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STAFF RULES

STAFF REGULATIONS OF THE UNITED NATIONS AND STAFF RULES 200.1 to 212.7 APPLICABLE TO TECHNICAL ASSISTANCE PROJECT PERSONNEL



GENERAL ST/SGB/Staff Rules/2/Rev.5 11 December 1979

SECRETARY-GENERAL'S BULLETIN

To: Members of the staff

Subject: STAFF RULES APPLICABLE TO TECHNICAL ASSISTANCE

PROJECT PERSONNEL

1. Staff rules 200.I through 212.7, applicable to staff members specifically recruited for service with technical assistance projects, are hereby issued in a revised edition.

- 2. The text of the Staff Regulations of the United Nations in effect on 1 January 1979, which provide the authority for the issuance of these rules, is included in this edition, with each article of the regulations preceding each chapter of the related rules.
- 3. The revised edition incorporates the amendments to the Staff Rules that were published in ST/SGB/Staff Rules/2/Rev.4/Amend.1 of 1 July 1978. In addition, it introduces a number of new amendments as explained in paragraphs 4 to 12 below. These amendments, which are mainly designed to incorporate in the rules various provisions concerning improvements in staff allowances and benefits previously announced in administrative circulars, correspond to the changes introduced for the same purpose in the 100 series of the Staff Rules.
- 4. Rules 203.8, 209.8 and 209.11 are amended, with effect from 1 January 1979, as a consequence of the changes to the education grant, the repatriation grant and the death benefit adopted by the General Assembly in its resolution 33/119 of 19 December 1978.
- 5. Rule 203.8, Education grant, is amended to change the upper limit for eligibility to the education grant, to remove the restriction regarding non-payment of the education grant with respect to post-secondary studies at the duty station and to preserve the value of the education grant in non-United States currencies. The rule is also amended to introduce provisions concerning a special education grant for disabled children. The new

provisions are applicable to the school year in course on 1 January 1979 in the case of children in full-time attendance at an educational institution.

- 6. Rule 209.8, Conditions governing payment of repatriation grant, is amended to make the payment of the grant conditional upon presentation of actual evidence of relocation with respect to periods of eligibility arising after 1 July 1979.
- 7. Rule 209.11, Last day for pay purposes, is amended to incorporate the revised rates of the death benefit and to use pensionable remuneration less staff assessment as the basis for the calculation of this benefit.
- 8. In addition, the revised edition contains a number of other amendments as explained in paragraphs 9 to 12 below.
- 9. Rule 203.10, Installation grant, is amended with effect from 1 April 1979 to standardize the period of entitlement and the period for which this entitlement may be extended, and to introduce a unified scale for the lump-sum payable in field duty stations.
- 10. Rule 203.11, Assignment allowance, is amended with effect from 1 April 1979 to provide for an extension of the period of entitlement to the assignment allowance.
- 11. Rule 207.20, Excess baggage and unaccompanied shipments, is amended with respect to entitlement to unaccompanied shipments. Rule 207.21, Insurance, is amended to increase the maximum amounts of insurance coverage for such shipments. These rules are amended with effect from 1 May 1979.
- 12. Appendix I is amended to show the current schedule of pensionable remuneration which became effective on 1 January 1979.
- 13. The previous edition of these rules contained in document ST/SGB/Staff Rules/2/Rev.4 of 1 April 1977 and Amend.1 of 1 July 1978 is hereby superseded.

Kurt WALDHEIM Secretary-General

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STAFF REGULATIONS OF THE UNITED NATIONS

Scope and purpose

The Staff Regulations embody the fundamental conditions of service and the basic rights, duties and obligations of the United Nations Secretariat. They represent the broad principles of personnel policy for the staffing and administration of the Secretariat. The Secretary-General, as the Chief Administrative Officer, shall provide and enforce such staff rules consistent with these principles as he considers necessary.

STAFF RULES APPLICABLE TO TECHNICAL ASSISTANCE PROJECT PERSONNEL

Applicability and definitions

Rule 200.1

APPLICABILITY

- (a) Staff rules 200.1 through 212.7 are applicable to all project personnel appointed by the Secretary-General for service with technical assistance projects, except that:
 - (i) Project personnel appointed as interregional, regional and technical advisers shall be subject to staff rules 103.22 on assignment allowance, 107.21 on excess baggage and unaccompanied shipments, 107.22 on insurance and 107.27 on removal costs in lieu of rules 203.11 on assignment allowance, 207.20 on excess baggage and unaccompanied shipments and 207.21 on insurance;
 - (ii) Staff members appointed under staff rules 101.1 through 112.8 who are detailed to technical assistance projects for service of less than twelve months shall be subject to rules 203.9 on daily subsistence allowance and 203.12 on extension of tour of duty in addition to rules 101.1 through 112.8;
 - (iii) Staff members appointed under staff rules 101.1 through 112.8 who are assigned to technical assistance projects for service of twelve months or more shall be subject to rule 203.1 on salaries and related allowances in addition to rules 101.1 through 112.8;
- (b) Individuals who are specifically recruited to serve with technical assistance projects in the General Service category shall be appointed under staff rules 101.1 through 112.8;
- (c) Individuals who are recruited for service with a Government under the technical assistance programme for the provision of operational, executive and administrative personnel (OPEX/OPAS) shall be governed by the terms of their contracts:
- (d) Individuals engaged on special service agreements for service with technical assistance projects shall be governed by the terms of their agreements;
- (e) Associate experts provided by Governments to serve with project personnel under bilateral agreements between the United Nations and Governments shall be governed by the terms of such agreements and the terms of their letters of appointment.

Rule 200.2

DEFINITIONS

For the purposes of these rules:

- (a) "Secretary-General" shall mean the Secretary-General of the United Nations or his authorized delegate;
- (b) "Project personnel" shall mean individuals who are appointed under the Staff Regulations for service with projects in the various technical assistance programmes, except individuals specifically recruited to serve in the General Service category and individuals specifically recruited as associate experts under bilateral agreements between the United Nations and Governments;
- (c) "Official duty station" shall be the place designated by the Secretary-General as the base of operations for the individual;
- (d) "Mission area" shall normally be the country of assignment in which the official duty station is located, in special circumstances, the mission area may include two or more countries in the same geographic area;
- (e) "A change of official duty station" takes place when an individual is transferred from one mission area to another or when there is a change in the individual's base of operation from one city to another within a mission area;
 - (f) (i) Project personnel appointed for less than one year shall be deemed to be in short-term status:
 - (ii) Project personnel appointed for one year or more or whose appointments of less than one year are extended so that the total contractual service is twelve months or more, but less than five years, shall be deemed to be in intermediate-term status;
 - (iii) Project personnel holding fixed-term appointments of five years or longer or holding Programme Appointments (see rule 204.3) shall be deemed to be in long-term status. Project personnel in intermediate-term status who complete five years of service and have an appointment of at least one further year's duration shall be deemed to be in long-term status on the day following completion of five years' service;
- (g) "A separation from service" shall take place when there is a resignation, or a termination of the appointment by the Secretary-General. Separation from service shall also take place on expiration of a fixed-term appointment on the due date.

Article I

DUTIES, OBLIGATIONS AND PRIVILEGES

REGULATION 1.1: Members of the Secretariat are international civil servants. Their responsibilities are not national but exclusively international. By accepting appointment, they pledge themselves to discharge their functions and to regulate their conduct with the interests of the United Nations only in view.

REGULATION 1.2: Staff members are subject to the authority of the Secretary-General and to assignment by him to any of the aetivities or offices of the United Nations. They are responsible to him in the exercise of their functions. The whole time of staff members shall be at the disposal of the Secretary-General. The Secretary-General shall establish a normal working week.

REGULATION 1.3: In the performance of their duties members of the Secretariat shall neither seek nor accept instructions from any Government or from any other authority external to the Organization.

REGULATION 1.4: Members of the Secretariat shall conduct themselves at all times in a manner befitting their status as international civil servants. They shall not engage in any activity that is incompatible with the proper discharge of their duties with the United Nations. They shall avoid any action and in particular any kind of public pronouncement which may adversely reflect on their status, or on the integrity, independence and impartiality which are required by that status. While they are not expected to give up their national sentiments or their political and religious convictions, they shall at all times bear in mind the reserve and tact incumbent upon them by reason of their international status.

REGULATION 1.5: Staff members shall exercise the utmost discretion in regard to all matters of official business. They shall not communicate to any person any information known to them by reason of their official position which has not been made public, except in the course of their duties or by authorization of the Secretary-General. Nor shall they at any time use such information to private advantage. These obligations do not cease upon separation from the Secretariat.

REGULATION 1.6: No staff member shall accept any honour, decoration, favour, gift or remuneration from any Government excepting for war service; nor shall a staff member accept any honour, decoration, favour, gift or remuneration from any source external to the Organization, without first obtaining the approval of the Secretary-General. Approval shall be granted only in exceptional cases and where such acceptance is not incompatible with

the terms of regulation 1.2 of the Staff Regulations and with the individual's status as an international civil servant.

REGULATION 1.7: Staff members may exercise the right to vote but shall not engage in any political activity which is inconsistent with or might reflect upon the independence and impartiality required by their status as international civil servants.

REGULATION 1.8: The immunities and privileges attached to the United Nations by virtue of Article 105 of the Charter are conferred in the interests of the Organization. These privileges and immunities furnish no excuse to the staff members who enjoy them for non-performance of their private obligations or failure to observe laws and police regulations. In any case where these privileges and immunities arise, the staff member shall immediately report to the Secretary-General, with whom alone it rests to decide whether they shall be waived.

REGULATION 1.9: Members of the Secretariat shall subscribe to the following oath or declaration:

"I solemnly swear (undertake, affirm, promise) to exercise in all loyalty, discretion and conscience the functions entrusted to me as an international civil servant of the United Nations, to discharge these functions and regulate my conduct with the interests of the United Nations only in view, and not to seek or accept instructions in regard to the performance of my duties from any Government or other authority external to the Organization."

REGULATION 1.10: The oath or declaration shall be made orally by the Secretary-General at a public meeting of the General Assembly. All other members of the Secretariat shall make the oath or declaration before the Secretary-General or his authorized representative.

Chapter I

DUTIES, OBLIGATIONS AND PRIVILEGES

Rule 201.1

COMMUNICATION OF UNPUBLISHED INFORMATION

Project personnel shall exercise particular discretion in issuing statements to the press, radio or other agencies of public information, releasing any visual information for publication, or taking part in mass communication activities such as film, radio or television productions which are in any way related to their project activities or to the aims, activities or interests of the United Nations.

Rule 201.2

OATH OR DECLARATION

The oath or declaration administered under regulation 1.9 shall not prevent the close collaboration of project personnel with a Government pursuant to an agreement between that Government and the United Nations.

Rule 201.3

HOURS OF WORK AND OFFICIAL HOLIDAYS

- (a) Work schedules and official holidays shall be determined by the senior officer in the field with due regard to local conditions and practices and in consultation with representatives of other international organizations in the area.
- (b) The number of official holidays for any duty station shall not exceed eight days per year. When one of these official holidays falls on a non-working day, the following working day shall be observed as an official holiday in lieu thereof.
- (c) Project personnel who are nationals of any country which observes a national day may take that day as an additional holiday.



Article II CLASSIFICATION OF POSTS AND STAFF

REGULATION 2.1: In conformity with principles laid down by the General Assembly, the Secretary-General shall make appropriate provision for the classification of posts and staff according to the nature of the duties and responsibilities required.

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Article III

SALARIES AND RELATED ALLOWANCES

REGULATION 3.1: Salaries of staff members shall be fixed by the Secretary-General in accordance with the provisions of annex I to the present regulations.

REGULATION 3.2: The Secretary-General shall establish terms and conditions under which an education grant shall be available to a staff member serving outside his recognized home country whose dependent child is in full-time attendance at a school, university, or similar educational institution of a type which will, in the opinion of the Secretary-General, facilitate the child's re-assimilation in the staff member's recognized home country. The grant shall be payable in respect of the child up to the end of the fourth year of post-secondary studies or the award of the first recognized degree. whichever is the earlier. The amount of the grant per scholastic year for each child shall be the sum of 75 per cent of the first \$2,000 of admissible educational expenses, 50 per cent of the next \$1,000 of such expenses and 25 per cent of the next \$1,000, up to a maximum grant of \$2,250. Travel costs of the child may also be paid for an outward and return journey once in each scholastic year between the educational institution and the duty station, by a route approved by the Secretary-General, but not in an amount exceeding the cost of such a journey between the home country and the duty station.

The Secretary-General shall also establish terms and conditions under which an education grant shall be available to a staff member serving in a country whose language is different from his own and who is obliged to pay tuition for the teaching of the mother tongue to a dependent child attending a local school in which the instruction is given in a language other than his own.

The Secretary-General shall also establish terms and conditions under which an education grant shall be available to a staff member whose child is unable, by reason of physical or mental disability, to attend a normal educational institution and therefore requires special teaching or training to prepare him/her for full integration into society or, while attending a normal educational institution, requires special teaching or training to assist him/her in overcoming the disability. The amount of this grant per year for each disabled child shall be equal to 75 per cent of the educational expenses actually incurred up to \$4,000, subject to a maximum grant of \$3,000.

The Secretary-General may decide in each case whether the education grant shall extend to adopted children or stepchildren.

REGULATION 3.3: (a) An assessment at the rates and under the conditions specified below shall be applied to the salaries and such other emoluments of staff members as are computed on the basis of salary, excluding post adjustments, provided that the Secretary-General may, where he deems it advisable, exempt from the assessment the salaries and emoluments of staff engaged at locality rates.

(b) (i) The assessment shall be calculated at the following rates for staff whose salary rates are set forth in paragraphs 1 and 3 of annex 1 to the present Regulations:

| Total assessable payments (US dollars) | Assessment (per cent) | | |
|---|--|---|--|
| | Staff member with a dependent spouse or a dependent child | Staff member with neither a dependent spouse nor a dependent child | |
| First \$10,000 per year | 12.3 | 17.3 | |
| Next \$2,000 per year | 25 | 29.7 | |
| Next \$2,000 per year | <i>28</i> | <i>32</i> .7 | |
| Next \$2,000 per year | 31 | 35.6 | |
| Next \$4,000 per year | 34 | 39.5 | |
| Next \$4,000 per year | 37 | 42.5 | |
| Next \$4,000 per year | 40 | 45.5 | |
| Next \$5,000 per year | 43 | 48.5 | |
| Next \$5,000 per year | 46 | 51.5 | |
| Next \$5,000 per year | 4 8 | <i>53.5</i> | |
| Next \$6,000 per year | 50 | 55.5 | |
| Next \$6,000 per year | 52 | 57.5 | |
| Next \$6,000 per year | 54 | 59.5 | |
| Next \$7,000 per year | | 61.5 | |
| Next \$7,000 per year | | <i>63.5</i> | |
| Remaining assessable payments | | 64.5 | |

(ii) The assessment shall be calculated at the following rates for staff whose salary rates are established under paragraph 7 of annex 1 to the present Regulations:

| Total assessable payments (US dollars) | Assessment (per cent) |
|---|--------------------------|
| First \$1,000 per year | 5 |
| Next \$1,000 per year | 10 |
| Next \$1,000 per year | 15 |
| Next \$1,000 per year | 20 |
| Next \$6,000 per year | 25 |
| Next \$6,000 per year | 30 |
| Next \$8,000 per year | <i>35</i> |
| Next \$8,000 per year | 40 |

| Total assessable payments (US dollars) | Assessmen (per cent) | |
|---|-------------------------|--|
| Next \$8,000 per year | 45 | |
| Remaining assessable payments | 50 | |

- (iii) The Secretary-General shall determine which of the scales of assessment set out in subparagraphs (i) and (ii) above shall apply to each of the groups of personnel whose salary rates are established under paragraph 6 of annex I to the present Regulations.
- (iv) In the case of staff whose salary scales are established in currencies other than United States dollars, the relevant amounts to which the assessment applies shall be fixed at the local currency equivalent of the above-mentioned dollar amounts at the time the salary scales of the staff concerned are approved.
- (c) In the case of a person who is not employed by the United Nations for the whole of a calendar year or in cases where there is a change in the annual rate of payments made to a staff member, the rate of assessment shall be governed by the annual rate of each such payment made to him.
- (d) The assessment computed under the foregoing provisions of the present regulation shall be collected by the United Nations by withholding it from payments. No part of the assessment so collected shall be refunded because of cessation of employment during the calendar year.
- (e) Revenue derived from staff assessment not otherwise disposed of by specific resolution of the General Assembly shall be credited to the Tax Equalization Fund established by General Assembly resolution 973 A (X).
- (f) Where a staff member is subject both to staff assessment under this plan and to national income taxation in respect of the salaries and emoluments paid to him by the United Nations, the Secretary-General is authorized to refund to him the amount of staff assessment collected from him provided that:
 - (i) The amount of such refund shall in no case exceed the amount of his income taxes paid and payable in respect of his United Nations income;
 - (ii) If the amount of such income taxes exceeds the amount of staff assessment, the Secretary-General may also pay to the staff member the amount of such excess;
 - (iii) Payments made in accordance with the provisions of the present regulation shall be charged to the Tax Equalization Fund;
 - (iv) A payment under the conditions prescribed in the three preceding subparagraphs is authorized in respect of dependency benefits and post adjustments, which are not subject to staff assessment but may be subject to national income taxation.

