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**AD HOC WORKING GROUP ON FURTHER COMMITMENTS  
FOR ANNEX I PARTIES UNDER THE KYOTO PROTOCOL**

**Fourth session**

**Vienna, 27–31 August 2007 and Bali, 3–11 December 2007**

**Agenda item 4**

**Review of work programme, methods of work and schedule of future sessions**

## **Review of work programme, methods of work and schedule of future sessions**

### **Draft conclusions proposed by the Chair**

1. The Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol (AWG) recalled its programme of work contained in document FCCC/KP/AWG/2006/4 and took note of the views provided by Parties on the timetable to guide the completion of work.<sup>1</sup>
2. The AWG reaffirmed that the completion of its work programme will be advanced primarily through the work of Parties, and that it will coordinate its work with, and draw upon relevant results achieved and work under way in, other bodies and processes under the Convention, especially its Kyoto Protocol, with a view to avoiding duplication.
3. [*Option 1:* The AWG also recalled that its work should be guided by a shared vision of the challenge set by the ultimate objective of the Convention based on the principles and other relevant provisions of the Convention and its Kyoto Protocol. In planning its further work, the AWG took particular account of paragraphs 18, 19, 20, 21 and 23 of the report of the first part of its fourth session.<sup>2</sup>]

[*Option 2:* The AWG also recalled that its work should be guided by a shared vision of the challenge set by the ultimate objective of the Convention based on the principles and other relevant provisions of the Convention and its Kyoto Protocol. It [noted][emphasized] the usefulness of the ranges referred to in the contribution of Working Group III to the Fourth Assessment Report (AR4) of the Intergovernmental Panel on Climate Change (IPCC) and that this report indicates that global emissions of greenhouse gases (GHGs) need to peak in the next 10–15 years and be reduced to very low levels, well below half of levels in 2000 by the middle of the twenty-first century in order to stabilize their concentrations in the atmosphere at the lowest levels assessed by the IPCC to date in its scenarios. Hence the urgency to address climate change. The AWG recognized that the AR4 indicates that achieving the lowest levels assessed by the IPCC to date and its corresponding potential damage limitation would require Annex I Parties as a group to reduce emissions in a range of 25–40 per cent below 1990 levels by 2020, through means that may be available to these Parties to reach their emission

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<sup>1</sup> FCCC/KP/AWG/2007/MISC.3 and FCCC/KP/AWG/2007/MISC.6 and Add.1.

<sup>2</sup> FCCC/KP/AWG/2007/4.

reduction targets. The IPCC ranges do not take into account lifestyle changes which have the potential of increasing the reduction range. The ranges would be significantly higher for Annex I Parties if they were the result of analysis assuming that emission reductions were to be undertaken exclusively by Annex I Parties. The AWG also recognized that achievement of these reduction objectives by Annex I Parties would make an important contribution to overall global efforts required to meet the ultimate objective of the Convention as set out in its Article 2.

The AWG noted the concerns raised by small island developing States and some developing country parties with regard to the lack of analysis of stabilization scenarios below 450 ppmv of carbon dioxide equivalent. In line with the iterative approach to the work programme, the information referred to in paragraph 3 above will be reviewed in the light of information received by the AWG, including from possible further scientific work on stabilization scenarios.]

4. The AWG decided to hold the first part of its fifth session in March or April 2008 and to resume and conclude its fifth session during the first sessional period in June 2008.

5. The AWG decided to organize the work for its fifth session as follows:

- (a) At the first part of its fifth session, the AWG will initiate work on the tasks set out in paragraph 17 (b) (i)<sup>3</sup> of its work programme (FCCC/KP/AWG/2006/4), and take into account the results of an in-session thematic workshop it will conduct on these matters;
- (b) In preparation for the first part of its fifth session, the AWG:
  - (i) Requested the secretariat, under the guidance of the Chair of the AWG, to organize the in-session workshop referred to in paragraph 5 (a) above and to facilitate the participation of relevant experts and organizations in this workshop;
  - (ii) Reminded Parties and accredited observer organizations of its invitations<sup>4</sup> to submit, by 15 February 2008, their views and information on the means to achieve the mitigation objectives of Annex I Parties referred to in document FCCC/KP/AWG/2006/4, paragraph 17 (b), and for Annex I Parties to include in their submissions information on the potential environmental, economic and social consequences, including spillover effects on all Parties, in particular developing country Parties, of available tools, policies, measures and methodologies available to Annex I Parties. It further invited them to include in these submissions their views on the topics to be covered and the experts/organizations to be invited to participate in the in-session thematic workshop referred to in paragraph 5 (a) above;
  - (iii) Requested the secretariat to prepare, by March 2008, an information note on the provisions of the Kyoto Protocol and decisions by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) relating to means that may be available to Annex I Parties to reach their emission reduction targets, including: emissions trading and the project-based mechanisms; rules to

