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# **Preparatory Commission for the International Criminal Court** Working Group on Rules of Procedure of the Assembly of States Parties

New York, 24 September-5 October 2001

# **Draft Rules of Procedure of the Assembly of States Parties**

# Discussion paper proposed by the Coordinator

## I. General

## Rule 1 Use of terms

For the purposes of these Rules:

"Assembly" means the Assembly of States Parties;

"Bureau" means the Bureau of the Assembly of States Parties as defined in article 112, paragraph 3 (a), of the Statute;

"the Court" means the International Criminal Court;

"Deputy Prosecutor" means the Deputy Prosecutor of the Court;

"judges" means the judges of the Court;

"Observer States" means States which have signed the Statute or the Final Act of the Rome Conference and which, pursuant to article 112, paragraph 1, of the Statute, may be observers in the Assembly;

"Presidency" means the organ composed of the President and the First and Second Vice-Presidents of the Court;

"Prosecutor" means the Prosecutor of the Court;

"Registrar" means the Registrar of the Court;

"Rules" means the Rules of Procedure of the Assembly of States Parties;

"Secretariat" means the Secretariat of the Assembly of States Parties;

"States Parties" means States Parties to the Statute;



"the Statute" means the Rome Statute of the International Criminal Court adopted on 17 July 1998 by the United Nations Diplomatic Conference of Plenipotentiaries on the Establishment of an International Criminal Court.

# Rule 2 Application

- 1. These Rules shall be applicable to the work of the Assembly, the Bureau and subsidiary bodies of the Assembly.
- 2. These Rules shall be applicable to the work of any Review Conference convened in accordance with article 121, paragraph 2, and article 123 of the Statute unless the Review Conference decides otherwise.

## II. Sessions

#### Rule 3

## **Sessions of the Assembly**

The Assembly shall hold regular and special sessions in accordance with paragraph 6 of article 112 of the Statute.

# Regular sessions

#### Rule 4

## Frequency of sessions

The Assembly shall meet in regular session once a year.

## Rule 5

## Date of commencement and duration

The date of commencement and duration of each session shall be decided by the Assembly at the previous session.

#### Rule 6

## Notification of session

The Secretariat shall notify the States Parties, the Observer States, the Court and the United Nations at least sixty days in advance of the opening of a regular session.

#### Rule 7

## Temporary adjournment of session

The Assembly may decide at any session to adjourn temporarily and resume its meetings at a later date.

# **Special sessions**

## Rule 8

#### Convening of special sessions

The Assembly may hold special sessions and fix the date of commencement and the duration of each such special session. Special sessions of the Assembly may also be convened by the Bureau on its own initiative or at the request of one third of the States Parties in accordance with paragraph 6 of article 112 of the Statute.

#### Rule 9

## Notification of special session

The Secretariat shall notify the States Parties, the Observer States, the Court and the United Nations at least twenty-one days in advance, of the opening of a special session.

# III. Agenda

# Regular sessions

#### Rule 10

## Communication of the provisional agenda

The provisional agenda for a regular session shall be communicated by the Secretariat to the States Parties, the Observer States, the Court and the United Nations at least sixty days before the opening of the session together with any supplementary documentation if necessary.

#### Rule 11

## Drawing up of the provisional agenda

- 1. The provisional agenda shall be drawn up by the Secretariat.
- 2. The provisional agenda shall include, inter alia:
  - (a) Items the inclusion of which has been decided at a previous session of the Assembly;
  - (b) Items relating to the organization of the session;
  - (b) (bis) Items relating to the adoption of normative texts;
  - (c) Items relating to the management oversight provided by the Assembly to the Presidency, the Prosecutor and the Registrar regarding the administration of the Court;
  - (d) Items pertaining to the budget for the Court, to annual financial statements and to a report of an independent auditor;
  - (e) Election of judges, and of the Prosecutor and of one or more Deputy Prosecutors, and elections to fill vacancies in the membership of the Court;
  - (f) Reports from the Bureau;

- (g) Items relating to any matter submitted to the Assembly of States Parties by the Court pursuant to article 87, paragraphs 5 and 7, of the Statute;
- (h) Any report by any organ of the Court on its work;
- (i) Any item proposed by any State Party;
- (j) Any item proposed by the Court.
- 3. The United Nations may propose items for consideration by the Assembly. In such cases, the Secretary-General shall notify the President of the Bureau of the Assembly accordingly, providing any relevant information with a view to the possible inclusion of such item in the provisional agenda of the following session of the Assembly.

