

1 January 2006

# Secretary-General's bulletin

## Amendments to the 200 series of the Staff Rules (ST/SGB/2002/2)

The Secretary-General, pursuant to staff regulations 12.2, 12.3 and 12.4 and staff rule 212.1 (a), hereby promulgates the text of the amendments to the 200 series of the Staff Rules promulgated in Secretary-General's bulletin ST/SGB/2002/2. The text of the amendments is attached to the present bulletin.

#### Section 1 Purpose

1.1 The text of the Staff Rules listed below is amended for the reasons set out below in relation to each rule:

(a) Rule 206.3 (e), Sick leave, is amended to ensure consistency with rule 206.7, as amended, by deleting a reference to paternity leave;

(b) Rule 206.7 (b) and (e), Maternity and paternity leave (new title), is amended to reflect the new entitlement to paternity leave.

1.2 Attached for insertion in the printed copy of ST/SGB/2002/2 are the new pages containing the amendments to the Staff Rules and the changes in the Staff Regulations and their annexes, and in the appendices to the Staff Rules.

#### Section 2 Final provision

Unless otherwise indicated, the amendments introduced in the present bulletin shall enter into force on 1 January 2006.

(Signed) Kofi A. Annan Secretary-General

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#### General rights and obligations

(c) Staff members are subject to the authority of the Secretary-General and to assignment by him or her to any of the activities or offices of the United Nations. In exercising this authority, the Secretary-General shall seek to ensure, having regard to the circumstances, that all necessary safety and security arrangements are made for staff carrying out the responsibilities entrusted to them.

(d) In the performance of their duties staff members shall neither seek nor accept instructions from any Government or from any other source external to the Organization.

(e) By accepting appointment, staff members pledge themselves to discharge their functions and regulate their conduct with the interests of the Organization only in view. Loyalty to the aims, principles and purposes of the United Nations, as set forth in its Charter, is a fundamental obligation of all staff members by virtue of their status as international civil servants.

(f) While staff members' personal views and convictions, including their political and religious convictions, remain inviolable, staff members shall ensure that those views and convictions do not adversely affect their official duties or the interests of the United Nations. They shall conduct themselves at all times in a manner befitting their status as international civil servants and shall not engage in any activity that is incompatible with the proper discharge of their duties with the United Nations. They shall avoid any action and, in particular, any kind of public pronouncement that may adversely reflect on their status, or on the integrity, independence and impartiality that are required by that status.

(g) Staff members shall not use their office or knowledge gained from their official functions for private gain, financial or otherwise, or for the private gain of any third party, including family, friends and those they favour. Nor shall staff members use their office for personal reasons to prejudice the positions of those they do not favour.

(h) Staff members may exercise the right to vote but shall ensure that their participation in any political activity is consistent with, and does not reflect adversely upon, the independence and impartiality required by their status as international civil servants.

(i) Staff members shall exercise the utmost discretion with regard to all matters of official business. They shall not communicate to any Government, entity, person or any other source any information known to them by reason of their official position that they know or ought to have known has not been made public, except as appropriate in the normal course of their duties or by authorization of the Secretary-General. These obligations do not cease upon separation from service.

## Honours, gifts or remuneration

(j) No staff member shall accept any honour, decoration, favour, gift or remuneration from any Government.

(k) If refusal of an unanticipated honour, decoration, favour or gift from a Government would cause embarrassment to the Organization, the staff member may receive it on behalf of the Organization and then report and entrust it to the Secretary-General, who will either retain it for the Organization or arrange for its disposal for the benefit of the Organization or for a charitable purpose.

(1) No staff member shall accept any honour, decoration, favour, gift or remuneration from any non-governmental source without first obtaining the approval of the Secretary-General.

## Conflict of interest

(m) Staff members shall not be actively associated with the management of, or hold a financial interest in,

any profit-making, business or other concern, if it were possible for the staff member or the profit-making, business or other concern to benefit from such association or financial interest by reason of his or her position with the United Nations.

(n) All staff members at the D-1 or L-6 level and above shall be required to file financial disclosure statements on appointment and at intervals thereafter as prescribed by the Secretary-General, in respect of themselves, their spouses and their dependent children, and to assist the Secretary-General in verifying the accuracy of the information submitted when so requested. The financial disclosure statements shall include certification that the assets and economic activities of the staff members, their spouses and their dependent children do not pose a conflict of interest with their official duties or the interests of the United Nations. The financial disclosure statements will remain confidential and will only be used, as prescribed by the Secretary-General, in making determinations pursuant to staff regulation 1.2 (m). The Secretary-General may require other staff to file financial disclosure statements as he or she deems necessary in the interest of the Organization.

### Outside employment and activities

(o) Staff members shall not engage in any outside occupation or employment, whether remunerated or not, without the approval of the Secretary-General.

(p) The Secretary-General may authorize staff members to engage in an outside occupation or employment, whether remunerated or not, if:

- (i) The outside occupation or employment does not conflict with the staff member's official functions or the status of an international civil servant;
- (ii) The outside occupation or employment is not against the interest of the United Nations; and
- (iii) The outside occupation or employment is permitted by local law at the duty station or where the occupation or employment occurs.