REGULATION 3.4: (a) Staff members whose salary rates are set forth in paragraphs 1 and 3 of annex I to the present Regulations shall be entitled to receive dependency allowances as follows:

- (i) At \$450 per year for each dependent child, except that the allowance shall not be paid in respect of the first dependent child if the staff member has no dependent spouse, in which case the staff member shall be entitled to the dependency rate of staff assessment under subparagraph (b) (i) of regulation 3.3;
- (ii) Where there is no dependent spouse, a single annual allowance of \$300 per year for either a dependent parent, a dependent brother or a dependent sister;

The amount of either of these allowances payable in local currency shall not be less than the local currency equivalent of the dollar amount at the time it was established.

- (b) If both husband and wife are staff members, one may claim, for dependent children, under (i) above, in which case the other may claim only under (ii) above, if otherwise entitled;
- (c) With a view to avoiding duplication of benefits and in order to achieve equality between staff members who receive dependency benefits under applicable laws in the form of governmental grants and staff members who do not receive such dependency benefits, the Secretary-General shall prescribe conditions under which the dependency allowance for a child specified in (a) (i) above shall be payable only to the extent that the dependency benefits enjoyed by the staff member or his spouse under applicable laws amount to less than such a dependency allowance;
- (d) Staff members whose salary rates are set by the Secretary-General under paragraph 6 or paragraph 7 of annex I to these regulations shall be entitled to receive dependency allowances at rates and under conditions determined by the Secretary-General, due regard being given to the circumstances in the locality in which the office is located;
- (e) Claims for dependency allowances shall be submitted in writing and supported by evidence satisfactory to the Secretary-General, A separate claim for dependency allowances shall be made each year.

Chapter III

SALARIES AND RELATED ALLOWANCES

Rule 203.1

SALARIES AND RELATED ALLOWANCES

- (a) Salaries of project personnel shall be fixed by the Secretary-General in accordance with the salary scales set out in appendix I to these rules.
- (b) Project personnel who are loaned to the United Nations on a reimbursable or non-reimbursable basis and who are not paid a salary directly by the United Nations may be given a monthly honorarium up to the equivalent of the monthly gross salary of the lowest level and step of project personnel specified in appendix 1, subject to staff assessment under rule 203.4.
- (c) Project personnel shall not be entitled to compensation for overtime work.

Rule 203.2

SALARY INCREMENTS

Upon completion of one year's continuous satisfactory service at the same salary rate, the salaries of project personnel in intermediate-term or long-term status whose appointments will continue for at least one month beyond this period shall be increased by a one-step salary increment, provided that the period of satisfactory service required for increments above step IV of level 6 shall be two years. The amount of salary increment for each level shall be in accordance with the schedule contained in rule 203.1.

Rule 203.3

CURRENCY OF SALARY PAYMENTS

- (a) The salary and allowances of project personnel under these rules shall be paid in the currency specified by the Secretary-General.
- (b) A portion of the salary and dependency allowances of project personnel in intermediate-term or long-term status shall normally be paid in the currency of the country of the duty station and the remainder in one other currency.
- (c) The rates of exchange for the conversion of currencies shall be those established by the Secretary-General and applicable on the date of payment.

Rule 203.4

STAFF ASSESSMENT PLAN

- (a) Salaries and emoluments of project personnel shall be subject to the Staff Assessment Plan under the conditions specified in staff regulation 3.3 and this rule.
- (b) The rates of staff assessment for project personnel shall be those set forth in staff regulation 3.3 (b) (i), except that project personnel in short-term status shall be governed only by the rates applicable to staff without dependants.
- (c) The dependency rates of staff assessment under staff regulation 3.3 (b) (i) shall apply to project personnel in intermediate-term or long-term status when:
 - (i) The individual's spouse is recognized as a dependant under rule 203.7: or
 - (ii) The individual provides substantial and continuing support to one or more of his children.
- (d) Where the salaries of both husband and wife are subject to the staff assessment rates set forth in staff regulation 3.3 (b) (i), the dependency rate shall apply only to the spouse having the higher salary level.

Rule 203.5

POST ADJUSTMENTS

- (a) Post adjustments under paragraph 9 of annex I to the Staff Regulations shall be applied in accordance with the schedule in appendix II to these rules in the case of project personnel assigned to a duty station for one year or more, or in accordance with rule 203.9 (d). Post adjustments shall not be applied to salaries of project personnel in short-term status.
- (b) Post adjustments which are additions to salary shall be payable in the currency of the duty station.
- (c) The schedules of post adjustments referred to in paragraph (a) above shall be applied to each duty station according to the classification established for that purpose by the International Civil Service Commission.
- (d) Post adjustments shall be applied at the dependency rates shown in appendix II, in the case of project personnel in intermediate-term or long-term status, when the individual's spouse is recognized as a dependant under rule 203.7 or the individual provides substantial and continuing support to one or more of his children, regardless of where such dependants actually reside.
- (e) At duty stations where the average rental cost used in calculating the post adjustment index is based on the cost of housing provided by the United

Nations, by the Government or by a related institution, project personnel who have to rent housing accommodation at substantially higher commercial rates will be paid a supplement to the post adjustment in the form of a rental subsidy under conditions established by the Secretary-General. When project personnel are provided with housing free of charge or at rents lower than the average rental cost used in calculating the post adjustment index for the duty station, a deduction will be made from their monthly salaries under conditions established by the Secretary-General.

Rule 203.6

SALARIES AND EMOLUMENTS SUBJECT TO STAFF ASSESSMENT

For the purpose of rule 203.4, "salaries and emoluments" subject to staff assessment shall mean:

- (i) Salary or honorarium under rule 203.1.
- (ii) Payments upon separation, including termination indemnity, payment for accrued annual leave and repatriation grant.
- (iii) Compensation equivalent to salary and allowances under rule 206.5.

Rule 203.7

DEPENDENCY ALLOWANCES

- (a) Project personnel in intermediate or in long-term status shall be entitled to receive dependency allowances at the rates provided in staff regulation 3.4 (a) (i) and (ii).
 - (b) For the purpose of the Staff Regulations and Staff Rules:
 - (i) A dependent spouse shall be a spouse whose occupational earnings, if any, do not exceed an amount established by the Secretary-General for the purpose. This amount shall normally be the approximate equivalent of the lowest entry level of the United Nations salary scales for the duty station in the country of the spouse's place of work, provided that the amount shall not at any duty station be less than the equivalent of the lowest entry level at New York in force on 1 January of the year concerned. The amount established by the Secretary-General shall be published to the staff by administrative instruction or other appropriate means.
 - (ii) A "child" shall be the unmarried child of an individual under the age of 18 years or, if the child is in full-time attendance at a school or university (or similar educational institution), under the age of 21 years. If the child is totally and permanently disabled, the requirements as to school attendance and age shall be waived. A child shall be recognized as dependent for purposes of staff regulation 3.4 when the individual provides continuing support and submits a claim certifying to this effect; provided that where divorce has occurred and the child does not reside with the individual, dependency allowance will be payable only where the individual

- submits satisfactory documentary evidence that he has assumed responsibility for the substantial and continuing support of the child.
- (iii) Subject to the provisions of staff regulation 3.4 (a) (i), the full amount of the dependency allowance provided under that regulation in respect of a dependent child shall be payable, except where the individual or his spouse receives a direct governmental grant in respect of the same child. Where such a governmental grant is made, the dependency allowance payable under this rule shall be the amount by which the governmental grant is less than the rate of the allowance set out in staff regulation 3.4 (a) (i).
- (iv) A dependent parent, dependent brother or dependent sister shall be a parent, an unmarried brother or an unmarried sister of whose financial support the individual provides one half or more, and in any case at least twice the amount of the dependency allowance; provided that the brother or sister is under the age of 18 years or, if in full-time attendance at a school or university (or similar educational institution), under the age of 21. If the brother or sister is totally and permanently disabled, the requirements as to school attendance and age shall be waived.
- (v) A dependency allowance shall be paid in respect of not more than one dependent parent, brother or sister; such payment shall not be made when dependency benefit is being paid for a spouse.
- (c) Payment of dependency allowance shall be made only in respect of the period during which the circumstances giving rise to the claim obtain.
- (d) Claims for dependency allowances shall be submitted in writing and supported by evidence satisfactory to the Secretary-General. A separate claim for dependency allowances shall be made each year.

Rule 203.8

EDUCATION GRANT

DEFINITIONS

- (a) For the purpose of this rule:
 - (i) "Child" means an unmarried child of an individual who is dependent upon that individual for continuing support. "Disabled child" means a child who is unable, by reasons of physical or mental disability, to attend a normal educational institution and therefore requires special teaching or training to prepare him or her for full integration into society or, while attending a normal educational institution, requires special teaching or training to assist him or her in overcoming the disability.
- (ii) "Home country" means the country of home leave of project personnel under rule 205.2;
- (iii) "Duty station" means the country, or area within commuting distance notwithstanding national boundaries, where the project personnel are serving.

ELIGIBILITY

- (b) Project personnel in intermediate-term or long-term status, whose duty station is outside the home country, shall be entitled to an education grant in respect of each child in full-time attendance at a school, university or similar educational institution. The grant shall not, however, be payable in respect of:
 - (i) Attendance at a kindergarten or nursery school at the pre-primary level;
 - (ii) Attendance at a free school or one charging only nominal fees at the duty station;
 - (iii) (Cancelled)
 - (iv) Correspondence courses, except those which in the opinion of the Secretary-General are the best available substitute for full-time attendance at a school of a type not available at the duty station;
 - (v) Private tuition, except tuition in a language of the home country at duty stations where satisfactory school facilities for learning that language are not available; or
 - (vi) Vocational training or apprenticeship which either does not involve full-time schooling or is of a kind under which the child receives a payment for services rendered.
- (c) The grant shall be payable up to the end of the school year in which the child completes four years of post-secondary studies or is awarded the first recognized degree, whichever is the earlier. The grant will not normally be payable beyond the school year in which the child reaches the age of 25 years. If the child's education is interrupted for at least one school year by national service, illness or other compelling reasons, the period of eligibility shall be extended by the period of interruption.

AMOUNT OF THE GRANT

- (d) In the case of attendance at an educational institution outside the duty station, the amount of the grant shall be:
 - (i) Where the institution provides board for the child, the sum of 75 per cent of the first \$2,000 of the cost of attendance and board, 50 per cent of the next \$1,000 and 25 per cent of the next \$1,000, up to a maximum grant of \$2,250 a year;
 - (ii) Where the institution does not provide board, \$750 plus the sum of 75 per cent of the first \$1,000 of the cost of attendance, 50 per cent of the next \$1,000 and 25 per cent of the next \$1,000, up to a maximum grant of \$2,250 a year.
- (e) In the case of attendance at an educational institution at the duty station:
 - (i) The amount of the grant shall be the sum of 75 per cent of the first \$2,000 of the cost of attendance, 50 per cent of the next \$1,000 and 25 per cent of the next \$1,000, up to a maximum grant of \$2,250 a year;

- (ii) Where such an educational institution is located beyond commuting distance from the area where the staff member is serving and, in the opinion of the Secretary-General, no school in that area would be suitable for the child, the amount of the grant shall be calculated at the same rates as specified in paragraph (d) above.
- (f) Where attendance is for less than two thirds of the scholastic year, the amount of the grant for that year shall be that proportion of the grant otherwise payable which the period of attendance bears to the full scholastic year.
- (g) Where the period of service does not cover the full scholastic year, the amount of the grant for that year shall normally be that proportion of the grant otherwise payable which the period of service bears to the full scholastic year.

TUITION OF THE MOTHER TONGUE

(h) The Secretary-General will decide in each case whether the education grant shall be paid for tuition of the mother tongue under the second paragraph of regulation 3.2.

CLAIMS

(i) Claims for education grant shall be submitted in writing and supported by evidence satisfactory to the Secretary-General. Payment under this rule shall normally be made in the actual currency of expenditure.

RATES OF EXCHANGE

(j) For the purpose of applying the scales of reimbursement set out in paragraphs (d) and (e) above, when the expenses incurred are in a currency other than the United States dollar, the rate of exchange to be used shall be whichever rate yields more units of the other currency: the United Nations operational rate of exchange in effect on 1 January 1977 or on the date when the reimbursement is made.

SPECIAL EDUCATION GRANT FOR DISABLED CHILDREN

- (k) A special education grant for disabled children shall be available to project personnel, regardless of whether or not they are serving in their home country, provided that they have an appointment of one year or longer or have completed one year of continuous service.
- (1) The amount of the grant shall be 75 per cent of the educational expenses actually incurred up to \$4,000 per year, the maximum grant thus being \$3,000. If the disabled child is eligible for the regular education grant, the total amount payable under the two types of grant shall not exceed \$3,000 per year. "Educational expenses" reimbursable under the special education grant shall comprise the expenses incurred to provide an educational programme designed to meet the needs of the disabled child in order that he or she may attain the highest possible level of functional ability.

- (m) The grant shall be computed on the basis of the calendar year, if the child is unable to attend a normal educational institution, or on the basis of the school year, if the child is in full-time attendance at a normal educational institution while receiving special teaching or training. The grant shall be payable in respect of any disabled child from the date on which the special teaching or training is required up to the end of the school year or the calendar year, as appropriate, in which the child reaches the age of 25 years.
- (n) Where the period of service does not cover the full school year or calendar year, the amount of the grant shall be that proportion of the annual grant which the period of service bears to the full school or calendar year.
- (o) Claims for the grant shall be submitted annually in writing and supported by medical evidence satisfactory to the Secretary-General, regarding the child's disability. Project personnel shall also be required to provide evidence that they have exhausted all other sources of benefits that may be available for the education and training of the child. The amount of educational expenses used as the basis for the calculation of the special education grant shall be reduced by the amount of any benefits so received or receivable by project personnel.
- (p) The provision concerning the rates of exchange contained in paragraph (j) above shall also apply to the computation and payment of the special education grant for disabled children.

Rule 203.9

DAILY SUBSISTENCE ALLOWANCE

- (a) Except for those who normally reside in the mission area, project personnel in short-term status shall receive, during the period of their service in the mission area, a subsistence allowance at such daily rates as are approved from time to time by the Secretary-General. The allowance shall be payable in local currency.
- (b) The daily subsistence allowance shall normally be computed on the basis of the average cost for a reasonable standard of board and lodging plus an amount to cover incidental expenses such as service charges, gratuities and laundry. The daily subsistence allowance shall normally be based on costs in the capital city and the same rate shall apply throughout the country, provided that, where there exists a significant disparity in costs as between the capital city and other parts of the country, separate rates may be established for areas outside the capital city.
- (c) The daily subsistence allowance may be fixed at a lower rate for the period of a stay in any one place within a country after sixty calendar days, consecutive or otherwise, at the full rate.
- (d) When project personnel in intermediate or long-term status are to serve at a duty station for less than one year, the Secretary-General shall decide whether to pay a daily subsistence allowance for the duration of such a tour of duty, or pay installation grant under rule 203.10, assignment allowance under rule 203.11 and the post adjustment under rule 203.5.