## Supplementary items

Any State Party, the Court or the Bureau may, at least thirty days before the date fixed for the opening of a regular session, request the inclusion of supplementary items in the agenda. Such items shall be placed on a supplementary list, which shall be communicated to the States Parties, to Observer States and the Court at least twenty days before the opening of the session.

#### Rule 13

# **Additional items**

Additional items of an important and urgent character, proposed for inclusion in the agenda less than thirty days before the opening of a regular session or during a session, may be placed on the agenda of the Assembly if the Assembly so decides by a majority of the members present and voting.

## **Special sessions**

## Rule 14

## Communication of the provisional agenda

The provisional agenda of the special session shall be communicated by the Secretariat to the States Parties, to Observer States, the Court and the United Nations at least fourteen days before the opening of the session.

#### Rule 15

#### Provisional agenda

The provisional agenda of a special session shall consist only of those items proposed in the request for the holding of the session.

## Rule 16

## **Supplementary items**

Any State Party, the Bureau or the Court may, at least seven days before the date fixed for the opening of a special session, request the inclusion of supplementary items in the agenda. Such items shall be placed on a supplementary

list, which shall be communicated to the States Parties, to Observer States, the Court and the United Nations.

#### Rule 17

#### Additional items

During a special session additional items may be added to the agenda by a twothirds majority of the members of the Assembly present and voting.

# Regular and special sessions

#### Rule 18

## **Explanatory memorandum**

Any item proposed for inclusion in the agenda shall be accompanied by an explanatory memorandum and, if possible, by basic documents or by a draft decision.

#### Rule 19

## Adoption of the agenda

At each session the provisional agenda and the supplementary list shall be submitted to the Assembly for approval as soon as possible after the opening of the session.

#### Rule 20

## Amendment and deletion of items

Items on the agenda may be amended or deleted by the Assembly by a majority of the members of the Assembly present and voting.

#### Rule 21

## Debate on the inclusion of items

Debate on the inclusion of an item in the agenda shall be limited to three speakers in favour of, and three against, the inclusion. The President may limit the time to be allowed to speakers under this rule.

#### Rule 22

## Modification of the allocation of expenses

No proposal for a modification of the allocation of expenses of the Court for the time being in force shall be placed on the agenda unless it has been communicated to the States Parties at least sixty days before the opening of the session.

# IV. Representation and credentials

## Rule 23

## Representation

1. Each State Party shall be represented by one representative, who may be accompanied by alternates and advisers.

- 2. Each Observer State may be represented in the Assembly by one designated representative, who may be accompanied by alternates and advisers.
- 3. The representative may designate an alternate or an adviser to act in his/her capacity.

#### Submission of credentials

The credentials of representatives of States Parties and the names of alternates and advisers shall be submitted to the Secretariat if possible not later than twenty-four hours after the opening of the session. The credentials shall be issued by the Head of State or Government or by the Minister for Foreign Affairs or by a person authorized by either of them.

#### Rule 25

#### **Credentials Committee**

A Credentials Committee shall be appointed at the beginning of each session. It shall consist of representatives of nine States Parties, which shall be appointed by the Assembly on the proposal of the President. The Committee shall elect its own officers. It shall examine the credentials of representatives of States Parties and report to the Assembly without delay.

#### Rule 26

#### Provisional admission to a session

Pending a decision of the Assembly upon their credentials, representatives of States Parties shall be entitled to participate provisionally in the Assembly.

## Rule 27

## Objection to the representation

If an objection is raised against a representation of a State Party, such objection shall be considered by the Credentials Committee forthwith. The report thereon shall be submitted to the Assembly without delay. Any representative of a State Party to whose admission a State Party has made objection shall be seated provisionally with the same rights as other representatives pending the decision of the Assembly.

## Rule 28

# Notification regarding participation of representatives of Observer States

The names of designated representatives of Observer States and of alternates and advisers who accompany them shall be submitted to the Secretariat.

## V. Bureau

#### Rule 29

#### Composition and function

1. The Assembly shall have a Bureau consisting of the President, who shall preside, two Vice-Presidents and eighteen members elected by the Assembly from

among the representatives of the States Parties for three-year terms. The Bureau shall assist the Assembly in the discharge of its responsibilities.

