou excessives, ou pertes causées par les erreurs de gestion, les fraudes, les gaspillages, les vols, etc.;

b) Possibilités d'éviter des coûts à l'avenir, grâce à des procédés améliorés signalés par le BSCI.

6. Durant la période considérée, le BSCI a recensé des dépenses inutiles ou excessives et des possibilités d'éviter des coûts à l'avenir représentant au total 19,7 millions de dollars. Les sommes recouvrées du fait des résultats des contrôles se montent à 2,6 millions de dollars.

Tableau 2

Incidences financières de recommandations du BSCI pour la période du 1^{er} juillet 2010 au 30 juin 2011 Dépenses inutiles ou excessives, ou pertes

(En dollars des États-Unis)

<i>Entité</i>	<i>Numéro de référence</i>	<i>Description</i>	<i>Montant estimatif</i>	<i>Montant recouvré</i>
DAM	0491/09	Faute possible d'un membre du personnel de la MINUAD	580	
DAP	0247/10	Faute possible d'un membre du personnel du BONUCA – détournement de fonds	–	3 262
MANUI	AP2010/812/01	Coûts de services aériens fournis à des membres du personnel de la MANUI et d'autres entités qui n'y avaient pas droit	247 570	241 312
MINUAD	AP2009/634/07	Montant excessif versé à un fournisseur pour la consommation de carburant	2 376 409	2 376 409
MINUS	AP2010/632/08	Erreur portant sur les indemnités dues au personnel	796 000	–
PNUD	0246/10	Faute possible d'un membre du personnel du BONUCA – fraude aux droits à prestations et détournement de fonds	978	–
PNUE	0469/08	Faute possible d'un membre du personnel du PNUE – fraude aux droits à prestation et utilisation d'actifs à des fins illicites	5 325	–
Total			3 426 862	2 620 983

Possibilités d'éviter des coûts à l'avenir

(En dollars des États-Unis)

<i>Entité</i>	<i>Numéro de référence</i>	<i>Description</i>	<i>Montant estimatif</i>
FINUL	AP2010/672/01	Droits aux versements forfaitaires	33 733
MINUAD	AP2010/634/06	Opérations de transit	4 000 000
MINUAD	AP2009/634/09	Gestion du matériel appartenant aux contingents	10 123 573
MINUAD	AP2009/634/07	Approvisionnement en carburant	2 000 000
ONUCI	AP2009/640/06	Gestion des marchés	48 000
UNMOGIP	AP2010/600/02	Approvisionnement en électricité	30 000
UNMOGIP	AP2010/600/02	Versement des primes d'affectation et indemnités de poste	50 000
Total			16 285 306

V. Rapports publiés au cours de la période considérée

7. Pendant la période de 12 mois allant du 1^{er} juillet 2010 au 30 juin 2011, le BSCI a remis 323 rapports de contrôle aux directeurs de programme, dont 7 rapports à l'Assemblée générale et 65 rapports de clôture. Le sujet de ces rapports est indiqué dans le tableau 3.

8. Comme prévu à l'alinéa c) du paragraphe 1 de la résolution 59/272 de l'Assemblée générale, les États Membres peuvent se faire communiquer les rapports du BSCI. À compter de janvier 2012, le Bureau compte rendre tous les rapports publics en les affichant sur son site; en attendant, les titres de tous les rapports du Bureau sont toujours énumérés à l'adresse <http://www.un.org/Depts/oios>).

Tableau 3

Rapports publiés pendant la période du 1^{er} juillet 2010 au 30 juin 2011**A. Rapports établis à l'intention de l'Assemblée générale**

<i>Cote</i>	<i>Date</i>	<i>Sujet</i>
A/65/266	09/08/2010	Évaluation thématique de la transversalisation de la problématique hommes-femmes au Secrétariat de l'Organisation des Nations Unies
A/65/752	23/02/2011	Évaluation de l'exécution du programme de la Mission des Nations Unies au Soudan et des résultats obtenus – Bien que l'objectif initial de l'Accord de paix global – faire de l'unité une option attrayante – n'ait pas été atteint, l'appui de la MINUS à l'application de l'Accord a permis de maintenir le cessez-le-feu.
A/65/762	28/02/2011	Évaluation thématique de la coopération entre le Département des opérations de maintien de la paix, le Département de l'appui aux missions et les organisations régionales – La coopération avec les organisations régionales aux fins du maintien de la paix a permis à l'Organisation d'étoffer globalement sa capacité et d'assurer la viabilité des opérations en la matière, et ce, bien que les modalités de fonctionnement de l'ONU et de ses partenaires, fort différentes, aient suscité de nombreuses difficultés au niveau opérationnel.
A/65/765	1/3/2011	Rapport préliminaire sur l'exécution du projet pilote visé par l'Assemblée générale dans sa résolution 63/287
E/AC.51/2011/3	15/03/2011	Examen triennal de l'application des recommandations formulées par le Comité du programme et de la coordination à sa quarante-huitième session sur l'évaluation approfondie du programme Affaires politiques : missions politiques spéciales sur le terrain dirigées par le Département des affaires politiques mais financées par le Département de l'appui aux missions
A/66/71	28/03/2011	Renforcement du rôle de l'évaluation et meilleure application des conclusions des évaluations lors de la conception et de l'exécution des programmes et dans les directives de politique générale
E/AC.51/2011/2	29/03/2011	Évaluation programmatique du Département des affaires économiques et sociales

