

CBD



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CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY SERVING AS THE MEETING OF THE PARTIES TO THE NAGOYA PROTOCOL ON ACCESS TO GENETIC RESOURCES AND THE FAIR AND EQUITABLE SHARING OF BENEFITS ARISING FROM THEIR UTILIZATION Fourth meeting Kunming, China, 11-15 October 2021 and 25 April to 8 May 2022

REPORT OF THE CONFERENCE OF THE PARTIES TO THE CONVENTION ON BIOLOGICAL DIVERSITY SERVING AS THE MEETING OF THE PARTIES TO THE NAGOYA PROTOCOL ON ACCESS TO GENETIC RESOURCES AND THE FAIR AND EQUITABLE SHARING OF BENEFITS ARISING FROM THEIR UTILIZATION ON ITS FOURTH MEETING (PART I)

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I. DECISION ADOPTED BY THE CONFERENCE OF THE PARTIES SERVING AS THE MEETING OF THE PARTIES TO THE NAGOYA PROTOCOL ON ACCESS AND BENEFIT-SHARING

NP-4/1.Budget for the integrated programme of work of the Secretariat

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access and Benefit-sharing,

Recalling its decision $\frac{3}{16}$, in which it approved the budget for the biennium 2019-2020,

Recalling its decision NP-EM-1/1, in which it approved the extension of the budget for the biennium 2019-2020 and, on an exceptional basis, a core interim budget for 2021,

Considering the decision to hold the fourth meeting of the Conference of the Parties in two parts, Part I from 11 to 15 October 2021 and Part II from 25 April to 8 May 2022, due to the constraints caused by the COVID-19 pandemic situation, and that the consideration and adoption of a regular or full budget has been planned to take place during Part II of the meeting,

Noting, therefore, the need to make arrangements to allow the continued functioning of the organs of the Protocol, including its Secretariat and the meetings of its Parties and its subsidiary bodies,

Taking note of the proposal of the Bureau of the Conference of the Parties that, on an exceptional basis, the Parties consider and approve an interim budget for 2022 through agreed modalities,

Recalling the importance of early and easily understood information on financial and administrative matters, to enable Parties to benefit from and support an effective and efficient Secretariat,

Also recalling the need for inclusive decision-making at the meetings of the Conference of the Parties and of the Parties to its Protocols and, in particular, the provisions of paragraphs 35 to 44 of decision 14/37 on the Special Voluntary Trust Fund (BZ) for Facilitating the Participation of Parties in the Convention Processes,

Recognizing the exceptional nature of the circumstances arising from the pandemic and expressing solidarity with all Parties as they face the human and economic impacts of the ongoing pandemic,

Having considered the note by the Executive Secretary,¹

1. *Approves*, on an exceptional and interim basis, a core programme budget for the Nagoya Protocol on Access and Benefit-sharing of 2,028,350 United States dollars for the year 2022, representing 11 per cent of the integrated interim budget of 18,439,546 United States dollars for the year 2022, for the purposes listed in tables 1a and 1b below;

2. *Adopts* the scale of assessments for the apportionment of expenses for 2022, in accordance with the current scale of assessments for the apportionment of the expenses of the United Nations, as contained in table 2 of the present decision, noting that the scale of assessments for the triennium 2022-2024 is expected to be approved by the General Assembly of the United Nations in December 2021 and that the new scale of assessments will be applied, when released, to calculate the assessed contributions for 2022;

3. *Requests* the Executive Secretary to prepare proposals for the budget for the biennium 2023-2024 pending a decision by the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol regarding the dates of its fifth meeting;

4. *Notes with concern* that a number of Parties have not paid their contributions to the core budgets (BY, BG and BB trust funds) for 2020 and prior years, including Parties that have never paid their contributions, and also notes that, in accordance with the International Public Sector Accounting Standards adopted by the United Nations,² an amount estimated at \$146,000 for the Nagoya Protocol, outstanding at

¹ CBD/NP/MOP/4/3.

² See General Assembly resolution 60/283, sect. IV.

the end of 2020, must be deducted from the fund balance and therefore cannot be used for the benefit of all the Parties to the Protocol;

5. *Decides* to apply, mutatis mutandis, paragraphs 2 to 4 and 6 to 12, 14 to 17 and 19 of the decision adopted by the Conference of the Parties at its fifteenth meeting (Part I).