## Use of property and assets

(q) Staff members shall use the property and assets of the Organization only for official purposes and shall exercise reasonable care when utilizing such property and assets.

(r) Staff members must respond fully to requests for information from staff members and other officials of the Organization authorized to investigate the possible misuse of funds, waste or abuse.

## Regulation 1.3

## Performance of staff

(a) Staff members are accountable to the Secretary-General for the proper discharge of their functions. Staff members are required to uphold the highest standards of efficiency, competence and integrity in the discharge of their functions, and their performance will be appraised periodically to ensure that the required standards of performance are met.

(b) The whole time of staff members shall be at the disposal of the Secretary-General for the performance of official functions; however, the Secretary-General shall establish a normal working week and shall establish official holidays for each duty station. Exceptions may be made by the Secretary-General as the needs of service may require, and staff members shall be required to work beyond the normal tour of duty when requested to do so.

### Article III

#### SALARIES AND RELATED ALLOWANCES

### Regulation 3.1

Salaries of staff members shall be fixed by the Secretary-General in accordance with the provisions of annex I to the present Regulations.

#### Regulation 3.2

(a) The Secretary-General shall establish terms and conditions under which an education grant shall be available to a staff member residing and serving outside his or her recognized home country whose dependent child is in full-time attendance at a school, university or similar educational institution of a type that will, in the opinion of the Secretary-General, facilitate the child's reassimilation in the staff member's recognized home country. The grant shall be payable in respect of the child up to the end of the fourth year of post-secondary studies or the award of the first recognized degree, whichever is the earlier. The amount of the grant per scholastic year for each child shall be 75 per cent of the admissible educational expenses actually incurred, subject to a maximum grant as approved by the General Assembly. Travel costs of the child may also be paid for an outward and return journey once in each scholastic year between the educational institution and the duty station, except that in the language or in the cultural tradition desired by staff members for their children, such travel costs may be paid twice in the year in which the staff member is not entitled to home leave. Such travel shall be by a route approved by the Secretary-General but not in an amount exceeding the cost of such a journey between the home country and the duty station.

(b) The Secretary-General shall also establish terms and conditions under which, at designated duty stations, an additional amount of 100 per cent of boarding costs subject to a maximum amount per year as approved by the General Assembly may be paid in respect of children in school attendance at the primary and secondary levels.

(c) The Secretary-General shall also establish terms and conditions under which an education grant shall be available to a staff member serving in a country whose language is different from his or her own and who is obliged to pay tuition for the teaching of the mother tongue to a dependent child attending a local school in which the instruction is given in a language other than his or her own.

(d) The Secretary-General shall also establish terms and conditions under which an education grant shall be available to a staff member whose child is unable, by reason of physical or mental disability, to attend a normal educational institution and therefore requires special teaching or training to prepare him or her for full integration into society or, while attending a normal educational institution, requires special teaching or training to assist him or her in overcoming the disability. The amount of this grant per year for each disabled child shall be equal to 100 per cent of the education expenses actually incurred, up to a maximum amount approved by the General Assembly.

(e) The Secretary-General may decide in each case whether the education grant shall extend to adopted children or stepchildren.

#### Regulation 3.3

(a) An assessment at the rates and under the conditions specified below shall be applied to the salaries and such other emoluments of staff members as are computed on the basis of salary, excluding post adjustments, provided that the Secretary-General may, where he or she deems it advisable, exempt from the assessment the salaries and emoluments of staff members engaged at locality rates.

(b) (i) The assessment shall be calculated at the following rates for staff members whose salary rates are set forth in paragraphs 1 and 3 of annex I to the present Regulations:

### Assessment

Total assessable payments (United States dollars)	Staff assessment rates for purposes of pensionable remuneration and pensions (percentage)					
Up to 20,000 per year	11					
20,001 to 40,000 per year	18					
40,001 to 60,000 per year	25					
60,001 and above per year	30					

## Staff assessment rates used in conjunction with gross base salaries (effective 1 January 2006)

## A. Staff assessment for staff members with dependants:

Assessable payments (United States dollars)	Staff assessment rates for those with a dependent spouse or dependent child (percentage)					
First 50,000 per year	19					
Next 50,000 per year	28					
Next 50,000 per year	32					
Remaining assessable payments	35					

## B. Staff assessment for staff members without dependants:

Staff assessment amounts for those with neither a dependent spouse nor a dependent child would be equal to the differences between the gross salaries at different grades and steps and the corresponding net salaries at the single rates;

(ii) The assessment shall be calculated at the following rates for staff members whose salary rates are established under paragraph 6 of annex I to the present Regulations:

Total assessable payments (United States dollars)	Assessment (percentage)
Up to 20,000 per year	19
20,001 to 40,000 per year	23
40,001 to 60,000 per year	26
60,001 and above per year	31

#### Chapter VI

#### SOCIAL SECURITY

### Rule 206.1

#### Participation in the Pension Fund

Project personnel whose appointments are for six months or longer, or who complete six months of service under shorter appointments without an interruption of more than 30 days, shall become participants in the United Nations Joint Staff Pension Fund, provided that participation is not excluded by their letters of appointment.