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<sup>3</sup> Analysis of means that may be available to Annex I Parties to reach their emission reduction targets, including: emissions trading and the project-based mechanisms under the Kyoto Protocol; the rules to guide the treatment of land use, land-use change and forestry; the GHGs, sectors and source categories to be covered, and possible approaches targeting sectoral emissions; and identification of ways to enhance the effectiveness of these means and their contribution to sustainable development.

<sup>4</sup> FCCC/KP/AWG/2007/2, paragraph 24, and FCCC/KP/AWG/2007/4, paragraph 24.

guide the treatment of land use, land-use change and forestry; and GHGs, sectors and source categories covered.

- (c) At the resumed fifth session, the AWG will:
  - (i) Continue work on the tasks set out in paragraph 17 (b) (i) of its work programme (FCCC/KP/AWG/2006/4), and take into account the outcomes of a round table it will conduct on these matters;
  - (ii) Initiate work on the tasks set out in paragraph 17 (b) (ii)<sup>5</sup> of its work programme (FCCC/KP/AWG/2006/4), and take into account the outcomes from an in-session workshop it will conduct on these matters;
- (d) In preparation for the resumed part of its fifth session, the AWG:
  - (i) Invited Parties to submit to the secretariat, by 15 February 2008, their views on the topics to be covered and the experts/organizations to be invited to participate in the round table referred to in paragraph 5 (c) (i) above;
  - (ii) Requested the secretariat, under the guidance of the Chair of the AWG, to organize the round table referred to in paragraph 5 (c) (i) above, and to facilitate the participation of relevant experts and organizations in this round table;
  - (iii) Also invited Parties to submit to the secretariat, by 21 March 2008, their views and information on methodological issues relevant to matters raised in paragraph 17 (b) (i) and (ii) of document FCCC/KP/AWG/2006/4, as well as on the topics to be covered and experts/organizations to be invited to participate in the in-session workshop referred to in paragraph 5 (c) (ii) above;
  - (iv) Requested the secretariat, under the guidance of the Chair of the AWG, to organize the in-session workshop referred to in paragraph 5 (c) (ii) above, and to facilitate the participation of relevant experts and organizations in this workshop.

6. The AWG decided to hold the first part of its sixth session in August or September 2008 and to resume and conclude its sixth session during the second sessional period in December 2008.

7. The AWG decided to organize its work for the sixth session as follows:

- (a) At the first part of its sixth session the AWG will:
  - (i) Initiate consideration of information on the potential environmental, economic and social consequences, including spillover effects on all Parties, in particular developing country Parties, of available tools, policies, measures and methodologies available to Annex I Parties;
  - (ii) Adopt conclusions on the tasks set out in paragraph 17 (b) (i) and (ii) of its work programme (FCCC/KP/AWG/2006/4);

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<sup>5</sup> Consideration of relevant methodological issues, including the methodologies to be applied for estimating anthropogenic emissions and the global warming potentials of GHGs.