Table 1a

Integrated interim budget for the trust funds of the Convention on Biological Diversity and its Protocols for 2022

(Thousands of United States dollars)

Object of expenditure	2022
A. Staff costs	12,220.00
B. Travel on official business	275.00
C. Consultants/subcontractors	50.00
D. Public awareness material/communications	50.00
E. Temporary assistance/overtime	100.00
F. Training	5.00
G. Translation of CHM website/website projects	65.00
H. Meetings*	1,198.00
I. Rent and associated costs	1,481.22
J. General operating expenses	726.60
Sub-total (I)	16,170.82
II. Programme support costs (13%)	2,102.21
Sub-total (I + II)	18,273.03
III. Working Capital Reserve	166.51
Grand Total (II + III)	18,439.54
Nagoya Protocol share of the interim budget (11%)	2,028.35
Less: Contribution from host country	(184.12)
Less: Use of reserves from previous years	(115.50)
Net total (amount to be shared by Parties)	1,728.73

* Meetings to be funded from the interim budget for 2022 in complement to the carry-over as per table 1 above:

1/Fifteenth meeting of the Conference of the Parties to the Convention, tenth meeting of the Parties to the Cartagena Protocol, fourth meeting of the Parties to the Nagoya Protocol, held concurrently for 14 days.

2/ Resumed twenty-fourth meeting of the Subsidiary Body on Scientific, Technical and Technological Advice, third meeting of the Subsidiary Body on Implementation, and third meeting of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework for 17 days.

3/ It is expected that, at Part II of its fifteenth meeting, the Conference of the Parties will consider the need for budget allocations for Bureau and expert meetings to ensure the full and effective participation of developing countries and countries with economies in transition. Parties, through the Bureau, will continue to monitor the extraordinary circumstances related to the COVID-19 pandemic, and nothing in this decision should be understood as setting a precedent for or prejudging the format of future Bureaux meetings or expert meetings.

Table 1b

Resource requirements by division from the integrated core budgets for the year 2022 (*Thousands of United States dollars*)

	2022
I. Programmes:	
Office of the Executive Secretary	2,788.50
ABS and BS Protocols	2,336.50
Science, Society and Sustainable Futures Division	3,617.50
Implementation Support Division	4,300.75
II. Administration, Finance and Conference Services	3,127.57
Subtotal	16,170.82
Programme support costs	2,102.21
III. Working capital reserve	166.51
Total	18,439.54
Nagoya Protocol share of the interim budget (11%)	2,028.35
Less: Contribution from host country	(184.12)
Less: Use of reserves from previous years	(115.50)
Net total (amount to be shared by Parties)	1,728.73

Table 2Contributions to the Trust Fund for the Nagoya Protocol on Access and Benefit-sharing for 2022

	Party	Scale of assessments	Scale with 22% ceiling, no LDC paying more than 0.01% ³	Contributions as of 1 Jan 2022
1	Afghanistan	0.007	0.010	173
2	Albania	0.008	0.013	222
3	Angola	0.010	0.010	173
4	Antigua and Barbuda	0.002	0.003	56
5	Argentina	0.915	1.470	25,409
6	Austria	0.677	1.088	18,800
7	Belarus	0.049	0.079	1,361
8	Belgium	0.821	1.319	22,799
9	Benin	0.003	0.005	83
10	Bhutan	0.001	0.002	28
11	Bolivia (Plurinational State of)	0.016	0.026	444
12	Botswana	0.014	0.022	389
13	Brazil	2.948	4.736	81,865
14	Bulgaria	0.046	0.074	1,277
15	Burkina Faso	0.003	0.005	83
16	Burundi	0.001	0.002	28
17	Cambodia	0.006	0.010	167
18	Cameroon	0.013	0.021	361
19	Central African Republic	0.001	0.002	28
20	Chad	0.004	0.006	111
21	China	12.005	19.284	333,375

³ See paragraph 2.

			Scale with 22%	
		Scale of	ceiling, no LDC	Contributions as
	Party	assessments	paying more	of 1 Jan 2022
			than 0.01% ³	
22	Comoros	0.001	0.002	28
23	Congo	0.006	0.010	167
24	Côte d'Ivoire	0.013	0.021	361
25	Croatia	0.077	0.124	2,138
26	Cuba	0.080	0.129	2,222
27	Czechia	0.311	0.500	8,636
28	Democratic Republic of Korea	0.006	0.010	167
29	Democratic Republic of the Congo	0.010	0.010	173
30	Denmark	0.554	0.890	15,384
31	Djibouti	0.001	0.002	28
32	Dominican Republic	0.053	0.085	1,472
33	Ecuador	0.080	0.129	2,222
34	Egypt	0.186	0.299	5,165
35	Eritrea	0.001	0.002	28
36	Estonia	0.039	0.063	1,083
37	Eswatini	0.002	0.003	56
38	Ethiopia	0.010	0.010	173
39	European Union		2.500	43,218
40	Fiji	0.003	0.005	83
41	Finland	0.421	0.676	11,691
42	France	4.427	7.111	122,936
43	Gabon	0.015	0.024	417
44	Gambia	0.001	0.002	28
45	Germany	6.090	9.783	169,117
46	Ghana	0.015	0.024	417
47	Greece	0.366	0.588	10,164
48	Guatemala	0.036	0.058	1,000
49	Guinea	0.003	0.005	83
50	Guinea-Bissau	0.001	0.002	28
51	Guyana	0.002	0.003	56
52	Honduras	0.009	0.014	250
53	Hungary	0.206	0.331	5,721
54	India	0.834	1.340	23,160
55	Indonesia	0.543	0.872	15,079
56	Japan	8.564	13.757	237,820
57	Jordan Karalahatan	0.021	0.034	583
58	Kazakhstan	0.178	0.286	4,943
59	Kenya Viribati	0.024	0.039	666
60	Kiribati Kumuit	0.001	0.002	28
61	Kuwait	0.252	0.405	6,998
62 63	Kyrgyzstan	0.002	0.003	56 139
63 64	Lao People's Democratic Republic Lebanon	0.005	0.008	1,305
64 65	Lebanon	0.047 0.001	0.075	1,305
65 66	Liberia	0.001	0.002	28
67	Liberta	0.001	0.002	1,861
68	Madagascar	0.007	0.108	1,801
69	Madagascar Malawi	0.004	0.008	56
09	Ivialawi	0.002	0.005	30

