



## General Assembly

Distr.  
GENERAL

A/52/709  
4 December 1997

ORIGINAL: ENGLISH

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Fifty-second session  
Agenda item 114

### REVIEW OF THE EFFICIENCY OF THE ADMINISTRATIVE AND FINANCIAL FUNCTIONING OF THE UNITED NATIONS

#### Gratis personnel provided by Governments and other entities

#### Report of the Secretary-General

#### I. INTRODUCTION

1. The present report is submitted in response to General Assembly resolution 51/243 of 15 September 1997, in paragraph 6 of which the Secretary-General was requested to report on a quarterly basis on the acceptance of the gratis personnel referred to in paragraph 4 of the resolution with a view to ensuring compliance with the provisions of the resolution and to report annually on the use of gratis personnel, indicating, *inter alia*, their nationality, the duration of their service and the functions performed.

#### II. QUARTERLY REPORT ON THE ACCEPTANCE OF GRATIS PERSONNEL REFERRED TO IN PARAGRAPH 4 OF RESOLUTION 51/243

2. Paragraph 6 of the resolution, in which a quarterly report is requested on the acceptance of type II gratis personnel (see A/51/688 and Corr.1, paras. 24-40), specifies that the purpose of the report is to ensure compliance with the provisions of the resolution. Since the resolution was adopted on 15 September 1997, the period covered in this first quarterly report starts on 16 September 1997 and ends on 30 September 1997, the date through which data on gratis personnel is available.

3. Two new type II gratis personnel started their service during that period, as shown in the table below:

Department/Office	Country of nationality	Date on which service began	Functions	Expiration date of engagement
Department of Economic and Social Affairs	Republic of Korea	16 September 1997	Assists in monitoring the economic situation in Asia and prepares a report on the reform of the financial sector and current financial problems for the World Economic and Social Survey in 1998	28 February 1998
Department of Humanitarian Affairs	Fiji	28 September 1997	Participates in work of the United Nations Disaster Assessment and Coordination teams in the South Pacific	28 February 1998

In the first case, the individual began his service after the resolution was adopted on the basis of an agreement finalized on 2 September 1997. In the second case, the individual was retained to provide operational and technical advice to the United Nations Disaster Assessment and Coordination teams in the South Pacific, as required by the circumstances in that region. Both provide expert advice and assistance not available within the Organization.

### III. ANNUAL REPORT ON THE USE OF GRATIS PERSONNEL

4. In paragraph 12 of its resolution 51/243, the General Assembly requested the Secretary-General to report annually on the use of gratis personnel, indicating, inter alia, their nationality, the duration of their service and the functions performed.

#### Type I gratis personnel

5. Annex I to the present report provides data on type I gratis personnel who serve under an established regime, including interns, associate experts, technical cooperation experts obtained by non-reimbursable loan and gratis personnel serving with the United Nations Special Commission (see A/51/688/Add.2, para. 4, and S/51/688/Add.3, para. 3). The total for each category as at 30 September 1997 is compared with the total as at 30 October 1996, which was shown in the first report of the Secretary-General to the General Assembly on gratis personnel (A/51/688 and Corr.1).

6. The numbers are fairly constant for the various categories except for interns, whose number increased in offices away from Headquarters as more offices took advantage of the arrangement, which is mutually beneficial to the interns and to the Organization.

#### Type II gratis personnel

7. Annex II provides information on type II gratis personnel in service as at 30 September 1997, by department or office, nationality, and duration of service.

8. Annex III shows the evolution of the numbers of type II gratis personnel by department during the reporting period. The total number of gratis personnel as at 30 September 1997 (289) shows a marked decrease overall when compared with the totals as at 31 October 1996 (330) and 31 March 1997 (334). The number of receiving departments decreased from 15 on 31 October 1996, to 8 on 30 September 1997. The increased number of gratis personnel in humanitarian affairs was a result of the increased needs of the United Nations Disaster Assessment Coordination programme.

9. Annex IV shows the distribution of type II gratis personnel by nationality.

10. Annex V provides information on the nature of the functions performed by type II gratis personnel in service as at 30 September 1997 in each department or office, except for the Department of Peacekeeping Operations, for which information is provided in annex VI.