B. Reports to programme managers

<i>Entity</i>	<i>Assignment number</i>	<i>Subject</i>
CTED	AP2010/570/01	Audit of the Counter-Terrorism Committee Executive Directorate. Counter-Terrorism Committee Executive Directorate's internal controls were generally in place and implemented as intended
DESA	AN2009/540/03	Audit of the management by the Department of Economic and Social Affairs of the advisory services and operational support for the development and management of human resources and capacity-building for the international cooperation project office in Rome. A workflow analysis of the identification and selection process for the recruitment of Associate Experts and Junior Professional Officers could significantly reduce the recruitment time
	AN2010/512/01	Audit of the governance and monitoring of the delegation of authority for the administration of the Associate Experts/Junior Professional Officers Programme. The delegation of authority granted to the Department of Economic and Social Affairs for the appointment of Associate Experts and Junior Professional Officers under the 200 series of staff rules had not been updated since 1979
	IED-11-002	Report of OIOS on the evaluation of the Division for Public Administration and Development Management
	IED-11-003	Report of OIOS on the evaluation of the Office of Economic and Social Council Support and Coordination
	IED-11-004	Report of OIOS on the United Nations Statistics Division
	IED-11-005	Report of OIOS on the evaluation of the Population Division
	IED-11-006	Report of OIOS on the evaluation of the Financing for Development Office
	IED-11-007	Report of OIOS on the United Nations Forum on Forests secretariat
	IED-11-008	Report of OIOS on the evaluation of the Division for Sustainable Development
	IED-11-009	Report of OIOS on the evaluation of the Development Policy and Analysis Division
	IED-11-010	Report of OIOS on the evaluation of the Division for Social Policy and Development
	IED-11-011	Report of OIOS on the evaluation of the Office of the Special Adviser on Gender Issues and Advancement of Women and the Division for the Advancement of Women
DFS	0936/06	Contingent report on the death of a peacekeeper in UNMIL
	0329/07	Contingent report on sexual exploitation and abuse by peacekeepers at the former MONUC

<i>Entity</i>	<i>Assignment number</i>	<i>Subject</i>
	0358/07, 0186/08	Contingent report on sexual abuse of a minor by a peacekeeper at the former MONUC
	0596/07	Investigation report on conflict of interest and irregular recruitment process by a staff member at UNOCI
	0439/08	Contingent report on sexual exploitation and abuse by peacekeepers in the former MONUC
	0553/08	Investigation report on sexual exploitation and abuse by a military observer in UNOCI
	0597/08	Investigation report on reported sexual exploitation and abuse implicating a peacekeeper in the former MONUC
	0613/08	Investigation report on misuse of information and communications technology resources by a staff member at UNMIL
	0028/09	Contingent report on attempted sexual abuse by a peacekeeper at the former MONUC
	0032/09	Investigation report on sexual exploitation by a military observer at the former MONUC
	0210/09	Investigation report on procurement fraud by a staff member at MINURSO
	0404/09	Investigation report on sexual exploitation and abuse by a formed police unit officer at the former MONUC
	0410/09	Contingent report on sexual abuse by peacekeepers at the former MONUC
	0470/09	Contingent report on sexual abuse by peacekeepers in UNMIL
	0491/09	Investigation report of fraud by a staff member in UNAMID
	0497/09	Investigation report on sexual abuse implicating a United Nations Volunteer at the former MONUC
	0572/09	Investigation report on fraud by a staff member at UNOCI
	0588/09	Contingent report on sexual exploitation and abuse by peacekeepers in UNOCI
	0016/10	Contingent report on the sexual exploitation and abuse of a minor by a peacekeeper at UNMIL [corrigendum issued on 27 August 2010]
	0033/10	Contingent report on procurement irregularities by a peacekeeper at UNFICYP
	0110/10, 0113/10	Contingent report on sexual exploitation and abuse by a peacekeeper at the former MONUC
	0126/10	Investigation report on sexual exploitation and misuse of office by a staff member at UNMIL
	0149/10	Investigation report on fuel fraud in the former MONUC

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	0158/10	Contingent report on sexual exploitation and abuse implicating peacekeepers in the former MONUC
	0173/10	Investigation report on procurement fraud by a staff member at MINURSO
	0174/10	Investigation report on inappropriate conduct by a staff member at MINURSO
	0177/10	Investigation report on sexual exploitation and abuse by a United Nations police officer at MINUSTAH
	0182/10	Contingent report on the sexual abuse of a minor by a peacekeeper formerly deployed with UNOCI
	0216/10	Contingent report on fraud by peacekeepers at UNOCI
	0219/10	Investigation report on sexual exploitation and abuse by a United Nations police officer at the former MONUC
	0246/10	Investigation report on fraud, misappropriation of funds and other irregularities by a staff member at the former BONUCA
	0247/10	Investigation report on misappropriation of funds and other irregularities by a staff member at BONUCA
	0255/10	Investigation report on a homicide allegedly committed by a staff member at MINUSTAH
	0269/10	Contingent report on sexual exploitation by a military observer at MONUSCO
	0270/10	Contingent report on sexual exploitation by a peacekeeper at MONUSCO
	0296/10	Investigation report on possible misconduct by a United Nations police officer in UNMIS
	0300/10	Investigation report on fraud by a staff member in UNMIS
	0313/10, 0317/10	Contingent report on attempted fraud by peacekeepers at UNOCI
	0314/10, 0316/10	Contingent report on claims of fraud by peacekeepers at UNOCI
	0337/10	Contingent report on sexual abuse by a peacekeeper in MONUSCO
	0385/10	Contingent report on the accidental discharge of a rocket by a peacekeeper at UNIFIL
	0421/10	Investigation report on attempted entitlement fraud by a staff member in UNAMID
	0505/10	Contingent report on misconduct by peacekeepers at UNOCI
	0007/11	Contingent report on sexual assault implicating a peacekeeper in UNMIS
	0014/11	Contingent report on sexual exploitation and fuel fraud by peacekeepers at MINUSTAH
	0102/11	Contingent report on the sexual abuse of a minor by a peacekeeper at

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		UNMIL
	0239/11	Investigation report on possible misconduct by a United Nations police officer in UNMIS
	0290/10	Investigation report on possible misconduct by a staff member at UNMIS
	AP2010/615/05	Audit of the planning and budget formulation procedures and processes of the support account in the Department of Peacekeeping Operations and the Department of Field Support
	AT2009/800/03	Audit of the services provided by the United Nations International Computing Centre to the United Nations Secretariat. The Department of Field Support should implement additional controls to ensure that the performance and charges of the information and communications technology services provided by the International Computing Centre are adequately monitored, measured and accounted for
	AT2010/615/01	Strategic planning for information and communications technology requirements in the Information and Communications Technology Division/Department of Field Support. Additional controls, including better coordination between the Information and Communications Technology Division and the Office of Information and Communications Technology, are needed to ensure that the Department of Field Support achieves its strategic objectives for information management
DGACM	AH2009/550/01	Audit of the support provided to the Office of the President of the General Assembly. Current arrangements for support for the Office of the President of the General Assembly need to be clarified, including the source of funding
DM	0310/09	Investigation report on misuse of office by a staff member of UNCTAD
	0344/10	Investigation report on sexual exploitation and abuse by staff members of a United Nations vendor at MONUSCO
	0456/10	Investigation report on mismanagement and procurement irregularities by a former staff member at UNICRI
	0268/11	Investigation report on false representation and unauthorized operation of an aircraft by a United Nations vendor
	AC2009/514/05	Security provisions applied to staff, site and assets during the execution of the capital master plan. There is a need for the Department of Safety and Security to finalize its policy and procedures for allowing contractor personnel access to United Nations premises, and ensure that they are applied consistently
	AC2010/514/01	Safety provisions for United Nations staff and contractors, including asbestos removal, applied during the execution of the capital master plan. The safety provisions for construction and asbestos abatement for the capital master plan have generally been appropriately organized and applied