$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	Contributions as of 1 Jan 2022 9,469 1111 1111 472 28 56 305 35,878 28 139
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96 Qatar 0.282 0.453 97 Republic of Korea 2.267 3.642 98 Republic of Moldova 0.003 0.005 99 Romania 0.198 0.318 100 Rwanda 0.003 0.005 101 Saint Kitts and Nevis 0.001 0.002	9,719
97 Republic of Korea 2.267 3.642 98 Republic of Moldova 0.003 0.005 99 Romania 0.198 0.318 100 Rwanda 0.003 0.005 101 Saint Kitts and Nevis 0.001 0.002	7,831
98 Republic of Moldova 0.003 0.005 99 Romania 0.198 0.318 100 Rwanda 0.003 0.005 101 Saint Kitts and Nevis 0.001 0.002	62,954
99 Romania 0.198 0.318 100 Rwanda 0.003 0.005 101 Saint Kitts and Nevis 0.001 0.002	83
100 Rwanda 0.003 0.005 101 Saint Kitts and Nevis 0.001 0.002	5,498
101 Saint Kitts and Nevis 0.001 0.002	83
	28
	28
102 Sanda 0.001 0.002 103 Sao Tome and Principe 0.001 0.002	28
103 Sao Tome and Timepe 0.001 0.002 104 Saudi Arabia 1.172 1.883	32,546
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	173
105 Schegal 0.007 0.010 106 Serbia 0.028 0.045	778
$\frac{100}{107} \frac{5000}{5000} \frac{5000}{5000} \frac{1000}{5000} \frac{5000}{5000} \frac{1000}{5000} \frac{1000}{500} \frac{1000}{500} \frac{1000}{500} \frac{1000}{500} \frac{1000}{500} \frac{1000}$	56
107 Seyenenes 0.002 0.003 108 Sierra Leone 0.001 0.002	28
108 Steria Leone 0.001 0.002 109 Slovakia 0.153 0.246	4,249
109 Slovaka 0.155 0.240 110 Solomon Islands 0.001 0.002	28
110 Solution Islands 0.001 0.002 111 South Africa 0.272 0.437	7,553
111 Sodul Allea 0.272 0.437 112 Spain 2.146 3.447	59,594
112 Span 2.140 5.447 113 Sudan 0.010 0.010	173
113 Sudan 0.010 0.010 114 Sweden 0.906 1.455	25,159
114 Sweden 0.500 1.435 115 Switzerland 1.151 1.849	31,963
116 Syrian Arab Republic 0.011 0.018	11 - 11
110 Synan Alab Republic 0.011 0.018 117 Tajikistan 0.004 0.006	31,903

	Party	Scale of assessments	Scale with 22% ceiling, no LDC paying more than 0.01% ³	Contributions as of 1 Jan 2022
118	Tunisia	0.025	0.040	694
119	Togo	0.002	0.003	56
120	Tonga	0.001	0.002	28
121	Turkmenistan	0.033	0.053	916
122	Tuvalu	0.001	0.002	28
123	Uganda	0.008	0.010	173
124	United Arab Emirates	0.616	0.990	17,106
125	United Kingdom of Great Britain and Northern Ireland	4.567	7.336	126,824
126	United Republic of Tanzania	0.010	0.010	173
127	Uruguay	0.087	0.140	2,416
128	Vanuatu	0.001	0.002	28
129	Venezuela	0.728	1.169	20,216
130	Viet Nam	0.077	0.124	2,138
131	Zambia	0.009	0.014	250
132	Zimbabwe	0.005	0.008	139
	Total	60.723	100.000	1,728,734

II. ACCOUNT OF PROCEEDINGS INTRODUCTION

1. Following the invitation of the Government of China and as decided by the Conference of the Parties in its decision XIII/33, the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization was scheduled to be held in Kunming, Yunnan Province, China, from 15 to 28 October 2020, concurrently with the fifteenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Convention on Biological Diversity and the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety. Owing to the ongoing coronavirus disease (COVID-19) pandemic, however, the meetings were postponed, initially until late May 2021 and then again as the pandemic persisted.