- (e) Daily subsistence allowances under this rule shall continue to be paid during periods of authorized sick leave except that if project personnel are hospitalized, only one third of the relevant daily rate shall be paid.
- (f) When lodging is provided by a Government or by the United Nations free of charge, the standard rate of the daily subsistence allowance shall be reduced by 50 per cent. If meals are provided free of charge, the rate shall be reduced by 30 per cent. If both lodging and meals are provided, the rate shall be reduced by 80 per cent. The reduction for free lodging shall apply irrespective of the type of lodging provided.

Rule 203.10

INSTALLATION GRANT

- (a) Except for those who normally reside in the mission area, and subject to rule 203.9 (d), project personnel who are assigned to a duty station for one year or more shall receive an installation grant payable in local currency. The amount of the grant shall be the equivalent of 30 days of subsistence allowance at the appropriate daily rate applicable under rule 203.9 (a) in respect of project personnel and at one half that rate in respect of each family member for whom travel expenses have been paid by the Organization. This amount shall be calculated on the basis of the rate prevailing on the date of the individual's or the family member's arrival, as appropriate. The Secretary-General may increase the limit of 30 days to a maximum of 90 days at duty stations where, in his opinion, circumstances so warrant. The amount of the grant during the extended period shall be 60 per cent of the appropriate rate applicable to the initial period. In addition to any amount of the grant paid at the daily rate under this rule, the payment of a lump sum may be authorized at designated duty stations under conditions established by the Secretary-General. The lump sum shall be \$300 for the individual and \$300 for each family member who joins him or her at the duty station, up to a maximum of \$1,200.
- (b) The installation grant shall not be payable in connexion with education grant travel.
- (c) If the individual is assigned without a break in service to a duty station which was his official duty station within the two years immediately preceding his assignment, the full grant shall not be payable. The amount of the grant payable shall be that proportion of the grant which the completed months of absence from the duty station bear to two years.

Rule 203.11

ASSIGNMENT ALLOWANCE

(a) Project personnel assigned to an official duty station outside the home countries for one year or more but less than five years, or as provided

under rule 203.9 (d), shall receive for the duration of such assignment an allowance payable in the currency of the duty station at the following annual rates:

(i) For assignments to duty stations in Europe, in Canada, in Cyprus, in Malta, in Turkey (European portion), and in the United States of America:

| | Single rates | Dependency rate | |
|-------------------|--------------|-----------------|--|
| | \$ | \$ | |
| Levels 1 and 2 | 800 | 1,000 | |
| Levels 3 and 4 | 950 | 1,200 | |
| Level 5 and above | 1,100 | 1,400 | |

(ii) For assignments to all other duty stations:

| | Single rates | Dependency rates | |
|----------------------|--------------|------------------|--|
| | \$ | \$ | |
| Levels 1, 2, 3 and 4 | 1,600 | 2,000 | |
| Level 5 and above | 1,900 | 2,400 | |

- (b) For the purposes of this rule, "dependant" shall mean a dependent spouse or a dependent child as defined in rule 203.7, regardless of where the dependants reside.
 - (c) The assignment allowance shall not be paid:
 - (i) For more than five years in respect of service at one duty station, except for service at a duty station outside Europe and North America, where the period of entitlement may be extended beyond five years for a period of service not exceeding two years if project personnel are maintained at the same duty station on the initiative of the Organization, or
 - (ii) Concurrently with daily subsistence allowance under rule 203.9 in respect of service at any duty station.

Rule 203.12

EXTENSION OF TOUR OF DUTY

When project personnel are assigned to a duty station for tours of duty of less than one year and are paid a daily subsistence allowance under rule 203.9, and their assignments are subsequently extended so that their total anticipated service will be one year or more at that duty station, the Secretary-General shall decide whether to continue to pay, for the balance of the tour of duty, the daily subsistence allowance, or to pay installation grant under rule 203.10, assignment allowance under rule 203.11 and the post adjustment under rule 203.5.

Rule 203 13

DEDUCTIONS AND CONTRIBUTIONS

- (a) There shall be deducted from the total payments due to project personnel:
 - (i) Staff assessment, at the rates and subject to the conditions prescribed in staff regulation 3.3 and rule 203.4;
 - (ii) Contributions to the United Nations Joint Staff Pension Fund, if the individual is a participant under rule 206.1.
- (b) Deductions from salaries and other emoluments may also be made for:
 - (i) Other contributions for which provision is made under these rules:
 - (ii) Indebtedness to the United Nations:
 - (iii) Indebtedness to third parties when any deduction for this purpose is authorized by the Secretary-General;
 - (iv) Lodging provided by the United Nations, by a Government or by a related institution.

Rule 203:14

SALARY ADVANCES

- (a) Salary advances may be made to project personnel, in the amounts specified, under the following circumstances:
 - (i) When they are initially appointed, in the amount of the salary due for their first month of service under rule 203.1 after deduction of staff assessment under rule 203.4;
 - (ii) When through no fault of their own, they do not receive their regular monthly payment, in the amount due;
 - (iii) Upon separation pending final settlement of pay accounts and subject to the advance not exceeding 80 per cent of the estimated final net payment due;
 - (iv) When they are assigned to a new duty station, in such amount as, in the opinion of the Secretary-General, is appropriate;
 - (v) Upon departure for extended official travel or for approved leave involving absence from duty for more than one month in the amount that would fall due for payment during the anticipated period of absence.
- (b) Salary advances may be made to project personnel in appropriate amounts for other reasons when, in the opinion of the Secretary-General, there are exceptional and compelling reasons for making an advance. Requests for such advances shall be supported by a detailed justification in writing.

(c) Outstanding salary advances shall be recovered from project personnel in instalments determined by the Secretary-General in consecutive pay periods commencing not later than the period following that in which the advance is made.

Rule 203.15

PENSIONABLE REMUNERATION

- (a) For the purpose of the Regulations of the United Nations Joint Staff Pension Fund, pensionable remuneration shall, subject to paragraph (b) below, consist of the amount of the gross salary of each individual established in accordance with appendix I to these rules.
- (b) For each complete 5 per cent by which the weighted average of the post adjustment classifications of the headquarters and regional offices of the member organizations of the United Nations Joint Staff Pension Fund varies from the weighted average as of 1 January 1977, the pensionable remuneration established under paragraph (a) above shall be increased or decreased, as the case may be, by a corresponding 5 per cent; for this purpose the weighted average shall be calculated as of March and September of each year, and any subsequent variation shall take effect from the following 1 July or I January, respectively. The schedule of pensionable remuneration effective 1 January 1977 and subsequent adjustment shall be shown in appendix I to these Rules.

Article IV

APPOINTMENT AND PROMOTION

REGULATION 4.1: As stated in Article 101 of the Charter, the power of appointment of staff members rests with the Secretary-General. Upon appointment, each staff member shall receive a letter of appointment in accordance with the provisions of annex II to the present regulations and signed by the Secretary-General or by an official in the name of the Secretary-General.

REGULATION 4.2: The paramount consideration in the appointment, transfer or promotion of the staff shall be the necessity for securing the highest standards of efficiency, competence and integrity. Due regard shall be paid to the importance of recruiting the staff on as wide a geographical basis as possible.

REGULATION 4.3: In accordance with the principles of the Charter, selection of staff members shall be made without distinction as to race, sex or religion. So far as practicable, selection shall be made on a competitive basis.

REGULATION 4.4: Subject to the provisions of Article 101, paragraph 3, of the Charter, and without prejudice to the recruitment of fresh talent at all levels, the fullest regard shall be had, in filling vacancies, to the requisite qualifications and experience of persons already in the service of the United Nations. This consideration shall also apply on a reciprocal basis, to the specialized agencies brought into relationship with the United Nations.

REGULATION 4.5: (a) Appointment of Under-Secretaries-General and of Assistant Secretaries-General shall normally be for a period of five years, subject to prolongation or renewal. Other staff members shall be granted either permanent or temporary appointments under such terms and conditions consistent with these regulations as the Secretary-General may prescribe.

(b) The Secretary-General shall prescribe which staff members are eligible for permanent appointments. The probationary period for granting or confirming a permanent appointment shall normally not exceed two years, provided that in individual cases the Secretary-General may extend the probationary period for not more than one additional year.

REGULATION 4.6: The Secretary-General shall establish appropriate medical standards which staff members shall be required to meet before appointment.

Chapter IV

APPOINTMENT

Rule 204.1

LETTER OF APPOINTMENT

The letter of appointment granted to project personnel shall contain expressly or by reference the terms and conditions of employment.

Rule 204.2

EFFECTIVE DATE OF APPOINTMENT

The appointment of project personnel shall take effect from the date on which they enter into official travel status to assume their duties or, if no official travel is involved, from the date on which they enter upon their duties.

Rule 204.3

TYPES OF APPOINTMENT

Project personnel shall be granted either temporary appointments or programme appointments.

(a) TEMPORARY APPOINTMENTS

- (i) Temporary appointments shall be for a fixed term and shall expire without notice on the date specified in the respective letters of appointment. They may be for service in one or more mission areas, and may be for short, intermediate or long term, as defined in rule 200.2 (f).
- (ii) Project personnel who are initially granted appointments for less than one year but whose appointments are subsequently extended so that the total continuous contractual service is one year or more but less than five years shall be considered to be in intermediate-term status with effect from the date from which their appointment is extended or converted to intermediate-term status.
- (iii) Project personnel in intermediate-term status who complete five years' continuous service and whose appointments are extended for at least one further year (or are converted to programme appointments) shall be considered to be in long-term status with effect from the date on which they complete five years' continuous service.
- (iv) A temporary appointment does not carry any expectancy of renewal or of conversion into a programme appointment.

(b) PROGRAMME APPOINTMENTS

The Secretary-General may grant programme appointments to a limited number of persons for whom, in his judgement, there is likely to be a continuing need within the United Nations technical assistance programmes. The programme appointment shall be governed by the Staff Rules applicable to project personnel in long-term status. Such appointments may be granted to persons below the age of fifty-five years who have completed at least two years of satisfactory service, and shall expire when the individual reaches sixty years of age. The Secretary-General may, in individual cases and in special circumstances, authorize the extension of the programme appointment beyond the age of sixty years but in no case shall the programme appointment be continued beyond the age of sixty-five years.

Rule 204.4

NOTIFICATION BY PROJECT PERSONNEL AND OBLIGATION TO SUPPLY INFORMATION

On appointment, project personnel shall be responsible for supplying the Secretary-General with any information that may be required for the purpose of determining their status under these rules and for completing administrative arrangements in connexion with their appointments. Specifically, this requirement shall include information on nationality, passport and visa, marital status, dependency status, information relevant to home leave, and designation of beneficiary. They shall be responsible also for promptly notifying the Secretary-General, in writing, of any subsequent changes affecting their status under these rules.

Rule 204.5

NATIONALITY

- (a) In the application of these rules, the United Nations shall not recognize more than one nationality for project personnel.
- (b) When project personnel have been legally accorded nationality status by more than one State, nationality for the purpose of these rules shall be the nationality of the State with which, in the opinion of the Secretary-General, the individual is most closely associated.

Rule 204.6

MEDICAL EXAMINATION

(a) Project personnel shall undergo a medical examination prior to appointment. The examination shall be made by a United Nations medical officer or, if no such officer has been designated, by another qualified member of the medical profession. A report on the examination shall be submitted to and approved by the United Nations Medical Director before

the individual leaves the home country and shall include an opinion on his fitness for living, working and travelling under the conditions in the country to which he is assigned for duty.

(b) Project personnel may be required to undergo periodic medical examinations. They shall undergo a medical examination on separation from service, and the report on this last examination shall be submitted to the United Nations Medical Director for review.

Article V

ANNUAL AND SPECIAL LEAVE

REGULATION 5.1: Staff members shall be allowed appropriate annual leave.

REGULATION 5.2: Special leave may be authorized by the Secretary-General in exceptional cases.

REGULATION 5.3: Eligible staff members shall be granted home leave once in every two years. A staff member whose home country is the country of his official duty station or who continues to reside in his home country while performing his official duties shall not be eligible for home leave.

Chapter V ANNUAL AND SPECIAL LEAVE

Rule 205.1

ANNUAL LEAVE

- (a) Project personnel who are granted appointments of six months or more, or who have completed six months' continuous service, shall be entitled to annual leave accruing while in full pay status at the rate of six weeks for each year of continuous service. Official holidays as under rule 201.3 shall be excluded for the purposes of computing annual leave.
- (b) Annual leave may be taken in units of days or half-days, subject to the exigencies of service. Project personnel shall be required to submit a monthly report of leave so taken; a "NIL" report shall be submitted where no leave has been taken during the month.
- (c) Project personnel in continuous employment may carry over up to six weeks of annual leave from the first year to the second counted as of the anniversary date of their initial appointment; a maximum of nine weeks accrued annual leave may be carried over from the second to the third year of service; thereafter, a maximum of twelve weeks of accrued annual leave may be carried over from one year to the next.
- (d) Any absences from duty not specifically covered by other provisions of these rules, including absences on local holidays in excess of the eight official holidays established under rule 201.3 (b), shall be charged to accrued annual leave; in default of sufficient accrued annual leave, the absences shall be considered as unauthorized, and salary and allowances shall not be paid for periods of such absences.
- (e) In exceptional circumstances, and subject to the provisions of rule 209.10, the Secretary-General may authorize advance annual leave up to a maximum of two weeks for an individual, provided his service is expected to continue for a period beyond the date necessary for him to accrue that amount of leave.

Rule 205.2

HOME LEAVE

(See also rule 207.11 for travel on home leave)

(a) Subject to the conditions in regulation 5.3 and rule 207.11 and such others as may be prescribed by the Secretary-General from time to time,

project personnel in intermediate or long-term status who are serving outside the home country and their recognized dependants who are in the mission area shall be allowed to visit the home country at the expense of the Organization once every two years.

- (b) Except for allowable travel time, the period of such leave will be charged to annual leave.
- (c) The country of home leave shall be the country of the project personnel's nationality, subject to the following terms, conditions and exceptions:
 - (i) The place of home leave of the individual within his home country shall be, for purposes of travel and transportation entitlements, the place with which he had the closest residential ties during the period of his most recent residence in his home country preceding appointment;
 - (ii) An individual who has served with another public international organization immediately preceding his appointment shall have the place of his home leave determined as though his entire previous service with the other international organization had been with the United Nations;
 - (iii) The Secretary-General, in exceptional and compelling circumstances, may authorize as the home country, for the purposes of this rule, a country other than the country of nationality. An individual requesting such authorization will be required to satisfy the Secretary-General that he maintained his normal residence in such other country for a prolonged period preceding his appointment, that he continues to have close family or personal ties in that country and that his taking home leave there would not be inconsistent with the purposes and intent of staff regulation 5.3.

Rule 205.3

SPECIAL LEAVE

- (a) Project personnel may be granted special leave, with full or partial pay or without pay, in cases of extended illness or for other important reasons for such period as, in the opinion of the Secretary-General, is appropriate.
- (b) Project personnel shall not accrue service credit towards any entitlement under these rules during full months of special leave with partial pay or without pay. Periods of less than one full month of such leave shall not affect the ordinary rates of accrual of such entitlements.
- (c) The continuity of service of project personnel shall not be considered broken by periods of special leave.

Article VI

SOCIAL SECURITY

REGULATION 6.1: Provision shall be made for the participation of staff members in the United Nations Joint Staff Pension Fund in accordance with the regulations of that Fund.

REGULATION 6.2: The Secretary-General shall establish a scheme of social security for the staff, including provisions for health protection, sick leave and maternity leave, and reasonable compensation in the event of illness, accident or death attributable to the performance of official duties on behalf of the United Nations.