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	AG2010/510/01	Management review of the Advisory Committee on Administrative and Budgetary Questions secretariat
	AG2010/510/03	Audit of human resources management in the Office of the Enterprise Resource Planning Project Director. Serious breaches of human resources, financial and procurement regulations, rules and procedures posed reputation risk for the project
	AH2010/512/01	Audit of the recruitment process for senior-level positions in the United Nations Secretariat. The Office of Human Resources Management should enhance its monitoring role to ensure compliance with recruitment procedures and to identify areas for improving the timeliness of filling senior-level positions
	AT2009/510/02	Audit of the enterprise resource planning project at the United Nations Secretariat. Additional controls are needed to ensure that the enterprise resource planning project is not adversely affected by unmitigated risks relating to the governance structure, project management, system integration and fund monitoring
	AT2010/512/01	The “Inspira” talent management project at the United Nations Secretariat. To fully realize the benefits of the “Inspira” project, internal controls in project management need to be strengthened
	IED-10-002	Inspection of programme-level monitoring and evaluation of the Department of Management
	IED-10-009	Report of OIOS on the Office of Programme Planning, Budget and Accounts. The Office of Programme Planning, Budget and Accounts is focused on its mandate and performing well operationally; however, client communication is unsatisfactory, and the budget process challenges its overall effectiveness
	IED-10-011	Report of OIOS on the Office of the Under-Secretary-General, Department of Management. Greater effort in proactive leadership by the Office of the Under-Secretary-General, Department of Management, is needed if reform of United Nations management practices, processes and procedures is to be successful
DPA	AP2010/600/04	Audit of BINUB. Internal controls were generally in place and operating effectively, but controls in certain areas need to be strengthened
DPI	AN2010/580/01	Audit of the support services provided to the public information programme by the Department of Public Information Executive Office. The lack of a clear client support strategy resulted in a diminished role for the Department of Public Information Executive Office and widened the expectation gap with the substantive divisions
DPKO	AP2009/600/03	Audit of integrated operational teams in the Department of Peacekeeping Operations. The effectiveness of these teams should be improved by deploying their resources flexibly during periods of lower tempo and strengthening their links with specialist units

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	AP2010/560/01	Audit of the Security Council Affairs Division in the Department of Political Affairs. Internal controls were generally in place. Further efficiency could be gained by improving the procedures for (a) documenting Security Council practices, (b) selecting candidates to serve on the Panels of Experts, (c) recruiting experts and (d) organizing meetings of the subsidiary bodies of the Security Council
DPKO/DFS	IED-10-001	Inspection of monitoring and evaluation at the Department of Peacekeeping Operations and the Department of Field Support. Department of Peacekeeping Operations/Department of Field Support reporting on programme performance is highly reliable, but the Departments need to take targeted action to cope more effectively with complex monitoring and reporting environments
DSS	0473/08	Investigation report on misuse of information and communications technology resources by a staff member in the Department of Safety and Security
	0390/10, 0496/09	Investigation report on misconduct by a staff member of the Department of Safety and Security
	0175/10, 0268/10	Investigation report on abusive use of force by a security officer at the United Nations Office at Geneva
	IED-11-001	Inspection of programme-level monitoring and evaluation of the Department of Safety and Security. While the Department of Safety and Security contains the building blocks of a monitoring and evaluation system, with no evaluation unit, no resources devoted, and only a draft evaluation policy, the Department of Safety and Security has yet to institutionalize the evaluation function
ECA	AN2010/710/02	Audit of financial management in ECA. ECA generally complied with the Financial Regulations and Rules of the United Nations
	AN2010/710/03	Audit of the ECA subregional office in Lusaka. ECA needs to further engage its Subregional Office for Southern Africa in the implementation of its programme of work to achieve synergy and complementarity in programme delivery within the subregion
ECLAC	AN2010/730/01	Comprehensive audit of ECLAC. The overall effectiveness of ECLAC in the region needs to be strengthened by establishing the necessary capacity for executive direction and management, and by more active participation in the emergency response coordination mechanism
EOSG	0009/10, 0401/10, 0402/10, 0403/10	Investigation reports on possible retaliation by a staff member at UNCTAD
EOSG/DM	IED-COM-10-002	Programme performance documentation status. Key Integrated Monitoring and Documentation Information System records as of 16 August 2010
	IED-COM-11-001	Programme performance documentation status. Key Integrated Monitoring and Documentation Information System records as of 1 February 2011

