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National communications from Parties not included in Annex I to the Convention

**Work of the Consultative Group of Experts on National Communications from Parties
not included in Annex I to the Convention**

**Template on cross-cutting themes in national communications from
Parties not included in Annex I to the Convention**

**Note by the Chair of the Consultative Group of Experts on National Communications
from Parties not included in Annex I to the Convention**

Summary

The template is based on the guidelines for the preparation of national communications from Parties not included in Annex I to the Convention (decision 17/CP.8). It aims to facilitate the reporting of information on research and systematic observation, technology transfer, capacity-building, education, training and public awareness, and information and networking in the national communications. The template suggests possible information that Parties may provide, subject to the availability of data, under the section “Other information considered relevant to the achievement of the objective of the Convention” in the national communications.

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I. Introduction

A. Mandate

1. The Subsidiary Body for Implementation (SBI), at its twentieth session, noted the needs and concerns of Parties not included in Annex I to the Convention (non-Annex I Parties) identified during the workshop on the preparation of national communications from non-Annex I Parties held in Manila, Philippines, from 26 to 30 April 2004 (FCCC/SBI/2004/10, para. 28). One of the recommendations made at the workshop was that a template within the user manual prepared by the secretariat on the guidelines for the preparation of national communications from non-Annex I Parties be developed and disseminated by the secretariat to facilitate the reporting of information on research and systematic observation, technology transfer, capacity-building, education, training and public awareness, and information and networking in their national communications (FCCC/SBI/2004/INF.6, para. 30).
2. At its fourth meeting held in Maputo, Mozambique, from 14 to 15 April 2005, the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) agreed, with the assistance of the secretariat, to develop a template on cross-cutting themes in the national communications to be forwarded to the SBI for consideration. At SBI 24, Parties endorsed the efforts of the CGE to develop a template on cross-cutting themes and the SBI invited the group to report on this at its twenty-sixth session (FCCC/SBI/2006/11, para. 27).

B. Scope of the note

3. The template is based on the guidelines for the preparation of national communications from non-Annex I Parties (decision 17/CP.8), the user-manual prepared by the secretariat and the relevant decisions by Parties relating to development and transfer of technologies, capacity-building, education and training and public awareness requesting for information to be included in the national communications. The template aims to aid non-Annex I Parties on the information that may be provided, subject to availability of data, under the section "Other information considered relevant to the achievement of the objective of the Convention".
4. In preparing this template, comments were sought and provided by the Expert Group on Technology Transfer and the Least Developed Countries Expert Group. This template does not represent additional guidelines for the preparation of national communications from non-Annex I Parties. It may be updated as Parties adopt decisions in future which may encourage non-Annex I Parties to include additional information in their national communications.

C. Possible action by the Subsidiary Body for Implementation

5. The SBI may wish to consider this template and encourage Parties to make use of it to aid them in preparing their national communications and also encourage the National Communications Support Programme of the Global Environment Facility, the United Nations Development Programme and the United Nations Environment Programme to disseminate this template.

II. Other information considered relevant to the achievement of the objective of the Convention

6. In addressing issues raised in paragraphs 41 to 48 of decision 17/CP.8, Parties may wish to use the following tables as a template for the provision of other information considered relevant to the achievement of the objective of the Convention.

A. Steps taken to integrate climate change

7. Paragraph 41: With a view to facilitating the formulation and implementation of sustainable development programmes, non-Annex I Parties are encouraged, as appropriate, to provide information on any steps they have taken to integrate climate change considerations into relevant social, economic and environmental policies and actions in accordance with Article 4, paragraph 1(f), of the Convention.

Table 1. Steps taken to integrate climate change

Key Consideration	Information
How is climate change being considered in the relevant planning processes of your country? Consider medium- and long-term development plans, poverty reduction strategy papers, Agenda 21/Millennium Development Goals, national environmental action plans, and any other relevant documents.	
Brief description of sectors in which mitigation and/or vulnerability and adaptation activities may be important and if climate change concerns have been discussed or steps taken to consider them in relevant parts of the planning documents. Are these references to the problems or have elaborate discussions/analyses been undertaken? Brief description of the consultation process within the country involving key stakeholders including, but not limited to, government ministries/agencies, research institutions and civil society.	
Brief description of linkages of mitigation and adaptation activities with the national development programmes and projects, and whether these activities are included as one of the national priorities in requesting for external funds.	
What are the national priority issues and how is climate change linked to these issues? Brief description of specific provisions of the plans where climate change is taken into account. What are the bases for identifying these as national priorities?	
What national policies have been enacted on climate change? Describe the institutional structure for the development and implementation of national climate change policies.	

