UNITED NATIONS





General Assembly

Distr. LIMITED

A/CN.4/L.528/Add.2 16 July 1996

Original: ENGLISH

INTERNATIONAL LAW COMMISSION Forty-eighth session Geneva, 6 May-26 July 1996

DRAFT REPORT OF THE INTERNATIONAL LAW COMMISSION ON THE WORK OF ITS FORTY-EIGHTH SESSION

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CHAPTER III

STATE RESPONSIBILITY

Draft articles of Parts One, Two and Three provisionally adopted by the Commission on first reading.

Part One

Origin of international responsibility

CHAPTER I

GENERAL PRINCIPLES

Article 1 1/

Responsibility of a State for its internationally wrongful acts

Every internationally wrongful act of a State entails the international responsibility of that State.

Article 2 2/

Possibility that every State may be held to have committed an internationally wrongful act

Every State is subject to the possibility of being held to have committed an internationally wrongful act entailing its international responsibility.

Article 3 3/

Elements of an internationally wrongful act of a State

There is an internationally wrongful act of a State when:

- (a) conduct consisting of an action or omission is attributable to the State under international law; and
- (b) that conduct constitutes a breach of an international obligation of the State.

 $[\]underline{1}/$ For the commentary to the article, see $\underline{\text{Yearbook}}$... $\underline{1973}$, vol. II, pp. 173-176.

²/ For the commentary to the article, see ibid., pp. 176-179.

³/ For the commentary to the article, see ibid., pp. 179-184.

Article 4 4/

Characterization of an act of a State as internationally wrongful

An act of a State may only be characterized as internationally wrongful by international law. Such characterization cannot be affected by the characterization of the same act as lawful by internal law.

CHAPTER II

THE "ACT OF THE STATE" UNDER INTERNATIONAL LAW

Article 5 5/

Attribution to the State of the conduct of its organs

For the purposes of the present articles, conduct of any State organ having that status under the internal law of that State shall be considered as an act of the State concerned under international law, provided that organ was acting in that capacity in the case in question.

Article 6 6/

Irrelevance of the position of the organ in the organization of the State

The conduct of an organ of the State shall be considered as an act of that State under international law, whether that organ belongs to the constituent, legislative, executive, judicial or other power, whether its functions are of an international or an internal character, and whether it holds a superior or a subordinate position in the organization of the State.

Article 7 7/

Attribution to the State of the conduct of other entities empowered to exercise elements of the government authority

1. The conduct of an organ of a territorial governmental entity within a State shall also be considered as an act of that State under international law, provided that organ was acting in that capacity in the case in question.

^{4/} For the commentary to the article, see ibid., pp. 184-188.

^{5/} For the commentary to the article, see ibid., pp. 191-193.

 $[\]underline{6}$ / For the commentary to the article, see ibid., pp. 193-198.

⁷/ For the commentary to the article, see <u>Yearbook ... 1974</u>, vol. II (Part One), pp. 277-283.

2. The conduct of an organ of an entity which is not part of the formal structure of the State or of a territorial governmental entity, but which is empowered by the internal law of that State to exercise elements of the governmental authority, shall also be considered as an act of the State under international law, provided that organ was acting in that capacity in the case in question.

Article 8 8/

Attribution to the State of the conduct of persons acting in fact on behalf of the State

The conduct of a person or group of persons shall also be considered as an act of the State under international law if:

- (a) it is established that such person or group of persons was in fact acting on behalf of that State; or
- (b) such person or group of persons was in fact exercising elements of the governmental authority in the absence of the official authorities and in circumstances which justified the exercise of those elements of authority.

Article 9 9/

Attribution to the State of the conduct of organs placed at its disposal by another State or by an international organization

The conduct of an organ which has been placed at the disposal of a State by another State or by an international organization shall be considered as an act of the former State under international law, if that organ was acting in the exercise of elements of the governmental authority of the State at whose disposal it has been placed.

