ECE/TRANS/180/Add.7/Appendix 1

4 June 2008

### **GLOBAL REGISTRY**

Created on 18 November 2004, pursuant to Article 6 of the AGREEMENT CONCERNING THE ESTABLISHING OF GLOBAL TECHNICAL REGULATIONS FOR WHEELED VEHICLES, EQUIPMENT AND PARTS WHICH CAN BE FITTED AND/OR BE USED ON WHEELED VEHICLES (ECE/TRANS/132 and Corr.1) Done at Geneva on 25 June 1998

Addendum

#### **Global technical regulation No. 7**

HEAD RESTRAINTS (Established in the Global Registry on 13 March 2008)

#### Appendix

#### Proposal and report pursuant to Article 6, paragraph 6.3.7. of the Agreement

- Proposal to develop a global technical regulation concerning head restraints (TRANS/WP.29/AC.3/13)
- Final progress report of the informal working group on head restraints (ECE/TRANS/WP.29/2008/55), adopted by AC.3 at its twenty-second session (ECE/TRANS/WP.29/1066, para. 61)



UNITED NATIONS

#### PROPOSAL TO DEVELOP A GLOBAL TECHNICAL REGULATION CONCERNING HEAD RESTRAINTS

#### I. OBJECTIVE OF THE PROPOSAL

1. In the United States, between 1988 and 1996, 805,581 whiplash injuries (non-contact Abbreviated Injury Scale (AIS 1) neck) occurred annually in all crashes of passenger cars and LTVs (light trucks, multipurpose passenger vehicles and vans). 272,464 of these whiplash injuries occurred as a result of rear impacts. For rear impact crashes, the average cost of whiplash injuries in 2002 was US\$9,994 (which includes US\$6,843 in economic costs and US\$3,151 in quality of life impacts, but not property damage), resulting in a total annual cost of approximately US\$2.7 billion. Although the front outboard seat occupants sustain most of these injuries, whiplash is an issue for rear seat passengers as well. During the same time frame, an estimated 5,440 whiplash injuries were reported annually for occupants of rear outboard seating positions.

2. The objective of this proposal is to develop an improved and harmonized head restraint global technical regulation (gtr) under the 1998 Global Agreement. The work on the gtr will provide an opportunity to consider, most, if not all, international safety concerns as well as available technological developments.

3. The United States of America is currently in the process of upgrading its head restraint standard to provide more stringent requirements. In 1982, the United States of America assessed the performance of head restraints installed pursuant to the current standard and reported that integral head restraints are 17 per cent effective at reducing neck injuries in rear impacts and adjustable head restraints are only 10 per cent effective. The UNECE Regulations on head restraints are considerably more stringent than the current United States regulation, and were used as a baseline in developing the new United States of America standard.

4. In light of the United States of America regulatory upgrade effort, it is considered that this would be an excellent opportunity for the international community to develop and establish a gtr in this area. Everyone could benefit from harmonization and new technology based improvements of the head restraint regulation. The benefits to Governments would be the improved safety of the head restraints, leveraging of resources, and the harmonization of requirements. Manufacturers would benefit from reduction of the cost of development, testing and the fabrication process of new models. Finally, the consumer would benefit by having a choice of vehicles built to higher, globally recognized standards, providing a better level of safety at a lower price.

#### II. DESCRIPTION OF THE PROPOSED REGULATION

5. The scope of the gtr will specify requirements for head restraints to reduce the frequency and severity of neck injury in rear-end and other collisions. The proposed gtr will combine elements from UNECE Regulations Nos.17, 25, and newly upgraded United States of America Federal Motor Vehicle Safety Standard (FMVSS) 202. Two of the newly proposed FMVSS 202 requirements are significant and not included in any other published regulation. The first

proposes to require that the space between the head restraint and the occupant's head (backset) be limited. The second proposes a new dynamic test, as an optional means of compliance. The United States of America will prepare a table to facilitate comparison of the present standards and submit it as a formal document to the GRSP. The results of additional research and testing conducted by any Contracting Parties since the existing regulations were promulgated will also be factored into the requirements of the draft gtr and may result in the proposal of new requirements.

6. Elements of the gtr that cannot be resolved by the Working Party will be identified and dealt with in accordance with protocol established by AC.3 and WP.29. The proposed gtr will be drafted in the format adopted by WP.29 (TRANS/WP.29/882).

#### III. EXISTING REGULATIONS AND DIRECTIVES

7. The following regulations and standards will be taken into account during development of the new gtr regarding head restraints.

- UNECE Regulation No. 17 Uniform Provisions concerning the Approval of Vehicles with regard to the Seats, their Anchorages, and any Head Restraints
- UNECE Regulation No. 25 Uniform Provisions Concerning the Approval of Head Restraints (Head Rests), whether or not Incorporated in Vehicle Seats
- EU Directive 74/408, concerning interior fittings of motor vehicles
- EU Directive 96/037, adapting to technical progress Council Directive 74/408/EEC relating to the interior fittings of motor vehicles (strength of seats and of their anchorages)
- EU Directive 78/932/EEC, concerning head restraints of seats of motor vehicles
- United States of America Code of Federal Regulations (CFR) Title 49: Transportation; Part 571.202: Head Restraints
- Australian Design Rule 3/00, Seats and Seat Anchorages
- Australian Design Rule 22/00, Head Restraints
- Japan Safety Regulation for Road Vehicles Article 22 Seat
- Japan Safety Regulation for Road Vehicles Article 22-4 Head Restraints, etc.
- Canada Motor Vehicle Safety Regulation No. 202 Head Restraints
- International Voluntary Standards --SAE J211/1 revised March 1995 Instrumentation for Impact Test Part 1 Electronic