- 2. The Bureau shall have a representative character, taking into account, in particular, equitable geographical distribution and the adequate representation of the principal legal systems of the world.
- 3. The Bureau shall meet as often as necessary, but at least once a year.

#### VI. President and Vice-Presidents

#### Rule 30

## **General powers of the President**

- 1. In addition to exercising the powers conferred upon him/her elsewhere by these Rules, the President shall declare the opening and closing of each plenary meeting of the session, direct the discussions in plenary meetings, ensure observance of these Rules, accord the right to speak, put questions and announce decisions. The President shall rule on points of order and, subject to these Rules, shall have complete control of the proceedings at any meeting and over the maintenance of order thereat. The President may, in the course of the discussion of an item, propose to the Assembly the limitation of the time to be allowed to speakers, the limitation of the number of times each representative may speak, the closure of the list of speakers or the closure of the debate and the suspension or the adjournment of the meeting or the adjournment of the debate on the item under discussion.
- 2. The President, in the exercise of his/her functions, remains under the authority of the Assembly.

#### Rule 31

#### **Voting rights of the President**

The President, or a Vice-President acting as President, shall not vote but shall designate another member of his/her delegation to vote in his/her place.

#### Rule 32

# **Acting President**

- 1. If the President finds it necessary to be absent during a meeting or any part thereof, he/she shall designate one of the Vice-Presidents to take his/her place.
- 2. A Vice-President acting as President shall have the same powers and duties as the President.

#### Rule 33

## Replacement of the President

If the President is unable to perform his/her functions, a new President shall be elected for the unexpired term.

# VII. Participation of the President of the Court, the Prosecutor and the Registrar

# Rule 34 Participation

The President of the Court, the Prosecutor and the Registrar or their representatives may participate, as appropriate, in meetings of the Assembly and the Bureau in accordance with the provisions of these Rules and may make oral or written statements and provide information on any question under consideration.

# VIII. Participation of the United Nations

#### Rule 35

## **Participation of the United Nations**

- 1. The United Nations shall have a standing invitation to participate, without the right to vote, in the work and deliberations of the Assembly.
- 2. When issues of interest to the United Nations are taken up by subsidiary bodies, the Secretary-General, if he so desires, or his representative, may participate in the work and deliberations of such subsidiary bodies. The Secretary-General or his representative may make statements, in oral or written form, in the deliberations.

#### Rule 36

## Participation of the Secretary-General

The Secretary-General of the United Nations may participate in meetings of the Assembly and the Bureau. He/She may also designate a member of the United Nations Secretariat to participate on his/her behalf. He/She may make oral or written statements concerning any question under consideration by the Assembly which pertains to United Nations activities and provide information as appropriate.

## IX. Secretariat

## Rule 37

## **Duties of the Secretariat**

The Secretariat shall receive, translate, reproduce and distribute documents, reports and decisions of the Assembly, the Bureau and any subsidiary bodies that may be established by the Assembly; interpret speeches made at the meetings; prepare, print and circulate, if so decided by the Assembly or the Bureau, the records of the session; have the custody and proper preservation of the documents in the archives of the Assembly; distribute all documents of the Assembly and the Bureau; and, generally, perform all other work which the Assembly or the Bureau may require.

# X. Languages

#### Rule 38

#### Official and working languages

Arabic, Chinese, English, French, Russian and Spanish, which are both the official and working languages of the General Assembly of the United Nations, shall be the official and working languages of the Assembly (hereinafter "languages of the Assembly").

#### Rule 39

## Interpretation

- 1. Speeches made in an official and working language of the Assembly shall be interpreted into the other languages of the Assembly.
- 2. Any representative may make a speech in a language other than the languages of the Assembly. In that case the representative shall provide for interpretation into one of the languages of the Assembly. Interpretation into the other languages of the Assembly by the interpreters of the Secretariat may be based on the interpretation given in the first such language.

#### Rule 40

## Languages of decisions and other documents

All decisions and other official documents shall be published in all languages of the Assembly.

## XI. Records

## Rule 41

## Sound recordings

The Secretariat shall make and keep sound recordings of meetings of the Assembly and the Bureau and, when so decided, of any subsidiary body.