Article 10 10/

Attribution to the State of conduct of organs acting outside their competence or contrary to instructions concerning their activity

The conduct of an organ of a State, of a territorial governmental entity or of an entity empowered to exercise elements of the governmental authority,

^{8/} For the commentary to the article, see ibid., pp. 283-286.

^{9/} For the commentary to the article, see ibid., pp. 286-290.

 $[\]underline{10}/$ For the commentary to the article, see $\underline{\text{Yearbook}\ \dots\ 1975}$, vol. II, pp. 61-70.

such organ having acted in that capacity, shall be considered as an act of the State under international law even if, in the particular case, the organ exceeded its competence according to internal law or contravened instructions concerning its activity.

<u>Article 11 11/</u>

Conduct of persons not acting on behalf of the State

- 1. The conduct of a person or a group of persons not acting on behalf of the State shall not be considered as an act of the State under international law.
- 2. Paragraph 1 is without prejudice to the attribution to the State of any other conduct which is related to that of the persons or groups of persons referred to in that paragraph and which is to be considered as an act of the State by virtue of articles 5 to 10.

<u>Article 12</u> 12/

Conduct of organs of another State

- 1. The conduct of an organ of a State acting in that capacity which takes place in the territory of another State or in any other territory under its jurisdiction shall not be considered as an act of the latter State under international law.
- 2. Paragraph 1 is without prejudice to the attribution to a State of any other conduct which is related to that referred to in that paragraph and which is to be considered as an act of that State by virtue of articles 5 to 10.

Article 13 13/

Conduct of organs of an international organization

The conduct of an organ of an international organization acting in that capacity shall not be considered as an act of a State under international law by reason only of the fact that such conduct has taken place in the territory of that State or in any other territory under its jurisdiction.

¹¹/ For the commentary to the article, see ibid., pp. 70-83.

^{12/} For the commentary to the article, see Ibid., pp. 83-86.

^{13/} For the commentary to the article, see ibid., pp. 87-91.

<u>Article 14</u> 14/

Conduct of organs of an insurrectional movement

- 1. The conduct of an organ of an insurrectional movement which is established in the territory of a State or in any other territory under its administration shall not be considered as an act of that State under international law.
- 2. Paragraph 1 is without prejudice to the attribution to a State of any other conduct which is related to that of the organ of the insurrectional movement and which is to be considered as an act of that Sate by virtue of articles 5 to 10.
- 3. Similarly, paragraph 1 is without prejudice to the attribution of the conduct of the organ of the insurrectional movement to that movement in any case in which such attribution may be made under international law.

<u>Article 15</u> <u>15</u>/

Attribution to the State of the act of an insurrectional movement which becomes the new government of a State or which results in the formation of a new State

- 1. The act of an insurrectional movement which becomes the new government of a State shall be considered as an act of that State. However, such attribution shall be without prejudice to the attribution to that State of conduct which would have been previously considered as an act of the State by virtue of articles 5 to 10.
- 2. The act of an insurrectional movement whose action results in the formation of a new State in part of the territory of a pre-existing State or in a territory under its administration shall be considered as an act of the new State.

¹⁴/ For the commentary to the article, see ibid., pp. 91-99.

^{15/} For the commentary to the article, see ibid., pp. 99-106.

CHAPTER III

BREACH OF AN INTERNATIONAL OBLIGATION

Article 16 16/

Existence of a breach of an international obligation

There is a breach of an international obligation by a State when an act of that State is not in conformity with what is required of it by that obligation.

<u>Article 17 17/</u>

<u>Irrelevance of the origin of the international</u> <u>obligation breached</u>

- 1. An act of a State which constitutes a breach of an international obligation is an internationally wrongful act regardless of the origin, whether customary, conventional or other, of that obligation.
- 2. The origin of the international obligation breached by a State does not affect the international responsibility arising from the internationally wrongful act of that State.