Chapter VI

SOCIAL SECURITY

Rule 206.1

PARTICIPATION IN THE PENSION FUND

Project personnel (other than those who were associate participants on 31 December 1966 and to whom special transitional provisions apply) whose appointments are for one year or longer, or who complete one year of service under shorter appointments without an interruption of more than thirty days, shall become participants in the United Nations Joint Staff Pension Fund, provided that they are then under sixty years of age and that participation is not excluded by their letters of appointment.

Rule 206.2

GROUP LIFE INSURANCE PLAN

Project personnel shall participate in the group life insurance plan for project personnel, unless exemption from such participation is expressly stated in the letter of appointment.

Rule 206.3

SICK LEAVE

- (a) Project personnel who are unable to perform their duties by reason of illness or injury, or whose attendance at work is prevented by public health requirements, may be granted sick leave in accordance with the following provisions:
 - (i) Project personnel in short-term status may be granted sick leave on full salary at the rate of two days per month of service;
 - (ii) Project personnel in intermediate status may be granted sick leave up to three months on full salary and up to three months on half salary in any period of twelve consecutive months, provided that the amount of sick leave permitted in any four consecutive years shall not exceed eighteen months, nine months on full salary and nine months on half salary:
 - (iii) Project personnel in long-term status, including those on programme appointments, may be granted sick leave up to nine months on full salary and nine months on half salary in any period of four consecutive years;

- (iv) Project personnel shall submit a monthly report on any absences due to illness, injury or public health requirements. Where the absence is for five consecutive working days or longer, it shall be supported by a certificate from a qualified member of the medical profession. The certificate shall indicate the nature of the illness or injury and shall state that the individual was unable to perform his duties during the period. Where the absence was due to public health requirements, the certificate shall indicate that public health restrictions prevented the individual's attendance at work.
- (b) Project personnel may be required at any time to submit a medical certificate as to their condition. Sick leave may be refused if the Secretary-General is satisfied that such leave is not justified; in such cases, the period shall be deducted from annual leave or charged as special leave without pay.
- (c) Project personnel, while on sick leave, shall not leave the area of the duty station without the prior approval of the Secretary-General.
 - (d) Entitlement to sick leave shall lapse on separation from service.

Rule 206.4

MEDICAL CARE

- (a) Project personnel shall participate in a medical insurance scheme provided by the United Nations unless exemption from such participation is expressly stated in the letter of appointment. The United Nations shall not be responsible for the medical care of project personnel who are exempted by their letter of appointment from the medical insurance scheme provided by the United Nations except in accordance with the provisions of staff rule 206.5 on compensation for death, injury or illness attributable to service.
- (b) Project personnel appointed for a period of one month or more and participating in a medical insurance scheme provided by the United Nations may enrol their spouses and dependent children in the scheme.
- (c) Subject to conditions laid down by the Secretary-General, project personnel participating in a medical insurance scheme provided by the United Nations may be reimbursed medical expenses which are not covered in full by their medical insurance scheme. Such reimbursement will not be made for medical expenses of dependants.
- (d) The United Nations will not assume responsibility for medical expenses incurred by project personnel following separation from service except in accordance with the provisions of staff rule 206.5 on compensation for death, injury or illness attributable to service.

Rule 206.5

COMPENSATION FOR DEATH, INJURY OR ILLNESS ATTRIBUTABLE TO SERVICE

Project personnel shall be entitled to compensation in the event of death, injury or illness attributable to the performance of official duties on behalf of the United Nations, in accordance with the rules set forth in appendix D to the Staff Rules (ST/SGB/Staff Rules/Appendix D/Rev.1, January 1966).

Rule 206.6

COMPENSATION FOR LOSS OR DAMAGE TO PERSONAL EFFECTS ATTRIBUTABLE TO SERVICE

Project personnel shall be entitled, within the limits and under the terms and conditions established by the Secretary-General, to reasonable compensation in the event of loss or damage to their personal effects, determined to be directly attributable to the performance of official duties on behalf of the United Nations.

Rule 206.7

MATERNITY LEAVE

- (a) An individual who will have served continuously for one year at the anticipated time of confinement:
 - (i) Shall be entitled to absent herself from her duties until the date of confinement upon producing an acceptable medical certificate that her confinement will probably take place within six weeks provided that, at her request and with the approval of the United Nations Medical Officer, the absence may be permitted to commence less than six weeks but normally not less than two weeks before the probable date of confinement;
 - (ii) Shall not be permitted to work during the six weeks following confinement;
 - (iii) Shall receive maternity leave on full pay for the entire duration of her absence, in accordance with (i) and (ii) above. Where the absence commences less than six weeks before the probable date of confinement, the post-confinement leave shall be extended proportionately, subject to a maximum of eight weeks. No miscalculation on the part of the doctor or midwife as to the date of the confinement shall prevent the individual from receiving full pay to the actual date of confinement.
- (b) An individual with less than one year of continuous service at the anticipated time of confinement shall absent herself from her duties in accordance with the same schedule and under the same conditions as provided in (a) (i) and (ii) above. Where the actual date of confinement falls within the period of twelve weeks immediately preceding the anniversary date of her continuous service, she shall be granted maternity leave with full pay

for a period equivalent to that reckoned from the beginning of the twelve-week period to the date of confinement. Any absence that cannot be so covered by maternity leave shall be charged to her accrued annual leave or to special leave without pay.

- (c) Sick leave shall not normally be granted for maternity cases except where serious complications arise.
- (d) Return to duty after maternity leave shall be approved by the United Nations Medical Officer on the basis of a medical certificate.
- (e) Annual leave shall accrue during the period of maternity leave, provided that the individual returns to service for at least six months after the completion of maternity leave.

Article VII

TRAVEL AND REMOVAL EXPENSES

REGULATION 7.1: Subject to conditions and definitions prescribed by the Secretary-General, the United Nations shall in appropriate cases pay the travel expenses of staff members, their spouses and dependent children.

REGULATION 7.2: Subject to conditions and definitions prescribed by the Secretary-General, the United Nations shall pay removal costs for staff members.

Chapter VII

TRAVEL AND REMOVAL EXPENSES

Rule 207.1

OFFICIAL TRAVEL OF PROJECT PERSONNEL

Subject to the conditions prescribed in these rules and such others as may be prescribed by the Secretary-General from time to time, the travel expenses of project personnel shall be paid in the following circumstances:

- (i) On initial appointment;
- (ii) On travel on official business;
- (iii) On change of official duty station, as defined in rule 200.2;
- (iv) On family visit travel under rule 207.12 or on travel in connexion with home leave under rule 207.11;
- (v) On separation from service under rule 207.14;
- (vi) On travel authorized for medical or security reasons or in other appropriate cases, when, in the opinion of the Secretary-General, there are compelling reasons for paying such expenses.

Rule 207.2

OFFICIAL TRAVEL OF FAMILY MEMBERS

- (a) Subject to the conditions prescribed in these rules, and such others as may be prescribed by the Secretary-General from time to time, the travel expenses of eligible family members of project personnel shall be paid in the following circumstances:
 - (i) On initial appointment of project personnel, or on change of official duty station in accordance with rules 207.9 and 207.10;
 - (ii) On home leave, in accordance with rule 207.11;
 - (iii) On separation from service, if the family members had been residing in the mission area following travel at United Nations expense;
 - (iv) On journeys authorized in connexion with the education of children in accordance with rule 207.13;
 - (v) On travel authorized for medical or security reasons or in other appropriate cases, when, in the opinion of the Secretary-General, there are compelling reasons for paying such expenses;
 - (vi) For the spouse, in lieu of project personnel's family visit travel under rule 207.1 (iv).
- (b) Eligible family members shall comprise a spouse and dependent children, as defined in rule 203.7 (b) (ii).

TRAVEL EXPENSES

- (a) Travel expenses paid or reimbursed by the United Nations under these rules shall comprise:
 - (i) Transportation expenses (i.e., carrier fare);
 - (ii) Terminal expenses;
 - (iii) Daily subsistence allowance while in travel status;
 - (iv) Other necessary additional expenses incurred during travel.
- (b) Project personnel shall exercise the same care in incurring expenses during travel that a prudent person would exercise if travelling on personal business.

Rule 207.4

AUTHORITY FOR TRAVEL

- (a) The Organization will pay travel expenses only for travel which has been duly authorized and accomplished.
- (b) Project personnel are responsible for ensuring that they have written authorization before commencing travel.
- (c) In exceptional circumstances, project personnel may be authorized to travel on oral instructions, but such oral authorization shall require subsequent written confirmation.

Rule 207.5

ROUTE AND MODE OF TRAVEL

- (a) All travel at the expense of the Organization shall be by a route and mode as prescribed by the Secretary-General.
- (b) Travel shall be by the most direct and economical route and mode of transportation unless it is established to the satisfaction of the Secretary-General that the use of an alternative route or mode is in the best interests of the Organization.
- (c) Travel subsistence allowance or other entitlements, including travel time, shall be limited to that allowable for a journey by the approved route and mode of travel. Project personnel who make other arrangements for personal convenience must obtain advance approval to do so and pay all additional costs themselves.

STANDARDS OF ACCOMMODATION

- (a) For official travel, accommodations shall be provided in accordance with the following standards:
 - (i) When the approved travel is by air, it shall normally be by economy class.
 - (ii) When approved travel is by sea, it shall normally be by a standard next below first class.
 - (iii) In the case of official travel by train, project personnel shall be provided with appropriate first-class accommodations, including, where necessary, suitable sleeper or other facilities.
 - (iv) Family members authorized to travel at United Nations expense shall be allowed accommodations similar to those of project personnel.
- (b) Project personnel who elect higher standards of accommodation either for themselves or for their family members shall bear the additional costs entailed; conversely, if project personnel elect to travel by lower standards of accommodation, the Organization shall pay only for accommodations actually used.

Rule 207.7

TRAVEL BY AUTOMOBILE

- (a) Project personnel who are authorized to travel by privately owned automobiles shall be reimbursed for actual mileage on the basis of operating costs in the area in which the travel is undertaken. The mileage rate and the appropriate minimum distance for the calculation of the travel subsistence allowance shall be established by the Secretary-General from time to time.
- (b) The total mileage rate reimbursement and travel subsistence allowance, which project personnel may claim in respect of a journey, shall be limited to the maximum travel expenses admissible had the journey been performed by the most economical route and mode of travel prescribed under rules 207.5 and 207.6.
- (c) The mileage rate established under (a) above shall be payable only to one of two or more persons travelling together on the same journey and in the same automobile.

Rule 207.8

PURCHASE OF TICKETS

(a) All tickets for transportation involving official travel for project personnel and their eligible family members shall be purchased by the United Nations in advance of the actual travel. In special circumstances, the individual may be authorized to make the arrangements himself and claim subsequent reimbursement from the Organization.

(b) When project personnel request a standard of accommodation in excess of that prescribed under rule 207.6, or are authorized, for reasons of personal preference or convenience, to travel by other than the approved route or mode of travel prescribed under rule 207.5, they shall be required to pay any additional costs entailed before the United Nations provides them with the necessary tickets.

Rule 207.9

INITIAL AND RETURN TRAVEL OF FAMILY MEMBERS

- (a) Subject to the conditions laid down in these rules and others as may be prescribed by the Secretary-General from time to time, the United Nations shall pay the initial and return travel expenses of eligible family members of project personnel in intermediate or long-term status to and from the duty station when the following circumstances obtain:
 - (i) The individual is (a) assigned to an official duty station for not less than one year; or (b) his assignment after a shorter period is extended so that the total period is not less than one year; or (c) following his own family visit travel under rule 207.12, the individual is to remain in service at an official duty station for at least ten months from the date of his return to the field;
 - (ii) The family members are expected to remain in the mission area for at least six months during the individual's service there, except for dependent children normally resident with the individual who intend to leave the mission area for the purpose of education;
 - (iii) The Secretary-General has decided that there are no special circumstances or local conditions which preclude project personnel being accompanied by their family members; and
 - (iv) The individual assumes responsibility for the costs of living, accommodation and medical care for his family members.
- (b) The Secretary-General may approve travel based on a shorter period than that specified in (a) (i) and (ii) above if, in his view, the circumstances warrant it.

Rule 207.10

TRAVEL OF FAMILY MEMBERS ON CHANGE OF OFFICIAL DUTY STATION

The conditions in rule 207.9 shall govern the travel of eligible family members on change of official duty station of project personnel.

TRAVEL ON HOME LEAVE (See also rule 205.2)

- (a) Home leave travel shall consist of one round trip at United Nations expense between the official duty station and the home country and may be authorized every two years provided that, for the first home leave, project personnel have completed at least twenty-two months of continuous service after initial appointment. For the second and each succeeding home leave, the entitlement of such leave shall not be granted before twenty-four months of continuous service have been completed since the date of departure for previous home leave, or the anniversary date of initial appointment, whichever of these dates is later. In exceptional circumstances, project personnel may be granted advanced home leave provided that not less than twelve months of qualifying service have been completed since initial appointment or since the date of return from the last home leave, as the case may be, and that the granting of such advanced home leave shall not advance the date of entitlement to subsequent home leaves.
- (b) Travel of eligible family members shall be in conjunction with the approved home leave of project personnel provided that exceptions may be granted if the exigencies of the service or other special circumstances prevent the project personnel and their family members from travelling together. Home leave travel of family members will not normally be authorized unless the family members have been in the mission area for at least six months prior to departure on home leave.
 - (c) The grant of home leave shall be subject to the conditions that:
 - (i) Project personnel return to the duty station for at least six additional months if they are not accompanied by their family members;
 - (ii) Project personnel return to the duty station for at least ten months and the family members for at least six months if family members return with them at the expense of the Organization;
 - (iii) Project personnel shall remain in their home countries for at least two weeks:
 - (iv) The amount of travel time, the route and mode of travel shall be determined by the Secretary-General.
- (d) Credit towards home leave shall accrue as from the date of departure from the home country to enter on service, or the date of departure on a previous home leave, whichever of these dates is later.
- (e) Project personnel may be required to take home leave in connexion with other official travel, including travel on change of duty station, due regard being paid to the interests of the individual and his family.
- (f) Project personnel who do not take home leave shall not receive any payment in lieu of the travel expenses, nor shall they be credited with additional annual leave in lieu of travel time.

(g) The Secretary-General may approve travel based on a shorter period than that specified in (c) (i) or (ii) above if, in his opinion, the exigencies of the service or other compelling reasons warrant it.

Rule 207.12

FAMILY VISIT TRAVEL

- (a) Project personnel in intermediate or long-term status who have family members in respect of whom a travel entitlement exists shall be entitled to travel to visit their family members at the expense of the Organization once every year in which their home leave does not fall due, provided that:
 - (i) They have completed not less than twelve months' continuous service after appointment or not less than nine months since departure on their last home leave journey. In exceptional circumstances, the Secretary-General may either shorten or extend this period;
 - (ii) They have not exercised the entitlement for the travel of any of their family members (except education grant travel) during the preceding twelve months;
 - (iii) Their service at the duty station is expected to continue at least six months beyond the date of return to the duty station. In exceptional circumstances, the Secretary-General may either shorten or extend this period;
 - (iv) They remain for at least two weeks with their family members;
 - (v) The amount of travel time, the route and the mode of travel shall be determined by the Secretary-General;
 - (vi) The travel costs payable by the Organization shall not exceed the costs of travel to their place of home leave, except where the authorized travel is to a former duty station.
- (b) Except for allowable travel time, the leave involved will be charged to annual leave.
- (c) On return from such travel to the official duty station, the travel expenses of eligible family members shall normally not be paid by the Organization unless the individual is expected to continue in service for at least a further period of ten months, and family members remain at the duty station for at least six months.
- (d) Project personnel may be required to exercise entitlements to family visit travel in conjunction with travel on official business or change of duty station, due regard being paid to the interest of the individual and his family.
- (e) The conditions set out in paragraph (a) of this rule shall apply to the travel of a spouse under rule 207.2 (a) (vi) in lieu of project personnel's own family visit travel.