ABBREVIATIONS USED IN THE ANNEXES

DESA	Department of Economic and Social Affairs
DDSMS	Department of Development Support and Management Services
DESIPA	Department for Economic and Social Information and Policy Analysis
DHA	Department of Humanitarian Affairs
DM	Department of Management
DPA	Department of Political Affairs
DPCSD	Department for Policy Coordination and Sustainable Development
DPKO	Department of Peacekeeping Operations
ECLAC	Economic Commission for Latin America and the Caribbean
ESCAP	Economic and Social Commission for Asia and the Pacific
ESCWA	Economic and Social Commission for Western Asia
OIOS	Office of Internal Oversight Services
UNCHS	United Nations Centre for Human Settlements (Habitat)
UNDCP	United Nations International Drug Control Programme
UNEP	United Nations Environment Programme
UNOV	United Nations Office at Vienna
UNSCOM	Security Council Special Commission established pursuant to paragraph 9 (b) (i) of resolution 687 (1991)

ANNEX I

Type I gratis personnel

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	Interns	Associate experts (200 series)	Technical cooperation experts (ST/AI/231/Rev.1)	UNSCOM (S/22508)
31 October 1996	131	76	31	112
30 September 1997	195	72	32	109
Increase/(decrease)	64	(4)	1	(3)

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ANNEX II

Gratis personnel type II, by department, nationality  
 and duration of service

(as at 30 September 1997)

Country of nationality	Count	Under 1 year	1 to 2 years	2 years or more
<u>Department of Economic and Social Affairs</u>				
France	1	X		
France	1			X
Republic of Korea	<u>3</u>	X		
Total	5			
<u>Department of Humanitarian Affairs</u>				
Australia	1		X	
Austria	2		X	
Austria	4			X
Canada	1		X	
Colombia	2			X
Costa Rica	1			X
Denmark	3			X
Ecuador	2			X
Egypt	1			X
Fiji	1	X		
Fiji	4		X	
Finland	5			X
France	1			X
Germany	3		X	
Germany	3			X
Guatemala	3			X

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Country of nationality	Count	Under 1 year	1 to 2 years	2 years or more
Japan	2			X
Netherlands	1		X	
Norway	5			X
New Zealand	2		X	
Peru	3			X
Papua New Guinea	1		X	
Russian Federation	3			X
Samoa	1		X	
Solomon Islands	2		X	
Sweden	1		X	
Sweden	3			X
Switzerland	3		X	
Switzerland	7			X
Tonga	1		X	
United Kingdom	1	X		
United Kingdom	2		X	
United Kingdom	5			X
Vanuatu	1		X	
Venezuela	<u>3</u>			X
Total	84			
<u>Department of Management</u>				
France	1		X	
Ireland	1			X
Norway	1			X
Spain	1		X	
United States of America	<u>1</u>			X
Total	5			

Country of nationality	Count	Under 1 year	1 to 2 years	2 years or more
<u>Department of Peacekeeping Operations</u>				
Argentina	6			X
Australia	4			X
Austria	1		X	
Austria	3			X
Belgium	1		X	
Belgium	5			X
Brazil	1	X		
Brazil	3		X	
Brazil	4			X
Canada	2	X		
Canada	1		X	
Canada	3			X
Czech Republic	1		X	
Czech Republic	2			X
Denmark	2		X	
France	1	X		
France	1		X	
France	6			X
Germany	2		X	
Germany	4			X
Ghana	1		X	
Guatemala	1		X	
Ireland	1	X		
Ireland	2			X
Israel	1			X
Italy	6		X	

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Country of nationality	Count	Under 1 year	1 to 2 years	2 years or more
Italy	9			X
Malaysia	1		X	
Namibia	1		X	
Netherlands	3			X
Norway	1		X	
Norway	4			X
New Zealand	1		X	
Republic of Korea	1		X	
Russian Federation	1		X	
Russian Federation	2			X
South Africa	1		X	
South Africa	1			X
Singapore	3		X	
Spain	2		X	
Spain	1			X
Sweden	1		X	
Sweden	3			X
Switzerland	1		X	
Turkmenistan	1			X
Turkey	2		X	
Turkey	1			X
United Kingdom	2		X	
United Kingdom	5			X
United States of America	10		X	
United States of America	<u>10</u>			X
Total	133			

