



SUBSIDIARY BODY FOR IMPLEMENTATION

Sixth session

Bonn, 28 July - 5 August 1997

**REPORT OF THE SUBSIDIARY BODY FOR IMPLEMENTATION ON THE WORK
OF ITS SIXTH SESSION, BONN, 28 JULY - 5 AUGUST 1997**

Draft report by the Rapporteur, Mrs. Patricia Iturregui (Peru)

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I. OPENING OF THE SESSION

(Agenda item 1)

1. The sixth session of the Subsidiary Body for Implementation (hereinafter referred to as "the SBI") was held at the Hotel Maritim, Bonn, from 28 July to 5 August 1997.
2. The Chairman of the SBI, Mr. Mohamed M. Ould El Ghaouth, opened the session at the 1st meeting, on 28 July 1997. In welcoming the participants, he noted that the sixth session of the SBI would have to take definitive decisions on some issues if the SBI were to complete its workload by the October session.

II. ORGANIZATIONAL MATTERS

(Agenda item 2)

A. Adoption of the agenda

(Agenda item 2 (a))

3. At its 1st meeting, on 28 July, the SBI considered the provisional agenda contained in document FCCC/SBI/1997/7.
4. Statements were made by representatives of x Parties.
5. At its 1st meeting, on 28 July, the SBI adopted the following agenda:
 1. Opening of the session.
 2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Organization of the work of the session;
 - (c) Preparation by the SBI for the third session of the Conference of the Parties (COP 3).
 3. Division of labour between the SBI and the Subsidiary Body for Scientific and Technological Advice (SBSTA).
 4. National communications:
 - (a) Communications from Parties included in Annex I to the Convention;
 - (b) Communications from Parties not included in Annex I to the Convention.

5. Financial mechanism: Review process referred to in decision 9/CP.1.
6. Development and transfer of technologies.
7. Administrative and financial matters:
 - (a) Programme budget for 1998-1999;
 - (b) Volume of documentation.
8. Arrangements for intergovernmental meetings:
 - (a) Arrangements for COP 3;
 - (b) Arrangements for COP 4;
 - (c) Calendar of meetings for 1997-1999.
9. Matters arising from the United Nations General Assembly: Special session on Agenda 21.
10. Mechanisms for consultation with non-governmental organizations (NGOs).
11. Report on the session.

B. Organization of the work of the session

(Agenda item 2 (b))

6. At its 1st meeting, on 28 July, the Chairman stated that services would be available from 10 a.m. to 1 p.m. and from 3 p.m. to 6 p.m. on the days allotted to the SBI. The SBI approved the organization of the work as presented by the Chairman. The Chairman also referred to the documentation before the sixth session, as mentioned in document FCCC/SBI/1997/7.

7. The SBI agreed to admit two new intergovernmental organizations and ten non-governmental organizations that had been screened by the secretariat, on the basis of the provisions in paragraph 6 of Article 7 of the Convention, without prejudice to the subsequent action by the COP.

C. Preparation by the SBI for the third session of the Conference of the Parties (COP 3)

(Agenda item 2 (c))

8. The Chairman invited delegates to prepare, to the extent possible, recommendations for appropriate decisions to be adopted by the COP at its third session, and recalled that this approach had already been taken in previous sessions.

D. Attendance

9. The lists of attendance at the sixth session of the SBI are given in document FCCC/SBI/1997/xx.

E. Documentation

10. The documents prepared for the sixth session of the SBI are listed in annex...

III. DIVISION OF LABOUR BETWEEN THE SBI AND THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE (SBSTA)

(Agenda item 3)

1. Proceedings

11. At its 1st meeting, on 28 July, the SBI addressed the item on the division of labour between the SBI and the SBSTA. It had before it a document presented by the secretariat (FCCC/SB/1997/2).