#### FINAL PROGRESS REPORT OF THE INFORMAL WORKING GROUP ON HEAD RESTRAINTS

#### I. INTRODUCTION

8. During the one-hundred-twenty-sixth session of the World Forum for Harmonization of Vehicle Regulations (WP.29) of March 2002, the Executive Committee of the 1998 Agreement (AC.3) adopted a Program of Work, which includes the development of a global technical regulation (gtr) to address neck injuries in crashes. The United States of America volunteered to lead the group's efforts and develop a document detailing the recommended requirements for the gtr. The United States of America presented an informal document (WP.29-134-12) in November 2004 proposing the work and highlighting the relevant issues to be addressed in the gtr. This proposal was adopted at the March 2005 session of WP.29 (TRANS/WP.29/AC.3/13).

9. At the November 2004 WP.29 session, the Executive Committee charged the Working Party on Passive Safety (GRSP) to form an informal group on Head Restraints (informal group) to discuss and evaluate relevant issues concerning requirements for head restraints to make recommendations regarding a potential gtr.

10. Under the guidelines governing the development of a gtr, the GRSP is to first evaluate the merits of the proposal. This evaluation should include:

- (a) An examination of the merits of the proposal in detail, outlining the pros and cons of the proposal;
- (b) Consideration of other regulations on the same subject, which are listed in the Compendium of Candidates global technical regulations;
- (c) A determination that the proposal addresses a problem of sufficient magnitude to warrant the development of a regulation;
- (d) An examination of whether the nature, extent and cause of the problem addressed by the proposal are correctly characterized;
- (e) An examination of whether the proposal provides a sufficiently effective, performance oriented approach to address the problem;
- (f) A determination that the approach identified in the proposal is appropriate to address the problem; and
- (g) A description of needed additional information.
- 11. The informal working group met to discuss the development of a gtr on head restraints on: 1-2 February 2005 in Paris, France
  - 11-13 April 2005 in Paris, France
  - 13-15 June 2005 in Washington, D.C., United States of America
  - 7-9 September 2005 in Paris, France
  - 23-26 January 2006 in Cologne, Germany
  - 19-21 April 2006 in London, United Kingdom
  - 12-14 September 2006 in Montreal, Canada
  - 7-8 December 2006 in Paris, France
  - 8-9 November 2007 in Basildon, United Kingdom
  - 10-11 December 2007 in Geneva, Switzerland.

12. The Contracting Parties represented on the informal working group were the Netherlands, France, Canada, Japan, Germany, Korea, Spain, United Kingdom of Great Britain and Northern Ireland, United States of America, and the European Commission.

13. Representatives from the European Association of Automotive Suppliers (CLEPA) and International Organization of Motor Vehicle Manufacturers (OICA) were also participants.

14. This report summarizes the main issues discussed by the informal group in evaluating the proposal to develop a draft global technical regulation on head restraints.

#### II. REQUEST TO PROCEED WITH THE DRAFTING OF A GTR

15. In December 2004, the United States of America upgraded its head restraint standard to provide more stringent requirements. In 1982, the United States of America assessed the performance of head restraints installed pursuant to the current standard and reported that integral head restraints are 17 per cent effective at reducing neck injuries in rear impacts and adjustable head restraints are only 10 per cent effective. The UNECE Regulations on head restraints were considerably more stringent than the old United States of America regulation, and were used as a baseline in developing the new upgraded United States of America head restraint regulation.

16. Due to the United States of America regulatory upgrade effort, it was believed that this would be an excellent opportunity for the international community to develop and establish a gtr in this area. It is the belief of the informal group that everyone could benefit from harmonization and new technology based improvements of head restraints. The benefits to the governments would be the improved safety of the head restraints, leveraging of resources, and the harmonization of requirements. Manufacturers would benefit from reduction of the cost of development, testing, and fabrication process of new models. Finally, the consumers would benefit by having a choice of vehicles built to higher, globally recognized standards, providing a better level of safety at a lower price.

Tasks	Dates
1st Progress Report to GRSP	May 2005
1st Progress Report to AC.3	June 2005
Development of draft gtr begins	June 2005
2nd Progress Report to GRSP	December 2005
2nd Progress Report to AC.3	March 2006
3rd Progress Report and Draft gtr to GRSP	May 2006
3rd Progress Report to AC.3	June 2006
4th Progress Report/Draft gtr to GRSP	December 2006
Formal gtr to GRSP (9 <sup>th</sup> Informal working group Meeting)	May 2007
4th Progress Report to AC.3	June 2007
Final Progress Report and formal gtr to GRSP	December 2007
Submittal of Final gtr and Final Report to AC.3	March 2008

17. The gtr was developed per the following schedule:

#### III. EVALUATION OF THE SAFETY PROBLEM

18. In the United States of America, between 1988 and 1996, 805,581 whiplash injuries (noncontact Abbreviated Injury Scale (AIS)1 neck) occurred annually in all crashes of passenger cars and LTVs (light trucks, multipurpose passenger vehicles and vans). 272,464 of these whiplash injuries occurred as a result of rear impacts. For rear impact crashes, the average cost of whiplash injuries in 2002 dollars is \$9,994 (which includes \$6,843 in economic costs and \$3,151 in quality of life impacts, but not property damage), resulting in a total annual cost of approximately \$2.7 billion. Although the front outboard seat occupants sustain most of these injuries, whiplash is an issue for rear seat passengers as well. During the same time frame, an estimated 5,440 whiplash injuries were reported annually for occupants of rear outboard seating positions. A more detailed discussion of the safety problem in the United States of America and their requirements in the upgraded FMVSS No. 202 can be reviewed in informal document No. HR-1-8 (HR-1-8).