#### Rule 206.2

### Group life insurance plan

(Cancelled as of 1 February 1998)

#### Rule 206.3

### Sick leave

(a) Project personnel who are unable to perform their duties by reason of illness or injury, or whose attendance at work is prevented by public health requirements, will be granted sick leave. All sick leave must be approved on behalf of, and under conditions established by, the Secretary-General.

#### Maximum entitlement

(b) The maximum entitlement to sick leave of project personnel shall be determined by the duration of their appointment, in accordance with the following provisions:

- (i) Project personnel in short-term status may be granted sick leave at the rate of two working days per month of service;
- (ii) Project personnel in intermediate-term status may be granted sick leave of up to three months on full salary and three months on half-salary in any period of 12 consecutive months, provided that the amount of sick leave in any period of four consecutive years shall not exceed nine months on full salary and nine months on half-salary;
- (iii) Project personnel in long-term status may be granted sick leave of up to nine months on full salary and nine months on half-salary in any period of four consecutive years.

#### Sick leave during annual leave

(c) When sickness of more than five consecutive working days occurs while project personnel are on annual leave, including home leave, sick leave may be approved subject to appropriate medical certification.

#### Obligations of project personnel

(d) Project personnel shall submit a monthly report on any absence attributable to illness, injury or public health requirements. Sick leave in excess of three consecutive working days or longer shall be granted subject to

conditions and in accordance with procedures established by the Secretary-General for the certification and approval of such leave. Sick leave may be refused if the Secretary-General is satisfied that such leave is not justified, in which case the absence shall be treated as unauthorized in accordance with rule 205.1 (d).

(e) Up to seven days of sick leave in any twelve months of consecutive service may be used as family leave, in order to attend to family-related emergencies, in which case the requirements otherwise applicable for sick leave in excess of three consecutive days shall not apply.

(f) Project personnel may be required at any time to submit a medical report as to their condition or to undergo a medical examination by the United Nations Medical Service or a medical practitioner designated by the Medical Director. When, in the opinion of the Medical Director, a medical condition impairs an individual's ability to perform his or her functions, the individual may be directed not to attend the office and requested to seek treatment from a duly qualified medical practitioner. Project personnel shall comply promptly with any direction or request under this rule.

(g) Project personnel shall immediately notify a United Nations medical officer of any case of contagious disease occurring in their household or of any quarantine order affecting the household. In such a case, or in the case of any other conditions which may affect the health of others, the Medical Director shall decide whether the project personnel should be excused from attendance at the office. If so, the project personnel shall receive full salary and other emoluments for the period of authorized absence.

(h) Project personnel, while on sick leave, shall not leave the area of the duty station without the prior approval of the Secretary-General.

## Rule 206.4

#### Medical care

(a) Project personnel shall participate in a medical insurance scheme provided by the United Nations unless exemption from such participation is expressly stated in the letter of appointment. The United Nations shall not be responsible for the medical care of project personnel who are exempted by their letter of appointment from the medical insurance scheme provided by the United Nations except in accordance with the provisions of staff rule 206.5 on compensation for death, injury or illness attributable to service.

(b) Project personnel appointed for a period of one month or more and participating in a medical insurance scheme provided by the United Nations may enrol their spouses and dependent children in the scheme.

(c) (*Cancelled as of 1 January 1998*)

(d) The United Nations will not assume responsibility for medical expenses incurred by project personnel following separation from service except in accordance with the provisions of staff rule 206.5 on compensation for death, injury or illness attributable to service.

### Rule 206.5

#### Compensation for death, injury or illness attributable to service

Project personnel shall be entitled to compensation in the event of death, injury or illness attributable to the performance of official duties on behalf of the United Nations, in accordance with the rules set forth in appendix D to the Staff Rules.

## Rule 206.6

### Compensation for loss or damage to personal effects attributable to service

Project personnel shall be entitled, within the limits and under the terms and conditions established by the Secretary-General, to reasonable compensation in the event of loss or damage to their personal effects determined to be directly attributable to the performance of official duties on behalf of the United Nations.

## Rule 206.7

### Maternity and paternity leave

- (a) An individual shall be entitled to maternity leave in accordance with the following provisions:
  - (i) The leave shall extend for a total period of 16 weeks from the time it is granted, except as provided in subparagraph (iii) below;
  - (ii) The leave shall commence six weeks prior to the anticipated date of birth upon production of a certificate from a duly qualified medical practitioner or midwife indicating the anticipated date of birth. However, at the individual's request and upon production of a certificate from a duly qualified medical practitioner or midwife indicating that she is fit to continue to work, the absence may be permitted to commence less than six weeks but normally not less than two weeks before the anticipated date of birth. The pre-delivery leave shall extend to the actual date of birth;
  - (iii) The post-delivery leave shall extend for a period equivalent to the difference between 16 weeks and the actual period of pre-delivery leave, subject to a minimum of 10 weeks. However, the individual, on request, may be permitted to return to work after the lapse of a minimum period of six weeks following delivery;
  - (iv) The individual shall receive maternity leave with full pay for the entire duration of her absence under subparagraphs (ii) and (iii) above.