12. Statements were made by representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusions

13. At its 5th meeting, on 5 August, having considered a proposal by the Chairman, the SBI adopted the following conclusions:

(a) At their sixth session, the SBI and the SBSTA both decided to recommend the following draft decision for adoption by the Conference of the Parties at its third session.

The Conference of the Parties,

1. *Recalling* Articles 9 and 10 of the United Nations Framework Convention on Climate Change,

2. *Recalling also* its decision at its second session that the division of labour between the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation be taken up by the Conference of the Parties at its 3rd session on the basis of recommendations made to it by the Chairmen of the two subsidiary bodies (FCCC/CP/1996/15/Add. 1, page 69),

3. *Having considered* the recommendations made by the Chairmen, through the conclusions of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation, as included in the reports of their sixth sessions, the Conference of the Parties at its 3rd session,
4. *Desiring* to further elaborate the division of labour between the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation,
5. *Decided* that:
6. The division of labour is governed by Articles 9 and 10 of the Convention, and by decisions 6/CP.1, and other relevant decisions of the Conference of the Parties.
7. As indicated in decision 6/CP.1, the role of the subsidiary bodies can be broadly characterized as follows:
 - (a) The Subsidiary Body for Scientific and Technological Advice will be the link between the scientific, technical and technological assessments and the information provided by competent international bodies, and the policy-oriented needs of the Conference of the Parties;
 - (b) The Subsidiary Body for Implementation will develop recommendations to assist the Conference of the Parties in its review and assessment of the implementation of the Convention and in the preparation and implementation of its decisions.
8. The consideration of issues which are pertinent to both bodies, should take place in a way which is efficient in the use of time during meetings, to avoid confusion, and to reduce the overall workload. Therefore, in general, one of the bodies will take the overall responsibility in considering an issue; requesting, if necessary, adequate and specific inputs from the other body. Where overall responsibility is not assigned, agendas should be organized to avoid the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation dealing with such issues in parallel sessions. On issues where this is not possible, consideration should be given to holding ad-hoc joint sessions of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation. On this basis the provisions above are clarified as follows:

National communications from Parties

9. The Subsidiary Body for Implementation will have the overall responsibility for:
 - (a) Developing guidelines on the processes for consideration of national communications;

(b) Considering the information contained in national communications, other relevant documentation and compilation and synthesis reports, with a view to assisting the Conference of the Parties in undertaking its tasks under Article 7.2.(e).

10. In cooperation with the Subsidiary Body for Implementation, the Subsidiary Body for Scientific and Technological Advice will have responsibility for:

(a) Developing guidelines for the provision of comparable information, including all related methodological issues;

(b) Considering, upon the request of the Subsidiary Body for Implementation, as appropriate, national communications and other relevant documentation, such as technical papers, with the aim of, *inter alia*, verifying methodologies used and making recommendations on their refinement, preparing scientific assessments on the effects of measures taken in the implementation of the Convention, reviewing projections and their assumptions, and assessing comprehensiveness and effectiveness of mitigation and adaptation measures.

Development and transfer of technology

11. The Subsidiary Body for Implementation will, with input from the Subsidiary Body for Scientific and Technological Advice as appropriate, have responsibilities for assisting the Conference of the Parties in the assessment and review of the effective implementation of the Convention with respect to the development and transfer of technology.

12. As stipulated in the Convention, and as decided by the Conference of the Parties in decision 6/CP.1, the Subsidiary Body for Scientific and Technological Advice will have responsibility for providing advice on all scientific, technological and methodological aspects of the development and transfer of technology.

Consultations with non-governmental organizations

13. Taking into account the competence of each subsidiary body, the Subsidiary Body for Implementation will have overall responsibility for all policy questions and relevant inputs related to issues dealing with consultation with non-governmental organizations, as appropriate.

14. Should the Subsidiary Body for Scientific and Technological Advice or any other subsidiary body feel that non-governmental organizations could provide relevant input on an item being considered, that body could seek and consider such inputs.