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Ethics Office	AH2010/515/01	Audit of the management of the Financial Disclosure Programme, including information security aspects, by the Ethics Office. The Financial Disclosure Programme is adequately supported by senior management, but key aspects need to be further developed for the programme to be fully effective
International Computing Centre	AT2009/800/03	Audit of data processing services provided by the International Computing Centre to the United Nations Secretariat. The Office of Information and Communications Technology needs to simplify the contractual arrangements with the International Computing Centre and improve its financial monitoring of projects executed by the Centre
International Criminal Tribunal for Rwanda	0220/10	Investigation report on misconduct by a staff member at the International Criminal Tribunal for Rwanda
	AA2010/260/01	Audit of the completion strategy and downsizing in the International Criminal Tribunal for Rwanda. Some aspects of the downsizing criteria need to be clarified and consistently applied
	AT2010/260/01	Audit of information and communications technology governance and security management in the International Criminal Tribunal for Rwanda. The International Criminal Tribunal for Rwanda should implement additional controls to improve the delivery of services and the security of information and assets
International Tribunal for the Former Yugoslavia	AA2010/270/01	Audit of the management of leased cells and services for the Detention Unit of the International Tribunal for the Former Yugoslavia. The agreement for the lease of detention facilities and service needs to be reviewed by the Headquarters and local committees on contracts, and expenditures for external medical services need to be adequately documented
	AA2010/270/04	Audit of the implementation of the downsizing programme in the International Tribunal for the Former Yugoslavia. Although agreed criteria have been established for downsizing, staffing cuts were not implemented as planned owing to the variability of the trial schedule
MINURCAT	AP2010/636/01	Audit of safety and security in MINURCAT
	AP2010/636/03	Audit of air operations and operational safety in MINURCAT. Owing to operational constraints, as the Mission draws down and goes into liquidation, there is a need to reassess its air operational capability to ensure the provision of safe and reliable air services
	AP2010/636/06	Audit of contingent-owned equipment in MINURCAT. Management oversight of contingent-owned equipment was not adequate
	AP2010/636/07	Audit of outstanding purchase orders in MINURCAT. There were no reliable records of outstanding purchase orders
	AP2010/636/08	Audit of liquidation planning in MINURCAT. Progress was made in the drawdown and liquidation planning of MINURCAT, but a number of actions have yet to be completed

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	AP2010/636/09	Audit of the disposal of assets during MINURCAT liquidation. Asset reconciliation and movement remain incomplete as at the close of the Mission
	AP2010/636/10	Audit of financial aspects of MINURCAT liquidation. Delays were noted in initiating critical tasks in the financial liquidation process
	AP2010/636/11	Audit of the trust fund for the support of the activities of MINURCAT. While test checks of transactions showed that the expenditures incurred were for activities pertaining to the trust fund, there were significant internal control weaknesses, including non-compliance with regulations, rules and donor agreements
MINURSO	AP2010/600/01	Audit of MINURSO. While internal controls were generally in place, improvements in both substantive and administrative areas of operations are needed
MINUSTAH	AP2010/510/01	Audit of special measures authorized for crisis response in Haiti. Overall, the special measures were effectively managed to meet the operational requirements of MINUSTAH
	AP2010/683/01	Audit of staff safety and security in MINUSTAH
	AP2010/683/06	Audit of the implementation of the special measures authorized for crisis response in Haiti. In general, internal controls were in place and implemented as intended
	AP2010/683/07	Audit of the public information function in MINUSTAH. In developing its public information strategy, MINUSTAH needs to ensure that adequate monitoring and evaluation mechanisms are in place
	AP2010/683/08	Audit of property management in MINUSTAH. A strategy is needed to ensure that non-expendable and expendable property records are up to date, accurate and complete
	AT2009/683/01	Audit of information and communications technology governance and security management in MINUSTAH. Additional controls must be implemented to ensure adequate support for business continuity and disaster recovery, and to mitigate risks to information security
MONUSCO	AP2009/620/04	Audit of the recruitment of national staff in MONUSCO. Owing to the lack of documentation to support the recruitment of national staff, OIOS was unable to establish whether the process was fair and transparent
	AP2009/620/06	Audit of vacancy management in MONUSCO. The overall vacancy rate of the Mission improved, but the vacancy rate for key positions remained high and required closer monitoring
	AP2010/620/01	Audit of movement control operations in MONUSCO. While Movement Control has developed procedures to manage its operations, it needs to enhance the cost-effectiveness of personnel and cargo movements and safety measures at MONUSCO air terminals

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	AP2010/620/02	Audit of the Conduct and Discipline Unit in MONUSCO. MONUSCO made commendable efforts to improve conduct and discipline activities. Weaknesses remain in the areas of staff outreach and training, investigative capacity and the reporting of cases handled by the military
	AP2010/620/03	Audit of contract management in MONUSCO. Internal controls over the contract management process were not always effective in ensuring that the terms and conditions of contracts are complied with, contract extensions are done in a timely manner, and the interests of the United Nations are adequately safeguarded
	AP2010/620/04	Audit of aviation safety in MONUSCO. The aviation safety measures implemented by the Mission need to be improved to further mitigate air safety risks
	AP2010/620/07	Audit of non-expendable property in MONUSCO. Physical verification of non-expendable property in MONUSCO was conducted regularly, but the Mission did not follow up and resolve discrepancies in a timely manner
	AP2010/620/08	Audit of within-mission travel in MONUSCO. Internal controls were generally in place and implemented effectively. Weakness remained in monitoring expenditures against budget provisions, planning for trips undertaken by truck drivers and the review of claims of staff on temporary assignment
	AP2010/620/09	Audit of the vendor payment process in MONUSCO. Monitoring controls over the vendor payment process should be strengthened to mitigate risks of financial loss
OCHA	AN2010/590/02	Audit of the policy and guidance management within the Office for the Coordination of Humanitarian Affairs. Although significant progress has been made, further attention is needed to consolidate support for policy and guidance management, including for policy setting and prioritization, as well as implementation and staff training
	AT2010/590/01	Information and communications technology governance in the Office for the Coordination of Humanitarian Affairs. The Office for the Coordination of Humanitarian Affairs needs to further strengthen its information and communications technology governance model with additional controls to ensure the standardization of systems, security and the efficient use of resources
OHCHR	AE2010/331/02	Audit of the OHCHR Field Operations and Technical Cooperation Division. There are opportunities for the Division to improve the clarity of roles and responsibilities, the efficiency of workflow processes and the effectiveness of coordination arrangements
OIOS	0217/10	Investigation report on sexual harassment and abuse of authority by a staff member at United Nations Headquarters
OOSA	AE2010/325/01	Audit of programme management and support for the Office for Outer Space Affairs. The Office for Outer Space Affairs arrangements to support programme delivery could be improved by clarifying its status