(b) Subject to conditions established by the Secretary-General, project personnel shall be entitled to paternity leave in accordance with the following provisions:

- (i) The leave shall be granted for a total period of up to four weeks or in the case of individuals serving at a non-family duty station, up to eight weeks. In exceptional circumstances, leave shall be granted for a total period of up to eight weeks;
- (ii) The leave may be taken either continually or in separate periods during the year following the birth of the child, provided it is completed during that year;
- (iii) The individual shall receive paternity leave with full pay for the entire duration of his absence.
- (c) Sick leave shall not normally be granted for maternity cases except where serious complications arise.
- (d) (*Cancelled*)
- (e) Annual leave shall accrue during the period of maternity or paternity leave.

## Article VII

## TRAVEL AND REMOVAL EXPENSES

## Regulation 7.1

Subject to conditions and definitions prescribed by the Secretary-General, the United Nations shall in appropriate cases pay the travel expenses of staff members, their spouses and dependent children.

### Regulation 7.2

Subject to conditions and definitions prescribed by the Secretary-General, the United Nations shall pay removal costs for staff members.

#### Rule 209.10

### Restitution of advance annual and sick leave

(a) On separation, project personnel who have taken advance annual or sick leave beyond the amount actually earned and accrued while in service shall be required to make restitution for such advance leave by means of a cash refund.

(b) The Secretary-General may waive this requirement if he is satisfied that there are compelling reasons for doing so.

#### Rule 209.11

#### Last day for pay purposes

(a) When project personnel are separated from service, the date on which entitlement to salary, allowances and benefits shall cease shall be determined according to the following provisions:

- (i) Upon resignation, the date shall be either the date of expiration of the notice period under rule 209.1 or such other date as the Secretary-General accepts;
- (ii) Upon expiration of a fixed-term appointment, the date shall be the date specified in the letter of appointment;
- (iii) Upon termination, the date shall be the date provided in the notice of termination;
- (iv) In the case of summary dismissal, the date shall be the date of dismissal;
- (v) In the case of death, the date on which entitlement to salary, allowances and benefits shall cease shall be the date of death, unless there is a surviving spouse or a dependent child. In that event, the date shall be determined in accordance with the following schedule:

Length of service	Months of extension beyond date of death
3 years or less	3
4 years	4
5 years	5
6 years	6
7 years	7
8 years	8
9 years or more	9

Length of service shall be deemed to comprise the individual's total period of continuous service. Completed months of service in excess of the completed years specified in the schedule above shall be taken into account on a proportionate basis up to the maximum extension of six months. Payment related to the period of extension beyond the date of death may be made in a lump sum as soon as the pay accounts and related matters can be closed. Such payment shall be calculated on the basis of the individual's gross salary less staff assessment according to the schedule of rates set forth in staff regulation 3.3 (b) (i). All other entitlements and accrual of benefits shall cease as of the date of death, except as provided by staff rule 203.8 (f) for payment of education grant when project personnel die while in service after the beginning of the school year.

(b) When project personnel have an entitlement to return travel under rule 207.1 (v), this shall not affect the determination of the last day for pay purposes in accordance with the provisions of paragraph (a) above. In the case of resignation, expiration of fixed-term appointment, termination or retirement, project personnel shall be paid, on separation, an additional amount for days of authorized travel estimated on the basis of uninterrupted travel by an approved route and mode from the duty station to the place of entitlement to return travel. Such amount shall be calculated as is done for commutation of accrued annual leave under rule 209.9.

## Article X

## DISCIPLINARY MEASURES

## Regulation 10.1

The Secretary-General may establish administrative machinery with staff participation which will be available to advise him or her in disciplinary cases.

## Regulation 10.2

The Secretary-General may impose disciplinary measures on staff members whose conduct is unsatisfactory.

Sexual exploitation and sexual abuse constitute serious misconduct.

The Secretary-General may summarily dismiss a member of the staff for serious misconduct.

### ANNEXES TO THE STAFF REGULATIONS

### Annex I

#### SALARY SCALES AND RELATED PROVISIONS

1. The Secretary-General shall establish the salary of the Administrator of the United Nations Development Programme and the salaries of United Nations officials in the Director category and above, in accordance with amounts determined by the General Assembly, subject to the staff assessment plan provided in staff regulation 3.3 and to post adjustments wherever applied. If otherwise eligible, they shall receive the allowances that are available to staff members generally.

2. The Secretary-General is authorized, on the basis of appropriate justification and/or reporting, to make additional payments to United Nations officials in the Director category and above to compensate for such special costs as may be reasonably incurred, in the interests of the Organization, in the performance of duties assigned to them by the Secretary-General. Similar additional payments in similar circumstances may be made to heads of offices away from Headquarters. The maximum total amount of such payments is to be determined in the programme budget by the General Assembly.