15. Provisional accreditation related to individual non-governmental organizations will be the responsibility of the relevant body involved.

Activities implemented jointly

16. The Subsidiary Body for Scientific and Technological Advice will have the responsibility for:

(a) Developing the framework for reporting, including consideration of scientific, technical and methodological aspects of the reports;

(b) Preparing a synthesis report of activities for the Conference of the Parties.

17. The Subsidiary Body for Implementation will have the responsibility for:

(a) Assisting the Conference of the Parties with reviewing the progress of the activities implemented jointly under the pilot phase, on the basis of inputs by the Subsidiary Body for Scientific and Technological Advice.

Research and systematic observation

18. In accordance with Article 5 of the Convention, the Subsidiary Body for Scientific and Technological Advice will have the overall responsibility for issues related to research and systematic observation, and where necessary, drawing upon the Subsidiary Body for Implementation. The Subsidiary Body for Scientific and Technological Advice shall also play a co-ordination role in such activities related to climate change relevant to the implementation of the Convention.

19. The Subsidiary Body for Implementation, with input from the Subsidiary Body for Scientific and Technological Advice as appropriate, will have the responsibility for assisting the Conference of the Parties in the assessment and review of the effective implementation of the Convention, with respect to research and systematic observation.

Education, training and public awareness

20. In further clarification to decision 6/CP.1, the Subsidiary Body for Scientific and Technological Advice will have the overall responsibility in providing advice on educational, training, and public awareness programmes, and public access to information. When considering such issues, the Subsidiary Body for Scientific and Technological Advice will draw upon, *inter alia*, relevant international organizations.

21. The Subsidiary Body for Implementation, with input from the Subsidiary Body for Scientific and Technological Advice as appropriate, will have responsibility for assisting the Conference of the Parties in the assessment and review of the effective implementation of the Convention with respect to education, training, and public awareness.

IV. NATIONAL COMMUNICATIONS

(Agenda item 4)

A. Communications from Parties included in Annex I to the Convention

(Agenda item 4 (a))

1. Proceedings

14. At its 4th meeting, on 30 July, the SBI addressed the sub-item on national communications from Parties included in Annex I to the Convention. It had before it four documents presented by the secretariat (FCCC/SB/1997/5, FCCC/SB/1997/6, FCCC/IDR.1/DEU, FCCC/IDR.1(SUM)/DEU).

15. Statements were made by representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusions

16. At its 4th meeting, on 30 July, having considered a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI took note of the report of the secretariat on the progress made in the process of review of the first national communications from Annex I Parties. The SBI requested the secretariat to prepare a report on the experiences with the review process of the first national communications, for consideration at the next session of the SBI.

(b) The SBI urged Annex I Parties which have not yet submitted their second national communication to do so as soon as possible.

(c) The SBI urged all Annex I Parties to submit their views on the schedule of in-depth reviews of the second national communications no later than 25 August and requested the secretariat to prepare the final schedule for consideration at the next session of the SBI.

(d) The SBI expressed its gratitude to the Parties and intergovernmental organizations which made available their experts for participation in in-depth reviews and

encouraged Parties, especially developing country Parties, to continue nominating experts for the in-depth reviews, in particular Parties that recently acceded to the Convention.

(e) The SBI took note of the report on the informal workshop on Annex I communications held in conjunction with the present session of the SBI.

B. Communications from Parties not included in Annex I to the Convention

(Agenda item 4 (b))

1. Proceedings

17. At its 2nd and 5th meetings, on 29 July and 5 August respectively, the SBI addressed the sub-item on national communications from Parties not included in Annex I to the Convention. It had before it four documents presented by the secretariat (FCCC/SBI/1997/9, FCCC/SBI/1997/13, FCCC/SBI/1997/MISC. 4, FCCC/SBI/1997/MISC. 5).