B. Activities relating to technology transfer

8. Paragraph 42: Pursuant to decision 4/CP.7, its annex, and the implementation of Article 4, paragraph 5, of the Convention, non-Annex I Parties are encouraged, in the light of their economic conditions, to provide information on activities relating to the transfer of, and access to, environmentally sound technologies and know-how, the development and enhancement of endogenous capacities, technologies and know-how, and measures relating to enhancing the enabling environment for the development and transfer of technologies.

Table 2. Activities relating to technology transfer

Key considerations^a	Information
Brief description of existing or planned national policies, legal and institutional framework(s) to facilitate the implementation of decision 4/CP.7 in areas such as: technology needs and needs assessments; technology information; enabling environments; capacity-building; and mechanisms for technology transfer.	
Brief description of identified priority activities related to capacity-building, enabling environments, investment, technology assessments and any other relevant information to advance the implementation of Article 4, paragraph 5, of the Convention at the local, national and regional levels.	
Brief summary of the process, key outcomes and funding of the technology needs assessment conducted.	
Brief description of pilot programmes, if any, on technology transfer relating to climate change, including an estimation of required external funding, and modalities to obtain such funds. What are the lessons learned in implementing these pilot programmes?	
Brief description of existing and planned climate-related technology transfer programmes/projects supported by bilateral and multilateral agencies, and international organizations.	
Brief description of the role of the various stakeholders, particularly the private sector and research and development institutions, in the process of technology transfer.	
Brief description of the success/failure of technology transfer, including possible hindrances that limit such transfer, citing examples and lessons learned.	
Brief description of the technology and technology enabling activities that have been identified through the national adaptation programmes of action process as necessary to facilitate immediate adaptation.	
Briefly describe linkages between technology transfer activities, programmes and projects with national development planning processes.	

^a For further information, see <http://unfccc.int/cooperation_and_support/technology/items/1126.php>, <<http://unfccc.int/resource/docs/cop7/13a01.pdf#page=22>>, <<http://unfccc.int/resource/docs/tp/tp0302.pdf>>, <<http://www.grida.no/climate/ipcc/tectran/index.htm>>, <<http://unfccc.int/home/items/3092.php>>.

C. Climate change research and systematic observation

9. Paragraph 43: Non-Annex I Parties are encouraged to provide information on climate change research and systematic observation, including their participation in and contribution to activities and programmes, as appropriate, of national, regional and global research networks and observing systems.

Table 3. Climate change research and systematic observation

Key considerations^a	Information
Brief description of the status of national and/or regional programmes for research and systematic observation, indicating any difficulties or risks in maintaining the current level of these programmes, and highlighting organizations and government agencies that are involved in climate research and systematic observation and their activities.	
Brief description of the nature and level of participation in the global research and observation systems and related programmes, indicating any difficulties or risks in maintaining the current level of participation.	
Brief description of the type and extent of gaps in meteorological, atmospheric and oceanographic research and observation, where further information and knowledge would facilitate better understanding of the climate system at the national and regional levels.	
Brief description of identified needs and priorities for climate change research and systematic observation, in particular areas of priority that would benefit from international assistance.	

^a For further information, see <www.wmo.ch/web/gcos/gcoshome.html>,
<www.fao.org/GTOS/>,
<www.ioc.unesco.org/goos/>.

D. Information on research programmes

10. Paragraph 44: Non-Annex I Parties are encouraged to provide information on research relating to programmes containing measures to mitigate climate change; programmes containing measures to facilitate adequate adaptation to climate change; and the development of emission factors and activity data.

Table 4. Information on research programmes

Key considerations	Information
Brief description of specific research programmes, building on relevant sections of the national communications, being undertaken in the areas of mitigation, adaptation, development of emission factors and activity data, either on a national or regional level.	
Brief description of the nature and level of involvement and cooperation with bilateral and multilateral institutions, projects and programmes in the above-mentioned research areas.	
Brief description of the specific needs and priorities identified which would assist in the expansion and strengthening of research programmes relating to greenhouse gas inventories, mitigation and adaptation.	