## XII. Public and private meetings

## Rule 42

## General principles

- 1. The meetings of the Assembly shall be held in public unless the Assembly decides that exceptional circumstances require that the meetings be held in private.
- 2. As a general rule, meetings of the Bureau and of subsidiary bodies with limited membership shall be held in private unless the body concerned decides otherwise.
- 3. Meetings of subsidiary bodies which are open to all States Parties shall be held in public unless the body concerned decides otherwise.
- 4. Decisions of the Assembly and the Bureau taken at a private meeting shall be announced at the following public meeting. At the close of a private meeting of the Bureau or of any subsidiary body, the President or the presiding officer may issue a communiqué through the Secretariat.

# XIII. Minute of silent prayer or meditation

## Rule 43

## Invitation to silent prayer or meditation

Immediately after the opening of the first plenary meeting and immediately preceding the closing of the final plenary meeting, the President shall invite the representatives to observe one minute of silence dedicated to prayer or meditation.

## XIV. Conduct of business

#### Rule 44

#### **Quorum**

- 1. The President may declare a meeting open and permit the debate to proceed when at least one third of the States Parties participating in the session are present.
- 2. The presence of an absolute majority of the States Parties constitutes the quorum for voting on matters of substance.

## Rule 45

## Speeches

No representative may address the Assembly without having previously obtained the permission of the President. The President shall call upon speakers in the order in which they signify their desire to speak. The President may call a speaker to order if his/her remarks are not relevant to the question under consideration.

#### Rule 46

#### Precedence

The Presiding Officer of a subsidiary body may be given precedence for the purpose of explaining the conclusions arrived at by that organ.

## Rule 47

## Statements by the President of the Court, the Prosecutor and the Registrar

The President of the Court, the Prosecutor and the Registrar or their representatives may make either written or oral statements to the Assembly or the Bureau on any question under their consideration.

#### Rule 48

#### Statements by the Secretariat

The chief officer of the Secretariat, or a member of the Secretariat designated by him/her as his/her representative, may make either oral or written statements to the Assembly concerning any question under consideration by it.

# Rule 49 Points of order

During the discussion of any matter, a representative of a State Party may rise to a point of order, and the point of order shall be immediately decided by the President in accordance with these Rules. A representative of a State Party may appeal against the ruling of the President. The appeal shall be immediately put to the vote, and the President's ruling shall stand unless overruled by a majority of the States Parties present and voting. A representative rising to a point of order may not speak on the substance of the question under consideration.

#### Rule 50

## Time limit on speeches

The Assembly may limit the time to be allowed to each speaker and the number of times each representative may speak on any question. Before a decision is taken, two representatives of States Parties may speak in favour of, and two against, a proposal to set such limits. When the debate is limited and a representative exceeds his/her allotted time, the President shall call him/her to order without delay.

#### Rule 51

## Closing of list of speakers and right of reply

During the course of a debate, the President may announce the list of speakers and, with the consent of the Assembly, declare the list closed. The President may, however, accord the right of reply to a representative if a speech delivered after he/she has declared the list closed makes this desirable.

## Rule 52

## Adjournment of debate

During the discussion of any matter, a representative of a State Party may move the adjournment of the debate on the item under discussion. In addition to the proposer of the motion, two representatives of States Parties may speak in favour of, and two against, the motion, after which the motion shall be immediately put to the vote. The President may limit the time to be allowed to speakers under this rule.

## Rule 53

## **Closure of debate**

A representative of a State Party may at any time move the closure of the debate on the question under discussion, whether or not any other representative has signified his/her wish to speak. Permission to speak on the motion shall be accorded only to two representatives of States Parties opposing the closure, after which the motion shall be immediately put to the vote. If the Assembly is in favour of the closure, the President shall declare the closure of the debate. The President may limit the time to be allowed to speakers under this rule.

## Suspension or adjournment of the meeting

During the discussion of any matter, a representative of a State Party may move the suspension or the adjournment of the meeting. Such motion shall not be debated, but shall be immediately put to the vote. The President may limit the time to be allowed to the speakers moving the suspension or adjournment of the meeting.

#### Rule 55

#### Order of procedural motions

Subject to rule 48, the following motions shall have precedence in the following order over all other proposals or motions before the meeting:

- (a) To suspend the meeting;
- (b) To adjourn the meeting;
- (c) To adjourn the debate on the question under discussion;
- (d) To close the debate on the question under discussion.