EDUCATION GRANT TRAVEL

- (a) Project personnel in intermediate or long-term status to whom an education grant is payable under paragraph (d) or under subparagraph (e) (ii) of rule 203.8 in respect of their children's attendance at an educational institution shall be entitled to travel expenses of one return journey for each child between the educational institution and the duty station each scholastic year, provided that:
 - (i) Such travel expenses shall not be paid if, in the opinion of the Secretary-General, the requested journey is unreasonable, because of its timing in relation to other authorized travel of the individual or his eligible family members or because of the brevity of the visit in relation to the expenses involved;
 - (ii) In the case of project personnel with an appointment for one year only, education grant travel shall not be paid if the travel expenses of the child have been paid under rule 207.9;
 - (iii) Where attendance is for less than two thirds of the scholastic year, travel expenses shall not normally be payable.
- (b) Transportation expenses shall not exceed the cost of a journey between the home country and the duty station. Travel subsistence allowance shall not be paid in the case of education grant travel, except for authorized stop-overs.

Rule 207.14

TRAVEL ON SEPARATION FROM SERVICE

On separation from service, the travel expenses of project personnel shall be paid to the place from which they were recruited or to the place recognized as home for the purpose of home leave. Should project personnel, on separation, wish to go to any other place, payment of travel expenses shall not exceed the maximum amount that would have been payable on the basis of direct return transportation to the place of recruitment or home leave.

Rule 207.15

TERMINAL EXPENSES

- (a) For all official travel to or from their duty station, project personnel may claim reimbursement of terminal expenses incurred for each outward or return journey and for each authorized intermediate stop up to \$12 in respect of themselves and up to \$4 in respect of each family member authorized to travel at United Nations expense. No expenses shall be reimbursable in respect of an intermediate stop:
 - (i) Which is not authorized;
 - (ii) Which does not involve leaving the terminal; or

- (iii) Which is for less than four hours and is exclusively for the purpose of making an onward connexion.
- (b) When an outward or a return journey is made from or to Headquarters (New York), or when an intermediate stop is made at Headquarters (New York), the limits specified in paragraph (a) above shall be \$20 and \$7, respectively.
- (c) Terminal expenses shall be deemed to include all expenditures incurred for the means of public conveyance between the airport or other point of arrival or departure and the hotel or other place of dwelling, including transfer of accompanied baggage and other related incidental charges, except the costs provided for under rule 207.19 (iii).

DAILY SUBSISTENCE ALLOWANCE WHILE IN TRAVEL STATUS

- (a) Subject to the provisions of rule 203.9 and except during travel by sea, project personnel shall, when authorized to travel on official business, receive an appropriate daily subsistence allowance which may vary from area to area. Except as provided in rules 207.15 and 207.19, any expenditures incurred in excess of the daily subsistence allowance shall be borne by project personnel.
- (b) Daily subsistence allowance under (a) above shall not be paid in the case of project personnel in short-term status who are already in receipt of a daily subsistence allowance under rule 203.9 (a). Such personnel when travelling on official business outside the mission area shall receive the daily subsistence allowance at the rate applicable to the area in which they are in travel status.
- (c) When family members are authorized to travel at United Nations expense, project personnel shall be paid an additional daily subsistence allowance on behalf of each such family member at one half the rate applicable to project personnel.
- (d) The Secretary-General may establish a special rate for daily subsistence allowance in cases where he deems it appropriate, such as assignments to conferences or seminars, etc.

Rule 207.17

COMPUTATION OF THE DAILY SUBSISTENCE ALLOWANCE WHILE IN TRAVEL STATUS

(a) Except during travel by sea, the daily subsistence allowance shall be paid to project personnel, at the rates and under the conditions prescribed in rule 207.16, for each calendar day or fraction thereof involving an overnight stay away from their residence, during which project personnel or their family members are in official travel status, provided that for a journey of 24

hours or longer a full day's allowance at the appropriate rate shall be paid for the day on which travel is begun and that no allowance shall be paid for the day on which travel is ended. Where travel does not involve an overnight stay away from the residence, no allowance shall be paid for a journey of less than 10 hours, and 40 per cent of the allowance shall be paid for a journey of 10 hours or more.

- (b) Where travel is by sea, a full day's allowance at the appropriate rate shall be paid for the day of arrival at the port of disembarkation provided that the traveller remains in official travel status for more than twelve hours thereafter. No allowance shall be paid for the day on which embarkation takes place. This payment shall be in addition to the transit allowance under rule 207.18.
- (c) No allowance shall be paid when a traveller returns to his official duty station within twelve hours after departure.
- (d) When it is necessary for the purpose of computing the amount of daily subsistence allowance payable to specify the "hour of departure" and the "hour of arrival", these shall be considered as the time when the train, vessel, or airplane used by the traveller actually departs from or arrives at its terminal.

Rule 207.18

EXPENSES WHILE IN TRANSIT BY SEA

- (a) Project personnel authorized to travel by sea shall be entitled to a transit allowance instead of the daily subsistence allowance under rule 207.16. This allowance is a fixed amount designed to cover such expenditures as project personnel are normally obliged to incur on board ship.
- (b) The Secretary-General shall, from time to time, establish the transit allowance payable for specified journeys by sea, taking into account the cost of fares, and the number of dependants accompanying the individual at the Organization's expense.

Rule 207.19

MISCELLANEOUS TRAVEL EXPENSES

Necessary additional expenses, incurred by project personnel in connexion with the transaction of official business while in the performance of authorized travel, shall be reimbursed by the United Nations after completion of travel, provided that the necessity and nature of the expenses are satisfactorily explained and supported by proper receipts which shall normally be required for any expenditures in excess of \$2.00. Such expenses, for which advance authorization shall be obtained to the extent practicable, shall normally be limited to:

(i) Hire of local transportation other than that provided for under rule 207.15;

- (ii) Telephone, telegraph, radio and cable messages on official business;
- (iii) Transfer of authorized baggage by railway express or other appropriate means;
- (iv) Hire of room for official use;
- (v) Stenographic or typewriting services or rental of typewriters in connexion with the preparation of official reports or correspondence;
- (vi) Transportation or storage of baggage or property used on official business:
- (vii) Passport and visa costs.

EXCESS BAGGAGE AND UNACCOMPANIED SHIPMENTS

- (a) Baggage in excess of the free baggage allowance provided by the transportation company shall be regarded as excess baggage for the purpose of these rules.
- (b) Project personnel travelling by air economy class shall be entitled to payment of excess baggage charges for themselves and their eligible family members to the extent of the difference between the free baggage allowance by first class and by economy class.
- (c) Where baggage is carried without charge by one transportation company but is regarded as excess baggage by a company furnishing onward transportation other than by air, the charges involved may be reimbursed on submission of a receipt for the excess baggage charges.
- (d) On appointment, change of duty station or separation, project personnel shall be entitled to reimbursement of expenses in transporting personal effects and household goods in accordance with the provisions of paragraphs (e) to (i) of this rule.
- (e) Project personnel in short-term status may be authorized the shipment of personal effects and household goods by the most economical mode of transportation, as determined by the Secretary-General, up to a maximum, including the weight or volume of packing and crating, of 100 kg (220 lb or 22 cubic feet).
- (f) Project personnel in intermediate or long-term status may be authorized the shipment of personal effects and household goods by the most economical mode of transportation, as determined by the Secretary-General, up to a maximum, including the weight or volume of packing and crating, of:
 - 1,000 kg (2,200 lb) or 220 cubic feet for the individual;
 - 500 kg (1,100 lb) or 110 cubic feet for the first eligible family member; and
 - 300 kg (660 lb) or 66 cubic feet for each additional family member authorized to travel at United Nations expense.

- (g) Where surface shipment under paragraphs (e) or (f) is the most economical mode of transportation, the individual may elect to convert his or her whole entitlement to air freight on the basis of one half of the weight or volume of the entitlement by the surface mode of transportation. The conversion to air freight of a portion of such an entitlement by a surface mode of transportation may be authorized on the same basis when, in the opinion of the Secretary-General, this is necessary to meet the urgent needs of the individual.
- (h) Project personnel may be authorized additional excess baggage by air when, in the opinion of the Secretary-General, the circumstances so warrant. Additional excess baggage by air, when authorized, will be shipped by air freight rather than as accompanied baggage, wherever possible.
- (i) When the shipment of personal effects or household goods is authorized under paragraphs (e) or (f) of this rule:
 - (i) Shipment shall be made in one consignment unless otherwise warranted, in the opinion of the Secretary-General, by exceptional circumstances:
 - (ii) Shipment shall normally be made between the place of recruitment or of home leave and the duty station. Where shipment is authorized between any other place and the duty station, payment of transportation expenses shall not exceed the normal entitlement;
 - (iii) Reasonable costs of packing, crating, unpacking and uncrating shall be allowed for shipments within the authorized limits, except that costs for servicing of appliances, dismantling or erection of fixtures or costs of special packing shall not be borne by the United Nations;
 - (iv) Storage charges, other than those incidental to transportation expenses, shall not normally be allowed; and
 - (v) Privately owned vehicles may not be transported in lieu of personal effects and household goods under paragraphs (e) and (f) of this rule.
- (j) Project personnel and their eligible family members on home leave travel, family visit travel or education grant travel by air may be authorized an unaccompanied shipment of personal effects by a surface mode of transportation up to a maximum, including the weight or volume of packing and crating, of:
 - (i) 50 kg (110 lb) or 11 cubic feet per person in respect of each journey, except as provided in subparagraph (ii) below. At the request of the individual, this entitlement may be converted to 10 additional kg of unaccompanied excess baggage or its equivalent as established by the Secretary-General;
 - (ii) 200 kg (440 lb) or 44 cubic feet, in respect of the first outward journey to, or the final return journey from, an educational institution on education grant travel.

Shipments under this paragraph shall be made in one consignment. The whole entitlement may be converted to air freight on the basis of the one-half rule.

No costs for packing, crating, unpacking and uncrating will be paid for such shipments, but reasonable costs will be paid for cartage.

- (k) In addition to the shipment of personal effects and household goods under this rule, the cost of transporting a privately-owned automobile to a duty station may be partially reimbursed under conditions established by the Secretary-General, provided that the duty station to which the automobile is transported is one of the duty stations designated for that purpose and that the assignment of project personnel to the duty station is expected to be for a period of two years or more or that the initial assignment for a lesser period is extended so that the total period of assignment becomes two years or more.
- (1) Notwithstanding the one-half rule laid down in paragraphs (g) and (j) above, conversion to air freight on the basis of the full weight or volume may be authorized in the following cases:
 - (i) Where the cost of air freight is lower than surface shipment;
 - (ii) Where there is an extraordinary risk of damage to, or loss of, the shipment in transit; or
 - (iii) Where an excessive shipping delay is expected, particularly for shipment to land-locked countries.

However, for surface shipments under paragraph (j), conversion on the basis of the full weight or volume may be authorized only in the cases indicated in subparagraphs (i) and (ii) above.

Rule 207.21

INSURANCE

- (a) Project personnel shall not be reimbursed for the cost of personal accident insurance or of insurance of accompanied personal baggage. However, compensation may be paid in respect of losses of accompanied personal baggage in accordance with such arrangements as may be in force under rule 206.6.
- (b) In the case of personal effects and household goods shipped under rule 207.20 (e) or (f) the United Nations will arrange for commercial insurance coverage up to the value of \$5,000 for project personnel and \$12,000 for project personnel and family members who are authorized to travel at United Nations expense. Such insurance coverage shall not include articles of value for which special premium rates are charged. The United Nations will not be responsible for loss or damage to unaccompanied baggage.
- (c) In the case of personal effects and household goods shipped under rule 207.20 (e) or (f), project personnel shall furnish the United Nations, prior to shipment, with a valued inventory in duplicate of all articles shipped, including all containers such as suitcases as well as their contents, and the replacement value in United States dollars of each article in the shipment. If no inventory is furnished, no insurance coverage will be provided.

(d) Insurance coverage above the maxima established in paragraph (b) above and for articles of value for which special premium rates are charged will only be provided by the United Nations at the express request of the project personnel and at their own expense.

Rule 207.22

TRAVEL ADVANCES

Project personnel authorized to travel shall provide themselves with sufficient funds for all current expenses by securing an advance of funds, if necessary. A reasonable advance of funds against the estimated reimbursable travel expenses may be made to project personnel for expenses authorized under these rules in respect of themselves and their family members. An advance of funds shall be considered reasonable if it is not less than \$50.00 nor more than 80 per cent of the estimated reimbursable expenditures. If, in the course of official travel, project personnel have earned in subsistence allowance an amount equal to the amount advanced, they may be advanced the balance of the estimated reimbursable expenditures. No advance of funds shall be made in the case of travel by automobile.

Rule 207.23

TRANSPORTATION OF DECEDENTS

Upon the death of project personnel or of an eligible family member, the United Nations shall pay the expenses of transportation of the body from the place of death to a place to which they are entitled to return transportation under rule 207.1 or 207.9. These expenses shall include reasonable costs for preparation of the body. If local interment is elected, reasonable expenses incurred for the interment may be reimbursed.

Rule 207.24

LOSS OF ENTITLEMENT TO TRAVEL EXPENSES AND UNACCOMPANIED SHIPMENT

- (a) The Secretary-General may reject any claim for payment or reimbursement of expenses for travel or unaccompanied shipment which are incurred by project personnel in contravention of any provisions of these rules.
- (b) Project personnel who resign before completing one year of service or within six months following the date of return from home leave or family visit travel shall not be entitled to payment of travel expenses on separation for themselves or their family members.
- (c) Entitlement to expenses for return travel or unaccompanied shipment shall cease if travel or shipment has not commenced within six months after the date of separation from service.

- (d) When return travel is delayed by project personnel for personal convenience, any resulting cost of revalidating the return portion of tickets shall be borne by project personnel.
- (e) The Secretary-General may authorize exceptions to (b) and (c) above if he is satisfied that there are compelling reasons for so doing.

Article VIII

STAFF RELATIONS

- REGULATION 8.1: (a) A Staff Council, elected by the staff, shall be established for the purpose of ensuring continuous contact between the staff and the Secretary-General. The Council shall be entitled to make proposals to the Secretary-General for improvements in the situation of staff members, both as regards their conditions of work and their general conditions of life.
- (b) The Staff Council shall be composed in such a way as to afford equitable representation to all levels of the staff.
- (c) Election of the Staff Council shall take place annually under regulations drawn up by the Staff Council and agreed to by the Secretary-General.

REGULATION 8.2: The Secretary-General shall establish joint administrative machinery with staff participation to advise him regarding personnel policies and general questions of staff welfare and to make to him such proposals as it may desire for amendment of the Staff Regulations and Rules.

Article IX

SEPARATION FROM SERVICE

REGULATION 9.1: (a) The Secretary-General may terminate the appointment of a staff member who holds a permanent appointment and whose probationary period has been completed, if the necessities of the service require abolition of the post or reduction of the staff, if the services of the individual concerned prove unsatisfactory, or if he is, for reasons of health, incapacitated for further service.

The Secretary-General may also, giving his reasons therefore, terminate the appointment of a staff member who holds a permanent appointment:

- (i) If the conduct of the staff member indicates that the staff member does not meet the highest standards of integrity required by Article 101. paragraph 3, of the Charter;
- (ii) If facts anterior to the appointment of the staff member and relevant to his suitability come to light which, if they had been known at the time of his appointment, should, under the standards established in the Charter, have precluded his appointment.

No termination under subparagraphs (i) and (ii) shall take place until the matter has been considered and reported on by a special advisory board appointed for that purpose by the Secretary-General.

Finally, the Sccretary-General may terminate the appointment of a staff member who holds a permanent appointment if such action would be in the interest of the good administration of the Organization and in accordance with the standards of the Charter, provided that the action is not contested by the staff member concerned.

- (b) The Secretary-General may terminate the appointment of a staff member with a fixed-term appointment prior to the expiration date for any of the reasons specified in paragraph (a) above, or for such other reason as may be specified in the letter of appointment.
- (c) In the case of all other staff members, including staff members serving a probationary period for a permanent appointment, the Secretary-General may at any time terminate the appointment if, in his opinion, such action would be in the interest of the United Nations.