<u>Article 18 18/</u>

Requirement that the international obligation be in force for the State

- 1. An act of the State which is not in conformity with what is required of it by an international obligation constitutes a breach of that obligation only if the act was performed at the time when the obligation was in force for that State.
- 2. However, an act of the State which, at the time when it was performed, was not in conformity with what was required of it by an international obligation in force for that State, ceases to be considered an internationally wrongful act if, subsequently, such an act has become compulsory by virtue of a peremptory norm of general international law.

¹⁶/ For the commentary to the article, see <u>Yearbook ... 1976</u>, vol. II (Part Two), pp. 78-79.

^{17/} For the commentary to the article, see ibid., pp. 79-87.

^{18/} For the commentary to the article, see ibid., pp. 87-95.

- 3. If an act of the State which is not in conformity with what is required of it by an international obligation has a continuing character, there is a breach of that obligation only in respect of the period during which the act continues while the obligation is in force for that State.
- 4. If an act of the State which is not in conformity with what is required of it by an international obligation is composed of a series of actions or omissions in respect of separate cases, there is a breach of that obligation if such an act may be considered to be constituted by the actions or omissions occurring within the period during which the obligation is in force for that State.
- 5. If an act of the State which is not in conformity with what is required of it by an international obligation is a complex act constituted by actions or omissions by the same or different organs of the State in respect of the same case, there is a breach of that obligation if the complex act not in conformity with it begins with an action or omission occurring within the period during which the obligation is in force for that State, even if that act is completed after that period.

<u>Article 19 19/</u>

International crimes and international delicts

- 1. An act of a State which constitutes a breach of an international obligation is an internationally wrongful act, regardless of the subject-matter of the obligation breached.
- 2. An internationally wrongful act which results from the breach by a State of an international obligation so essential for the protection of fundamental interests of the international community that its breach is recognized as a crime by that community as a whole constitutes an international crime.
- 3. Subject to paragraph 2, and on the basis of the rules of international law in force, an international crime may result, <u>inter alia</u>, from:
- (a) a serious breach of an international obligation of essential importance for the maintenance of international peace and security, such as that prohibiting aggression;
- (b) a serious breach of an international obligation of essential importance for safeguarding the right of self-determination of peoples, such as that prohibiting the establishment or maintenance by force of colonial domination;

^{19/} For the commentary to the article, see ibid., pp. 95-122.

- (c) a serious breach on a widespread scale of an international obligation of essential importance for safeguarding the human being, such as those prohibiting slavery, genocide and apartheid;
- (d) a serious breach of an international obligation of essential importance for the safeguarding and preservation of the human environment, such as those prohibiting massive pollution of the atmosphere or of the seas.
- 4. Any internationally wrongful act which is not an international crime in accordance with paragraph 2 constitutes an international delict.

Article 20 20/

Breach of an international obligation requiring the adoption of a particular course of conduct

There is a breach by a State of an international obligation requiring it to adopt a particular course of conduct when the conduct of that State is not in conformity with that required of it by that obligation.

Article 21 21/

Breach of an international obligation requiring the achievement of a specified result

- 1. There is a breach by a State of an international obligation requiring it to achieve, by means of its own choice, a specified result if, by the conduct adopted, the State does not achieve the result required of it by that obligation.
- 2. When the conduct of the State has created a situation not in conformity with the result required of it by an international obligation, but the obligation allows that this or an equivalent result may nevertheless be achieved by subsequent conduct of the State, there is a breach of the obligation only if the State also fails by its subsequent conduct to achieve the result required of it by that obligation.

²⁰/ For the commentary to the article, see <u>Yearbook ... 1977</u>, vol. II (Part Two), pp. 11-18.

^{21/} For the commentary to the article, see ibid., pp. 18-30.

Article 22 22/

Exhaustion of local remedies

When the conduct of a State has created a situation not in conformity with the result required of it by an international obligation concerning the treatment to be accorded to aliens, whether natural or juridical persons, but the obligation allows that this or an equivalent result may nevertheless be achieved by subsequent conduct of the State, there is a breach of the obligation only if the aliens concerned have exhausted the effective local remedies available to them without obtaining the treatment called for by the obligation or, where that is not possible, an equivalent treatment.