#### Rule 56

## Proposals and amendments

Proposals and amendments shall normally be submitted in writing to the Secretariat, which shall circulate copies to the delegations. As a general rule, no proposal shall be discussed or put to the vote at any meeting unless copies of it have been circulated to all delegations in all languages of the Assembly not later than the day preceding the meeting. The President may, however, permit the discussion and consideration of amendments, or of motions as to procedure, even though such amendments and motions have not been circulated or have only been circulated the same day.

#### Rule 57

# **Decisions on competence**

Subject to rule 55, any motion by a State Party calling for a decision on the competence of the Assembly to adopt a proposal submitted to it shall be put to the vote before a decision is taken on the proposal in question.

## Rule 58

#### Withdrawal of motions

A motion may be withdrawn by its proposer at any time before voting on it has commenced, provided that the motion has not been amended. A motion thus withdrawn may be reintroduced by a representative of any State Party.

## Rule 59

## Reconsideration of proposals

When a proposal has been adopted or rejected, it may not be reconsidered at the same session unless the Assembly, by a two-thirds majority of the States Parties present and voting, so decides. Permission to speak on a motion to reconsider shall be accorded only to two representatives of States Parties opposing the motion, after which it shall be immediately put to the vote.

# XV. Decision-making

#### Rule 60

## **Voting rights**

Subject to article 112, paragraph 8, of the Statute, each State Party shall have one vote.

#### Rule 61

#### Consensus

Every effort shall be made to reach decisions in the Assembly and in the Bureau by consensus. If consensus cannot be reached, decisions shall be taken by vote.

#### Rule 62

## Consideration of financial implications

Before the Assembly takes a decision having financial implications, it shall receive and consider a report on such implications from the Secretariat or from the Registrar as appropriate, according to the subject matter, for decisions having financial or administrative implications relating to the Court.

#### Rule 63

#### **Decisions on matters of substance**

Subject to rule 61, and except as otherwise provided in the Statute as reflected in these Rules, decisions on matters of substance must be approved by a two-thirds majority of those present and voting.

## Rule 64

## **Decisions on matters of procedure**

- 1. Subject to rule 61 and except as otherwise provided in the Statute as reflected in these Rules, decisions on matters of procedure shall be taken by a simple majority of States Parties present and voting.
- 2. If the question arises whether a matter is one of procedure or of substance, the President shall rule on the question. An appeal against this ruling shall immediately be put to the vote and the President's ruling shall stand unless the appeal is approved by a simple majority of the States Parties present and voting.

#### Rule 65

# Decisions on amendments to proposals relating to matters of substance

Decisions on amendments to proposals relating to matters of substance, and on parts of such proposals put to the vote separately, shall be made by a two-thirds majority of the States Parties present and voting.

## Meaning of the phrase "States Parties present and voting"

For the purposes of these Rules, the phrase "States Parties present and voting" means States Parties present and casting an affirmative or negative vote. States Parties which abstain from the voting shall be considered as not voting.

# Rule 67 Method of voting

- 1. The Assembly shall, in the absence of mechanical or electronic means for voting, vote by show of hands or by standing, but a representative of any State Party may request a roll-call. The roll-call shall be taken in the English alphabetical order of the names of the States Parties, beginning with the State Party whose name is drawn by lot by the President. The name of each State Party shall be called in any roll-call, and its representative shall reply "yes", "no" or "abstention". The result of the voting shall be inserted in the records in the English alphabetical order of the names of the States Parties.
- 2. When the Assembly votes by mechanical or electronic means, a non-recorded vote shall replace a vote by show of hands or by standing and a recorded vote shall replace a roll-call vote. A representative of a State Party may request a recorded vote. In the case of a recorded vote, the Assembly shall, unless a representative of a State Party requests otherwise, dispense with the procedure of calling out the names of the States Parties; nevertheless, the result of the voting shall be inserted in the record in the same manner as that of a roll-call vote.

#### Rule 68

## Conduct during voting

After the President has announced the commencement of voting, no representative of a State Party may interrupt the voting, except that representatives of States Parties may interrupt on a point of order in connection with the actual conduct of the voting.

#### Rule 69

#### **Explanation of vote**

Representatives of States Parties may make brief statements consisting solely of explanations of their votes before the voting has commenced or after the voting has been completed. The representative of a State Party sponsoring a proposal or motion shall not speak in explanation of vote thereon, except if it has been amended. The President may limit the time to be allowed for such explanations.