3. Except as provided in paragraph 5 of the present annex, the salary scales and the scales of post adjustment for staff members in the Professional and higher categories shall be as shown in the present annex.

4. Subject to satisfactory service, salary increments within the levels set forth in paragraph 3 of the present annex shall be awarded annually, except that any increments above step XI of the Associate Officer level, step XIII of the Second Officer level, step XII of the First Officer level, step X of the Senior Officer level and step IV of the Principal Officer level shall be preceded by two years at the previous step. The Secretary-General is authorized to reduce the interval between salary increments to ten months and twenty months, respectively, in the case of staff subject to geographical distribution who have an adequate and confirmed knowledge of a second official language of the United Nations.

5. The Secretary-General shall determine the salary rates to be paid to personnel specifically engaged for short-term missions and conference and other short-term service, to consultants, to Field Service personnel and to technical assistance experts.

6. The Secretary-General shall fix the salary scales for staff members in the General Service and related categories, normally on the basis of the best prevailing conditions of employment in the locality of the United Nations office concerned, provided that the Secretary-General may, where he or she deems it appropriate, establish rules and salary limits for payment of a non-resident allowance to General Service staff members recruited from outside the local area. The gross pensionable remuneration of such staff shall be determined in accordance with the methodology specified in article 54 (a) of the Regulations of the United Nations Joint Staff Pension Fund and are shown in the salary scales applicable to such staff.

7. The Secretary-General shall establish rules under which a language allowance may be paid to staff members in the General Service category who pass an appropriate test and demonstrate continued proficiency in the use of two or more official languages.

8. In order to preserve equivalent standards of living at different offices, the Secretary-General may adjust the basic salaries set forth in paragraphs 1 and 3 of the present annex by the application of non-pensionable post adjustments based on relative costs of living, standards of living and related factors at the office concerned as compared to New York. Such post adjustments shall not be subject to staff assessment.

9. No salary shall be paid to staff members in respect of periods of unauthorized absence from work unless such absence was caused by reasons beyond their control or duly certified medical reasons.

						I	Effective	1 Janua	ry 2006							
			S T E P S													
Level		Ι	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	XIII	XIV	XV
USG	Gross Net D Net S	176 877 127 970 115 166														
ASG	Gross Net D Net S	160 574 117 373 106 285	*	ž	*	*	*									
D-2	Gross Net D Net S	131 947 98 224 90 236	134 765 100 140 91 854	137 584 102 057 93 466	140 403 103 974 95 072	143 222 105 891 96 674	146 040 107 807 98 269									
D-1	Gross Net D Net S	120 487 90 431 83 587	122 962 92 114 85 050	125 435 93 796 86 509	127 910 95 479 87 965	130 385 97 162 89 418	* 132 859 98 844 90 867	* 135 334 100 527 92 312	* 137 809 102 210 93 755	* 140 282 103 892 95 194	*		*	*	*	
P-5	Gross Net D Net S	99 511 76 148 70 742	101 590 77 581 72 014	103 694 79 012 73 282	105 799 80 443 74 550	107 904 81 875 75 815	110 009 83 306 77 077	112 115 84 738 78 338	114 221 86 170 79 596	116 326 87 602 80 852	118 431 89 033 82 106	120 535 90 464 83 358	122 641 91 896 84 607	124 747 93 328 85 855		
P-4	Gross Net D Net S	81 943 63 499 59 132	83 861 64 880 60 390	85 781 66 262 61 647	87 699 67 643 62 901	89 618 69 025 64 155	91 536 70 406 65 407	93 456 71 788 66 659	95 374 73 169 67 909	97 293 74 551 69 157	99 210 75 931 70 405	101 196 77 313 71 651	103 226 78 694 72 896	105 259 80 076 74 140	* 107 290 81 457 75 383	* 109 322 82 839 76 625
P-3	Gross Net D Net S	66 881 52 654 49 149	68 656 53 932 50 325	70 435 55 213 51 503	72 207 56 489 52 678	73 986 57 770 53 856	75 761 59 048 55 030	77 535 60 325 56 206	79 314 61 606 57 383	81 090 62 885 58 558	82 865 64 163 59 734	84 643 65 443 60 906	86 417 66 720 62 079	88 194 68 000 63 250 *	89 969 69 278 64 422	* 91 746 70 557 65 594
P-2	Gross Net D Net S	54 382 43 655 40 947	55 972 44 800 41 985	57 560 45 943 43 020	59 149 47 087 44 057	60 738 48 231 45 092	62 325 49 374 46 130	63 914 50 518 47 184	65 500 51 660 48 234	67 090 52 805 49 289	68 681 53 950 50 341	70 267 55 092 51 392	71 858 56 238 52 447	*		
P-1	Gross Net D Net S	42 664 34 558 32 599	44 022 35 658 33 612	45 378 36 756 34 625	46 737 37 857 35 638	48 093 38 955 36 650	49 449 40 054 37 662	50 908 41 154 38 676	52 436 42 254 39 676	53 960 43 351 40 672	55 488 44 451 41 668					

# Salary scale for staff in the Professional and higher categories showing annual gross salaries and net equivalents after application of staff assessment

(United States dollars)

Effective 1 January 2006

D = Rate applicable to staff members with a dependent spouse or child. S = Rate applicable to staff members with no dependent spouse or child.