Article 23 23/

Breach of an international obligation to prevent a given event

When the result required of a State by an international obligation is the prevention, by means of its own choice, of the occurrence of a given event, there is a breach of that obligation only if, by the conduct adopted, the State does not achieve that result.

Article 24 24/

Moment and duration of the breach of an international obligation by an act of the State not extending in time

The breach of an international obligation by an act of the State not extending in time occurs at the moment when that act is performed. The time of commission of the breach does not extend beyond that moment, even if the effects of the act of the State continue subsequently.

<u>Article 25 25/</u>

1. The breach of an international obligation by an act of the State having a continuing character occurs at the moment when that act begins. Nevertheless, the time of commission of the breach extends over the entire period during which the act continues and remains not in conformity with the international obligation.

²²/ For the commentary to the article, see ibid., pp. 30-50.

²³/ For the commentary to the article, see <u>Yearbook ... 1978</u>, vol. II (Part Two), pp. 81-86.

²⁴/ For the commentary to the article, see ibid., pp. 86-89.

^{25/} For the commentary to the article, see ibid., pp. 89-97.

- 2. The breach of an international obligation by an act of the State, composed of a series of actions or omissions in respect of separate cases, occurs at the moment when that action or omission of the series is accomplished which establishes the existence of the composite act. Nevertheless, the time of commission of the breach extends over the entire period from the first of the actions or omissions constituting the composite act not in conformity with the international obligation and so long as such actions or omissions are repeated.
- 3. The breach of an international obligation by a complex act of the State, consisting of a succession of actions or omissions by the same or different organs of the State in respect of the same case, occurs at the moment when the last constituent element of that complex act is accomplished. Nevertheless, the time of commission of the breach extends over the entire period between the action or omission which initiated the breach and that which completed it.

Article 26 26/

Moment and duration of the breach of an international obligation to prevent a given event

The breach of an international obligation requiring a State to prevent a given event occurs when the event begins. Nevertheless, the time of commission of the breach extends over the entire period during which the event continues.

CHAPTER IV

IMPLICATION OF A STATE IN THE INTERNATIONALLY WRONGFUL ACT OF ANOTHER STATE

Article 27 27/

Aid or assistance by a State to another State for the commission of an internationally wrongful act

Aid or assistance by a State to another State, if it is established that it is rendered for the commission of an internationally wrongful act carried out by the latter, itself constitutes an internationally wrongful act, even if, taken alone, such aid or assistance would not constitute the breach of an international obligation.

²⁶/ For the commentary to the article, see ibid., pp. 97-98.

^{27/} For the commentary to the article, see ibid., pp. 99-105.

Article 28 28/

Responsibility of a State for an internationally wrongful act of another State

- 1. An internationally wrongful act committed by a State in a field of activity in which that State is subject to the power of direction or control of another State entails the international responsibility of that other State.
- 2. An internationally wrongful act committed by a State as the result of coercion exerted by another State to secure the commission of that act entails the international responsibility of that other State.
- 3. Paragraphs 1 and 2 are without prejudice to the international responsibility, under the other articles of the present draft, of the State which has committed the internationally wrongful act.

CHAPTER V

CIRCUMSTANCES PRECLUDING WRONGFULNESS

<u>Article 29 29/</u>

Consent

- 1. The consent validly given by a State to the commission by another State of a specified act not in conformity with an obligation of the latter State towards the former State precludes the wrongfulness of the act in relation to that State to the extent that the act remains within the limits of that consent.
- 2. Paragraph 1 does not apply if the obligation arises out of a peremptory norm of general international law. For the purposes of the present draft articles, a peremptory norm of general international law is a norm accepted and recognized by the international community of States as a whole as a norm from which no derogation is permitted and which can be modified only by a subsequent norm of general international law having the same character.