#### Rule 70

# Division of proposals and amendments

A representative of a State Party may move that parts of a proposal or of an amendment be voted on separately. If objection is made to the request for division, the motion for division shall be voted upon. Permission to speak on the motion for division shall be given only to two speakers in favour and two speakers against. If the motion for division is carried, those parts of the proposal or of an amendment which are approved shall then be put to the vote as a whole. If all operative parts of

the proposal or of the amendment have been rejected, the proposal or the amendment shall be considered to have been rejected as a whole.

#### Rule 71

## Order of voting on amendments

When an amendment is moved to a proposal, the amendment shall be voted on first. When two or more amendments are moved to a proposal, the Assembly shall first vote on the amendment furthest removed in substance from the original proposal and then on the amendment next furthest removed therefrom, and so on until all the amendments have been put to the vote. Where, however, the adoption of one amendment necessarily implies the rejection of another amendment, the latter amendment shall not be put to the vote. If one or more amendments are adopted, the amended proposal shall then be voted upon. A motion is considered an amendment to a proposal if it merely adds to, deletes from or revises part of the proposal.

#### Rule 72

## Order of voting on proposals

If two or more proposals relate to the same question, the Assembly shall, unless it decides otherwise, vote on the proposals in the order in which they have been submitted. The Assembly may, after each vote on a proposal, decide whether to vote on the next proposal.

#### Rule 73

#### Rules of Procedure and Evidence

- 1. The Rules of Procedure and Evidence shall be adopted by the Assembly by a two-thirds majority of the members of the Assembly.
- 2. Any provisional Rules of Procedure and Evidence drawn up in accordance with article 51, paragraph 3, of the Statute shall be put before the next ordinary or special session of the Assembly for its decision as to their adoption, amendment or rejection.
- 3. Amendments to the Rules of Procedure and Evidence, proposed in accordance with article 51, paragraph 2, of the Statute, shall be forwarded to the President of the Bureau, who shall ensure their translation into all official languages of the Court and transmission to the States Parties. Such amendments shall enter into force upon adoption by a two-thirds majority of the members of the Assembly.

#### Rule 74

#### **Elements of Crimes**

- 1. The Elements of Crimes shall be adopted by the Assembly by a two-thirds majority of the members of the Assembly.
- 2. Amendments to the Elements, proposed in accordance with article 9, paragraph 2, of the Statute, shall be forwarded to the President of the Bureau, who shall ensure their translation into the official languages of the Court and transmission to the States Parties. Such amendments shall enter into force upon adoption by a two-thirds majority of the members of the Assembly.

## Increase or reduction in the number of judges

Any proposal by the Presidency, acting on behalf of the Court, regarding an increase or a subsequent reduction in the number of judges, submitted pursuant to article 36, paragraph 2, of the Statute, shall be considered adopted if approved by a vote of two thirds of the members of the Assembly and shall enter into force at such time as decided by the Assembly.

#### Rule 76

## Amendments to the Statute

Amendments to the Statute, proposed pursuant to article 121, paragraph 1, and article 122, paragraph 1, of the Statute on which consensus cannot be reached shall be adopted by the Assembly or by a Review Conference, by a two-thirds majority of States Parties.

#### Rule 77

## **Elections of officers of the Assembly**

All elections of officers of the Assembly shall be held by secret ballot unless, in the absence of any objection, the Assembly decides to proceed without taking a ballot on an agreed candidate or slate.

#### Rule 78

## Restricted balloting for one elective place

When only one person or State Party is to be elected and no candidate obtains in the first ballot the majority required, a second ballot shall be taken, which shall be restricted to the two candidates obtaining the largest number of votes. If in the second ballot the votes are equally divided, and a majority is required, the President shall decide between the candidates by drawing lots. If a two-thirds majority is required, the balloting shall be continued until one candidate secures two thirds of the votes cast; provided that after the third inconclusive ballot, votes may be cast for any eligible person or State Party. If three such unrestricted ballots are inconclusive, the next three ballots shall be restricted to the two candidates who obtained the greatest number of votes in the third of the unrestricted ballots, and the following three ballots thereafter shall be unrestricted, and so on until a person or State Party is elected. These provisions shall not prejudice the application of rules 85 and 86.