Country of nationality	Count	Under 1 year	1 to 2 years	2 years or more
<u>International Tribunal for the Former Yugoslavia</u>				
Australia	1			X
Belgium	1		X	
Belgium	2			X
Canada	2			X
Colombia	1			X
China	1			X
Denmark	2	X		
Denmark	1		X	
Finland	1			X
France	1		X	
France	4			X
Italy	3	X		
Italy	1		X	
Italy	1			X
Netherlands	2		X	
Netherlands	4			X
Nigeria	1			X
Norway	1			X
Rwanda	1			X
Sweden	2			X
Switzerland	1		X	
Switzerland	1			X
United Kingdom	1		X	
United Kingdom	8			X
United States of America	5	X		
United States of America	1		X	

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Country of nationality	Count	Under 1 year	1 to 2 years	2 years or more
United States of America	<u>2</u>			X
Total	52			
<u>International Criminal Tribunal for Rwanda</u>				
Denmark	1		X	
Denmark	1			X
Germany	1		X	
Netherlands	2	X		
Norway	1			X
Sweden	1		X	
United Kingdom	<u>1</u>		X	
Total	8			
<u>United Nations Environment Programme</u>				
Belgium	<u>1</u>		X	
Total	1			
<u>United Nations Office at Vienna</u>				
France	<u>1</u>			X
Total	<u>1</u>			
Grand total	<u>289</u>			

ANNEX III

Evolution of the numbers of Type II gratis personnel by  
 department over the reporting period

Department/office	31 October 1996 <sup>a</sup>	31 March 1997 <sup>b</sup>	30 September 1997
DDSMS	1	-	-
DESIPA	3	3	5
DHA	66	71	84
DM	7	7	5
DPA	2	1	-
DPCSD	8	2	-
DPKO	141	151	133
ECLAC	1	-	-
ESCAP	3	-	-
ESCWA	-	1	-
International Tribunal for the Former Yugoslavia	55	51	52
International Criminal Tribunal for Rwanda	32	34	8
OIOS	3	1	-
UNCHS (Habitat)	1	-	-
UNDCP	4	5	-
UNEP	-	-	1
UNOV	3	7	1
<b>Total</b>	<b>330</b>	<b>334</b>	<b>289</b>

<sup>a</sup> A/51/688, annex III.

<sup>b</sup> A/51/688/Add.2, annex II.

ANNEX IV

Gratis personnel, type II, by nationality

(as at 30 September 1997)

Country of nationality	Count
Argentina	6
Australia	6
Austria	10
Belgium	10
Brazil	8
Canada	9
China	1
Colombia	3
Costa Rica	1
Czech Republic	3
Denmark	10
Ecuador	2
Egypt	1
Fiji	5
Finland	6
France	18
Germany	13
Ghana	1
Guatemala	4
Ireland	4
Israel	1
Italy	20
Japan	2
Malaysia	1
Namibia	1
Netherlands	12

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Country of nationality	Count
New Zealand	3
Nigeria	1
Norway	13
Papua New Guinea	1
Peru	3
Republic of Korea	4
Russian Federation	6
Rwanda	1
Samoa	1
Singapore	3
Solomon Islands	2
South Africa	2
Spain	4
Sweden	11
Switzerland	13
Tonga	1
Turkey	3
Turkmenistan	1
United Kingdom	25
United States of America	29
Vanuatu	1
Venezuela	3
Total	<u>289</u>

ANNEX V

Functions discharged by gratis personnel in service as  
at 30 September 1997

Department of Economic and Social Affairs (5)

1. Gratis personnel provide expert advice in the areas of national accounts and related statistics; development of a strategy for the implementation of the 1993 System of National Accounts and of the United Nations Economic and Social Information System; national statistical data; implementation of human resources accounting country projects and work on the Handbook on Household Sector Accounts and Human Resources; monitoring the Asian economic situation; and preparing a study on the reform of the financial sector and current financial problems for the World Economic and Social Survey, 1998.

Department of Humanitarian Affairs (84)

2. Three gratis personnel provided expert advice on military and civil defence assets, on complex emergencies and on humanitarian law and sanctions. Eighty-one gratis personnel were accepted to provide assistance in projects administered by the United Nations Disaster Assessment and Coordination.

Department of Management (5)

3. Procurement functions include purchasing and contracting, e.g., charter of aircraft and vessels, rations, petroleum, etc.

Department of Peacekeeping Operations (133)

4. See annex VI.

International Tribunal for the Former Yugoslavia (52) and International Criminal Tribunal for Rwanda (8)

5. Investigators are responsible for the planning, organization and conduct of sensitive field service investigations, utilizing all appropriate and available investigative techniques and resources in accordance with applicable laws, policies and procedures.