2. After extensive consultations and considering the challenges that the pandemic still posed for inperson meetings, the Bureau of the Conference of the Parties to the Convention on Biological Diversity, which also served as the Bureau of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, had decided, at its meeting held on 14 July 2021, to hold the fourth meeting of the Parties to the Nagoya Protocol in two parts: the first part (part I) to be held online from 11 to 15 October 2021 and the second part (part II) to be held in person in Kunming, China, from 25 April to 8 May 2022. Part I of the meeting was held online.

Attendance

3. All States were invited to participate in the meeting. The following Parties to the Nagoya Protocol attended:

Albania	Dominican Republic	Malaysia
Angola	Ecuador	Maldives
Antigua and Barbuda	Egypt	Malta
Argentina	Eritrea	Mauritius
Austria	Estonia	Mexico
Belarus	Ethiopia	Micronesia (Federated
Belgium	European Union	States of)
Benin	Fiji	Mozambique
Bhutan	Finland	Myanmar
Botswana	France	Namibia
Brazil	Gabon	Nepal
Bulgaria	Germany	Netherlands
Burkina Faso	Ghana	Nicaragua
Burundi	Greece	Niger
Cambodia	Guatemala	Norway
Cameroon	Guyana	Oman
Central African Republic	Honduras	Pakistan
Chad	Hungary	Peru
China	India	Philippines
Comoros	Indonesia	Portugal
Congo	Japan	Republic of Korea
Croatia	Jordan	Republic of Moldova
Cuba	Kenya	Romania
Czechia	Kuwait	Rwanda
Democratic People's	Lebanon	Saint Kitts and Nevis
Republic of Korea	Liberia	Saudi Arabia
Democratic Republic of the	Luxembourg	Senegal
Congo	Madagascar	Serbia
Denmark	Malawi	Seychelles

South Africa	Tunisia	Uruguay
Spain	Turkmenistan	Viet Nam
Sudan	Uganda	Zambia
Sweden	United Arab Emirates	Zimbabwe
Switzerland	United Kingdom of Great	
Tajikistan	Britain and Northern	
Togo	Ireland	
Tonga	United Republic of Tanzania	

4. The following States not party to the Nagoya Protocol were also represented: Algeria, Andorra, Armenia, Australia, Azerbaijan, Bahamas, Bahrain, Bangladesh, Belize, Bosnia and Herzegovina, Brunei Darussalam, Cabo Verde, Canada, Chile, Colombia, Costa Rica, Cyprus, Equatorial Guinea, Georgia, Grenada, Haiti, Holy See, Iceland, Iran (Islamic Republic of), Ireland, Israel, Italy, Jamaica, Latvia, Liechtenstein, Lithuania, Monaco, Morocco, New Zealand, Nigeria, North Macedonia, Paraguay, Poland, Russian Federation, Singapore, Slovenia, South Sudan, Sri Lanka, State of Palestine, Suriname, Thailand, Trinidad and Tobago, Turkey, Ukraine, United States of America.

Observers from the following United Nations bodies, specialized agencies, convention secretariats 5. and other bodies also attended: Convention on the Conservation of Migratory Species of Wild Animals

Food and Agriculture Organization of the United Nations Intergovernmental Platform on Biodiversity and Ecosystem Services International Fund for Agricultural Development International Maritime Organization Office of the United Nations High Commissioner for Human Rights Secretariat of the Carpathian Convention

UNESCO World Heritage Centre United Nations Conference on Trade and Development United Nations Convention to Combat Desertification United Nations Development Programme United Nations Environment Programme United Nations University World Health Organization

The following organizations were also represented as observers:

6. Access and Benefit Sharing Alliance Action for Ecology and People Emancipation (AEER) ActionAid International Adelphi Research gemeinnützige GmbH African Centre for Biodiversity African Indigenous Women Organization (Nairobi) African Institute for Development Policy African Union Development Agency-NEPAD African Wildlife Foundation Aichi Prefecture Amazon Cooperation Treaty Organization Andes Chinchasuyo Anglican Consultative Council Arab Center for the Studies of Arid Zones and Drv Lands Arabian Leopard Fund **ASEAN** Centre for Biodiversity Asia Indigenous Peoples Pact Foundation Asian Forest Cooperation Organization Asociación Ambiente y Sociedad