²⁸/ For the commentary to the article, see <u>Yearbook ... 1979</u>, vol. II (Part Two), pp. 94-106.

^{29/} For the commentary to the article, see ibid., pp. 109-115.

Article 30 30/

Countermeasures in respect of an internationally wrongful act

The wrongfulness of an act of a State not in conformity with an obligation of that State towards another State is precluded if the act constitutes a measure legitimate under international law against that other State, in consequence of an internationally wrongful act of that other State.

<u>Article 31 31/</u>

Force majeure and fortuitous event

- 1. The wrongfulness of an act of a State not in conformity with an international obligation of that State is precluded if the act was due to an irresistible force or to an unforeseen external event beyond its control which made it materially impossible for the State to act in conformity with that obligation or to know that its conduct was not in conformity with that obligation.
- 2. Paragraph 1 shall not apply if the State in question has contributed to the occurrence of the situation of material impossibility.

Article 32 32/

Distress

- 1. The wrongfulness of an act of a State not in conformity with an international obligation of that State is precluded if the author of the conduct which constitutes the act of that State had no other means, in a situation of extreme distress, of saving his life or that of persons entrusted to his care.
- 2. Paragraph 1 shall not apply if the State in question has contributed to the occurrence of the situation of extreme distress or if the conduct in question was likely to create a comparable or greater peril.

³⁰/ For the commentary to the article, see ibid., pp. 115-122.

^{31/} For the commentary to the article, see ibid., pp. 122-133.

^{32/} For the commentary to the article, see ibid., pp. 133-136.

Article 33 33/

State of necessity

- 1. A state of necessity may not be invoked by a State as a ground for precluding the wrongfulness of an act of that State not in conformity with an international obligation of the State unless:
- (a) the act was the only means of safeguarding an essential interest of the State against a grave and imminent peril; and
- (b) the act did not seriously impair an essential interest of the State towards which the obligation existed.
- 2. In any case, a state of necessity may not be invoked by a State as a ground for precluding wrongfulness:
- (a) if the international obligation with which the act of the State is not in conformity arises out of a peremptory norm of general international law; or
- (b) if the international obligation with which the act of the State is not in conformity is laid down by a treaty which, explicitly or implicitly, excludes the possibility of invoking the state of necessity with respect to that obligation; or
- (c) if the State in question has contributed to the occurrence of the state of necessity.

<u>Article 34</u> <u>34</u>/

<u>Self-defence</u>

The wrongfulness of an act of a State not in conformity with an international obligation of that State is precluded if the act constitutes a lawful measure of self-defence taken in conformity with the Charter of the United Nations.

Article 35 35/

Reservation as to compensation for damage

Preclusion of the wrongfulness of an act of a State by virtue of the provisions of articles 29, 31, 32 or 33 does not prejudge any question that may arise in regard to compensation for damage caused by that act.

³³/ For the commentary to the article, see <u>Yearbook ... 1980</u>, vol. II (Part Two), pp. 34-52.

^{34/} For the commentary to the article, see ibid., pp. 52-61.

³⁵/ For the commentary to the article, see ibid., pp. 61-62.

Part Two

Content, forms and degrees of international responsibility

CHAPTER I

GENERAL PRINCIPLES

Article 36 36/

Consequences of an internationally wrongful act

- 1. The international responsibility of a State which, in accordance with the provisions of Part One, arises from an internationally wrongful act committed by that State, entails legal consequences as set out in this Part.
- 2. The legal consequences referred to in paragraph 1 are without prejudice to the continued duty of the State which has committed the internationally wrongful act to perform the obligation it has breached.

Article 37 37/

Lex specialis

The provisions of this Part do not apply where and to the extent that the legal consequences of an internationally wrongful act of a State have been determined by other rules of international law relating specifically to that act.

Article 38 38/

Customary international law

The rules of customary international law shall continue to govern the legal consequences of an internationally wrongful act of a State not set out in the provisions of this Part.