19. In the European Community, there are over 1 million total whiplash injuries a year and the cost of these injuries in the EC is estimated to be  $\in 5$  to  $\in 10$  billion per annum and rising (Kroonenburg and Wismans, 1999). In the United Kingdom the cost of long term injuries alone has been reported as £3 billion. (UK Cost Benefit Analysis: Enhanced Geometric Requirements, EEVC Report, September 2007, http://www.eevc.org)

20. In Korea, rear end collisions account for 34 per cent of all car to car collisions and cause 31 per cent of fatalities and 37 per cent of injuries. Additionally, rear impact collisions caused 260,000 neck injuries in 2002 or 57 per cent of all neck injuries in car to car collisions.

21. In Japan, rear impacts account for 31 per cent of collisions resulting in bodily injury. Of these crashes, 91 per cent of the injuries or 309,939 are minor neck injuries. Among rear impact collisions resulting in bodily injury, 81.7 per cent of male and 88 per cent of female drivers of the impacted vehicles sustained minor neck injuries in 2004.

#### IV. REVIEW OF EXISTING INTERNATIONAL REGULATIONS

- 22. The following existing regulations, directives, and standards pertain to head restraints:
  - (a) UNECE Regulation No. 17 Uniform provisions concerning the approval of vehicles with regard to the seats, their anchorages, and any head restraints
  - (b) UNECE Regulation No. 25 Uniform provisions concerning the approval of head restraints (Head Rests), whether or not incorporated in vehicle seats
  - (c) European Union Directive 74/408/EEC (consolidated), relating to motor vehicles with regard to the seats, their anchorages and head restraints
  - (d) European Union Directive 78/932/EEC concerning head restraint of seats of motor vehicles
  - (e) United States Code of Federal Regulations (CFR) Title 49: Transportation; Part 571.202: Head Restraints
  - (f) Australian Design Rule 3/00, Seats and Seat Anchorages
  - (g) Australian Design Rule 22/00, Head Restraints
  - (h) Japan Safety Regulation for Road Vehicles Article 22 Seat

- (i) Japan Safety Regulation for Road Vehicles Article 22-4 Head Restraints, etc.
- (j) Canada Motor Vehicle Safety Regulation No. 202 Head Restraints
- (k) International Voluntary Standards -SAE J211/1 revised March 1995 Instrumentation for Impact Test – Part 1 – Electronic
- (1) Korea Safety Regulation for Road Vehicles Article 99 Head Restraints

23. Additionally, research and activities being conducted by European Enhanced Vehicle Safety Committee (EEVC) Working Group 12, EEVC Working Group 20, EuroNCAP, Japan NCAP and Korea NCAP were considered.

#### V. DISCUSSION OF ISSUES TO BE ADDRESSED BY A GTR

24. The following discussions reflect the informal group's identification of specific issues, as well as the group's evaluation of those issues. A draft comparison of the requirements of UNECE Regulation No. 17 and United States FMVSS No. 202 is provided in Appendix 1 to this document. Discussions and recommendations concerning the development of the gtr, which are not already addressed in the technical rational of the gtr, are reflected in this report.

A. Height of the head restraint

1. Front outboard

25. Both UNECE Regulation No. 17 and the FMVSS No. 202 final rule require front outboard head restraints with a minimum height of 800 mm above the R-point/H-point, respectively. A proposal was made to recommend a minimum height of 850 mm, to accommodate the taller citizens of some countries.

26. Data was provided showing that the average sitting height for adults in Netherlands and the United States of America had increased over the last 10 years and a higher head restraint was needed to protect these occupants (HR-3-6 and HR-4-16). Japan presented data (HR-4-10) showing that Japanese females and males were shorter than the United States of America population. They stated that the current height requirement of 800 mm was appropriate and they did not want to raise it to 850 mm. The United Kingdom also submitted data (HR-4-14 and HR-6-11) that showed that, although their population was not increasing in height, they were tall enough to need taller head restraints.

27. Using the Netherlands and the University of Michigan Transportation Research Institute (UMTRI) data for automotive sitting height, it was calculated that a 800 mm head restraint was sufficient to protect up to almost a 95th percentile Netherlands male (HR-4-2). This data was revised to include spine straightening and also compared with the method using erect sitting height (HR-4-16). It showed that making use of automotive sitting height a 95th percentile Netherlands male needs a height of 826 mm and making use of erect sitting height a 95th percentile Netherlands male needs 849 mm. The justification cited for using the method of automotive sitting height is that this measurement calculation incorporates the effect of backset and it measures occupants as they sit in a vehicle.