REGULATION 9.2: Staff members may resign from the Secretariat upon giving the Secretary-General the notice required under the terms of their appointment,

REGULATION 9.3: (a) If the Secretary-General terminates an appointment, the staff member shall be given such notice and such indemnity

payment as may be applicable under the Staff Regulations and Staff Rules. Payments of termination indemnity shall be made by the Secretary-General in accordance with the rates and conditions specified in annex III to the present regulations.

(b) The Secretary-General may, where the circumstances warrant and he considers it justified, pay to a staff member terminated under the final paragraph of staff regulation 9.1 (a) a termination indemnity payment not more than 50 per cent higher than that which would otherwise be payable under the Staff Regulations.

REGULATION 9.4: The Secretary-General shall establish a scheme for the payment of repatriation grants within the maximum rates and under the conditions specified in annex IV to the present regulations.

REGULATION 9.5: Staff members shall not be retained in active service beyond the age of sixty years. The Secretary-General may, in the interest of the Organization, extend this age limit in exceptional cases.

Chapter IX

SEPARATION FROM SERVICE

Rule 209.1

RESIGNATION

- (a) A resignation within the meaning of these rules is a separation initiated by the individual.
- (b) Unless otherwise specified in their letters of appointment, three months' written notice of resignation shall be given by project personnel having programme appointments, and thirty days' written notice of resignation shall be given by project personnel having fixed-term appointments. The Secretary-General may, however, accept resignations on shorter notice.

Rule 209.2

TERMINATION

- (a) A termination within the meaning of these rules is a separation initiated by the Organization other than: (i) a retirement, or (ii) a summary dismissal for serious misconduct, or (iii) a separation as a result of the expiration of a fixed-term appointment on the due date.
- (b) A separation as a result of expiration of a fixed-term appointment shall take place automatically and without prior notice on the expiration date specified in the letter of appointment.
- (c) The Secretary-General may, at any time, terminate the appointments of project personnel in accordance with the provisions of regulation 9.1.

Rule 209.3

ABOLITION OF POSTS AND REDUCTION OF ESTABLISHMENT

On the completion of a particular assignment or if the necessities of the service require abolition of a post or reduction of the staff, the holder of a programme appointment shall be given first consideration over other project personnel for such suitable posts as may be vacant within technical assistance projects, due regard being had in all cases to relative competence, to integrity and to length of service. Project personnel on programme appointments shall have no entitlement for consideration for posts outside the project personnel category.

Rule 209.4

NOTICE OF TERMINATION

- (a) Project personnel whose programme appointments are to be terminated shall be given not less than three months' written notice of such termination. Other project personnel whose appointments are to be terminated prior to the expiration date specified in the letter of appointment shall be given not less than a month's written notice of termination or such notice as may be otherwise stipulated in their letters of appointment.
- (b) In lieu of the notice period, the Secretary-General may authorize compensation calculated on the salary with post adjustment, dependency benefits and repatriation grant which the individual would have received had the date of termination been at the end of the notice period.

Rule 209.5

TERMINATION INDEMNITY

- (a) Project personnel whose appointments are terminated shall be paid termination indemnities in accordance with the provisions of staff regulation 9.3 and annex III to the Staff Regulations. Length of service for the purpose of computation of termination indemnity shall be deemed to include completed months of service in excess of the completed years specified in the schedule contained in annex III (a) to the Staff Regulations.
- (b) Payment of termination indemnity shall be calculated on the basis of the individual's pensionable remuneration, subject to staff assessment at the applicable rates set forth in staff regulation 3.3 (b) (i).

Rule 209.6

REPATRIATION GRANT

- (a) Upon separation, project personnel serving outside their home countries shall be entitled to a repatriation grant in accordance with regulation 9.4 and annex IV to the Staff Regulations.
- (b) The detailed conditions for the payment of the grant are set out in rule 209.8.

Rule 209.7

(Cancelled)

Rule 209.8

CONDITIONS GOVERNING PAYMENT OF REPATRIATION GRANT

Payment of repatriation grant under regulation 9.4 and annex IV to the Staff Regulations shall be subject to the following conditions and definitions:

- (a) "Obligation to repatriate" as used in annex IV to the Staff Regulations shall mean obligation to return project personnel and their eligible family members, upon separation, at the expense of the United Nations, to a place outside the country of the duty station.
- (b) "Home country" as used in annex IV to the Staff Regulations shall be determined on the same basis as the country of home leave entitlement under rule 205.2.
- (c) "Service" for the purposes of repatriation grant calculations shall exclude service before 1 January 1958, except in the case of project personnel who held entitlement to repatriation grant before that date. Service prior to 1 January 1951 shall be excluded in all cases.
- (d) Payment of the repatriation grant shall be subject to the provision by former project personnel of evidence of relocation away from the country of the last duty station. Evidence of relocation shall be constituted by documentary evidence that former project personnel have established residence in a country other than that of the last duty station.
- (c) Entitlement to repatriation grant shall cease if no claim for payment of the grant has been submitted within two years after the effective date of separation.
- (f) Notwithstanding paragraph (d) above, project personnel already in service before 1 July 1979 shall retain the entitlement to repatriation grant proportionate to the years and months of service qualifying for the grant which they already had accrued at that date without the necessity of production of evidence of relocation with respect to such qualifying service.
- (g) Payment of the repatriation grant shall be calculated on the basis of the individual's pensionable remuneration, subject to staff assessment at the applicable rates set forth in staff regulation $3.3 \, (b)$ (i).
- (h) No payments shall be made to project personnel who abandon their posts, nor to those who are summarily dismissed. Project personnel who reside in their home country while performing their official duties shall not be entitled to repatriation grant upon separation, provided that the Secretary-General may grant a full or a partial repatriation grant, in his discretion, in the case of project personnel who, after service at a duty station outside their home country, are transferred to a duty station within that country.
- (i) A dependent child, for the purpose of repatriation grant, shall mean a child recognized as dependent under rule 203.7 (b) at the time of the

individual's separation from service. The repatriation grant shall be paid at the rate for a staff member with a spouse or dependent child to eligible project personnel regardless of the place of residence of the spouse or dependent child.

- (j) Where both husband and wife are employed by the United Nations or by a specialized agency and each is entitled, on separation, to payment of a repatriation grant, payment shall be made to each, at single rates, in accordance with their respective entitlements, provided that where dependent children are recognized, the first parent to be separated may claim payment at the rate applicable to a staff member with a spouse or dependent child. In this event the second parent, on separation, may claim payment at the single rate for the period of qualifying service subsequent thereto; or, if eligible, at the rate applicable to a staff member with a spouse or dependent child for the whole period of his qualifying service, from which shall normally be deducted the amount of the repatriation grant paid to the first parent.
- (k) Loss of entitlement to payment of return travel expenses under rule 207.24 shall not affect project personnel's eligibility for payment of the repatriation grant.
- (1) In the event of the death of project personnel, no payment of repatriation grant shall be made unless there is a surviving spouse or one or more dependent children. If there is one such survivor, payment of repatriation grant shall be made at the single rate to the survivor; if there are two or more such survivors, payment of repatriation grant shall be made at the rate applicable to a staff member with a spouse or dependent child and shall be paid to the survivors in such proportions as may have been specified by the deceased, or in the absence of such designation, in equal proportions.
- (m) Payment of repatriation grant shall normally be made in the currency of the established country of residence.

Rule 209.9

COMMUTATION OF ACCRUED ANNUAL LEAVE

If, upon separation, project personnel have accrued annual leave, they shall be paid in lieu thereof a sum of money in commutation of the period of such accrued leave up to a maximum of 45 days in the case of service of two years or less, or 60 days in the case of longer service. The payment shall be calculated on the basis of the individual's pensionable remuneration, subject to staff assessment at the applicable rates set forth in staff regulation 3.3 (b) (i).

Rule 209.10

RESTITUTION OF ADVANCE ANNUAL AND SICK LEAVE

(a) On separation, project personnel who have taken advance annual or sick leave beyond the amount actually earned and accrued while in service

shall be required to make restitution for such advance leave by means of a cash refund.

(b) The Secretary-General may waive this requirement if he is satisfied that there are compelling reasons for doing so.

Rule 209.11

LAST DAY FOR PAY PURPOSES

- (a) When project personnel are separated from service, the date on which entitlement to salary, allowances and benefits shall cease shall be determined according to the following provisions:
 - (i) Upon resignation, the date shall be either the date of expiration of the notice period under rule 209.1 or such other date as the Secretary-General accepts;
 - (ii) Upon expiration of a fixed-term appointment, the date shall be the date specified in the letter of appointment;
 - (iii) Upon termination, the date shall be the date provided in the notice of termination;
 - (iv) In the case of summary dismissal, the date shall be the date of dismissal:
 - (v) In the case of death, the date on which entitlement to salary, allowances and benefits shall cease shall be the date of death, except in the case of project personnel who are in long-term status or who have completed five years' continuous service and who are survived by a spouse or a child recognized as dependent under rule 203.7 (b). In this event, the date shall be determined in accordance with the following schedule:

| Length of service | Months of extension beyond date of death |
|-------------------|--|
| 3 years or less | <i>3</i> |
| 4 years | 4 |
| 5 years | 5 |
| 6 years | 6 |
| 7 years | 7 |
| 8 years | 8 |
| 9 years or more | 9 |

Length of service shall be deemed to comprise the individual's total period of continuous service. Completed months of service in excess of the completed years specified in the schedule above shall be taken into account on a proportionate basis up to the maximum extension of six months. Payment related to the period of extension beyond the date of death may be made in a lump sum as soon as the pay accounts and related matters can be closed. Such payment shall be calculated on the basis of the individual's pensionable remuneration, subject to staff assessment at the applicable rates set forth in staff regulation 3.3 (b) (i). All other entitlements and accrual of benefits shall cease as of the date of death.

(b) When project personnel are exercising an entitlement to return travel, the last day for pay purposes shall be the date established under subparagraphs (a) (i), (ii), (iii) above, or the estimated date of arrival at the place of entitlement, whichever is later. The estimated date of arrival shall be determined on the basis of the time it would take to travel without interruption by an approved route and mode of direct travel from the duty station to the place of entitlement, the travel commencing no later than the day following the date established under paragraph (a) above.

Article X

DISCIPLINARY MEASURES

REGULATION 10.1: The Secretary-General may establish administrative machinery with staff participation which will be available to advise him in disciplinary cases.

REGULATION 10.2: The Secretary-General may impose disciplinary measures on staff members whose conduct is unsatisfactory.

He may summarily dismiss a member of the staff for serious misconduct.



Chapter X DISCIPLINARY MEASURES

Rule 210.1

DISCIPLINARY MEASURES

In any case involving possible disciplinary action, the Secretary-General may establish, on an *ad hoc* basis, machinery to advise him before any decision is taken.

Rule 210.2

SUSPENSION PENDING INVESTIGATION

If a charge of misconduct is made against an individual and the Secretary-General so decides, the individual may be suspended from duty, with or without pay, during investigation, the suspension being without prejudice to the rights of the individual.



Article XI

APPEALS

REGULATION 11.1: The Secretary-General shall establish administrative machinery with staff participation to advise him in case of any appeal by staff members against an administrative decision alleging the non-observance of their terms of appointment, including all pertinent regulations and rules, or against disciplinary action.

REGULATION 11.2: The United Nations Administrative Tribunal shall, under conditions prescribed in its statute, hear and pass judgement upon applications from staff members alleging non-observance of their terms of appointment, including all pertinent regulations and rules.

Chapter XI

APPEALS

Rule 211.1

APPEALS

- (a) The Joint Appeals Board established at Headquarters under rule 111.1 on Joint Appeals Board and rule 111.2 on Composition of the Joint Appeals Board shall consider and advise the Secretary-General regarding appeals filed under the terms of staff regulation 11.1 by project personnel against an administrative decision alleging non-observance of their terms of appointment, including all pertinent regulations and rules, or against disciplinary action.
- (b) Rule 111.1 on Joint Appeals Board, rule 111.2 on Composition of the Joint Appeals Board and rule 111.3 on Procedures of the Joint Appeals Board shall apply to project personnel.
- (c) Project personnel serving away from Headquarters may meet the time limits specified in paragraphs (a), (b) and (c) of rule 111.3 by delivering the letter addressed to the Secretary-General and the appeal submitted to the Secretary of the Joint Appeals Board within the respective specified periods to an office of the United Nations for transmission to Headquarters.

(The text of rules 111.1-111.3 is reproduced as appendix III to these rules,)

Rule 211.2

ADMINISTRATIVE TRIBUNAL

- (a) Under staff regulation 11.2 project personnel may apply to the United Nations Administrative Tribunal alleging non-observance of their terms of appointment, including all pertinent regulations and rules, in accordance with the provisions of the Statute of the Tribunal.
- (b) An application to the Tribunal shall not be receivable unless the applicant has previously submitted the dispute to the Joint Appeals Board under rule 211.1 and the Board has communicated its opinion to the Secretary-General, except where the Secretary-General and the applicant have agreed to submit the application directly to the Tribunal.



Article XII

GENERAL PROVISIONS

REGULATION 12.1: These regulations may be supplemented or amended by the General Assembly, without prejudice to the acquired rights of staff members.

REGULATION 12.2: The Secretary-General shall report annually to the General Assembly such Staff Rules and amendments thereto as he may make to implement these regulations.

Chapter XII

GENERAL PROVISIONS

Rule 212.1

AMENDMENT OF, AND EXCEPTIONS TO, STAFF RULES

- (a) These rules may be amended by the Secretary-General in a manner consistent with the Staff Regulations.
- (b) Exceptions to these rules may be made by the Secretary-General provided that such exception is not inconsistent with any staff regulation, and provided further that it is agreed to by the individual directly affected and is, in the opinion of the Secretary-General, not prejudicial to the interests of other project personnel.

Rule 212.2

FINANCIAL RESPONSIBILITY

Project personnel may be required to reimburse the United Nations either partially or in full for any financial loss suffered by the United Nations as a result of their negligence or of their having violated any regulation, rule or administrative instruction.

Rule 212.3

LIABILITY INSURANCE

Project personnel who own or drive automobiles shall carry public liability and property damage insurance in an amount adequate to insure them against claims arising from injury or death to other persons or damage to the property of others, caused by their automobiles.

Rule 212.4

BENEFICIARIES

- (a) At the time of appointment, project personnel shall nominate a beneficiary or beneficiaries in writing in a form prescribed by the Secretary-General. It shall be the responsibility of project personnel to notify the Secretary-General of any revocations or changes of beneficiaries.
- (b) In the event of the death of the individual, all amounts standing to his credit will be paid to his nominated beneficiary or beneficiaries subject to

application of these rules. Such payment shall afford the United Nations a complete release from all further liability in respect to any sum so paid.

(c) If a nominated beneficiary does not survive or if a designation of beneficiary has not been made or has been revoked, the amount standing to the credit of the individual will upon his death be paid to his estate.

Rule 212.5

RETROACTIVITY OF PAYMENTS

Project personnel who may not have been receiving any allowances, grants or other payments due under these rules shall not be entitled to receive such allowances, grants or other payments retroactively, unless a written claim has been submitted within one year of the date when the initial payment would otherwise have been due.

Rule 212.6

PROPRIETARY RIGHTS

All rights, including title, copyright and patent rights, in any work performed by project personnel as part of their official duties, shall be vested in the United Nations.

Rule 212.7

EFFECTIVE DATE OF RULES

Except as otherwise provided, rules 200.1 to 212.7 inclusive shall be effective 1 January 1979. They shall supersede all previous rules applicable to project personnel.