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		within the United Nations system, ensuring that its terms of reference address all activities that it has been requested to carry out, and reviewing its organizational structure
Special Court for Sierra Leone	AA2010/290/01	Audit of the Special Court for Sierra Leone. Controls over the implementation of the downsizing and completion strategy were generally adequate, but additional measures are needed
UNAMA	AP2009/630/04	Recruitment of national staff in UNAMA. Further efforts were needed to improve the verification of academic qualifications, the evaluation of candidates and the documentation of the recruitment process
	AP2010/630/05	Audit of financial management in UNAMA. The financial controls implemented by the Mission were generally adequate, but there were opportunities for further improvement
	AP2010/630/06	Audit of the disposal of assets in UNAMA. Established internal controls over the disposal of assets were not always complied with
	AP2010/630/07	Audit of the common facilities in UNAMA. Controls need strengthening in the recording of assets and cost-sharing
UNAMI	AP2009/812/01	Audit of the electoral support programme in UNAMI. While the operational constraints and insecurity in Iraq were acknowledged, the UNAMI Electoral Assistance Office needs to improve its work planning, implement a more effective recommendation monitoring system and ensure that relevant documents are accessible
	AP2010/812/01	Audit of air operations in UNAMI. Some key controls over air operations were not complied with, resulting in increased aviation safety risk
	AP2010/812/02	Audit of the Conduct and Discipline Unit in UNAMI. Additional efforts are required to ensure compliance with United Nations policies and procedures
	AP2010/812/03	Audit of the management and administration of major contracts in UNAMI. Internal controls over the procurement of major contracts were not always in place and operating effectively
	AP2010/812/04	Audit of business continuity management in UNAMI. The Mission needs to develop a comprehensive business continuity and emergency response plan
UNAMID	AP2009/634/07	Fuel management in UNAMID. The Mission had established proper internal controls over fuel management, but these controls were not implemented as intended
	AP2009/634/09	Audit of contingent-owned equipment in UNAMID. The Mission's Contingent-Owned Equipment/Memorandum of Understanding Management Review Board was not functional, thereby impacting on the effectiveness of the contingent-owned equipment programme
	AP2009/634/12	Receiving and inspection function in UNAMID. Internal controls over the receiving and inspection function were ineffective, resulting in a backlog of 2,200 containers awaiting receiving and inspection, goods being

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		distributed and used prior to inspection, and the location of assets valued at millions of dollars not being known
	AP2010/634/01	Audit of within-mission travel in UNAMID. Cost control procedures were not implemented for within-mission travel and travel to attend Doha peace negotiations
	AP2010/634/02	Audit of air safety operations in UNAMID. Additional measures are required to ensure that air safety risks and hazards are systematically identified and action is taken to mitigate or eliminate them in a timely manner
	AP2010/634/03	Audit of air operations in UNAMID. Internal controls over air operations could be significantly improved by prioritizing the implementation of the aviation operational risk management policy, aviation quality assurance and standardization programmes
	AP2010/634/06	Audit of freight forwarding in UNAMID. Internal controls over freight forwarding were weak and not implemented as intended
	AP2010/634/08	Audit of fleet management in UNAMID. Internal controls over ground transportation assets needed to be strengthened to ensure more efficient use of resources
	AP2010/634/10	Audit of HIV/AIDS Unit operations in UNAMID. Inadequate staffing levels and ineffective reporting lines have hindered the HIV/AIDS Unit in fully implementing its mandate
	AP2010/634/11	Audit of water and environmental protection operations in UNAMID. Delays in obtaining land and drilling boreholes hindered water self-sufficiency and increased costs, and environmental risks were insufficiently mitigated
UNCC	AE2010/820/01	Audit of United Nations Compensation Commission claims payment. Although the United Nations Compensation Commission has adequate control mechanisms for the disbursement of awards, part of the Iraq oil sales remain undeposited in the oil proceeds receipts account, resulting in a revenue shortfall
UNCCD	AA2010/242/01	Audit of substantive programmes of the United Nations Convention to Combat Desertification. The United Nations Convention to Combat Desertification has adequate controls over substantive programmes, but needs to develop a resource mobilization strategy and improve reporting on its financial and staffing constraints to the Conference of Parties
UNCTAD	IED-10-010	Inspection of the programme-level monitoring and evaluation of UNCTAD
UNDEF	AN2010/524/01	Audit of the United Nations Democracy Fund. The sustainability of the United Nations Democracy Fund is critical to promoting democracy as a global value
	AN2010/545/01	Audit of the International Research and Training Institute for the Advancement of Women. The International Research and Training

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		Institute for the Advancement of Women suffers from an acute leadership vacuum, which adversely affected its ability to effectively participate in the consultations pertaining to its consolidation into the new composite gender entity and implement change management
UNDOF	AP2010/670/01	Audit of the internal governance of UNDOF. The organizational structure and reporting lines were not adequate, creating excessive demands on the Force Commander regarding the day-to-day management of the Mission
UNEP	0469/08	Investigation report on possible misconduct by staff members of UNEP
	0079/09	Investigation report on the misuse of information and communications technology resources by a staff member at UNEP
	0188/10	Investigation report on fraudulent goods supplied by a United Nations vendor
	AA2009/220/01	Audit of internal governance in UNEP. The implementation of the medium-term strategy will be accomplished through the provision of adequate resources to strengthen consultation, coordination and accountability mechanisms in UNEP
	AA2010/220/03	Audit of UNEP project delivery arrangements via partnerships. Enhancing the regulatory framework for the management of partnerships, the centralization of partner selection and the financial monitoring of projects are key to successful partnerships
UNFCCC	AA2010/241/02	Audit of the recruitment and training of staff at the United Nations Framework Convention on Climate Change secretariat. The United Nations Framework Convention on Climate Change secretariat needs to develop and implement policies and strategies on human resources and ensure that the recruitment of consultants is competitive and transparent
UNFICYP	AP2010/654/01	Audit of catering services in UNFICYP. Ineffective internal controls over the management of catering contracts increased the risk that the interests of the Mission were not properly safeguarded
UN-Habitat	0044/09	Investigation report on forgery and misrepresentation by a staff member at UN-Habitat
	0165/09	Investigation report on possible fraud by a staff member in UN-Habitat
	AA2009/250/02	Audit of internal governance in UN-Habitat. UN-Habitat has made progress in implementing the medium-term strategic and institutional plan, the finalization of strategic and operational delivery and the accomplishment of organizational goals
	AA2010/250/02	Audit of the UN-Habitat Somalia Programme. UN-Habitat operates the Somalia Programme in an unstable environment and needs to improve the assessment of donor satisfaction and compliance with security standards
	AA2010/250/03	Audit of partnership/cooperation agreements in UN-Habitat. UN-Habitat needs to improve its internal controls over the selection, monitoring and implementation of cooperation agreements with other entities