28. The Netherlands data appears to be more robust because it measures erect sitting height and does not need to take in account spine straightening. Some representatives questioned the necessity of taking into account spine straightening. It was suggested that spine straightening might not be a factor when there is a reduced backset. Additionally, it was suggested that the spine straightening research of Kroonenberg, which showed a T1 z-displacement of 34 mm (SAE paper 983158), was conducted on a standard (cushioned) car seat, and a similar research of Ono (which showed similar effects) was conducted on a rigid board. It was discussed that this phenomenon would not be as pronounced in a cushioned automotive seat.

29. One representative suggested that their head restraints were built with a compliance margin of 20 mm; therefore their head restraints were being built to 820 mm. If the height of the head restraint were required to be 850 mm, this representative would need to build their head restraints to 870 mm. This statement was countered by another representative who noted that some vehicles in the fleet only had heights between 800 mm and 820 mm. It was noted that with an 800 mm head restraint, it becomes a challenge to install seats in the vehicle, and a larger head restraint can also restrict occupant visibility (blocking vision rearward and to the side) (HR-3-5). Additional data was presented (HR-3-4) that showed that in small cars, 850 mm head restraints could severely restrict rearward vision in the rear-view mirror.

30. The Netherlands stated that taller men were also accounted for in the statistics and that whiplash was a real problem in the Netherlands (fifty per cent insurance payments are to whiplash, there are problems with hospitals, etc.). In Japan, females have a higher potential of whiplash injury (HR-4-10). At the October 2007 meeting of the informal group, the EEVC also provided an EEVC Cost Benefit Analysis (UK Cost Benefit Analysis : Enhanced Geometric Requirements for Vehicle Head Restraints, EEVC, September 2007, <u>http://www.eevc.org</u>) demonstrating benefits for increasing head restraint height above 800 mm. At that meeting, the United States of America expressed concerns that there was insufficient time to fully evaluate these documents before the December 2007 session of GRSP, at which the gtr was to be finalized.

31. There are concerns that the method in which the height is measured may not reflect the effective height that would be needed to address the safety concerns of taller occupants. Some proposals put forward to improve the measurement method, but they were not yet fully developed for inclusion in the gtr (HR-10-2).

32. To resolve this issue, the informal group sought guidance from AC.3. AC.3 provided instruction through WP.29-143-23 rev 1 to state that the height requirement for the gtr would be 800 mm, and that the discussion on increasing the height requirement to 850 mm and/or revising the measurement method should be continued in Phase 2 to this gtr.

2. Rear outboard

33. It was proposed that optionally installed rear outboard head restraints should have a minimum height of 750 m. Additionally, it was proposed to define a rear head restraint as any seat structure with a minimum height of 700 mm. The current practice in UNECE is to allow the manufacturer to determine what is and is not a head restraint. The United States standard requires that optionally installed rear outboard head restraints should meet the requirements of

the standard. The recommendation of the group is that these head restraints, if installed, must conform to the dimensional requirements, with a 750 mm height, and static requirements, excluding backset.

#### 3. Front centre/rear centre

34. There was discussion on how front centre head restraints were regulated under UNECE Regulation No. 17 and how to address these restraints in the gtr. The manufacturer has the option whether or not to approve centre head restraints to the requirements; i.e. the installation of a centre head restraint has not necessarily been approved to the requirements. In the United States of America, if a manufacturer chooses to optionally install a piece of equipment, that piece of equipment must meet the regulation. For example, manufacturers have the option to install rear outboard head restraints, but if they are installed, they must meet the requirements outlined in FMVSS No. 202.

35. Some experts were concerned with the ability to justify regulating front centre head restraints due to low occupancy rates. There were also concerns that front centre head restraints may impede visibility. It was stated that in Europe there was a UNECE requirement that limits obscurity of rearward visibility to 15 per cent.

36. GRSP recommends that front centre head restraints be included in the gtr and regulated in the same manner as rear outboard head restraints (i.e. optional, no backset requirement, 750 mm height, etc.). Requirements for rear centre head restraints have also been included. These head restraints have the same requirements as front centre head restraints, but they do not have a height requirement. However, as defined in the gtr, to be called a head restraint, it must have a minimum height of 700 mm.

B. Seat set up and measuring procedure for static measurements

37. The method of measuring static measurements was discussed. Some recommended taking all measurements from the R-point. Another proposal is to use the J826 manikin as the primary measurement tool. The use of the R-point allows measurements to be verified to known design points on the vehicle thus improving repeatability. The use of the J826 manikin allows the seat H-point to be measured as it exists in the vehicle and when it is under load. It was argued that options in seat materials and manikin set up can produce recordable differences from one seat to another. UNECE experience shows that the use of the R-point allows measurements to be easily verified on a drawing and is also very repeatable and reproducible when verified in a car. The use of H-point can address differences in measurements caused by seat materials and manufacturing variability.

38. GRSP had difficulty coming to consensus on this issue and sought guidance from AC.3. AC.3, per document WP29-143-23/Rev.1, instructed that all static measurements, except for backset, will use the R-point as the required reference point and that backset should be taken with the H-point as the required reference point, although some Contracting Parties may choose to allow backset to be measured with R-point as the required reference.