18. Statements were made by representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusion

19. At its 5th meeting on 5 August, having considered a proposal by the Chairman, the SBI adopted the following conclusion:

[To be replaced by text contained in relevant adopted conclusion.]

V. FINANCIAL MECHANISM: REVIEW PROCESS REFERRED TO IN DECISION 9/CP.1

(Agenda item 5)

1. Proceedings

20. At its 2nd and 3rd meetings, on 29 July, the SBI addressed the sub-item on the financial mechanism: review process referred to in decision 9/CP.1. It had before it two documents presented by the secretariat (FCCC/SBI/1997/8, FCCC/SBI/1997/MISC. 3).

21. Statements were made by representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusions

22. At its 5th meeting, on 5 August, having considered a proposal from the Chairman, the SBI adopted the following conclusions:

(a) The SBI, taking into account the views expressed on this agenda item and the draft decision proposed by the Chairman, decided to defer further consideration of this item to its next session.

(b) The SBI urged all Parties to submit their views on the financial mechanism in accordance with the guidelines for its review no later than 8 September 1997 and requested the secretariat to prepare a miscellaneous compilation of these views for consideration at its seventh session.

(c) The SBI invited the Global Environment Facility, in providing information relevant to the review, to take into account the views expressed by the Parties.

VI. DEVELOPMENT AND TRANSFER OF TECHNOLOGIES

(Agenda item 6)

1. Proceedings

23. At its 4th meeting, on 30 July, the SBI addressed the item on development and transfer of technologies. It had before it three documents presented by the secretariat (FCCC/SB/1997/3, FCCC/SB/1997/4, FCCC/TP/1997/1).

24. Statements were made by representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusion

25. At its 4th meeting, on 30 July, the SBI, on a proposal by the Chairman, decided to refer this item to the SBSTA for its consideration.

VII. ADMINISTRATIVE AND FINANCIAL MATTERS

(Agenda item 7)

A. Programme budget for 1998 - 1999

(Agenda item 7 (a))

1. Proceedings

26. At its 1st and 5th meetings, on 28 July and 5 August respectively, the SBI addressed the sub-item on administrative and financial matters: programme budget for 1998-1999. It had before it three documents presented by the secretariat (FCCC/SBI/1997/10, FCCC/SBI/1997/INF. 1, FCCC/SBI/1997/INF. 2). In presenting these documents at the first meeting, the Executive Secretary also drew attention to delays in contributions to the core budget and to the recurrent shortage of money in the trust fund for participation. He stated the intention by the secretariat to link eligibility for the latter with the state of payments by Parties to the former.

27. Statements were made by the representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusions

28. At its 5th meeting on 5 August, having considered a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI recommended for adoption by the COP the following budget decision which would require finalization at the Conference with respect to the contingencies indicated therein:

The Conference of the Parties,

1. *Recalling* paragraph 4 of the financial procedures for the Conference of the Parties,

2. *Having considered* the proposed budget for the biennium 1998-1999 submitted by the Executive Secretary (FCCC/SBI/1997/10),

3. *Noting* the annual contribution of the host Government, DM 1.5 million, which offsets planned expenditures,

4. *Approves* the programme budget for the biennium 1998-1999, amounting to [X], for the purposes specified in the table contained in annex I to this decision;