\* The normal qualifying period for in-grade movement between consecutive steps is one year, except at those steps marked with an asterisk for which a two-year period at the preceding step is required.

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## APPENDICES TO THE STAFF RULES

#### Appendix I

## SALARY SCALES AND SCHEDULE OF PENSIONABLE REMUNERATION

## Annual gross salaries and net equivalents after application of staff assessment

(United States dollars)

#### Effective 1 January 2006

									STEPS							
Level		Ι	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	XIII	XIV	XV
			*	*	*	*	*									
L-7	Gross	131,947	134,765	137 584	140 403	143 222	146 040									
	Net D	98 224	100 140	102 057	103 974	105 891	107 807									
	Net S	90 236	91 854	93 466	95 072	96 674	98 269									
						*	*	*	*	*						
L-6	Gross	120,487	122,962	125,435	127,910	130,385	132,859	135,334	137,809	140,282						
	Net D	90 431	92 114	93 796	95 479	97 162	98 844	100 527	102 210	103 892						
	Net S	83 587	85 050	86 509	87 965	89 418	90 867	92 312	93 755	95 194						
T 5	Carrier	00 511	101 500	102 (04	105 700	107 004	110.000	110 115	114 221	116 226	110 421	*	*	*		
L-5	Gross	99,511	101,590	103,694	105,799	107,904	110,009	112,115	114,221	116,326	118,431	120,535	122,641	124,747		
	Net D Net S	76 148 70 742	77 581 72 014	79 012 73 282	80 443 74 550	81 875 75 815	83 306 77 077	84 738 78 338	86 170 79 596	87 602 80 852	89 033 82 106	90 464 83 358	91 896 84 607	93 328 85 855		
	Net 5	70 742	72 014	15 282	74 550	15 815	// 0//	10 330	/9 390	80 852	82 100	02 220	84 007			
т 4	G	01.042	02.061	05 701	07 (00	00 (10	01.526	02 456	05 274	07.002	00.010	101 106	102.000	*	*	*
L-4	Gross Net D	81 943 63 499	83 861 64 880	85 781 66 262	87 699 67 643	89 618 69 025	91 536 70 406	93 456 71 788	95 374 73 169	97 293 74 551	99 210 75 931	101 196 77 313	103 226 78 694	105 259 80 076	107 290 81 457	109 322 82 839
	Net D	59 132	60 390	61 647	62 901	64 155	65 407	66 659	67 909	69 157	70 405	71 651	78 094	74 140	75 383	76 625
	Net 5	59 152	00 390	01 047	02 901	04 155	05 407	00 039	07 909	09 137	70 405	/1 051	12 890	/4 140	*	/0.025
L-3	Gross	66 881	68,656	70,435	72,207	73,986	75,761	77,535	79,314	Q1 000	82,865	84,643	86,417	88,194	* 89,969	91,746
L-3	Net D	52 654	53 932	55 213	56 489	57 770	59 048	60 325	61 606	81,090 62 885	64 163	65 443	66 720	68 000	69,969	70 557
	Net D	49 149	50 325	51 503	52 678	53 856	55 030	56 206	57 383	58 558	59 734	60 906	62 079	63 250	64 422	65 594
	iter b	47 147	50 525	51 505	52 070	55 050	55 050	30 200	57 505	50 550	57754	00 900	*	05 250	04 422	05 574
L-2	Gross	54,382	55,972	57,560	59,149	60,738	62,325	63,914	65,500	67,090	68,681	70,267	71,858			
L-2	Net D	43 655	44 800	45 943	47 087	48 231	49 374	50 518	51 660	52 805	53 950	55 092	56 238			
	Net S	40 947	41 985	43 020	44 057	45 092	46 130	47 184	48 234	49 289	50 341	51 392	52 447			
												51 572	52 117			
L-1	Gross	42,664	44,022	45,378	46,737	48,093	49,449	50,908	52,436	53,960	55,488					
	Net D	34 558	35 658	36 756	37 857	38 955	40 054	41 154	42 254	43 351	44 451					
	Net S	32 599	33 612	34 625	35 638	36 650	37 662	38 676	39 676	40 672	41 668					

D = Rate applicable to staff members with a dependent spouse or child.

S = Rate applicable to staff members with no dependent spouse or child.

\* The normal qualifying period for in-grade movement between consecutive steps is one year, except at those steps marked with an asterisk for which a two-year period at the preceding step is required.