#### VI. LIST OF INFORMAL GROUP DOCUMENTS

- HR-1-1 Attendance List, Paris, 1-2 February 2005
- HR-1-2 (USA) Final Rule
- HR-1-3 (USA) Final Regulatory Impact Analysis FMVSS No. 202 Head Restraints for Passenger Vehicles
- HR-1-4 (USA) Comparison of Head Restraint Regulations FMVSS 202 (Current standard, Final Rule, and UNECE Regulation No. 17)
- HR-1-5 {Blank}
- HR-1-6 Head Restraints for Rear Seating Positions
- HR-1-7 (OICA) Abstract from ACEA Whiplash Test Series on Repeatability and Reproducibility of Proposed Test Procedures
- HR-1-8 (USA) United States FMVSS No. 202 Final Rule
- HR-1-9 GRSP informal group on head restraints 1st Meeting, Paris, 1-2 February 2005 Draft Summary Report
- HR-1-9-Rev.1 GRSP informal group on head restraints 1st Meeting, Paris, 1-2 February 2005 Draft Summary Report
- HR-2-1 (USA) The Displacement Test as an Alternative to the 60 mm Gap Requirement
- HR-2-2 Head Restraint Informal Working Group Meeting Agenda 11-13 April 2005, OICA Offices, Paris, France
- HR-2-3 (Netherlands) Static geometric measurements on head restraints
- HR-2-4 (USA) Justification for 254 mm width of Head Restraints on Bench Seats
- HR-2-5 (Japan) Japan's Comments on Backset Requirements of FMVSS 202aS Final Rule - Study of Variations in Backset Measurements
- HR-2-6 (USA) Head Restraint Height Measurement H-point vs. R-point
- HR-2-7 (USA) Correlation of Dynamic Test Procedure to Field Performance
- HR-2-8 (USA) Justification for Load Values FMVSS No. 202 Final Rule Backset and Height Retention Testing
- HR-2-9 BioRID ATD Part of a Presentation from Matthew Avery / Thatcham for an EEVC WG12/20 joint meeting
- HR-2-10 Neck Injuries Real World Data Male/Female Comparison Raimondo Sferco / Bernd Lorenz - Ford Motor Company/BASt
- HR-2-11 (Germany) Current Status of the Euro NCAP Whiplash Subgroup Bundesanstalt für Straßenwesen - Federal Highway Research Institute
- HR-2-12 (Germany) Current Status of the EEVC WG 20 "Rear Impact test procedure(s) and the mitigation of neck injury" Bundesanstalt für Straßenwesen - Federal Highway Research Institute
- HR-2-13 (OICA) Comment for Non Use Position of Non Use Position of Head Restraint gtr
- HR-2-14 (Netherlands) Needed Height for Head Restraints
- HR-2-15 Attendance List GRSP Informal Group Meeting on Head Restraints Paris, 11-13 April 2005
- HR-3-1 Head Restraint Informal Working Group Meeting Agenda, 13-15 June 2005, NHTSA Office, Washington, D.C., USA
- HR-3-2 Japan's Comments on Draft Action Items for June 2005 Head Restraints gtr Meeting

- HR-3-3 Japan's Comments on Backset Requirements of FMVSS 202aS Final Rule
- HR-3-4 Japan's Comments on Head Restraint Height Proposal from the Netherlands
- HR-3-5 Height of Head Restraint Impact of increased height threshold of head restraints
- HR-3-6 (Netherlands) Calculation needed head restraint height
- HR-3-7 (Japan) Biomechanical Responses of HY-III and BioRID II (Part 1)
- HR-3-8 (Japan) Biomechanical Responses of HY-III and BioRID II (Part 2)
- HR-3-9 (USA) Laboratory Test Procedure for FMVSS 202aS Head Restraints Static Requirements
- HR-3-10 (OICA) Alliance of Automobile Manufacturers Head Restraint gtr Input
- HR-3-11 Attendance List GRSP Informal Group Meeting on Head Restraint Washington, D.C., 13-15 June 2005
- HR-3-12 (USA) Final Rule
- HR-3-13 (USA) Final Regulatory Evaluation: Extension of Head Restraint Requirements to Light Trucks, Buses, and Multipurpose Passenger Vehicles with Gross Vehicle Weight Rating of 10.000 pounds or Less (FMVSS 202)
- HR-3-14 (USA) An Evaluation of Head Restraints Federal Motor Vehicle Safety Standard 202, February 1982
- HR-4-1 Agenda of the Head Restraint Informal Working Group Meeting 7-9 September 2005, OICA Office, Paris, France
- HR-4-2 (USA) United States' analysis of the need to raise the head restraint height to 850 mm
- HR-4-3 (Japan) Japanese Backset Raw Data Revision B
- HR-4-4 (USA) Extending the Applicability of United States FMVSS 202 to Light Trucks and Vans Summary of HR-3-12 and HR-3-13
- HR-4-5 (USA) United States Justification for "Other Collisions" in the Proposed Scope
- HR-4-6 Draft Global Technical Regulation on Head Restraints
- HR-4-7 (CLEPA) Head Positions, Summary of UMTRI Study and Vehicle Examples
- HR-4-8 (CLEPA) Comparison between the Pendulum and the Free Motion Headform (FMH) energy dissipation test
- HR-4-9 (Japan) Japan's Comments on Backset Requirements of FMVSS 202aS Final Rule
- HR-4-10 (Japan) Japan Accident Analyses for Application and Height on Head Restraints gtr
- HR-4-11 (Japan) Japan Research Status for Bio-RID II Injury Parameters on Head Restraints gtr
- HR-4-12 (Japan) Japan Research Status for Bio-RID II Dummy Repeatability and Reproducibility on Head Restraints gtr
- HR-4-13 (OICA) Head Restraint gtr Informal Working Group OICA Data Submission, 7-9 September 2005
- HR-4-14 (UK) UK Population Stature 1993-2003
- HR-4-15 (OICA) Draft Proposal on Roof Clearance for Tip Forward Seat Backs
- HR-4-16 (Netherlands) Netherlands' Comparison of Two Different Calculations of "Needed Head Restraint Height".
- HR-4-17 HR-4-6 (202 Draft gtr) revised as of 9 September 2005 (HR-4-17)
- HR-4-18 (OICA) Head Restraint Definition
- HR-5-1 Meeting Agenda
- HR-5-2 Draft GTR regulatory text