5. *Approves* the staffing table for the programme budget, including the post of the Executive Secretary at the level of Assistant Secretary General and two other senior posts at the level of D-2, as contained in annex II to this decision;
6. *Approves* a contingency budget for conference servicing, amounting to US\$ 5,184,900, to be added to the programme budget for the coming biennium in the event that the General Assembly of the United Nations decides not to provide resources for these activities in the regular United Nations budget for 1998-1999 (see annex III to this decision);
7. [*Decides* to incorporate in the programme budget for the biennium 1998-1999 approved under paragraph 4 above and the staffing table approved under paragraph 5 above, the resources for a post-Kyoto intergovernmental process contingent upon the decision by the Conference of the Parties at its 3rd session to that effect, and as agreed by the Subsidiary Body for Implementation at its sixth session, amounting to US\$ 840,400 (see annex IV to this decision);]
8. *Requests* the Executive Secretary to report to the Subsidiary Body for Implementation at its first session in 1998 on the implementation of paragraph 6 above;
9. *Authorizes* the Executive Secretary to make transfers, between each of the main appropriation lines set out in annex I, up to an aggregate limit of 15 per cent of total estimated expenditure for those appropriation lines, provided that a further limitation of up to minus 25 per cent of each such appropriation line shall apply;
10. *Decides* to maintain the level of the working capital reserve at 8.3 per cent of the estimated expenditure;
11. *Invites* all Parties to the Convention to note that contributions to the core budget are due on 1 January of each year in accordance with paragraph 8(b) of the financial procedures and to pay promptly and in full, for each of the years 1998 and 1999, the contributions required to finance expenditures approved under paragraph 4 [and 7] of this decision, as offset by estimated contributions noted under paragraph 3 herein, and the contributions which may result from the decision of the General Assembly referred to in paragraph 6 above;
12. *Takes note* of the funding estimates for the Trust Fund for Participation in the United Nations Framework Convention on Climate Change Process and the Trust Fund for Supplementary Activities under the United Nations Framework Convention on Climate Change specified by the Executive Secretary and included in annex V to this note, and invites Parties to make contributions to these funds;
13. *Requests* the Executive Secretary to report to the Conference of the Parties at its next session on income and budget performance, and to propose any adjustments that might be needed in the Convention budget for 1998-1999.

29. The SBI, in consequence of the above:

(a) Authorizes the Executive Secretary to notify the Parties by 1 October 1997 of their contributions to the core budget by 1 January 1998 on the basis of the budget recommended to COP and the contingencies included in paragraphs 6 and 7 of the above draft decision and on the basis of the existing indicative scale of contributions (decision 15/CP.1, paragraph 7 (a) and annex II of that decision) to be subsequently adapted to reflect any changes agreed upon by the General Assembly in the United Nations scale of assessments; and

(b) Invites the President of the COP to communicate to the Secretary-General of the United Nations the recommended level of remuneration of the post of Executive Secretary so that an appointment to that post may be made for a period beginning 1 January 1998.

B. Volume of documentation

(Agenda item 7 (b))

1. Proceedings

30. At its 1st and 4th meetings, on 28 and 30 July respectively, the SBI addressed the sub-item on administrative and financial matters: volume of documentation. It had before it a document presented by the secretariat (FCCC/SBI/1997/12).

31. Statements were made by representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusion

32. At its 4th meeting on 30 July, having considered a proposal by the Chairman, the SBI adopted the following conclusion:

(a) At its sixth session, the SBI decided to recommend the following draft decision for adoption by the Conference of the Parties at its third session:

The Conference of the Parties,

1. *Recalling* its decision 17CP.2, paragraph 2, whereby it requested the Executive Secretary to submit to the Subsidiary Body for Implementation, at its fifth session further options for reducing the cost of documentation for the meetings of the Conference of the Parties and its subsidiary bodies;

2. *Takes note* of the efforts of the Convention secretariat to reduce the volume of documentation, as indicated in paragraphs 9 and 10 of document FCCC/SBI/1997/12 on volume of documentation;
3. *Requests* the Executive Secretary to explore with the United Nations, the possibility of ensuring unlimited access to all language versions of the documents of the United Nations Framework Convention on Climate Change that the United Nations makes available on its optical disk system on a restricted page on the World Wide Web.
4. *Invites* the Parties:
 - (a) To limit the volume of their submissions for circulation to Convention bodies, including those that do not require translation;
 - (b) To focus the content of submissions on material pertinent to the forthcoming sessions of the subsidiary bodies and to seek to avoid repetition of previously presented statements;
 - (c) To limit their requests for numbers of hard copies of documents;
 - (d) To limit the requests for documents that need to be translated; and
 - (e) To schedule the delivery of documents in a timely manner that corresponds to the capacity of the Convention bodies to consider them.
5. *Notes* the intention of the Executive Secretary to advise presiding officers on the feasibility of producing in a timely manner, the documentation envisaged in the conclusions of subsidiary bodies, before those conclusions are adopted.