³⁶/ For the commentary to paragraph 1 of the article, see Yearbook ... 1983, vol. II (Part Two), p. 42. For the commentary to paragraph 2, see Yearbook ... 1993, vol. II (Part Two), pp. 54-55.

^{37/} For the commentary to the article, see <u>Yearbook ... 1983</u>, vol. II (Part Two), pp. 42-43.

^{38/} For the commentary to the article, see ibid., p. 43.

Article 39 39/

Relationship to the Charter of the United Nations

The legal consequences of an internationally wrongful act of a State set out in the provisions of this Part are subject, as appropriate, to the provisions and procedure of the Charter of the United Nations relating to the maintenance of international peace and security.

The Commission recognized that, to the extent that articles are ultimately adopted in the form of a convention, the relationship of such a convention with the Charter is governed by Article 103 of the Charter. Given that the provisions of the Charter prevail, many members of the Commission were apprehensive that a State's rights or obligations under the convention - that is based on the law of State responsibility - could be overridden by decisions of the Security Council taken under Chapter VII of the Charter which, under Article 25 of the Charter, Member States are bound to accept and carry out.

For example, would the Security Council, acting in order to maintain or restore international peace and security, be able to deny a State's plea of necessity (art. 33), or a State's right to take countermeasures (arts. 47 and 48), or impose an obligation to arbitrate (art. 58)?

On one view the Security Council could not, as a general rule, deprive a State of its legal rights, or impose obligations beyond those arising from general international law and the Charter itself. Exceptionally, it might call on a State to suspend the exercise of its legal rights, as for example when requiring the suspension of countermeasures as a provisional measure under Article 40 of the Charter. Or the denial of legal rights might be more permanent in the case of a State determined to be an aggressor. But on this view the Security Council should in general act with full regard for the legal rights of States.

A different view would regard this approach as too restrictive, too "legalistic", and as minimizing the overriding interest of the entire community of States in preserving international peace.

The terms of article 39 do not seek to resolve this question, one way or the other. The Commission would welcome quite specific comments by States on the issues raised, so that, during the course of its second reading, the Commission could return to these important issues.

^{39/} For the commentary to the article, see ibid.

<u>Article 40 40/</u>

Meaning of injured State

- 1. For the purposes of the present articles, "injured State" means any State a right of which is infringed by the act of another State, if that act constitutes, in accordance with Part One, an internationally wrongful act of that State.
- 2. In particular, "injured State" means:
- (a) if the right infringed by the act of a State arises from a bilateral treaty, the other State party to the treaty;
- (b) if the right infringed by the act of a State arises from a judgement or other binding dispute settlement decision of an international court or tribunal, the other State or States parties to the dispute and entitled to the benefit of that right;
- (c) if the right infringed by the act of a State arises from a binding decision of an international organ other than an international court or tribunal, the State or States which, in accordance with the constituent instrument of the international organization concerned, are entitled to the benefit of that right;
- (d) if the right infringed by the act of a State arises from a treaty provision for a third State, that third State;
- (e) if the right infringed by the act of a State arises from a multilateral treaty or from a rule of customary international law, any other State party to the multilateral treaty or bound by the relevant rule of customary international law, if it is established that:
 - (i) the right has been created or is established in its favour;
 - (ii) the infringement of the right by the act of a State necessarily affects the enjoyment of the rights or the performance of the obligations of the other States parties to the multilateral treaty or bound by the rule of customary international law; or
 - (iii) the right has been created or is established for the protection of human rights and fundamental freedoms;
- (f) if the right infringed by the act of a State arises from a multilateral treaty, any other State party to the multilateral treaty, if it is established that the right has been expressly stipulated in that treaty for the protection of the collective interests of the States parties thereto.

 $[\]underline{40}$ / For the commentary to the article, see $\underline{\text{Yearbook}}$... $\underline{1985}$, vol. II (Part Two), pp. 25-27.

3. In addition, "injured State" means, if the internationally wrongful act constitutes an international crime*, all other States.