HR-5-3	(OICA) Non-Use Position proposal
HR-5-4	US Measurement Variability Presentation
HR-5-5	US Non-Use Position Study
HR-5-6	US Energy Absorption Test
HR-5-7	(OICA) Head Restraint Height Clearance
HR-5-8	(UK) Rear Impact Dummy Research
HR-5-9	(OICA) Backset Complaint Data
HR-5-10	US Measurement Variability Comparison
HR-5-11	(OICA) Dummy Performance Comparison
HR-5-12	(CLEPA) Dynamic tests with control yielding seats
HR-5-13	(OICA) Head Restraint Applicability data
HR-5-14	(Canada) Head Restraint Comparison Methods
HR-5-15	Status of Euro NCAP
HR-5-16	ESV Paper: The Role of Seatback and Head Restraint Design Parameters on Rear
	Impact Occupant Dynamics
HR-5-17	US Energy Absorption Test report
HR-5-18	(Japan) Presentation on Accident Data
HR-5-19	(Japan) Presentation on Reproducibility of Dummy Data
HR-5-20	Meeting Minutes – January 2006
HR-5-21	Gtr regulatory text at end of meeting 1/27/06
HR-5-22	Draft gtr regulatory text for Height Retention of Head Restraints
HR-5-23	US Head Restraint Non-Use Position Report
HR-6-1	Meeting Agenda
HR-6-2	Draft gtr regulatory text - April 14, 2006
HR-6-3	(OICA) Test procedure for backset measurement from R-point
HR-6-4	Draft gtr regulatory text - April 21, 2006
HR-6-5	(Japan) Hybrid III T1G for whiplash evaluation in a dynamic test
HR-6-6	(OICA) Dimensional drawings for document HR-6-3
HR-6-7	(France) Consideration for measuring active head restraints
HR-6-8	(CLEPA) Test Procedures for Energy Dissipation Test
HR-6-9	(CLEPA) Foam Influence on height retention
HR-6-10	(Japan) Example of Gap greater than 60 mm
HR-6-11	(UK) Head Restraint Height Calculations
HR-7-1	Agenda for 7 <sup>th</sup> Head Restraint Informal Meeting
HR-7-2	Head Restraint gtr regulatory text –Sept 12, 2006
HR-7-3	Head Restraint gtr regulatory text - Sept 12, 2000
HR-7-4	Alliance/OICA Head Restraint Backset Measurement Study
HR-7-5	Canada – Measuring Backset with HRMD
HR-7-6	The Current Status of Head Restraint Regulation in Korea
HR-7-7	(Japan) Comment to the New French Dynamic Backset Proposal
HR-7-8	OICA - Trigger point in dynamic test procedure
HR-7-9	(Japan) Comment for Height on Head Restraint gtr
HR-7-10	(Japan) Comment for New Backset Measurement Procedure
HR-7-11	US Height & Backset Benefits
HR-7-12	US Benefits calculation – H-point vs R-point
HR-7-12 HR-8-1	Agenda Meeting - December 2006
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HR-8-2 Gtr regulatory text

- HR-8-3 Technical rational for gtr
- HR-8-4 US Dynamic Testing of Active Head Restraints
- HR-8-5 Revised gtr regulatory text US and Canada comments
- HR-8-6 Gtr regulatory text Biorid France
- HR-8-7 Annex 8\_Biorid spec France
- HR-8-9 Biorid\_Fx
- HR-8-10 OICA\_PC-HR Test Method
- HR-8-11 Alliance-NHTSA HR presentation –FINAL
- HR-8-12 NL RDW Comparison of Methods
- GRSP-41-3 (Japan) Head Restraint gtr Backset Test Programme
- GRSP-41-4 (Japan) Proposal to set up the head restraints gtr phase
- GRSP-41-12 (USA) Head restraint gtr
- GRSP-41-21 (OICA) Customer study shingled head restraints
- GRSP-41-20 (USA) Head restraint draft gtr
- GRSP-41-22 (USA) Head restraint gtr Backset limit
- GRSP-41-23 (OICA) Gtr on head restraints: Backset measuring method Analyses of H-point and R-point method
- GRSP-41-26 (USA) Proposal for draft amendments to draft global technical regulation (gtr) on head restraints
- GRSP-41-27 (OICA) Gtr on head restraints: Triggering of active systems in sled test
- GRSP-41-34 (USA) Fifth progress report of the informal group on head restraints
- GRSP-41-35 (OICA) OICA test programme on backset measurement
- HR-10-1 (GRSP-chairperson) Revised version of the draft gtr after GRSP 41st sesssion
- HR-10-2 (NL) Proposal for draft amendments to draft gtr on head restraints
- HR-10-3 (USA) Justification to Apply the Head Restraint GTR to Category 2 Vehicles with a GVM  $\leq$  4,500 kg
- HR-10-4 (Japan) Proposal for Head Restraint gtr Phase 1 Dynamic Option for BioRID II
- HR-10-5 (EEVC) EEVC WG20 Recommendations for a Low-speed Rear Impact Sled Test Pulse
- HR-10-6 (UK) WG20 Static Geometric UK Cost-Benefit
- HR-10-7 (UK) Dynamic Geometric Options
- HR-10-8 (UK) EEVC WG12-20 Hybrid III Biofidelity Review
- HR-10-11 (GRSP-chairperson) Revised version of HR-10-1
- HR-10-9 (EEVC) EEVC WG12 Rear Impact Biofidelity Evaluation Programme
- HR-10-10 (NL) The minimum Front Contact Surface Head Restraint