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UNHCR	AR2010/110/01	Audit of UNHCR operations in the Central African Republic. The effectiveness of programme delivery could be enhanced by improvements in the strategy for internally displaced persons, performance monitoring and staff training
	AR2010/111/01	UNHCR operations in Sierra Leone. Arrangements for planning and estimating resource requirements for downsizing operations could be improved through the introduction of liquidation guidelines to ensure that all activities are identified and that the correct level of resources is available for handling specific tasks
	AR2010/111/03	Audit of UNHCR operations in Côte d'Ivoire. Inadequate arrangements to safeguard the continuity of operations adversely affected programme delivery and activities
	AR2010/111/04	Audit of UNHCR operations in Benin. Strengthening administrative arrangements would improve the delivery of programme activities
	AR2010/131/02	Audit of UNHCR operations in Algeria. Internal controls were in place to manage the risks related to programme and project management, supply management and security, but there are opportunities to improve their effectiveness
	AR2010/131/05	Audit of UNHCR operations in Jordan: Iraqi situation. Internal control weaknesses resulted in inefficiencies and ineffectiveness in programme planning, implementation and monitoring
	AR2010/162/02	Audit of UNHCR arrangements for managing and administering staff between assignments. UNHCR needs to develop a formal strategy to address the challenge of a high and potentially increasing number of staff between assignments
	AR2010/163/01	UNHCR arrangements for managing international procurement-related activities. The operational efficiency and effectiveness of international procurement-related activities could be improved by strengthening existing arrangements
	AR2010/166/02	Audit of the Focus system. UNHCR should ensure that the implementation of the Focus system meets user requirements and is managed in a cost-effective manner
	AT2010/166/01	Audit of UNHCR information and communications technology services contracted to third parties. Not available; memorandum report
	AR2010/112/01	Controls over procurement management need improvement
	AR2010/131/03	The strengthening of strategic planning would enable the UNHCR representation in Yemen to implement its project activities more effectively
	AR2010/141/01	There is a need to strengthen arrangements for the selection, monitoring and capacity-building of implementing partners to support the policy of increased use of implementing partners and to mitigate the risk associated with the use of a large number of national implementing partners in programmes

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	AR2010/160/01	UNHCR needs to define policies and improve controls over their development and dissemination to support its decentralization of operations involving a large field-based workforce
UNICEF	0018/10	Investigation report on the possible misuse of communications facilities and equipment
UNICRI	0094/10	Investigation report on the misrepresentation of an official title by a former staff member at the United Nations Interregional Crime and Justice Research Institute
	0121/10	Investigation report on procurement irregularities and favouritism by a staff member at the United Nations Interregional Crime and Justice Research Institute
UNIDIR	AN2010/385/01	Audit of governance in UNIDIR. Despite being a United Nations entity, UNIDIR lacked governance mechanisms to ensure accountability and compliance with the Regulations and Rules of the United Nations
UNIFIL	AP2009/672/03	Audit of safety and security operations in UNIFIL
	AP2010/672/01	Audit of travel operations in UNIFIL. Internal controls over travel operations in UNIFIL were adequate
	AP2010/672/02	Audit of movement control operations in UNIFIL. Internal controls were generally in place, but not always implemented effectively; cargo was loaded on aircraft without X-ray screening, and there was a need for more efficient use of air shuttle services
	AP2010/672/03	Audit of medical services in UNIFIL. The provision of medical support services in UNIFIL was generally adequate, but there were opportunities for further improvement
	AP2010/672/05	Audit of the Conduct and Discipline Team in UNIFIL and its regional responsibilities. The Conduct and Discipline Team was unable to exercise its regional responsibilities owing to the lack of clear terms of reference
	AT2010/672/01	Audit of information and communications technology governance, security, business continuity and disaster recovery in UNIFIL. UNIFIL needs to strengthen its information and communications technology governance framework with policies and procedures for information security management and information and communications technology operations
UNJSPF	AS2009/801/02	The United Nations Joint Staff Pension Fund. Investment Management Division's contract management. The Investment Management Division needs to align its procurement strategy with its business strategy to ensure that its operational needs are fully met
	AS2010/800/02	Audit of United Nations Joint Staff Pension Fund performance management. The United Nations Joint Staff Pension Fund would benefit from tracking and reporting the actual progress of projects against the plan, developing formal project charters and plans, and performing an impact analysis upon the conclusion of each project

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	AS2010/800/03	Audit of United Nations Joint Staff Pension Fund disability benefits. The United Nations Joint Staff Pension Fund's administration of disability benefits is efficient and well controlled and promotes compliance with relevant regulations and rules, but can be enhanced by improving management reporting and data quality controls
	AS2010/801/02	Administration and project management in the United Nations Joint Staff Pension Fund's Investment Management Division Information Systems Section. The Investment Management Division needs to implement formal frameworks for project and performance management, and improve its control mechanisms for managing suppliers and monitoring service levels
UNJSPF (IMD)	AS2010/801/04	Audit of the implementation of the Charles River trade order management system in the United Nations Joint Staff Pension Fund. The implementation of Charles River has automated trade order management, but additional measures are needed to ensure adequate levels of security, support and integration
	AS2010/801/05	UNJSPF should use the Investment Management Division for the investment of all available funds and currency transactions
UNMIK	AP2010/650/01	Audit of claims relating to UNMIK premises. A policy directive is required to facilitate claims management and mitigate the risk of excessive liabilities
	AP2010/650/02	Audit of reconfiguration structures for mandate implementation and accountability in UNMIK. The mandate implementation plan should be developed in line with the reconfigured functions and linked with other planning documents and strategies
UNMIL	AP2009/626/03	Audit of supply-chain management in UNMIL. The Mission made commendable efforts in establishing a supply-chain map, but there was a need for further improvement in managing the supply chain
	AP2009/626/09	Audit of occupational safety and health in UNMIL. While the establishment of a dedicated unit for occupational safety and health in the Mission is commendable, additional measures and clarity on its roles and responsibilities are needed
	AP2009/626/14	Management of engineering assets in UNMIL. The reliability of inventory records was questionable, and stock levels of certain high-value assets were excessive
	AP2010/626/01	Audit of the procurement of local contracts in UNMIL. Established policies and procedures were not always complied with in the procurement of local contracts
	AP2010/626/02	Audit of safety and security in UNMIL
	AP2010/626/03	Audit of public information services in UNMIL. There was no mission-specific public information strategy, resulting in unclear direction and the risk of ineffective public information programmes