ANNEXES TO THE STAFF REGULATIONS

Annex I

SALARY SCALES AND RELATED PROVISIONS

- 1. The Administrator of the United Nations Development Programme, having the status equivalent to that of the executive head of a major specialized agency, shall receive a salary of \$US 99,350 per year; the Director-General for Development and International Economic Co-operation shall receive a salary of \$US 99,350 per year; and an Under-Secretary-General shall receive a salary of \$US 76,030 per year and an Assistant Secretary-General shall receive a salary of \$US 67,430 per year, subject to the staff assessment plan provided in staff regulation 3.3 and to post adjustments wherever applied. If otherwise eligible, they shall receive the allowances which are available to staff members generally.
- 2. The Secretary-General is authorized, on the basis of appropriate justification and/or reporting, to make additional payments to the Director-General for Development and International Economic Co-operation, to Under-Secretaries-General and to Assistant Secretaries-General to compensate for such special costs as may be reasonably incurred, in the interests of the Organization, in the performance of duties assigned to them by the Secretary-General. The maximum amount of such payments is to be determined in the programme budget by the General Assembly.
- 3. Except as provided in paragraph 6 of the present annex, the salary scales for staff members in the Director and Principal Officer category and in the Professional category shall be as shown in the present annex.
- 4. Subject to satisfactory service, salary increments within the levels set forth in paragraph 3 of the present annex shall be awarded annually, except that any increment above step IV of the Principal Officer level shall be preceded by two years at the previous step. The Secretary-General is authorized to reduce the interval between salary increments to 10 months and 20 months, respectively, in the case of staff subject to geographical distribution who have an adequate and confirmed knowledge of a second official language of the United Nations.
- 5. The Secretary-General is authorized, on the basis of appropriate justification and/or reporting, to make additional payments to Directors and, where offices are away from Headquarters, to their heads, to compensate for such special costs as may be reasonably incurred in the interest of the Organization in the performance of duties assigned to them by the Secretary-General. The maximum total amount of such payments is to be determined in the annual budget by the General Assembly.

- 6. The Secretary-General shall fix the salary rates to be paid to personnel specifically engaged for conferences and other short-term service, to consultants, to Field Service personnel, and to Technical Assistance experts.
- 7. The Secretary-General shall fix the salary scales for staff members in the General Service category and the salary or wage rates for manual workers, normally on the basis of the best prevailing conditions of employment in the locality of the United Nations office concerned, provided that the Secretary-General may, where he deems it appropriate, establish rules and salary limits for payment of a non-resident's allowance to General Service staff members recruited from outside the local area.
- 8. The Secretary-General shall establish rules under which a language allowance may be paid to staff members in the General Service category who pass an appropriate test and demonstrate continued proficiency in the use of two or more official languages.
- 9. In order to preserve equivalent standards of living at different offices, the Secretary-General may adjust the basic salaries set forth in paragraphs 1 and 3 of the present annex by the application of non-pensionable post adjustments based on relative costs of living, standards of living and related factors at the office concerned as compared to New York. Such post adjustments shall not be subject to staff assessment. Their amounts shall be as shown in the present annex.
- 10. No salary shall be paid to staff members in respect of periods of unauthorized absence from work unless such absence was caused by reasons beyond their control or duly certified medical reasons.

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Salary scales for Professional and higher categories showing annual gross and the net equivalent after application of staff assessment

(In US dollars)

(Effective 1 January 1977)

| | | | | | | | | Step | | | | | | |
|-------|--------------------------------|-----------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|
| Level | | 1 | II | III | IV | v | VI | VII | VIII | IX | х | XI | XII | XIII |
| USG | Gross Net D Net S | 43,872 | | | | | | | - | | | | | |
| ASG | Gross Net D Net S | 40,269.20 | | | | | | | | | | | | |
| D-2 | Gross Net D Net S | 33,552 | 54,160 34,276.80 31,398 | 55,700 35,002 32,038.50 | 57,300 35,738 32,686.50 | | | | | | | | | |
| D-1 | Gross Net D Net S | 29,245 | 45,320 29,960 27,567.40 | 46,760 30,680 28,208.20 | 48,190 31,395 28,844.55 | 49,650 32,112 29,481.25 | 51,070 32,793.60 30,084.75 | 52,450 33,456 30,671.25 | | | | | | |
| P-5 | Gross Net D Net S | 26,298.80 | 39,340 26,896.80 24,833.10 | 40,460 27,479.20 25,353.90 | 41,530 28,035.60 25,851.45 | 42,600 28,592 26,349 | 43,690 29,145 26,842.05 | 44,790 29,695 27,331.55 | 45,890 30,245 27,821.05 | 47,000 30,800 28,315 | 48,110 31,355 28,808,95 | | | |
| P-4 | Gross Net D Net S | 21,755.80 | 30,910 22,308.70 20,708.65 | 31,880 22,861.60 21,208.20 | 32,860 23,420.20 21,712.90 | 33,860 23,964.40 22,202.10 | 34,860 24,504.40 22,687.10 | 35,850 25,039 23,167.25 | 36,840 25,573.60 23,647.40 | 37,880 26,135.20 24,151.80 | 38,930 26,683.60 24,642.45 | 39,980 27,229.60 25,130.70 | 40,980 27,749.60 25,595.70 | |
| P-3 | Gross Net D Net S | 18,193.30 | 24,760 18,706 17,444,20 | 25,620 19,222 17,912.90 | 26,460 19,726 18,370.70 | 27,300 20,230 18,828.50 | 28,170 20,746.90 19,297.55 | 29,060 21,254.20 19,755.90 | 29,940 21,755.80 20,209.10 | 30,760 22,223.20 20,631.40 | 31,580 22,690,60 21,053,70 | 32,400 23,158 21,476 | 33,230 23,624.20 21,896.55 | 34,080 24,083.20 22,308.80 |
| P-2 | Gross Net D Net S | 15,096.40 | 19,710 15,538.60 14,554.55 | 20,390 15,975.70 14,954.25 | 21,070 16,404.10 15,345.25 | 21,760 16,838.80 15,742 | 22,440 17,267.20 16,133 | 23,130 17,701.90 16,529.75 | 23,820 18,136.60 16,926.50 | 24,530 18,568 17,318.85 | 25,250 19,000 17,711.25 | 25,970 19,432 18,103,65 | | |
| P-1 | Gross Net D Net S | 11,917 | 14,900 12,331 11,601.60 | 15,510 12,751.90 11,994.44 | 16,120 13,169.20 12,382.60 | 16,750 13,585 12,763.75 | 17,380 14,000.80 13,144.90 | 18,020 14,423.20 13,532.10 | 18,640 14,832.40 13,907.20 | 19,260 15,241.60 14,282.30 | 19,860 15,637.60 14,645.30 | | | |

D = Salary rates applicable to staff members with a dependent spouse or child.

S = Salary rates applicable to staff members with no dependent spouse or child.

Schedules of post adjustments (amount per index point in US dollars)

(Effective 1 July 1978)

(i) ADDITIONS (where cost of living is higher than at the base)

| | | | | | | | Step | | | | | | |
|-------|----------------|----|-----|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Level | | 11 | III | IV | ν | VI | VII | VIII | ΙX | х | ΧI | IIX | XIII |
| USG | D 36 S 32 | | | | | | | | | | | | |
| ASG | D 33: S 30: | | | | | | | | | | | | |
| D-2 | D 270 S 253 | | | 294.8 269.6 | | | | | | | | | |
| D-1 | D 24! S 23 | | | 263.4 242.0 | 267.8 245.8 | 272.4 249.8 | 276.8 253.8 | | | | | | |
| P-5 | D | | | 239.8 221.2 | 243.8 224.8 | 247.2 227.6 | 251.2 231.2 | 255.0 234.6 | 258.8 237.8 | 262,4 241,2 | | | |
| P-4 | D 19 S 17 | | | 204.4 189.6 | 209.2 193.8 | 213.0 197.2 | 216.8 200.6 | 220.6 204.0 | 224.6 207.6 | 229.4 211.8 | 234.0 216.0 | 238.4 220.0 | |
| P-3 | D 166 S 149 | | | 173.4 161.4 | 177.8 165.4 | 182.2 169.4 | 186.8 173.6 | 191.2 177.6 | 195.0 181.0 | 198.6 184.2 | 202.4 187.8 | 206.0 191.0 | 210.0 194.6 |
| P-2 | D 133 S 125 | | | 144.8 135.4 | 148.6 139.0 | 152.4 142.4 | 156.2 145.8 | 159.8 149.2 | 163.6 152.6 | 167.4 156.0 | 171.0 159.4 | | |
| P-1 | D 100 S 99 | | | 117.0 110.0 | 120.6 113.4 | 124.2 116.6 | 128.0 120.0 | 131.2 123.0 | 134.6 126.2 | 138.0 129.2 | | | |

D= Rate of post adjustment applicable to staff members with a dependent spouse or child.

S=Rate of post adjustment applicable to staff members with no dependent spouse or child.

Schedules of post adjustments (amount per index point in US dollars) (continued)

(ii) DEDUCTIONS (where cost of living is lower than at the base)

| | | | | | | | Step | | | | | | |
|-------------|--------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Level | 1 | 11 | 111 | IV | V | VI | VII | νш | IX | х | ХI | XIJ | XIII |
| USG | D 351.0 S 318.4 | , , | | | | | | | | | | | |
| ASG | D 322.2 S 293.2 | | | | | | | | | | | | |
| D-2 | D 268.4 S 246.0 | 274.2 251.2 | 280.0 256.4 | 286.0 261.4 | | | | | | | | | |
| D -1 | D 234.0 S 215.4 | 239.6 220.6 | 245.4 225.6 | 251.2 230.8 | 256.8 235.8 | 262.4 240.6 | 267.6 245.4 | | | | | | |
| P-5 | D 210.4 S 194.4 | 215.2 198.6 | 219.8 202.8 | 224.2 206.8 | 228.8 210.8 | 233.2 214.8 | 237.6 218.6 | 242.0 222.6 | 246.4 226.6 | 250.8 230.4 | | | |
| P-4 | D 174.0 S 161.6 | 178.4 165.6 | 182.8 169.6 | 187.4 173.8 | 191.8 177.6 | 196.0 181.4 | 200.4 185.4 | 204.6 189.2 | 209.0 193.2 | 213.4 197.2 | 217.8 201.0 | 222.0 204.8 | |
| P-3 | D 145.6 S 135.8 | 149.6 139.6 | 153.8 143.4 | 157.8 147.0 | 161.8 150.6 | 166.0 154.4 | 170.0 158.0 | 174.0 161.6 | 177.8 165.0 | 181.6 168.4 | 185.2 171.8 | 189.0 175.2 | 192.6 178.4 |
| P-2 | D 120.8 S 113.2 | 124.4 116.4 | 127.8 119.6 | 131.2 122.8 | 134.8 126.0 | 138.2 129.0 | 141.6 132.2 | 145.0 135.4 | 148.6 138.6 | 152.0 141.6 | 155.4 144.8 | | |
| P-1 | D 95.4 S 89.8 | 98.6 92.8 | 102.0 96.0 | 105.4 99.0 | 108.6 102.2 | 112.0 105.2 | 115.4 108.2 | 118.6 111.2 | 122.0 114.2 | 125,2 117,2 | | | |

D= Rate of post adjustment applicable to staff members with a dependent spouse or child.

S= Rate of post adjustment applicable to staff members with no dependent spouse or child.

Annex II

LETTERS OF APPOINTMENT

- (a) The letter of appointment shall state:
 - (i) That the appointment is subject to the provisions of the Staff Regulations and of the Staff Rules applicable to the category of appointment in question, and to changes which may be duly made in such regulations and rules from time to time:
- (ii) The nature of the appointment;
- (iii) The date at which the staff member is required to enter upon his duties:
- (iv) The period of appointment, the notice required to terminate it and period of probation, if any;
- (v) The category, level, commencing rate of salary, and if increments are allowable, the scale of increments, and the maximum attainable;
- (vi) Any special conditions which may be applicable.
- (b) A copy of the Staff Regulations and of the Staff Rules shall be transmitted to the staff member with the letter of appointment. In accepting appointment the staff member shall state that he has been made acquainted with and accepts the conditions laid down in the Staff Regulations and in the Staff Rules.

Annex III TERMINATION INDEMNITY

Staff members whose appointments are terminated shall be paid an indemnity in accordance with the following provisions:

(a) Except as provided in paragraphs (b), (c) and (e) below and in regulation 9.3 (b), the termination indemnity shall be paid in accordance with the following schedule:

| | Months of pensi | ionable remuneratio where applicat | on less staff assessment, ble |
|----------------------------------|---------------------------|---|--|
| Completed years of service | Permanent appointments | Temporary appointments which are not for a fixed term | Temporary appointments for a fixed term exceeding six months |
| Less than 1 | Not applicable | Nil) | One week for each |
| 1 | Not applicable | 1 | month of uncom- |
| 2 | 3 | 1 | pleted service sub- |
| 3 | 3 | $\left.\begin{array}{c}2\\3\end{array}\right\}$ | ject to a minimum |
| 4 | 4 | 3 | of six weeks' and a |
| 5 | 5 | 4 | maximum of three months' indemnity |
| 6 | 6 | 5 | pay 3 |
| 7 | 7 | 6 | 5 |
| 8 | 8 | 7 | 7 |
| 9 | g | 9 | 9 |
| 10 | 9.5 | 9.5 | 9.5 |
| 11 | 10 | 10 | 10 |
| 12 | 10.5 | 10.5 | 10.5 |
| <i>13</i> | 11 | 11 | 11 |
| 14 | 11.5 | 11.5 | 11.5 |
| 15 or more | 12 | 12 | 12 |

⁽b) A staff member whose appointment is terminated for reasons of health shall receive an indemnity equal to the indemnity provided under paragraph (a) of this annex reduced by the amount of any disability benefit that the staff member may receive under the Regulations of the United Nations Joint Staff Pension Fund for the number of months to which the indemnity rate corresponds.

- (c) A staff member whose appointment is terminated for unsatisfactory services or who for disciplinary reasons is dismissed for misconduct other than by summary dismissal may be paid, at the discretion of the Secretary-General, an indemnity not exceeding one half of the indemnity provided under paragraph (a) of this annex.
 - (d) No indemnity payments shall be made to:

A staff member who resigns, except where termination notice has been given and the termination date agreed upon;

A staff member who has a temporary appointment which is not for a fixed term and which is terminated during the first year of service;

A staff member who has a temporary appointment for a fixed term which is completed on the expiration date specified in the letter of appointment;

A staff member who is summarily dismissed;

A staff member who abandons his post;

- A staff member who is retired under the Regulations of the United Nations Joint Staff Pension Fund.
- (e) Staff members specifically engaged for conference and other shortterm service or for service with a mission, as consultants or as experts, and staff members who are locally recruited for service in established offices away from Headquarters may be paid termination indemnity if and as provided in their letters of appointment.