<u>Note</u>: All the documents of the informal group on head restraints are available at: <u>http://www.unece.org/trans/main/welcwp29.htm</u>. All documents and comments related to the U.S.A. rulemaking on head restraints can be found by searching for dockets NHTSA-2000-8570, NHTSA-2004-19807, and NHTSA-2007-27986 at <u>http://www.regulations.gov</u>.

### Appendix 1

## Comparison of head restraint regulations UNECE Regulation No. 17 / FMVSS No. 202 (Current U.S.A: standard, U.S.A. final rule, and UNECE Regulation No. 17)

Head Restraint	US – FMVSS	US - FMVSS No.202	UNECE Regulation	Comments
Component	No. 202 (current)	Final Rule (HR-1-2)	No 17	
A. Application				
1. Vehicles				
	Front outboard seating positions in passenger cars, MPVs and trucks with a GVWR ≤ 4,536 kg	Front outboard and rear outboard (optional) seating positions in passenger cars, MPVs and trucks with a GVWR $\leq$ 4,536 kg, with added exclusion for seating position adjacent to aisle on buses (more than 10 seats)	Front outboard and rear (optional) seating positions in vehicles of categories $M_1$ and $N_1$ , and of vehicles of categories $M_2$ up to 3,500 kg (paras. 5.3.1. to 5.3.2)	-If head restraints (HR) present in rear seat, UNECE Regulation No. 17 and 202 Final Rule regulates. -UNECE Regulation No. 17 regulates rear center head restraints if available.
2. Requirements		· · · · ·		
a. Height				
1. Front outboard				
A. Fixed	At least 700 mm above H-point as measured parallel to the torso reference line.	angle set at 25 degrees. Seat cushion at highest position.	Same height as FR, but measured from R- point. Seat back angle is 25 degrees or manufacturer specified. Seat cushion at lowest position	Different seat set-up and measuring techniques used.
B. Adjustable	Same as 202-fixed	Must achieve a height of 800 mm and cannot be adjusted below 750 mm. Measured with a SAE J826 manikin. Seat back angle set at 25 degrees. Seat cushion in highest position.	Same height as FR, but measured from R- point and at manufacturer's suggested angle or 25 degrees. Seat cushion in highest position.	Different seat set-up and measuring techniques used.

Head Restraint	US – FMVSS 202	US - FMVSS 202	UNECE Regulation	Comments		
Component	(current)	Final Rule (HR-1-2)	No. 17	Comments		
a. Height (cont.)			10.17			
2. Rear outboard	(202 Final Rule: <u>Rear head restraint</u> means a rear seat back, or any independently adjustable					
2. Keai outooalu						
	seat component attached to or adjacent to a					
	seat back, that has a height equal or greater					
	height adjustment.)	than 700 mm, in any position of backset and				
A. Fixed	Not specified	If provided, minimum	If provided, same	Different seat set-up		
A. Fixed	Not specified	height of 750 mm	height as FR, but	and measuring		
		above	measured from	techniques used.		
		H-point. Measured	R-Point	techniques used.		
		with SAE J826	K-F OIIII			
		Manikin.				
B. Adjustable	Not specified	If provided, no	If provided, same as	Different seat set-up		
D. Aujustable	Not specified	adjustment below 750	FR, but measured	and measuring		
		mm from	from R-Point	techniques used.		
		H-point. Measured	II OIII K-I OIIIt	teeninques used.		
		with SAE J826				
		Manikin.				
3. Rear Center		Ivianikin.				
J. Real Center	Not specified	Not specified	If provided, minimum			
	Not specified	Not specified	height of 700 mm			
			above			
			R-point			
b. Backset			K point			
1. Front outboard	Not specified	Backset limited to a	No backset specified,	Different seat set-up		
positions	Not specified	maximum 55 mm as	but there is a general	and measuring		
positions		measured with	requirement for the	techniques used.		
		HRMD. Head restraint		teeninques used.		
		in at any height	set at manufacturer's			
		adjustment between	suggested angle or 25			
		750 and 800 mm,	degrees and the seat			
		inclusive. Seat back	cushion to be in the			
		angle set at 25	lowest position.			
		degrees. Seat cushion				
		at highest position.				
L		at inghest position.	l			