- (b) In preparation for the first part of its sixth session, the AWG requested the secretariat, under the guidance of the Chair of the AWG, to:
  - (i) Compile and synthesize, by July 2008, the submissions referred to in paragraph 5 (d) (iii) above and the material presented at, as well as the outcomes from, the round table referred to in paragraph 5 (c) (i) above;
  - (ii) Prepare, by July 2008, a technical paper, with the participation of relevant experts and organizations, including from developing countries, on matters related to the tasks set out in paragraph 17 (b) (i) and (ii) of its work programme (FCCC/KP/AWG/2006/4);
- (c) At its resumed sixth session, the AWG will:
  - (i) Continue and adopt conclusions on its consideration of information on the potential environmental, economic and social consequences, including spillover effects on all Parties, in particular developing country Parties, of available tools, policies, measures and methodologies available to Annex I Parties;
  - (ii) Revert to and adopt conclusions on the tasks set out in paragraph 17 (a) (i)<sup>6</sup> and (ii),<sup>7</sup> of its work programme (FCCC/KP/AWG/2006/4), and take into account the outcomes from an in-session workshop it will conduct on these matters;
  - (iii) Consider its programme of work and decide on activities to be undertaken during 2009;
  - [(iv) Initiate consideration of the scale of emission reductions to be achieved by Annex I Parties in aggregate;]
- (d) In preparation for the resumed part of its sixth session, the AWG:
  - (i) Invited Annex I Parties to submit to the secretariat, by 5 September 2008, additional and updated available information and data related to the tasks set out in paragraph 17 (a) (i) and (ii) of its work programme (FCCC/KP/AWG/2006/4), [and on the scale of emission reductions to be achieved by Annex I Parties in aggregate]; as well as on the topics to be covered and the experts/organizations to be invited to participate in the in-session workshop referred to in paragraph 7 (c) (ii) above;
  - (ii) Invited Parties to submit to the secretariat, by 3 October 2008, their views on further activities to be undertaken during 2009, in accordance with the work programme of the AWG;

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<sup>6</sup> Analysis of the mitigation potential, effectiveness, efficiency, costs and benefits of current and future policies, measures and technologies at the disposal of Annex I Parties, appropriate in different national circumstances, taking into account their environmental, economic and social consequences, their sectoral dimensions, and the international context in which they are deployed.

<sup>7</sup> Identification of possible ranges of emission reductions by Annex I Parties, through their domestic and international efforts, and analysis of their contribution to the ultimate objective of the Convention, ensuring due attention to the issues mentioned in the second sentence of Article 2 of the Convention.

- (iii) Requested the secretariat, under the guidance of the Chair of the AWG, to update, by October 2008, the technical paper (document FCCC/TP/2007/1), with the participation of relevant experts and organizations;
- (iv) Further requested the secretariat, under the guidance of the Chair of the AWG, to organize the in-session workshop referred to in paragraph 7 (c) (ii) above, and to facilitate the participation of relevant experts and organizations in this workshop.

[8. The AWG agreed that its seventh and eighth sessions will be held and concluded in 2009. At these sessions, the tasks of the AWG will be to:

- (a) Adopt conclusions on the tasks set out in paragraph 17 (c)<sup>8</sup> of its work programme (FCCC/KP/AWG/2006/4);
- (b) Adopt conclusions on the legal implications arising from the work of the AWG pursuant to Article 3, paragraph 9, of the Kyoto Protocol;
- (c) Forward to the CMP at its fifth session the results of its work on the consideration of commitments for subsequent periods for Parties included in Annex I to the Convention under Article 3, paragraph 9, of the Kyoto Protocol, for consideration by the CMP, with a view to their adoption.]

9. In preparation for the resumed part of its seventh and eighth sessions, the AWG invited Parties to submit to the secretariat, by 15 February 2009, their views on:

- (a) Legal implications arising from the work of the AWG pursuant to Article 3, paragraph 9, of the Kyoto Protocol;
- (b) The scale of emission reductions to be achieved by Annex I Parties in aggregate and on options for the allocation of the corresponding mitigation effort and their contribution to the global effort to reach the ultimate objective of the Convention.

10. The AWG requested the secretariat to schedule all meetings and activities under the AWG, to the greatest extent possible, in conjunction with meetings and activities of other relevant processes under the Convention and its Kyoto Protocol, with a view to ensuring the efficient use of resources.

11. The AWG noted that its requests to the secretariat, as specified in paragraphs 5 (b) (i), 5 (b) (iii), 5 (d) (ii), 5 (d) (iv), 7 (b), 7 (d) (iii) and 7 (d) (iv) above, as well as the scheduling of additional sessions (see paragraphs 4 and 6 above), are additional and subject to the availability of supplementary funding. The secretariat informed Parties of supplementary funding requirements.