# Pensionable remuneration for purposes of pension benefits and pension contributions

(United States dollars)

## Effective 1 September 2005

	S T E P S														
Level	Ι	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	XIII	XIV	XV
L-7	197,012	201,491	205,967	210,440	214,917	219,392									
L-6	179,070	182,743	186,414	190,079	193,751	197,606	201,541	205,475	209,403						
L-5	149,007	152,130	155,252	158,378	161,500	164,622	167,744	170,871	173,991	177,114	180,238	183,368	186,716		
L-4	121,630	124,641	127,644	130,650	133,662	136,665	139,672	142,682	145,687	148,691	151,696	154,713	157,715	160,722	163,731
L-3	99,966	102,520	105,071	107,618	110,173	112,724	115,274	117,830	120,501	123,292	126,080	128,868	131,659	134,447	137,238
L-2	82,012	84,299	86,577	88,860	91,142	93,424	95,706	97,984	100,270	102,552	104,832	107,116			
L-1	63,862	66,061	68,252	70,444	72,638	74,829	77,026	79,216	81,410	83,603					

#### Appendix II

### STAFF RULES 111.1 AND 111.2

#### Rule 111.1

#### **Establishment**

(a) Joint Appeals Boards shall be established in New York, Geneva, Vienna and Nairobi and at such other duty stations as may be designated by the Secretary-General to consider and advise the Secretary-General regarding appeals filed under the terms of staff regulation 11.1.

- (b) Each Joint Appeals Board shall be composed of:
  - (i) Chairpersons appointed by the Secretary-General after selection by the joint staff-management machinery in respect of the staff representative body or bodies at the duty station at which the Board is established;
  - (ii) Members appointed by the Secretary-General;
  - (iii) An equal number of members elected by ballot of the staff under the jurisdiction of the Board.

The number of chairpersons and members of each Board shall be determined by the Secretary-General upon recommendation of the joint staff-management machinery in respect of the staff representative body or bodies at the duty station at which the Board is established.

(c) The chairpersons and members of the Joint Appeals Board shall be appointed or elected for two years, shall be eligible for reappointment or re-election and shall remain in office until their successors are appointed or elected.

(d) A chairperson may be removed from a Joint Appeals Board by the Secretary-General upon recommendation of the joint staff-management machinery in respect of the staff representative body or bodies of the duty station at which the Board is established. The members appointed by the Secretary-General may be removed by him or her. The members elected by the staff may be recalled by a majority vote of the staff under the jurisdiction of the Board concerned, taken at the initiative of any staff representative body at the duty station at which that Board is established.

(e) Each Joint Appeals Board shall establish its own rules of procedure, which shall specify how its presiding officer and, where necessary, any alternate presiding officers shall be selected from among the chairpersons.

(f) Each Joint Appeals Board may, by a majority vote of all its chairpersons and members, recommend to the Secretary-General changes in the present chapter of the Staff Rules.

(g) The secretariat of each Joint Appeals Board shall consist of a secretary and such other staff as may be required for its proper functioning.

### Rule 111.2

#### **Appeals**

(a) A staff member wishing to appeal an administrative decision pursuant to staff regulation 11.1 shall, as a first step, address a letter to the Secretary-General requesting that the administrative decision be reviewed; such letter must be sent within two months from the date the staff member received notification of the decision in writing. The staff member shall submit a copy of the letter to the executive head of his or her department, office, fund or programme.

- (i) If the Secretary-General replies to the staff member's letter, he or she may appeal against the answer within one month of the receipt of such reply;
- (ii) If the Secretary-General does not reply to the letter within one month in respect of a staff member stationed in New York, or within two months in respect of a staff member stationed elsewhere, the staff member may appeal against the original administrative decision within one month of the expiration of the time limit specified in this subparagraph for the Secretary-General's reply.

(b) At any time after a request for review has been submitted but before a panel of the Joint Appeals Board has been constituted to hear an appeal, conciliation may be sought on the issues involved at the initiative of the Secretary-General, the staff member or the presiding officer of the Joint Appeals Board. The presiding officer shall refer the matter for that purpose to a chairperson or member of the Joint Appeals Board. This procedure is without prejudice to the right of the staff member to pursue an appeal under the provisions of this rule if the issues cannot be resolved through conciliation.

(c) Neither a request for administrative review under paragraph (a) above nor the filing of an appeal under paragraph (d) below shall have the effect of suspending action on the contested decision:

- However, the staff member concerned may request a suspension of action on such decision by writing to the Secretary of the appropriate Joint Appeals Board under paragraph (d) below. The request shall set forth the relevant facts and indicate how implementation would directly and irreparably injure the staff member's rights;
- (ii) Upon receipt of such a request, a panel of the Board shall be promptly constituted and shall act expeditiously. If the panel, after considering the views of both parties, determines that the decision has not been implemented and that its implementation would result in irreparable injury to the appellant, it may recommend to the Secretary-General the suspension of action on that decision:
  - <u>a</u>. Until the time limits specified in subparagraphs (a) (i) or (ii) have passed without an appeal having been filed; or
  - b. If an appeal is filed, until a decision on the appeal is taken;
- (iii) The Secretary-General's decision on such a recommendation is not subject to appeal.