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	AP2010/626/04	Audit of warehouse operations in UNMIL. Internal controls over warehouse activities needed improvement. Annual physical inventories were not done, and discrepancies found during periodic stick counts were not followed up and adjusted accordingly in the records
	AT2009/626/01	Audit of information and communications technology governance and security management in UNMIL. UNMIL generally had good internal controls, but could strengthen them in the areas of information and communications technology service delivery, security of operations and governance of infrastructure
UNMIS	AP2010/632/01	Audit of medical stores and equipment in UNMIS. In the absence of compensatory controls, the non-compliance with the guidelines issued by the Department of Peacekeeping Operations/Department of Field Support for managing drugs and medical equipment created serious health and environmental risks
	AP2010/632/03	Audit of quick-impact projects in UNMIS. The Mission has improved the implementation rate of quick-impact projects over the past year; however, improved compliance with the Department of Peacekeeping Operations policy directive on quick-impact projects and the Mission's administrative instruction would ensure that the objectives of the projects were achieved in a timely manner
	AP2010/632/06	Audit of security in UNMIS
	AP2010/632/08	Audit of facilities management in UNMIS. The Mission needs to enhance its capacity to manage facilities, including establishing a preventive maintenance programme
	AP2010/632/09	The disarmament, demobilization and reintegration programme in UNMIS. Lack of clear direction and management oversight, and lack of coordination between UNMIS and UNDP, may impact the results of the disarmament, demobilization and reintegration programme in UNMIS
	AP2010/632/10	Audit of aviation safety in UNMIS. Measures were generally in place to mitigate aviation safety risks
	AP2010/632/11	Audit of inventory management in UNMIS. Non-expendable and expendable properties were not adequately monitored, controlled and safeguarded
	AP2010/632/12	Audit of business continuity and crisis management in UNMIS. The Mission had taken steps to ensure that it could respond to crisis, but was not adequately prepared to maintain continuity of critical business processes if a disruptive event should occur
	AP2010/632/13	Audit of within-mission travel in UNMIS. Procedures and guidelines on within-mission travel were adequate but not fully complied with. This, together with underbudgeting for daily subsistence allowance payable to civil staff under the human resources reform, resulted in overexpenditure of \$1.8 million for the year 2009/10

<i>Entity</i>	<i>Assignment number</i>	<i>Subject</i>
	AP2010/632/14	Audit of HIV/AIDS awareness and prevention programme in UNMIS. HIV/AIDS activities were well integrated into the Mission's programmes; however, the HIV/AIDS Unit's capacity was limited, impacting on the level of training provided
UNMIT	AP2010/682/01	Audit of medical services in UNMIT. The provision of medical support services in UNMIT was generally adequate
	AP2010/682/02	Audit of administrative management of public information services in UNMIT
	AP2010/682/03	Audit of transitional police support programme in UNMIT
UNMOGIP	AP2010/600/02	Audit of UNMOGIP. UNMOGIP had achieved its outputs as outlined in its results-based-budgeting framework; however, the restrictions imposed by the host countries have impeded the effective implementation of its mandate
UNOCI	AP2009/640/03	Audit of the requisitioning process in UNOCI. Requisitioners need to gain a better understanding of their roles and responsibilities in order to perform their functions more effectively
	AP2009/640/06	Audit of contract management in UNOCI. The mission did not have adequate procedures to ensure that contracts sufficiently protect the interests of the Organization and are complied with
	AP2010/640/01	Audit of the Conduct and Discipline Unit in UNOCI. The mission has taken some measures to prevent and handle possible misconduct; however, inadequate staffing impacted on the effectiveness of the Conduct and Discipline Team in carrying out its functions
	AP2010/640/04	Audit of UNOCI emergency preparedness leading up to and during the presidential election
	AT2010/640/01	Audit of information and communications technology governance and security management in UNOCI. Additional controls are required to ensure the effectiveness and security of information and communications technology operations
UNODC	AE2009/366/03	Audit of the United Nations Office on Drugs and Crime Regional Office for East Africa. Weaknesses in the planning and monitoring of projects and oversight arrangements increased the risks of delays in implementing programmes and projects
	AE2010/360/01	Audit of governance and funding arrangements in the United Nations Office on Drugs and Crime. Governance arrangements and funding mechanisms do not fully support the programme of work at the United Nations Office on Drugs and Crime
	AE2010/366/01	Audit of the United Nations Office on Drugs and Crime Regional Office for Mexico, Central America and the Caribbean. The United Nations Office on Drugs and Crime needs to strengthen programme and project monitoring and asset management, and clarify the organizational structure of the regional offices in Mexico and Panama

<i>Entity</i>	<i>Assignment number</i>	<i>Subject</i>
UNOG	AE2010/311/03	Audit of vendor database management in the United Nations Office at Geneva. Efforts are needed to ensure compliance with the provisions of the Procurement Manual in respect of provisionally registered vendors
	IED-10-007	Report of OIOS on the United Nations Office at Geneva. The United Nations Office at Geneva is striving to improve service delivery, but client satisfaction with many services remains low
UNON	0244/10	Investigation report on the unauthorized use of the assets of the Organization by a staff member at the United Nations Office at Nairobi
	AA2009/211/02	Audit of procurement activities at the United Nations Office at Nairobi. There is a need to review and clarify the delegation of authority with respect to procurement on behalf of UNEP and UN-Habitat and improve adherence to procurement rules and procedures
	AA2010/210/01	Audit of internal governance in the United Nations Office at Nairobi. There are opportunities for the United Nations Office at Nairobi to improve its internal governance processes to more effectively achieve its goals and objectives
	AT2010/211/01	Audit of information and communications technology governance and security management in the United Nations Office at Nairobi. Although the United Nations Office at Nairobi has established a number of good practices for managing its information and communications technology resources, improvements are required in order to strengthen its control mechanisms, with particular attention to governance and information security
UNOV	IED-10-008	Report of OIOS on the United Nations Office at Vienna. Most clients are satisfied overall with the services provided by the United Nations Office at Vienna, but the Office faces risks to its continued effectiveness
UNRCCA	0125/11	Investigation report on the criminal conviction of a staff member assigned to the United Nations Regional Centre for Preventive Diplomacy for Central Asia
UNSOA	AP2010/638/03	Audit of UNSOA logistical support for AMISOM on food rations. Considering the logistical constraints, rations were being delivered in a timely manner and in the quantities requested. However, additional equipment and facilities for the storage and preparation of rations were necessary
	AP2010/638/05	Audit of UNSOA. Vacancy rates were initially high owing to unfavourable conditions of service for staff serving in UNSOA, and there were delays in the recruitment process owing to a lack of experienced staff
	AP2010/638/07	Audit of UNSOA remote management of activities in Mogadishu. UNSOA has been providing logistical support to AMISOM as mandated. However, there was a need to strengthen risk management and strategic planning mechanisms, the regulatory framework and oversight mechanisms to ensure the effective provision of logistical support by UNSOA and proper accountability on the use of the support by AMISOM