Annex IV REPATRIATION GRANT

In principle, the repatriation grant shall be payable to staff members whom the Organization is obligated to repatriate. The repatriation grant shall not, however, be paid to a staff member who is summarily dismissed. Detailed conditions and definitions relating to eligibility shall be determined by the Secretary-General. The amount of the grant shall be proportional to the length of service with the United Nations, as follows:

| | | Staff member with neither a spouse nor a dependent child at time of separation | | | | |
|--|--|---|--------------------------------|--|--|--|
| Years of continuous service away from home country | Staff member with a spouse or dependent child at time of separation | Professional and higher categories | General Service category | | | |
| | | ble remuneration les. where applicable) | s staff | | | |
| 1 | 4 | 3 | 2 | | | |
| 2 | 8 | 5 | 4 | | | |
| 3 | 10 | 6 | 5 | | | |
| 4 | 12 | 7 | 6 | | | |
| 5 | 14 | 8 | 7 | | | |
| 6 | 16 | 9 | 8 | | | |
| 7 | 18 | 10 | 9 | | | |
| 8 | 20 | 11 | 10 | | | |
| 9 | 22 | 13 | 11 | | | |
| 10 | 24 | 14 | 12 | | | |
| 11 | 26 | 15 | 13 | | | |
| 12 or more | 28 | 16 | 14 | | | |

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APPENDICES TO THE STAFF RULES

Appendix I (rule 203.1)

SALARY SCALES

showing annual gross and the net equivalent after application of staff assessment

(in United States dollars)

Effective 1 January 1977

| | | | | | | | Step | | | | | | |
|----------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Level | 1 | 11 | 111 | IV | v | VI | VII | VIII | īΧ | x | ΧI | XII | XIII |
| 7. Gross Net D Net S | 52,650.00 33,552.00 30,756.25 | 54,160.00 34,276.80 31,398.00 | 55,700.00 35,002.00 32,038.50 | 57,300.00 35,738.00 32,686.50 | | | | | | | | | |
| 6. Gross Net D Net S | 43,890.00 29,245.00 26,931.05 | 45,320.00 29,960.00 27,567.40 | 46,760.00 30,680.00 28,208.20 | 48,190.00 31,395.00 28,844.55 | 49,650.00 32,112.00 29,481.25 | 51,070.00 32,793.60 30,084.75 | 52,450.00 33,456.00 30,671.25 | | | | | | |
| 5. Gross Net D Net S | 38,190.00 26,298.80 24,298.35 | 39,340.00 26,896.80 24,833.10 | 40,460.00 27,479.20 25,353.90 | 41,530.00 28,035.60 25,851.45 | 42,600.00 28,592.00 26,349.00 | 43,690.00 29,145.00 26,842.05 | 44,790.00 29,695.00 27,331.55 | 45,890.00 30,245.00 27,821.05 | 47,000.00 30,800.00 28,315.00 | 48,110.00 31,355.00 28,808.95 | | | |
| 4. Gross Net D Net S | 29,940.00 21,755.80 20,209.10 | 30,910.00 22,308.70 20,708.65 | 31,880.00 22,861.60 21,208.20 | 32,860.00 23,420.20 21,712.90 | 33,860.00 23,964.40 22,202.10 | 34,860.00 24,504.40 22,687.10 | 35,850.00 25,039.00 23,167.25 | 36,840.00 25,573.60 23,647.40 | 37,880.00 26,135.20 24,151.80 | 38,930.00 26,683.60 24,642.45 | 39,980.00 27,229.60 25,130.70 | 40,980.00 27,749.60 25,595.70 | |
| 3. Gross Net D Net S | 23,910.00 18,193.30 16,978.25 | 24,760.00 18,706.00 17,444.20 | 25,620.00 19,222.00 17,912.90 | 26,460.00 19,726.00 18,370.70 | 27,300.00 20,230.00 18,828.50 | 28,170.00 20,746.90 19,297.55 | 29,060.00 21,254.20 19,755.90 | 29,940.00 21,755.80 20,209.10 | 30,760.00 22,223.20 20,631.40 | 31,580.00 22,690.60 21,053.70 | 32,400.00 23,158.00 21,476.00 | 33,230.00 23,624.20 21,896.55 | 34,080.00 24,083.20 22,308.80 |
| 2. Gross Net D Net S | 19,040.00 15,096.40 14,149.20 | 19,710.00 15,538.60 14,554.55 | 20,390.00 15,975.70 14,954.25 | 21,070.00 16,404.10 15,345.25 | 21,760.00 16,838.80 15,742.00 | 22,440.00 17,267.20 16,133.00 | 23,130.00 17,701.90 16,529.75 | 23,820.00 18,136.60 16,926.50 | 24,530.00 18,568.00 17,318.85 | 25,250.00 19,000.00 17,711.25 | 25,970.00 19,432.00 18,103.65 | | |
| 1. Gross Net D Net S | 14,300.00 11,917.00 11,215.20 | 14,900.00 12,331.00 11,601.60 | 15,510.00 12,751.90 11,994.44 | 16,120.00 13,169.20 12,382.60 | 16,750.00 13,585.00 12,763.75 | 17,380.00 14,000.80 13,144.90 | 18,020.00 14,423.20 13,532.10 | 18,640.00 14,832.40 13,907.20 | 19,260.00 15,241.60 14,282.30 | 19,860.00 15,637.60 14,645.30 | | | |

D = Salary rates applicable to project personnel in intermediate-term or long-term status with a dependent spouse or child.

S = Salary applicable to project personnel in intermediate-term or long-term status with no dependent spouse or child and to project personnel in short-term status,

Appendix I (continued)

SCHEDULE OF PENSIONABLE REMUNERATION

and, for purposes of separation payments, the net equivalents after application of staff assessment

(in United States dollars)

Liffective 1 January 1979

| | | | | | | | | Step | | | | | | |
|-----|------|----------------------------|-----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|----------------------------|
| 1 | evel | I | 11 | 111 | IV | ν | 17 | VII | VIII | 111 | Х | χı | XII | XIII |
| 7 | PR | 65,813 39,558 36,038 | 67,700 \$0,388 36,765 | 69,625 41,203 37,473 | 71,625 42,043 38,203 | | | | | | | | | |
| 6 | PR | 54,863 34,614 31,697 | 56,650 35,439 32,423 | 58,450 36,267 33,152 | 60,238 37,089 33,876 | 62,063 37,908 34,594 | 63,838 38,689 35,278 | 65,563 39,448 35,942 | | | | | | |
| ≘ 5 | PR | 47,738 31,169 28,643 | 49,175 31,864 29,279 | 50,575 32,556 29,874 | 51,913 33,198 30,443 | 53,250 33,840 31,011 | 54,613 34,494 31,591 | 55,988 35,134 32,155 | 57,363 35,767 32,712 | 58,750 36,405 33,274 | 60,138 37,043 33,836 | | | |
| 4 | PR | 37,425 25,890 23,931 | 38,638 26,532 24,507 | 39,850 27,162 25,070 | 41,075 27,799 25,640 | 42,325 28,449 26,221 | 43,575 29,088 26,791 | 44,813 29,707 27,342 | 46,050 30,325 27,892 | 47,350 30,975 28,471 | 18,663 31,632 29,055 | 49,975 32,268 29,619 | 51,225 32,868 30,151 | |
| 3 | PR | 29,688 21,726 20,182 | 30,950 22,332 20,729 | 32,025 22,944 21,283 | 33,075 23,541 21,821 | 34,125 24,108 22,331 | 35,213 24,695 22,858 | 36,325 25,296 23,398 | 37,425 25,890 23,931 | 38,450 26,434 24,419 | 39,475 26,967 24,896 | 40,500 27,500 25,373 | 41,538 28,040 25,855 | 42,600 28,592 26,349 |
| 2 | PR | 23,800 18,124 16,915 | 24,638 18,633 17,378 | 25,488 19,143 17,841 | 26,338 19,653 18,304 | 27,200 20,170 18,774 | 28,050 20,679 19,236 | 28,913 21,170 19,680 | 29,775 21,662 20,124 | 30,663 22,168 20,581 | 31 563 22,681 21,045 | 32,463 23,194 21,508 | | |
| 1 | PR | 17,875 14,328 13,444 | 18,625 14,823 13,898 | 19,388 15,326 14,360 | 20,150 15,825 14,816 | 20,938 16,321 15,269 | 21,725 16,817 15,722 | 22,525 17,321 16,182 | 23,300 17,809 16,628 | 24,075 18,295 17,071 | 24,825 18,745 17,480 | | | |

D= Salary rates applicable to staff members with a dependent spouse or child.

S= Salary rates applicable to staff members with no dependent spouse or child.

Appendix II (Rule 203.5)

SCHEDULES OF POST ADJUSTMENTS

(amount per index point in United States dollars)

Effective 1 July 1978

(i) ADDITIONS (where cost of living is higher than at the base)

| | | | | | | | | Step | | | | | | |
|----|--------------|---|----------------|----------------|----------------|-------------------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Le | vel | 1 | 11 | 111 | įV | v | Vi | VII | VIII | lX | х | ХI | XII | XIII |
| 7. | D 2° S 2° | | 282.8 259.0 | 288.8 264.4 | 294.8 269.6 | | | | | | | | | |
| 6. | D 24 S 23 | | 254.4 234.2 | 258.8 238.0 | 263.4 242.0 | 267.8 245.8 | 272.4 249.8 | 276.8 253.8 | | | | | | |
| 5. | D 22 S 21 | | 232.6 214.8 | 236.2 218.0 | 239,8 221,2 | 243.8 224.8 | 247.2 227.6 | 251.2 231.2 | 255.0 234.6 | 258.8 237.8 | 262.4 241.2 | | | |
| 4. | D 19 S 17 | | 195.8 181.8 | 200.2 185.8 | 204.4 189.6 | 209.2 193.8 | 213.0 197.2 | 216.8 200.6 | 220.6 204.0 | 224.6 207.6 | 229,4 211.8 | 234.0 216.0 | 238.4 220.0 | |
| 3. | D 16 S 14 | | 165.2 154.0 | 169.4 157.8 | 173.4 161.4 | 177.8 1 <i>65.</i> 4 | 182,2 169,4 | 186.8 173.6 | 191.2 177.6 | 195.0 181.0 | 198.6 184.2 | 202.4 187.8 | 206.0 191.0 | 210.0 194.6 |
| 2. | D 13 S 12 | | 137.4 128.6 | 141.0 132.0 | 144.8 135.4 | 148.6 139.0 | 152.4 142.4 | 156.2 145.8 | 159.8 149.2 | 163.6 152.6 | 167.4 156.0 | 171.0 159.4 | | |
| 1. | D 10 S 9 | | 109.8 103.2 | 113.4 106.6 | 117.0 110.0 | 120.6 113.4 | 124.2 116.6 | 128.0 120.0 | 131.2 123.0 | 134.6 126.2 | 138.0 129.2 | | | |

D= Rate of post adjustment applicable to staff members with a dependent spouse or child.
S= Rate of post adjustment applicable to staff members with no dependent spouse or child.

Appendix II (continued) (ii) DEDUCTIONS (where cost of living is lower than at the base)

| | | | | | | | Step | | | | | | |
|---------------|--------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| Level | ī | 11 | 111 | īv | v | VI | VII | VIII | 1X | х | ХI | XII | XIII |
| 7. D 20 S 21 | | 274.2 251.2 | 280.0 256.4 | 286.0 261.4 | | | <u> </u> | | | | | | |
| 6. D 2 2 2 | | 239.6 220.6 | 245.4 225.6 | 251.2 230.8 | 256.8 235.8 | 262.4 240.6 | 267.6 245.4 | | | | | | |
| 5. D 2 | | 215.2 198.6 | 219.8 202.8 | 224.2 206.8 | 228.8 210.8 | 233.2 214.8 | 237.6 218.6 | 242.0 222.6 | 246.4 226.6 | 250.8 230.4 | | | |
| 4. D 1 S 1 | | 178.4 165.6 | 182.8 169.6 | 187.4 173.8 | 191.8 177.6 | 196,0 181,4 | 200.4 185.4 | 204,6 189.2 | 209.0 193.2 | 213.4 197.2 | 217.8 201.0 | 222.0 204.8 | |
| 3. D 1 | | 149.6 139.6 | 153.8 143.4 | 157.8 147.0 | 161.8 150.6 | 166.0 154.4 | 170.0 158.0 | 174.0 161.6 | 177.8 165.0 | 181.6 168.4 | 185.2 171.8 | 189.0 175.2 | 192.6 178.4 |
| 2. D 1 S 1 | | 124.4 116.4 | 127.8 119.6 | 131.2 122.8 | 134.8 126.0 | 138.2 129.0 | 141.6 132.2 | 145.0 135.4 | 148.6 138.6 | 152.0 141.6 | 155.4 144.8 | | |
| | 95.4 89.8 | 98.6 92.8 | 102,0 96,0 | 105.4 99.0 | 108.6 102.2 | 112.0 105.2 | 115.4 108.2 | 118.6 111.2 | 122.0 114.2 | 125.2 117.2 | | | |

D= Rate of post adjustment applicable to staff members with a dependent spouse or child.

S= Rate of post adjustment applicable to staff members with no dependent spouse or child.

Appendix III (Rule 211.1)

STAFF RULES 111.1, 111.2 AND 111.3

Rule 111.1

JOINT APPEALS BOARD

- (a) A Joint Appeals Board is established to consider and advise the Secretary-General regarding appeals filed under the terms of staff regulation 11.1 by staff members serving at Headquarters.
- (b) In case of termination or other action on grounds of inefficiency or relative efficiency, the Board shall not consider the substantive question of efficiency but only evidence that the decision has been motivated by prejudice or by some other extraneous factor.
- (c) Where its competence is in doubt, the Joint Appeals Board itself shall decide.
- (d) The Joint Appeals Board may take recommendations to the Secretary-General should it desire to change the procedures regarding appeals as set forth in these rules.

Rule 111.2

COMPOSITION OF THE JOINT APPEALS BOARD

- (a) The Joint Appeals Board at Headquarters shall consist of three members as follows:
 - (i) A chairman, selected from a panel appointed annually by the Secretary-General after consultation with the Staff Committee:
 - (ii) One member appointed annually by the Secretary-General;
 - (iii) One member elected annually by ballot of the staff.
- (b) Alternate members shall be selected in the same manner as the members; an alternate member shall serve during the consideration of an appeal for which a member is unavailable or disqualified under paragraph (c) below provided that alternate members elected by the staff who are available shall serve in the order in which they received votes in such election.
- (c) The members and alternate members of the Joint Appeals Board shall be eligible for reappointment on re-election.
- (d) A member may be removed from the panel of chairmen by the Secretary-General after consultation with the Staff Committee; the member and the alternate members appointed by the Secretary-General may be

removed by the Secretary-General; the member and the alternate members elected by the staff may be removed by a two-thirds majority vote of the Staff Council.

(e) The Chairman of the Joint Appeals Board, at the request of either party, may disqualify any member or alternate member from the consideration of a specific appeal, if in the opinion of the Chairman such action is warranted by the relation of such member or alternate member to the staff member whose appeal is to be considered. The Chairman may also excuse any member or alternate member from the consideration of a specific appeal. No person who has served on the Joint Disciplinary Committee during consideration of a specific case shall serve on the Joint Appeals Board should it consider an appeal relating to the same case.

Rule 111.3

PROCEDURE OF THE JOINT APPEALS BOARD

- (a) A staff member who, under the terms of regulation 11.1, wishes to appeal an administrative decision, shall, as a first step, address a letter to the Secretary-General, requesting that the administrative decision be reviewed. Such a letter must be sent within one month from the time the staff member received notification of the decision in writing.
- (b) If the staff member wishes to make an appeal against the answer received from the Secretary-General, the staff member shall submit his or her appeal in writing to the Secretary of the Joint Appeals Board within one month from the date of receipt of the answer. If no reply has been received from the Secretary-General within one month of the date the letter was sent to the Secretary-General, the staff member shall, within the following month, submit his or her appeal in writing to the Secretary of the Joint Appeals Board.
- (c) An appeal against the Secretary-General's decision on disciplinary action shall be addressed to the Secretary of the Joint Appeals Board within one month from the time the staff member received notification of the decision in writing.
- (d) An appeal shall not be receivable by the Joint Appeals Board, unless the above time limits have been met, provided that the Board may waive the time limits in exceptional circumstances.
- (e) Before the Joint Appeals Board undertakes consideration of an appeal, the staff member concerned shall be notified of the composition of the Board.
- (f) A staff member may arrange to have his or her appeal presented to the Joint Appeals Board on his or her behalf by another member of the Secretariat. The staff member may not, however, be represented before the Board by a person who is not a member of the Secretariat.

- (g) The filing of an appeal with the Joint Appeals Board shall not have the effect of suspending action on an administrative decision which is the subject of the appeal.
- (h) In considering an appeal, the Joint Appeals Board shall act with the maximum of dispatch consistent with a fair review of the issues before it. Normally, proceedings before the Board shall be limited to the original written presentation of the case, together with brief statements and rebuttals, which may be made orally or in writing, in one of the working languages.
- (i) The Board shall have authority to call members of the Secretariat who may be able to provide information concerning the issues before it and to request the production of documents.
- (j) The Joint Appeals Board shall, by majority vote, adopt and submit a report to the Secretary-General. The report shall be considered as constituting a record of the proceedings in the appeal and may include a summary of the matter, as well as the Board's recommendation. Votes on the recommendation shall be recorded, and any member of the Board may have his or her dissenting opinions included in the report.
- (k) The Board shall submit its report to the Secretary-General as soon as possible after undertaking consideration of an appeal.
- (1) The final decision in the matter, taken by the Secretary-General after the Board has forwarded its report, shall be notified to the staff member, together with a copy of the Board's recommendation. The Secretary-General's decision and a copy of the Board's recommendation shall also be transmitted to the Staff Committee, except in cases of appeals against disciplinary action.