Association des 3 Hérissons Aube Nouvelle pour la Femme et le Développement Australian Conservation Foundation Avaaz BankTrack **Barnes Hill Community Development** Organization Beijing Chaoyang District Yongxu Global **Environmental Institute** Beijing Entrepreneur Environmental Protection Foundation Beijing Fuqun Social Service Center Beijing Haidian Shanshui Conservation Center Beijing Institute of Finance and Sustainability **Bioversity International BirdLife International** Born Free Foundation Brazilian Foundation for Sustainable Development British Ecological Society Carbon Disclosure Project (CDP)

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Caribbean Community Secretariat Center for Development Research (ZEF) China Association of Wild Plant Conservation China Biodiversity Conservation and Green **Development Foundation** China Dialogue Trust China Green Carbon Foundation Chinese Academy of Sciences ClientEarth Comité français de l'UICN Commonland Confederação Nacional da Indústria Congregation of the Sisters of St. Joseph of Peace Conselho Empresarial Brasileiro para o Desenvolvimento Sustentável **Conservation International** Cooperativa Autogestionaria de Servicios Profesionales para la Solidaridad Social, R.L. Coral Triangle Initiative on Coral Reefs, Fisheries and Food Security Cornell University CropLife International Derecho, Ambiente y Recursos Naturales Deutsches Zentrum für Luft - und Raumfahrt e.V. - German Aerospace Center DHI Water & Environment Duke Kunshan University **Duke University** Ecologistas en Acción **EcoNexus ECOROPA Elephant Protection Initiative Foundation** ETC Group European Bureau for Conservation and Development European Climate Foundation Forest Peoples Programme Forest Stewardship Council Forest Watch Indonesia Foundation for Aboriginal and Islander Research Action Fridtjof Nansen Institute Friends of the Earth Europe Fundación Ambiente y Recursos Naturales Fundación Gaia Amazonas Future Earth GenØk - Centre for Biosafety Georgetown University German Committee Future Earth **Ghent University Global Biodiversity Information Facility** Global Crop Diversity Trust

Global Forest Coalition Global Forum on Human Settlements **Global Industry Coalition** Global Initiative Against Transnational Organized Crime Global Youth Biodiversity Network Global Youth Online Union Govardhan Ecovillage Greater Virunga Transboundary Collaboration Green Anhui Greenpeace International **Griffith University** Group on Earth Observations Biodiversity Observation Network Guangzhou Yuexiu Climate Environmental Protection Exchange Center Hanns Seidel Foundation/Stiftung-Korea Office Heinrich Böll Foundation Helmholtz Centre for Environmental Research -UFZ **ICCA** Consortium ICLEI - Local Governments for Sustainability Imperial College London Indigenous Information Network Institut du développement durable et des relations internationales Institute for Biodiversity Network Institute for Environment and Sustainable Development Inter-American Institute for Cooperation on Agriculture International Association for Falconry and Conservation of Birds of Prey International Center for Integrated Mountain Development International Collective in Support of Fishworkers International Coral Reef Initiative International Council of Environmental Law International Environment Forum International Federation of Pharmaceutical Manufacturers and Associations International Fertilizer Association International Fund for Animal Welfare International Grain Trade Coalition International Indian Treaty Council International Indigenous Forum on Biodiversity International Institute for Environment and Development International Land Conservation Network International Network for Bamboo and Rattan International Network of Basin Organizations

International Partnership for the Satoyama Initiative International Planning Committee for Food Sovereignty **International Seed Federation** International Service for the Acquisition of Agribiotech Applications International Studies Association International Tropical Timber Organization International Union for Conservation of Nature (IUCN) International Union for the Protection of New Varieties of Plants International Union of Railways International University Network on Cultural and Biological Diversity International Whaling Commission **IPIECA** Japan Citizens' Network for Sustainable Food and Agriculture (FA-Net Japan) Japan Civil Network for the United Nations Decade on Biodiversity Japan Committee for IUCN Japan Environmental Lawyers for Future Japan Federation of Bar Associations Laotu Rural Ecological Culture Service Group in Yantian District, Shenzhen Latinoamérica Sustentable Les Eco Maires London School of Economics and Political Science Macquarie University Max Planck Institute for Social Anthropology Ministry of Foreign Affairs Missionary Society of St. Columban Mount Holyoke College Nagoya University Nankai University National Geographic Society National Institute for Environmental Studies Nature Québec North Carolina State University New Wind Association Nordic Development Fund Norwegian University of Life Sciences On the EDGE Conservation Panthera Parabukas Pesticide Eco-Alternatives Center Pondicherry India-China Friendship Association Protect Our Water and Environmental Resources Public Research and Regulation Initiative Rainforest Foundation Norway