12. An overview of activities of the AWG is provided in the annex.

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<sup>8</sup> Consideration of the scale of emission reductions to be achieved by Annex I Parties in aggregate and of the allocation of the corresponding mitigation effort, and agreement on their further commitments, including new quantitative emission limitation or reduction commitments, and on the duration of the commitment period(s), taking into account the analyses described in paragraph 17 (a) and (b) of document FCCC/KP/AWG/2006/4.

Annex

<b>Date/session</b>	<b>Activity</b>	<b>Reference paragraph in the document of this annex</b>
15 February 2008	Submission: Information and views on the means to achieve mitigation objectives, including information on the potential environmental, economic and social consequences and spillover effects.	5 (b) (ii)
15 February 2008	Submissions on the topics to be covered in the round table.	5 (d) (i)
March 2008	Information note by the secretariat on the provisions of the Kyoto Protocol and decisions by the CMP relating to the means.	5 (b) (iii)
21 March 2008	Submissions on relevant methodological issues.	5 (d) (iii)
AWG 5.1 March/April 2008	Initiate work on para. 17 (b) (i) of FCCC/KP/AWG/2006/4 (means).  In-session thematic workshop on the analysis of means.	5 (a)  5 (a), 5 (b) (i)
AWG 5.2 2–13 June 2008	Continue work on para. 17 (b) (i) of FCCC/KP/AWG/2006/4 (means).  Round table on ways to enhance means.  Initiate work on para. 17 (b) (ii) of FCCC/KP/AWG/2006/4 (methodological issues).  In-session workshop on relevant methodological issues.	5 (c) (i)  5 (c) (i), 5 (d) (ii)  5 (c) (ii)  5 (c) (ii), 5 (d) (iv)
July 2008	Secretariat to compile and synthesize submissions on methodological issues and material and outcomes from the round table.	7 (b) (i)
July 2008	Secretariat to prepare a technical paper on matters related to para. 17(b) (i) and (ii) of FCCC/KP/AWG/2006/4.	7 (b) (ii)
AWG 6.1 August/ September 2008	Initiate consideration of potential environmental, economic and social consequences, including spillover effects.  Adopt conclusions on para. 17 (b) (i) and (ii) of FCCC/KP/AWG/2006/4 (means).	7 (a) (i)  7 (a) (ii)
5 September 2008	Submissions on additional and updated information on para. 17(a) (i) and (ii) of FCCC/KP/AWG/2006/4 [and on the scale of emission reductions].	7 (d) (i)
3 October 2008	Submissions on further activities for 2009.	7 (d) (ii)
October 2008	Update of technical paper on factors and indicators related to mitigation potential.	7 (d) (iii)
AWG 6.2 1–12 December 2008	Continue and adopt conclusions on consideration of potential environmental, economic and social consequences, including spillover effects.  Revert to and adopt conclusions on para. 17 (a)(i) and (ii) of FCCC/KP/AWG/2006/4 (mitigation potential and ranges).  In-session workshop on mitigation potential.	7 (c) (i)  7 (c) (ii)  7 (c) (ii), 7 (d) (iv)

<b>Date/session</b>	<b>Activity</b>	<b>Reference paragraph in the document of this annex</b>
	Consider work programme for 2009.	7 (c) (iii)
	[Initiate consideration of the scale of emission reductions to be achieved by Annex I Parties.	7 (c) (iv)]
15 February 2009	Submissions on legal implications.	9 (a)
15 February 2009	Submissions on the scale of emission reductions to be achieved by Annex I Parties.	9 (b)
[AWG 7 and 8 (2009)]	Adopt conclusions on para. 17 (c) of FCCC/KP/AWG/2006/4 (further commitments by Annex I Parties).	8 (a)
	Adopt conclusions on the legal implications arising from the work of the AWG.	8 (b)
	Forward relevant draft decisions on further commitments to the CMP at its fifth session.	8 (c)]

*Abbreviations:* AWG = Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol, CMP = Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol.

*Note:* “5.1” refers to the first part of the fifth session of the AWG, “5.2” to the second part of the fifth session, “6.1” to the first part of the sixth session, and “6.2” to the second part of the sixth session.

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