6. Legal advisers to the Prosecutor assist in the prosecution of persons, especially those in positions of authority and leadership, who were responsible for the most serious violations of international humanitarian law or mass killings.

7. Legal officers provide legal advice and direction to the target development unit regarding criminal law and procedure, the application of international humanitarian law and prosecutorial strategy.

8. Legal assistants assist judges and prosecutors in research and drafting on legal matters.

9. In addition, at the International Tribunal for the Former Yugoslavia, four gratis personnel discharge the following functions:

(a) Court Deputy: manages judicial preparations for trial proceedings on behalf of the Registrar, attends trials and handles court documentation;

(b) Detention Guard: assists the Commanding Officer of the Detention Unit in providing security services for detained persons;

(c) Forensic Investigator (Forensic Crime Scene Examiner): examines scenes of crimes to obtain corroboration for alleged offences, including the identification of victims and perpetrators, the manner in which crimes were committed, taking measurements, fingerprints, blood samples and other physical evidence;

(d) Video Analyst: views, indexes and analyses video tapes of material broadcast in the former Yugoslavia during the conflict, to enable investigation and prosecution teams to identify relevant evidence.

United Nations Environment Programme (1)

10. Functions include providing expert advice and technical assistance to UNEP Water Branch, specifically to Regional Seas Programme activities implemented by the Eastern African Action Plan Regional Coordinating Unit.

United Nations Office at Vienna (1)

11. Expert advice and substantive input is provided in the development of model training courses for police, mutual police assistance in developing countries at the regional level, development and reinforcement of links between national police systems and the United Nations Crime Prevention and Criminal Justice Division.



ANNEX VI

Functions discharged by gratis personnel in service as  
 at 30 September 1997 in the Department of Peacekeeping  
 Operations

	<u>Number</u>	<u>Total</u>
<u>Office of the Under-Secretary-General</u>		
<u>Military Adviser's Office</u>		11
Information Systems Officer	1	
Military Assistant	1	
Military Personnel Policy	1	
Military Personnel Managers	2	
Military Personnel Officers	2	
Personnel Administration	1	
Personnel Planning and Allocation	1	
Special Affairs Officer	1	
Chief, Military Personnel Administration Unit	1	
<u>Situation Centre</u>		16
Chief Clerk	1	
Duty Officers	9	
Human Resources Officer	1	
Informatics Officer	1	
Information and Research Officers	3	
Information and Research Assistant	1	
<u>Office of Operations</u>		5
Military Mission Officers	5	
<u>Office of Planning and Support</u>		
Assistant to the Assistant Secretary-General	1	1
<u>Field Administration and Logistics Division</u>		
<u>Finance Management and Support Service</u>		19
Finance Officers	3	
Claims Officers	15	
Information Analyst	1	
<u>Logistics and Communications Service</u>		33

Air Operations Officers	4	
Communications Officers	2	
	<u>Number</u>	<u>Total</u>
Engineering Officers	7	
Logistics Officers	6	
MovCon Officers	3	
Planning Officers	2	
Sea Lift Officer	1	
Supply Officers	5	
Transport Officers	3	
<u>Personnel Management and Support Services</u>		
Personnel Officer	1	1
<u>Planning Division</u>		
<u>Civilian Police Unit</u>		
		5
Deputy Civilian Police	1	
Desk Officers	4	
<u>Demining Unit</u>		
		6
Programme Officer	1	
Senior Humanitarian Officer	1	
Demining Officers and Technical Advisers	4	
<u>Medical Support Unit</u>		
		5
Medical Adviser	1	
Deputy Medical Adviser	1	
Medical Support Officers	2	
Medical Supply Officer	1	
<u>Mission Planning Service</u>		
		15
Chief of Service	1	
Planning Officers	8	
Chief Clerk	1	
Stand-by Arrangements, Assistant	1	
Stand-by Arrangements, Officers	2	
Stand-by Arrangements, Head	1	
Generic Planning, Head	1	
<u>Training Unit</u>		
		6
Research/Evaluation Officer	1	
Training Adviser	1	

Training Officers

4

	<u>Number</u>	<u>Total</u>
<u>United Nations Logistics Base (Brindisi)</u>		2
Assistant in logistics-related functions	2	
<u>United Nations Transitional Administration for Eastern Slavonia, Baranja and Western Sirmium</u>		8
Officers assigned to the Office of the Transitional Administrator	7	
Special adviser on education and culture	1	—
Total		133

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