#### Rule 79

# Restricted balloting for two or more elective places

When two or more elective places are to be filled at one time under the same conditions, those candidates obtaining in the first ballot the majority required shall be elected. If the number of candidates obtaining such majority is less than the number of persons or States Parties to be elected, there shall be additional ballots to fill the remaining places, the voting being restricted to the candidates obtaining the greatest number of votes in the previous ballot to a number not more than twice the places remaining to be filled; provided that after the third inconclusive ballot, votes may be cast for any eligible person or State Party. If three such unrestricted ballots are inconclusive, the next three ballots shall be restricted to the candidates who obtained the greatest number of votes in the third of the unrestricted ballots, to a

number not more than twice the places remaining to be filled, and the following three ballots thereafter shall be unrestricted, and so on until all the places have been filled. These provisions shall not prejudice the application of rules 85 and 86.

#### Rule 80

# Equally divided votes

If a vote is equally divided on matters other than elections, the proposal or motion shall be regarded as rejected.

# XVI. Disciplinary proceedings

#### Rule 81

## Removal from office of a judge, the Prosecutor or a Deputy Prosecutor

- 1. On the receipt of a communication from the Presidency or the Prosecutor concerning a complaint or a recommendation for the removal from office of a judge, the Prosecutor or a Deputy Prosecutor, as the case may be, in accordance with rules 26 and 29, paragraphs 2 and 3, of the Rules of Procedure and Evidence, the President of the Bureau of the Assembly shall circulate such communication among the members of the Bureau and shall convene a meeting of the Bureau.
- 2. After having heard the person concerned, the Bureau, when the seriousness of the complaint and the nature of the evidence so warrant, may, in accordance with rule 28 of the Rules of Procedure and Evidence, suspend him/her from duty pending final decision.
- 3. After having gathered, with full respect of the rights of the person concerned as provided for in rule 27 of the Rules of Procedure and Evidence, all the relevant information for the purpose of deciding on the complaint, the Bureau shall transmit to the next ordinary or special session of the Assembly the communication received in accordance with paragraph 1, and the relevant materials, and a recommendation on the alleged responsibility of the person concerned.
- 4. A decision as to the removal from office of a judge, the Prosecutor or a Deputy Prosecutor shall be made by the Assembly as provided for in article 46, paragraph 2, of the Statute

#### Rule 82

#### Disciplinary measures

- 1. On the receipt of a communication from the Presidency or the Prosecutor concerning a complaint or recommendation for disciplinary measures against the Prosecutor or a Deputy Prosecutor, as the case may be, in accordance with rules 26 and 30, paragraphs 2 and 3 (b), of the Rules of Procedure and Evidence, the President of the Bureau of the Assembly shall circulate such communication among the members of the Bureau and shall convene a meeting of the Bureau.
- 2. After having gathered, with full respect of the rights of the person concerned as provided for in rule 27 of the Rules of Procedure and Evidence, all the relevant information for the purpose of deciding on the complaint, the Bureau shall adopt a decision in accordance with rule 30, paragraphs 2 and 3, of the Rules of Procedure and Evidence.

# XVII. Subsidiary bodies

#### Rule 83

## Establishment of subsidiary bodies

The Assembly may establish such subsidiary bodies as may be necessary, including an independent oversight mechanism for inspection, evaluation and investigation of the Court, in order to enhance its efficiency and economy.

#### Rule 84

## Rules of procedure of subsidiary bodies

Unless otherwise decided by the Assembly, these rules shall apply, mutatis mutandis, to the proceedings of subsidiary bodies, except that:

- (a) The presiding officer of a subsidiary body may exercise the right of vote;
- (b) The presence of representatives of a majority of the members of a subsidiary body shall be required for any decision to be taken.

# XVIII. Elections of the judges, the Prosecutor and the Deputy Prosecutors

#### Rule 85

## Elections of the judges

The elections of the judges and the elections to fill a vacancy shall be held in accordance with articles 36 and 37 of the Statute.

## Rule 86

# **Elections of the Prosecutor and the Deputy Prosecutors**

The elections of the Prosecutor and the Deputy Prosecutors shall take place in accordance with article 42, paragraphs 2, 3 and 4, of the Statute.