<i>Entity</i>	<i>Assignment number</i>	<i>Subject</i>
UNTSO	AP2010/674/01	Audit of human resources and travel management in UNTSO. Internal controls were generally satisfactory, but the documentation of recruitment decisions needed to be strengthened

C. Closure reports²

<i>Entity</i>	<i>Assignment number</i>	<i>Subject</i>
DESA	0157/10	Conduct of a representative of a non-governmental organization
DFS	0048/05	Reported entitlement fraud by a staff member at UNMIL
	0330/05	Possible solicitation of a bribe from a vendor by a staff member at UNMIL
	0454/05	Possible procurement irregularities by a staff member at MINUSTAH
	0606/05	Procurement violations by a staff member at UNMIL
	0448/06	Possible procurement irregularities at UNMIL
	0503/06	Reported solicitation of a bribe from a vendor by a staff member at UNMIL
	0107/07	Reported mismanagement and abuse of authority implicating a staff member in UNMIS
	0226/07	Sexual exploitation by a staff member at the former MONUC
	0290/07	Sexual harassment implicating a staff member at the former MONUC
	0364/07	Unauthorized e-mail account access by staff members at UNMIL
	0413/07	Possible violations of Mission standard operating procedures on security and abuse of authority at UNMIS
	0586/07	Reported misconduct by staff members at the former MONUC
	0011/08	Reported misconduct by a staff member at UNAMI
	0345/08	Fraud by a staff member at the former MONUC
	0389/08	Procurement irregularities in UNAMI
	0511/08	Sexual abuse by a peacekeeper at the former MONUC
	0022/09, 0010/09	Misuse of telephone PIN codes by a staff member in UNMIS
	0055/09	Procurement irregularities by a staff member at the former MONUC
	0077/09	Possible procurement irregularities by a staff member at UNIFIL
	0135/09	Procurement irregularities by a staff member at UNOTIL
	0158/09	Misappropriation and transfer of United Nations funds by a staff member at the former BONUCA

² Closure reports are issued when OIOS investigations do not substantiate reported matters.

<i>Entity</i>	<i>Assignment number</i>	<i>Subject</i>
	0169/09	Unauthorized loans and donations of medical supplies by a staff member at UNMIL
	0246/09	Alleged bid-rigging in UNFICYP
	0254/09	Sexual exploitation and abuse of minors by a staff member formerly assigned to UNMIL
	0292/09	Possible misuse of United Nations assets by a staff member at MINURCAT
	0350/09, 0533/10	Sexual exploitation and abuse by a staff member at UNMIL
	0374/09	Medical claims fraud by a United Nations Volunteer at UNMIK
	0513/09	Theft by a former United Nations police officer at MINUSTAH
	0562/09	Possible bribery implicating a staff member at UNAMID
	0563/09	Misuse of information and communications technology resources by a staff member at UNAMI
	0606/09	Possible entitlement fraud by a staff member at UNMIL
	0103/10	Misuse of office by a staff member in the former BINUB
	0120/10	Possible harassment by a staff member at UNFICYP
	0207/10, 0208/10	Possible non-compliance with the Financial Regulations and Rules of the United Nations by a staff member at UNMIL
	0224/10	Missing personal effects of United Nations staff members who died during the January 2011 earthquake in Haiti
	0294/10	Possible extortion by a staff member at UNMIL
	0297/10	Bribery by a staff member at UNMIS
	0311/10	Reported misconduct by a staff member at MINURSO
	0448/10	Allegations of sexual exploitation and abuse involving a staff member in UNMIS
	0503/10	Possible procurement irregularities at MONUSCO
	0025/11	Theft of fuel by staff members at UNMIL
	0229/11	Possible misconduct by a United Nations police officer in UNMIS
	0485/08 (Parts A and B)	Reported attempted sexual exploitation and abuse of a minor by a staff member in UNMIL
DGACM	0157/08	Fraudulent activities by a staff member at United Nations Headquarters
DM	0732/05	Possible procurement irregularities at United Nations Headquarters
ECA	0926/06	Harassment and intimidation implicating a former staff member of ECA
ESCAP	0250/10	Misrepresentation by a former staff member at ESCAP

<i>Entity</i>	<i>Assignment number</i>	<i>Subject</i>
International Criminal Tribunal for Rwanda	0393/10	Sexual abuse of a minor by a staff member at the International Criminal Tribunal for Rwanda
OCHA	0342/10	Domestic violence incident and illegal alcohol consumption by a staff member at the Office for the Coordination of Humanitarian Affairs
OIOS	0312/10	Leak of information by a staff member at OIOS
UNCTAD	0351/09	Threatening letter sent to a permanent mission to the United Nations Office at Geneva
	0429/09	Death threat made against a staff member in UNCTAD
	0476/10	Anonymous letter sent to a permanent mission to the United Nations Office at Geneva
UNEP	0438/06	Recruitment irregularities by staff members at UNEP
	0438/08	Duplicate cash advance payment by a staff member at UNEP
	0469/09	Fraudulent medical claims by a staff member at UNEP
UNON	0265/07	Misappropriation of building materials by a contractor at the United Nations Office at Nairobi
	0585/08	Fraudulent payments related to inter-office voucher charges
	0054/09	Possible misconduct by a staff member at the United Nations Office at Nairobi
UNON/UNOG	0367/10	Theft of United Nations Federal Credit Union credit cards at the United Nations Office at Nairobi
UNRWA	0277/10	Reported irregular recruitment at UNRWA