Rainforest Trust Ramsar Convention on Wetlands Ramsar Network Japan Red de Cooperación Amazónica Red de Mujeres Indígenas sobre Biodiversidad para América Latina y el Caribe Red Indígena de Turismo de México (RITA) **Regions4** Sustainable Development Réseau des gestionnaires d'aires marines protégées de Méditerranée **Resources Legacy Fund** Round-Table on Sustainable Palm Oil Secretariat (RSPO) Royal Botanic Gardens, Kew Royal Society for the Protection of Birds Rueda de Medicina y Asociados, A.C. Sasakawa Peace Foundation Scion Research Seascape Consultants Ltd. Secretariat of the Pacific Regional Environment Programme Seikatsu Club Consumers' Co-operative Union Sierra Club Canada Foundation Society for the Preservation of Natural History Collections Society for Wetland Biodiversity Conservation -Nepal Society for Wildlife and Nature South Asia Co-operative Environment Programme South China Botanic Garden Southeast Asia Regional Initiatives for **Community Empowerment** Southern African Development Community Secretariat Stockholm Resilience Centre Sustainable Environment Food and Agriculture Initiative Team SPOON Tebtebba Foundation Terra de Direitos The Nature Conservancy The Pew Charitable Trusts Third World Network Tinker Institute on International Law and Organizations **TRAFFIC** International Tree Aid UCCLAN - University of Cambridge, Conservation Leadership Alumni Network Union for the Mediterranean University of Cambridge University of Guelph

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- University of Sydney University of Vienna Vitae-planeta Ltd. Wellcome Sanger Institute West African Economic and Monetary Union Wetlands International - Japan Wildlands Conservation Trust Wildlife Conservation Society Wildlife Justice Commission Winrock International Women Engage for a Common Future World Agroforestry Centre World Animal Protection World Business Council for Sustainable Development
- World Economic Forum World Federation for Animals World Organisation for Animal Health /Organisation mondiale de la santé animale World Overview of Conservation Approaches and Technologies World Tourism Cities Federation WWF International Yangtze River Delta Research Institute of Environmental Science and Technology Zhejiang Yunnan University of Finance and Economics Zoo and Aquarium Association Australasia Zoological Society of London

Organizational matters

Item 1. Opening of the meeting

7. Following the opening of the fifteenth meeting of the Conference of the Parties by Ms. Yasmine Fouad, Minister of Environment of Egypt and President of the Conference of the Parties at its fourteenth meeting, and the election of Mr. Runqiu Huang, Minister of Ecology and Environment of China, as President of the Conference of the Parties at its fifteenth meeting, the first part of the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol was opened at 3.30 p.m.⁴ on 11 October 2021 by Mr. Huang, as President of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol was opened at meeting of the Parties to the Nagoya Protocol at its fourth meeting.

8. Opening statements were made by Mr. Zheng Han, Vice-Premier of the Council of State of China; Ms. Inger Andersen, Executive Director of the United Nations Environment Programme, on behalf of Ms. Amina Mohammed, Deputy Secretary-General of the United Nations (via video); Mr. Chengfa Ruan, Communist Party Secretary of Yunnan Province; and Ms. Elizabeth Maruma Mrema, Executive Secretary of the Convention on Biological Diversity. Their statements are summarized in the report of the Conference of the Parties on part I of its meeting (CBD/COP/15/4).

9. General statements were made by representatives of Argentina (on behalf of the Latin American and Caribbean Group), the Democratic Republic of the Congo (on behalf of the African Group), Georgia (on behalf of the countries of Central and Eastern Europe), Kuwait (on behalf of the Asia-Pacific region), New Zealand (on behalf of Australia, Canada, Iceland, Israel, Monaco, Norway, the Republic of Korea, Switzerland, the United Kingdom of Great Britain and Northern Ireland and the United States of America) and Slovenia (on behalf of the European Union and its member States).

10. A statement was also made by the representative of the Amazon Cooperation Treaty Organization.

11. Further statements were made by representatives of the CBD Alliance, the CBD Women's Caucus, the Global Youth Biodiversity Network (GYBN), International Indigenous Forum for Biodiversity (IIFB), the Hani People of Yunnan, the International Council for Local Environmental Initiatives (ICLEI) (also on behalf of the Advisory Committee on Subnational Governments and Biodiversity (coordinated by Regions4 and the Government of Quebec) the Group of Leading Subnational Governments towards the Aichi Biodiversity Targets (coordinated by the Aichi Prefecture), the European Committee of the Regions and the Edinburgh Process partners) and the True Nature Conservation Association (also on behalf of Youth of China).⁵

⁴ All times shown in the present report are China Standard time (UTC+8).

⁵ Statements made by representatives, where provided to the Secretariat, can be found at <u>https://www.cbd.int/conferences/2021-2022/cop-15/documents</u>, as received from the Party or observer concerned.