E. Information on education, training and public awareness

11. Paragraph 45: Non-Annex I Parties are invited to provide information on activities relating to climate change education, training and public awareness.

Table 5. Information on education, training and public awareness

Key considerations^a	Information
Brief description of the activities undertaken to implement Article 6 of the Convention, in particular the New Delhi Work Programme and including the institutional and/or legal frameworks, and the means to monitor and assess the effectiveness of education, training and public awareness programmes, including the target groups and institutions involved. Please provide, where relevant, the Internet links for the noted activities.	
Brief description of existing activities and plans, if any, to incorporate climate change into the primary, secondary and tertiary education programmes, as well as any national and foreign scholarship programmes/grants available to students pursuing climate change studies.	
Brief description of the education, training and public awareness campaigns launched and/or planned, if any, and the role/involvement of stakeholders such as civil society, non-governmental organizations, the private sector and others, as well as the media. Please provide, where relevant, the Internet links for the noted campaigns, citing subregional, regional and international cooperation efforts, if any, to promote education, training and public awareness.	
Brief description of identified gaps, needs and priorities in climate change education, training and public awareness, including international assistance needed to develop and implement initiatives and programmes, and to improve information exchange and dissemination.	
Brief description of the national adaptation programmes of action consultation process and the degree to which the information and priorities revealed are consistent with, or divergent from, national priorities and information relating to Article 6 of the Convention.	

^a For further information, see <http://unfccc.int/cooperation_and_support/education_and_outreach/items/2529.php>, <<http://unfccc.int/resource/docs/cop8/07a01.pdf#page=23>>, <http://unfccc.int/cc_inet/items/3514.php>.

F. Capacity-building

12. Paragraph 46: Non-Annex I Parties are encouraged to provide, in accordance with decision 2/CP.7, information on how capacity-building activities, as contained in the framework annexed to that decision, are being implemented at national and, where appropriate, at subregional and/or regional levels. This could include, inter alia, options and priorities for capacity-building, participation in and promotion of South–South cooperation, the involvement of stakeholders in capacity-building, coordination and sustainability of capacity-building activities, and the dissemination and sharing of information on capacity-building activities.

13. Paragraph 47: Non-Annex I Parties are encouraged to include, as appropriate, information on national, subregional and/or regional capacity-building activities for integrating adaptation to climate change into medium- and long-term planning.

Table 6. Capacity-building

Key considerations^a	Information
Brief description of the efforts made to implement, coordinate and sustain capacity-building activities outlined in decisions 2/CP.7, 3/CP.7, 2/CP.10 and 3/CP.10.	
Brief outline of capacity-building projects and programmes relating to climate change which are supported by bilateral and multilateral organizations.	
Brief description, if relevant, of the status of activities and level of participation in and promotion of Southern–South cooperation.	
Brief summary of capacity-building activities, if any, and building on relevant sections of national communications, specifically targeted at integrating adaptation to climate change into medium- and long-term planning.	
Brief description of specific needs and priorities identified for capacity-building (e.g. in the areas of governance, mitigation and adaptation), including a summary of the needs and priorities identified in the national capacity self-assessment, in relevant enabling activities, and in other relevant bilateral and multilateral initiatives.	

^a For further information, see <http://unfccc.int/cooperation_and_support/capacity_building/items/1033.php>, <<http://unfccc.int/resource/docs/cop7/13a01.pdf#page=5>>, <<http://unfccc.int/resource/docs/cop7/13a01.pdf#page=15>>, <<http://unfccc.int/resource/docs/cop10/10a01.pdf#page=11>>, <<http://unfccc.int/resource/docs/cop10/10a01.pdf#page=7>>.

G. Information and networking

14. Paragraph 48: Non-Annex I Parties are encouraged to provide information on their efforts to promote information sharing among and within countries and regions. Information could cover, as appropriate, participation in and contribution to networks, and access to, and use of, information technologies for information exchange.

Table 7. Information and networking

Key considerations	Information
Brief description of the efforts to facilitate information sharing among and within developing countries and regions and relevant government and non-governmental institutions.	
Brief description of the efforts to engage in and facilitate regional and international networking, for example among centres of excellence.	
Brief description of constraints experienced, if any, in facilitating information sharing and dissemination, and networking.	