(d) An appeal pursuant to paragraph (a) or a request for suspension of action pursuant to paragraph (c) above shall be filed with the Secretary of the appropriate Joint Appeals Board, to be determined as follows:

- (i) With respect to staff members serving at a duty station at which a Board has been established or who are administered by organizational units located at such duty station, it shall be the Board;
- With respect to former staff members who last served at a duty station at which a Board has been established or who were administered by organizational units located at such a duty station, it shall be that Board;
- (iii) With respect to all other staff members and all other former staff members, it shall be the Board established in New York, provided that the Secretary-General may decide, at the request of the staff member, to refer the appeal to another one of the Boards or to establish an appropriate ad hoc body. Such staff members or former staff members may meet the time limits specified in subparagraphs (a) (i) or (ii) by delivering the requisite submissions within such limits to any office of the United Nations for transmission to the appropriate Board.

# **Appendix III**

## EDUCATION GRANT ENTITLEMENTS APPLICABLE IN CASES WHERE EDUCATIONAL EXPENSES ARE INCURRED IN SPECIFIED CURRENCIES AND COUNTRIES

	(1)	(2)	(3)	(4)	(5)	(6)
	(1) Maximum admissible	(2)	(3)	(4) Additional flat rate for boarding (for	(3) Maximum grant for staff members	(0) Maximum admissible educational expenses for
Country/currency area	educational expenses and maximum grant for disabled children	Maximum education grant	Flat rate when boarding not provided	staff serving at designated duty stations)	serving at designated duty stations	attendance (only when flat rate for boarding is paid)
Part A						
Euro						
Austria	15 198	11 399	3 392	5 087	16 486	10 676
Belgium	14 446	10 835	3 147	4 720	15 555	10 251
Finland	9 082	6 812	2 382	3 572	10 384	5 907
France	10 263	7 697	2 716	4 074	11 771	6 641
Germany	18 993	14 245	3 794	5 690	19 935	13 935
Ireland	10 997	8 248	2 755	4 132	12 380	7 324
Italy	15 316	11 487	2 818	4 227	15 714	11 559
Luxembourg	14 446	10 835	3 147	4 720	15 555	10 251
Monaco	10 263	7 697	2 716	4 074	11 771	6 641
Netherlands	15 440	11 580	3 594	5 392	16 972	10 648
Spain	13 762	10 322	2 733	4 099	14 421	10 119
Danish krone	89 010	66 758	23 601	35 401	102 159	57 543
Japanese yen	2 324 131	1 743 098	525 930	788 895	2 531 993	1 622 891
Norwegian krone	71 632	53 724	18 338	27 507	81 231	47 181
Pound sterling	18 285	13 714	3 181	4 772	18 486	14 044
Swedish krona	100 733	75 550	22 569	33 853	109 403	70 641
Swiss franc	26 868	20 151	5 182	7 773	27 924	19 959
Part B						
United States dollar (outside the United States of America)	17 189	12 892	3 490	5 235	18 127	12 536
Part C						
United States dollar (in the United States)*	28 832	21 624	4 742	7 113	28 737	22 509

### (Effective as from the school year in progress on 1 January 2005)

\*Also applies, as a special measure, for China, Indonesia, Romania and the Russian Federation.

Where educational expenses are incurred in any of the currencies set out in the table above, the applicable amounts are set out in columns (1) to (6) against those currencies. Where educational expenses are incurred in the United States of America, the applicable amounts are set out in columns (1) to (6) against part C above. Where educational expenses are not incurred in any of the currencies set out in part A above or in the United States, the applicable amounts are set out in columns (1) to (6) against part B above.

### Attendance at an educational institution outside the duty station

- (i) Where the educational institution provides board, the amount shall be 75 per cent of the allowable costs of attendance and the costs of board up to the maximum indicated in column (1), with a maximum grant indicated in column (2) per year.
- (ii) Where the educational institution does not provide board, the amount shall be a flat sum as indicated in column (3), plus 75 per cent of the allowable costs of attendance up to a maximum grant as indicated in column (2) per year.

## Attendance at an educational institution at the duty station

- (iii) The amount shall be 75 per cent of the allowable costs of attendance up to the maximum indicated in column (1), with a maximum grant as indicated in column (2) per year.
- (iv) Where such an educational institution is located beyond commuting distance from the area where the staff member is serving and, in the opinion of the Secretary-General, no school in that area would be suitable for the child, the amount of the grant shall be calculated at the same rates as specified in (i) or (ii) above.

## <u>Staff serving at designated duty stations with inadequate or no education facilities with attendance at an</u> <u>educational institution at the primary or secondary level outside the duty station</u>

- (v) Where the educational institution provides board, the amount shall be:
  - <u>a</u>. 100 per cent of the costs of board up to the maximum indicated in column (4); and
  - <u>b</u>. 75 per cent of the allowable costs of attendance and of any part of the costs of board in excess of the amount indicated in column (4), with a maximum reimbursable amount as indicated in column (5).
- (vi) Where the educational institution does not provide board, the amount shall be:
  - <u>a</u>. A flat sum for board as indicated in column (4); and
  - <u>b</u>. 75 per cent of the allowable costs of attendance, with a maximum reimbursable amount as indicated in column (5).
- (vii) Paragraphs (v) and (vi) above are not applicable to special missions.