Item 2. Organizational matters

Adoption of the agenda

12. At the first plenary session of part I of the meeting, on 11 October 2021, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the following agenda based on the provisional agenda prepared by the Executive Secretary in consultation with the Bureau (CBD/NP/MOP/4/1/Rev.1):

- 1. Opening of the meeting.
- 2. Organizational matters.
- 3. Report on the credentials of representatives to the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol.
- 4. Reports of subsidiary bodies.
- 5. Report of the Compliance Committee (Article 30).
- 6. Administration of the Protocol and budget for the trust funds.
- 7. Financial mechanism and resources (Article 25).
- 8. Measures to assist in capacity-building and capacity development (Article 22) and measures to raise awareness of the importance of genetic resources and associated traditional knowledge (Article 21).
- 9. The Access and Benefit-sharing Clearing-House and information-sharing (Article 14).
- 10. Monitoring and reporting (Article 29).
- 11. Cooperation with other international organizations, conventions and initiatives.
- 12. Review of the effectiveness of structures and processes.
- 13. Post-2020 global biodiversity framework.
- 14. Digital sequence information on genetic resources.
- 15. Specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol.
- 16. Global multilateral benefit-sharing mechanism (Article 10).
- 17. Other matters.
- 18. Adoption of the report.
- 19. Closure of the meeting.

Organization of work

13. At the first plenary session of part I of the meeting, on 11 October 2021, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided to address items 1 to 6 and 17 to 19 during part I of the meeting, according to the schedule set out in annex I to the proposed organization of work (CBD/NP/MOP/4/1/Add.2). It was understood that all those items would be addressed in plenary and that some would need to be further considered during part II of the meeting, at which time agenda items 7 to 16 would also be addressed.

Parallel events

14. Two related events, the Ecological Civilization Forum and the Non-Governmental Organizations' Action Forum, were held in connection with part I of the fifteenth meeting of the Conference of the Parties. More information on the forums can be found in the annex to the report of the Conference of the Parties on part I of its fifteenth meeting (CBD/COP/15/Part-I/4).

Election of officers

Election of the President

15. Pursuant to article 26, paragraph 3, of the Nagoya Protocol, the Bureau of the Conference of the Parties also serves as the Bureau of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol. Accordingly, Mr. Runqiu Huang, Minister of Ecology and Environment of China, who had been elected President of the fifteenth meeting of the Conference of the Parties, also served as the President of the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol.

Election of officers other than the President

16. Article 26, paragraph 3, of the Nagoya Protocol stipulated that any member of the Bureau of the Conference of the Parties who was representing a Party to the Convention that was not a Party to the Protocol must be substituted by a member elected by and from among the Parties to the Protocol. At its fourteenth meeting, the Conference of the Parties had elected 10 members of the Bureau to serve until the closure of the fifteenth meeting. Two representatives had subsequently been replaced by the Party concerned. At its third meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol had elected substitute Bureau members for those regions where the member of the Bureau of the Conference of the Party to the Convention that was not a Party to the Nagoya Protocol. Accordingly, the Bureau for the fourth meeting of the Conference of the Parties comprised:

Mr. Eric Okoree (Ghana)
Mr. Melesse Maryo (Ethiopia)
Mr. Vinod Mathur (India) (replacing Ms. Sujata Arora)
Ms. Leina El-Awadhi (Kuwait)
Mr. Dilovarsho Dustov (Tajikistan)
Ms. Elvana Ramaj (Albania)
Mr. Joaquin Salzberg (Argentina)
Ms. Helena Jeffery Brown (Antigua and Barbuda)
Ms. Gabriele Obermayr (Austria)
Ms. Marie Haraldstad (Norway)

17. As some of the work mandated by the Conference of the Parties at its fourteenth meeting was to continue until the closure of part II of the meeting, Mr. Hamdallah Zedan (Egypt) was invited to remain a member of the Bureau, ex officio, as the representative of the Presidency of the fourteenth meeting of the Conference of the Parties.

18. It was agreed that the officers who would take office at the closure of the fourth meeting and serve until the end of the fifth meetings would be elected at part II of the meeting, at which time the Conference of the Parties serving as the meeting of the Parties would elect replacements, as necessary, for those members of the Bureau that represented a Party to the Convention but not a Party to the Nagoya Protocol.

19. At the opening plenary session of part I of the meeting, on 11 October 2021, it was agreed, on the proposal of the Bureau, that Ms. Elvana Ramaj (Albania) would serve as Rapporteur for the meeting.

Election of officers of subsidiary bodies

20. At the opening session of part 1 of the meeting, on 11 October 2021, the Conference of the Parties decided to postpone the elections of the Chair of the Subsidiary Body on Scientific, Technical and Technological Advice and of the Chair of the Subsidiary Body on Implementation until the end of the second part of the meeting. The Conference of the Parties also decided to maintain the Co-Chairs of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework in office until the second part of the meeting.

Item 3. Report on the credentials of representatives to the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol

21. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took up agenda item 3 at the opening plenary session of part I of the meeting, on 11 October 2021. In accordance with rule 19 of the rules of procedure, the Bureau was to examine and report on the credentials of delegations. Accordingly, the President informed the body that the Bureau had designated Mr. Eric Okoree (Ghana), a Vice-President of the Bureau, to examine and report on credentials.