Head Restraint	US – FMVSS 202	US - FMVSS 202	UNECE Regulation	Comments
Component	(current)	Final Rule (HR-1-2)	No. 17	
c. Width				
1. Front outboard	Minimum of 171 mm on single seats and 254 mm on bench seats		Minimum of 170 mm for all seat types.	United States requires wider HRs on front outboard seats with a center seat between them.
2. Rear outboard	Not specified	If provided, minimum of 170 mm for all seat types	If provided, minimum of 170 mm.	
d. Height of adjustable surface	e head restraint front			
	Not specified	Not specified	Minimum height of 100 mm	
e. Gaps				
1. All outboard positions	Not specified	In all positions, gap between HR and seat back and within the HR is ≤ 60 mm. A 165 mm sphere is pressed against the gap with a load no more than 5 N	-In lowest position, gap is $\leq 25$ , with no reference to backset adjustment. Measured along straight line between HR and seat back. -In other positions the gap $\leq 60$ mm as measured with 165 mm dia. sphere. -Gaps larger than 60 mm are allowed if they pass the energy absorption test.	-UNECE Regulation Nos. 17 and 25 does not specify load placed on the sphere to measure gap. UNECE Regulation Nos. 17 and 25 measures the gap between the HR in the lowest position and seat back differently from the gaps in the HR. -Larger gaps allowed by UNECE, but must be tested.

Head Restraint	US – FMVSS 202	US - FMVSS 202	UNECE Regulation	Comments
Component	(current)	Final Rule (HR-1-2)	No. 17	
f. HR Adjustment	Retention Devices (locks)	)		
1. Height	Not specified	Must maintain height	If adjustable, requires	UNECE has no
-	<sup>^</sup>	in highest position and	automatic locking	downward testing
		at 800 mm and 750	system (UNECE	requirement.
		mm for front and rear	Regulation No. 17,	
		seats (if HR provided),	para. 5.1.1).	
		respectively, while a	No downward test	
		downward force is	required.	
		applied. Seat back is		
		rigidly constrained.		
2. Backset	Not specified	Under applied	Not specified.	
		rearward moment,		
		while adjusted to		
		800 mm for front and		
		750 mm for rear (if		
		provided), HR must		
		maintain any position		
		of backset adjustment.		
		Seat back is rigidly		
		constrained.		
g. Removability			L	
1. Front	Not specified	Can be removed with	Same as 202 FR	
		deliberate action		
		distinct from any act		
		necessary for		
		adjustment.		
2. Rear	Not specified	Can be removed with	Same as 202 FR	
		deliberate action		
		distinct from any act		
		necessary for		
		adjustment.		

Head Restraint	US – FMVSS 202	US - FMVSS 202	UNECE 17	Comments	
Component	(current)	Final Rule (HR-1-2)			
h. Clearance					
i. Non-use positions	Not specified	25 mm clear space allowed where rear HRs, when seat is occupied, interfere with roofline or rear window.	If HR provided, 25 mm clear space allowed where interference with vehicle structure. Seat does not need to be occupied. Minimum height of 700 mm must be maintained.	-In UNECE the 25 mm gap is measured from any vehicle structure, not just roofline or rear window as in FR. -UNECE requires a minimum seat height if HR is present. FR defines a rear HR as having a height greater than 700 mm	
1. Front	Not specified	Not allowed	Allowed, provided HR		
1. FIOID	Not specified	Not anowed	automatically returns to proper position when seat is occupied.		
2. Rear	Not specified	Allowed, provided HR automatically returns to proper position when seat is occupied or the HR is rotated a minimum of 60° forward or rearward.	Allowed as long as non-use position is "clearly recognizable to the occupant".	United States rule defines "clearly recognizable" as being rotated forward or rearward 60°.	
j. Radius of Curvature					
	Not specified	In NPRM, requirement was same as UNECE Regulation No. 17. Requirement was deleted in final rule.	of HR shall not exhibit	Deleted in FR because enforcement outweighs benefits. No commenter had info to support reg.	

Head Restraint	US – FMVSS 202	US - FMVSS 202 Final	UNECE Regulation	Comments
Component	(current)	Rule (HR-1-2)	No. 17	
k. Energy Absorption		•		•
	Not specified	Front of HR impacted	Similar to FR: Uses	Tests in UNECE
		with head form at	pendulum impactor	and FR are
		v=24.1 km/h. 3 ms	with same weight and	functionally
		deceleration of head	velocity as linear	equivalent.
		form must not exceed	impactor. Front and	Except FR does
		80 gs. Impactor is	rear of HR tested.	not test rear of
		linear head form with		HR.
		mass of 6.8 kg.		
1. Displacement Test F	Procedures			
-	Load is applied to back	Test procedure	Same load and	FR provides a
	pan of seat, load is	modified from 202.	displacement	detailed test
	applied to head restraint	Seat back and HR	requirements as FR.	procedure,
	after seat load is removed.	loaded together.	_	including load
	102 mm of displacement	Moments and		hold times.
	allowed with 373 Nm	displacements same.		
	moment. Load is	Maximum load the		
	increased until 890N or	same, seat back cannot		
	seat back fails. Use	fail. Use spherical		
	spherical or cylindrical	form to apply load		
	form to apply load.			
m. Dynamic sled test (	(optional)			
	Seat accelerated so the	New corridor based on	Not specified	
	pulse falls in a corridor	scaled version 208 sled		
	defined by 2-1/2 sine	test. Target pulse the		
		same as 202. 50th male		
	78 m/s <sup>2</sup> and 86 m/s <sup>2</sup> .	dummy used in any		
	Corridor cannot be met.	seat, HR adjusted		
	95th male dummy used,	midway between		
	max rotation 45°.	lowest and highest		
		position and any		
		backset position. 12°		
		max rotation.		

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