# XIX. Administrative and budgetary questions

#### Rule 87

## Staff regulations and guidelines

- 1. The Assembly shall approve the Staff Regulations which shall be proposed by the Registrar, in accordance with article 44, paragraph 3, of the Statute, and which include the terms and conditions upon which the staff of the Court shall be appointed, remunerated and dismissed. When approving the Staff Regulations, the Assembly shall ensure that they fully reflect the provisions of article 44, paragraphs 1 and 2, of the Statute.
- 2. The Assembly shall establish guidelines for the employment by the Court, in exceptional circumstances, of gratis personnel offered by States Parties, intergovernmental organizations or non-governmental organizations to assist with the work of any of the organs of the Court.

#### Regulations for financial administration

- 1. The Assembly shall adopt the Financial Regulations and Rules which, in addition to the Statute, shall govern all financial matters related to the Court and the meetings of the Assembly, including its Bureau and subsidiary bodies.
- 2. The Assembly shall adopt the criteria under which the Court may receive and utilize, as additional funds, voluntary contributions from Governments, international organizations, individuals, corporations and other entities.
- 3. The Assembly shall decide on salaries, allowances and expenses which shall be received by the judges, the Prosecutor, the Deputy Prosecutors, the Registrar and the Deputy Registrar.

#### Rule 89

#### **Trust Fund**

- 1. A Trust Fund shall be established by a decision of the Assembly, pursuant to article 79 of the Statute, for the benefit of victims of crimes within the jurisdiction of the Court, and of the families of such victims.
- 2. The Trust Fund shall be managed according to criteria to be determined by the Assembly.

## Rule 90

# Budget

The Assembly shall decide on the budget, which shall comprise the expenses of the Court and the Assembly, including its Bureau and subsidiary bodies.

## Rule 91

## **Contributions**

The Assembly shall decide on a scale of assessment, under which the contributions of States Parties to the budget shall be assessed, to be based on the scale adopted by the United Nations for its regular budget and adjusted in accordance with the principles on which that scale is based.

## XX. Participation of observers and other participants

## Rule 92

## **Observers**

- 1. Representatives designated by entities, intergovernmental organizations and other entities that have received a standing invitation from the General Assembly of the United Nations pursuant to its relevant resolutions to participate, in the capacity of observers, in its sessions and work have the right to participate as observers, without the right to vote, in the deliberations of the Assembly.
- 2. Representatives designated by regional intergovernmental organizations or other international bodies invited to the Rome Conference, accredited to the Preparatory Commission for the International Criminal Court or invited by the

Assembly may participate as observers, without the right to vote, in the deliberations of the Assembly.

3. The representatives referred to in paragraphs 1 and 2 above may also participate in the deliberations of subsidiary bodies under the conditions laid down in rule 42 of the present rules of procedure.

#### Rule 93

## Other participants

- 1. Non-governmental organizations invited to the Rome Conference, registered to the Preparatory Commission for the International Criminal Court, or having consultative status with the Economic and Social Council of the United Nations whose activities are relevant to the activities of the Court and other non-governmental organizations invited by the Assembly may, through their designated representatives:
- (a) Attend meetings of the Assembly and meetings of its subsidiary bodies under the conditions laid down in rule 42 of the present Rules of Procedure;
  - (b) Receive copies of official documents;
- (c) Upon the invitation of the President and subject to the approval of the Assembly, make oral statements through a limited number of representatives on questions relating to their activities at the opening and closing meetings of the Assembly;
- (d) Make oral statements through a limited number of representatives on questions relating to their activities at the opening and closing meetings of subsidiary bodies, when the subsidiary body concerned deems it appropriate.

## Rule 94

## States not having observer status

At the beginning of each session of the Assembly, the President may, subject to the approval of the Assembly, invite a given State which is not a party and does not have observer status to designate a representative to be present during the work of the Assembly. A representative who is so designated may be authorized by the Assembly to make a statement.

#### Rule 95

## Written statements

Written statements submitted by the designated representatives referred to in rules 92, 93 and 94 shall be made available by the Secretariat to representatives of the States Parties and Observer States in the quantities and in the language or languages in which the statements are made available to it, provided that a statement submitted on behalf of a non-governmental organization is related to the work of the Assembly and is on a subject in which the organization has a special competence. Written statements shall not be made at the expense of the Assembly and shall not be issued as official documents.

# XXI. Amendments

# Rule 96 Method of amendment

These Rules may be amended by a decision of the Assembly taken by a twothirds majority of the States Parties present and voting after the Bureau has reported on the proposed amendment.