22. At the second plenary session of part I of the meeting, on 15 October 2021, Mr. Okoree informed the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol that 104 Parties were registered as attending the meeting. The Bureau had examined the credentials of the representatives of those Parties and had found that the credentials of 67 delegations were in full compliance with rule 18 of the rules of procedure, while the credentials of 37 delegations did not fully comply with rule 18 or had not yet been presented. As the Bureau understood the extraordinary situation facing some delegations, it was allowing delegates who had not yet submitted their credentials 30 days following the closure of meeting, or until 15 November 2021, to do so, and had requested the Executive Secretary to follow up on the matter.

Item 4. Reports of subsidiary bodies

23. Agenda item 4 was considered at the second session of part I of the meeting, on 15 October 2021. In considering the item, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol had before it the reports of the Subsidiary Body on Scientific, Technical and Technological Advice on its twenty-third meeting (CBD/SBSTTA/23/9) and part I of its twenty-fourth meeting CBD/SBSTTA/24/11); the Subsidiary Body on Implementation on part I of its third meeting (CBD/SBI/3/20); and the Open-ended Working Group on Post-2020 Global Biodiversity Framework on its first, second and part I of its third meetings (CBD/WG2020/1/5; CBD/WG2020/2/4; and CBD/WG2020/3/4).

24. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol then heard oral reports from, the Subsidiary Body on Scientific, Technical and Technological Advice, the Subsidiary Body on Implementation and the Co-Chairs of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework on the work done since the previous meeting of the Conference of the Parties, including an explanation of the various means used to circumvent the limitations imposed by the COVID-19 pandemic.

25. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took note of the reports on intersessional meetings of subsidiary bodies and agreed to consider the recommendations contained in the reports under the relevant agenda items at part II of the meeting.

Item 5. Report of the Compliance Committee

26. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took up agenda item 5 at the second session of part I of the meeting, on 15 October 2021.

27. Ms. Kauna Schroder, Chair of the Compliance Committee, introduced the report of the Committee (CBD/NP/MOP/4/2), which reflected the work done by the Committee at its third meeting. The Committee had considered a number of substantive matters, including the submission of interim national reports and a number of general issues of compliance. The substance of the recommendations of the Committee would be considered during part II of the meeting, when a more detailed and updated report would be provided.

28. The Chair of the Compliance Committee also said that the term of five of the Committee's members had been due to expire on 31 December 2020, but, as the fourth meeting of the Parties had been delayed, it had not been possible to elect new members before those terms expired. In consultation with the Bureau, the terms of those members had therefore been extended until 31 December 2021 and the election of new members was now foreseen to take place during part II of the meeting, in Kunming, in 2022.

29. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took note of the report by the Chair of the Compliance Committee and decided to defer consideration of the

recommendations of the Compliance Committee and the election of new members until part II of the meeting. It decided to extend for a further year the terms of the five Committee members; accordingly, their terms would now end on 31 December 2022.

Item 6. Administration of the Protocol and budget for the trust funds

30. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took up agenda item 6 at the opening plenary session of part I of the meeting, on 11 October 2021. In considering the item, it had before it an interim report of the Executive Secretary on the administration of the Convention and its Protocols, including the budget for the respective trust funds (CBD/NP/MOP/4/3).

31. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided to establish a budget contact group with a mandate to review the matter and prepare a draft budget for the programme of work for 2022, for the consideration of the Parties. The contact group was chaired by Mr. Spencer Thomas (Grenada), was open to all Parties and met informally at the invitation of its chair, with the meetings advertised in advance in the daily calendar of meetings.

32. At the second plenary session of part I of the meeting, on 15 October 2021, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took up draft decision CBD/NP/MOP/4/L.2, on the interim budget for the integrated programme of work of the Secretariat, presented by the chair of the budget contact group.

33. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted draft decision CBD/NP/MOP/4/L.2, as orally amended by the Secretariat, as decision NP-4/1.

Item 17. Other matters

34. No other matters were raised.

Item 18. Adoption of the report

35. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the present report at the second plenary session of part I of the meeting, on 15 October 2021, on the basis of the draft report presented by the Rapporteur (CBD/NP/MOP/4/Part1/L.1), on the understanding that it would be completed to reflect the full proceedings of the current meeting and that the full report would be considered and adopted at part II of the meeting.

Item 19. Closure of the meeting

36. At the second plenary session of part I of the meeting, on 15 October 2021, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol decided to suspend its fourth meeting and resume it from 25 April to 8 May 2022, in Kunming, China.

37. Following the customary exchange of courtesies, the first part of the meeting was formally closed at 5.10 p.m., on 15 October 2021.