VIII. ARRANGEMENTS FOR INTERGOVERNMENTAL MEETINGS

(Agenda item 8)

A. Arrangements for COP 3

(Agenda item 8 (a))

1. Proceedings

33. At its 3rd, 4th and 5th meetings, on 29 and 30 July and 5 August respectively, the SBI addressed the sub-item on arrangements for intergovernmental meetings: arrangements for COP3. It had before it a document presented by the secretariat (FCCC/SBI/1997/11).

34. Statements were made by the representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusion

[To be replaced by text contained in relevant adopted conclusion.]

B. Arrangements for COP 4

(Agenda item 8 (b))

1. Proceedings

35. At its 3rd, 4th and 5th meetings, on 29 and 30 July and 5 August respectively, the SBI addressed the sub-item on arrangements for intergovernmental meetings: arrangements for COP 4. It had before it a document presented by the secretariat (FCCC/SBI/1997/11).

36. Statements were made by the representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusion

[To be replaced by text contained in relevant adopted conclusion.]

C. Calendar of meetings for 1997-1999

(Agenda 8(c))

1. Proceedings

37. At its 3rd, 4th and 5th meetings, on 29 and 30 July and 5th August respectively, the SBI addressed the sub-item on arrangements for intergovernmental meetings: calendar of meetings for 1997-1999. It had before it a document presented by the secretariat (FCCC/SBI/1997/11).

38. Statements were made by representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusion

[To be replaced by text contained in relevant adopted conclusion.]

**IX. MATTERS ARISING FROM THE UNITED NATIONS GENERAL ASSEMBLY:
SPECIAL SESSION ON AGENDA 21**

(Agenda item 9)

1. Proceedings

39. At its 4th meeting, on 30 July, the SBI addressed the item on matters arising from the United Nations General Assembly: special session on Agenda 21. The secretariat made available an extract from the conclusions.

40. Statements were made by representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusion

41. At its 4th meeting on 30 July, having considered a proposal by the Chairman, the SBI took note of the information provided by the secretariat.

**X. MECHANISMS FOR CONSULTATION WITH NON-GOVERNMENTAL
ORGANIZATIONS (NGOs)**

(Agenda item 10)

1. Proceedings

42. At its 4th meeting, on 30 July, the SBI addressed the item on mechanisms for consultation with non-governmental organizations (NGOs). It had before it three documents presented by the secretariat (FCCC/SBI/1997/14, FCCC/SBI/1997/14/Add. 1, FCCC/SBI/1997/MISC. 6).

43. Statements were made by representatives of x Parties, including x speaking on behalf of the European Community and its member States and x speaking on behalf of the Group of 77 and China.

2. Conclusions

44. At its 4th meeting on 30 July, having considered a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI took note of documents FCCC/SBI/1997/14 and FCCC/SBI/1997/14/Add.1 presented by the secretariat on agenda item 10 "Mechanisms for consultations with non-governmental organizations".

(b) After having considered this item, and taking into account views expressed at its sixth session, the SBI urged all Parties to submit their views on this item no later than 25 August for its consideration at its seventh session.

(c) The SBI decided to consider this issue at its seventh session.

XI. REPORT ON THE SESSION

(Agenda item 11)

45. The SBI requested the Rapporteur, with the guidance of the Chairman and the assistance of the secretariat, to complete the report on the session taking into account the discussions during the session and the need for editorial adjustments.

46. The Chairman, after thanking all participants for their co-operation throughout the meeting, declared the sixth session of the SBI closed.

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