CHAPTER II

RIGHTS OF THE INJURED STATE AND OBLIGATIONS OF THE STATE WHICH HAS COMMITTED AN INTERNATIONALLY WRONGFUL ACT

<u>Article 41 41/</u>

Cessation of wrongful conduct

A State whose conduct constitutes an internationally wrongful act having a continuing character is under the obligation to cease that conduct, without prejudice to the responsibility it has already incurred.

Article 42 42/

Reparation

- 1. The injured State is entitled to obtain from the State which has committed an internationally wrongful act full reparation in the form of restitution in kind, compensation, satisfaction and assurances and guarantees of non-repetition, either singly or in combination.
- 2. In the determination of reparation, account shall be taken of the negligence or the wilful act or omission of:
 - (a) the injured State; or
- (b) a national of that State on whose behalf the claim is brought; which contributed to the damage.
- 3. In no case shall reparation result in depriving the population of a State of its own means of subsistence.

^{*} The term "crime" is used for consistency with article 19 of Part One of the articles. It was, however, noted that alternative phrases such as "an international wrongful act of a serious nature" or "an exceptionally serious wrongful act" could be substituted for the term "crime", thus, inter alia, avoiding the penal implication of the term.

 $[\]underline{41}$ / For the commentary to the article, see $\underline{\text{Yearbook } \dots 1993}$, vol. II (Part Two), pp. 55-58.

⁴²/ For the commentary to paragraphs 1, 2 and 4 (formerly 3) of the article, see ibid., pp. 58-61. For the commentary to paragraph 3, see <u>infra</u>.

4. The State which has committed the internationally wrongful act may not invoke the provisions of its internal law as justification for the failure to provide full reparation.

Article 43 43/

Restitution in kind

The injured State is entitled to obtain from the State which has committed an internationally wrongful act restitution in kind, that is, the re-establishment of the situation which existed before the wrongful act was committed, provided and to the extent that restitution in kind:

- (a) is not materially impossible;
- (b) would not involve a breach of an obligation arising from a peremptory norm of general international law;
- (c) would not involve a burden out of all proportion to the benefit which the injured State would gain from obtaining restitution in kind instead of compensation; or
- (d) would not seriously jeopardize the political independence or economic stability of the State which has committed the internationally wrongful act, whereas the injured State would not be similarly affected if it did not obtain restitution in kind.

<u>Article 44 44</u>/

Compensation

- 1. The injured State is entitled to obtain from the State which has committed an internationally wrongful act compensation for the damage caused by that act, if and to the extent that the damage is not made good by restitution in kind.
- 2. For the purposes of the present article, compensation covers any economically assessable damage sustained by the injured State, and may include interest and, where appropriate, loss of profits.

⁴³/ For the commentary to the article, see <u>Yearbook ... 1993</u>, vol. II (Part Two), pp. 61-67.

⁴⁴/ For the commentary to the article, see ibid., pp. 67-76.

<u>Article 45 45/</u>

<u>Satisfaction</u>

- 1. The injured State is entitled to obtain from the State which has committed an internationally wrongful act satisfaction for the damage, in particular moral damage, caused by that act, if and to the extent necessary to provide full reparation.
- 2. Satisfaction may take the form of one or more of the following:
 - (a) an apology;
 - (b) nominal damages;
- (c) in cases of gross infringement of the rights of the injured State, damages reflecting the gravity of the infringement;
- (d) in cases where the internationally wrongful act arose from the serious misconduct of officials or from criminal conduct of officials or private parties, disciplinary action against, or punishment of, those responsible.
- 3. The right of the injured State to obtain satisfaction does not justify demands which would impair the dignity of the State which has committed the internationally wrongful act.

<u>Article 46 46/</u>

Assurances and guarantees of non-repetition

The injured State is entitled, where appropriate, to obtain from the State which has committed an internationally wrongful act assurances or guarantees of non-repetition of the wrongful act.

^{45/} For the commentary to the article, see ibid., pp. 76-81.

⁴⁶/ For the commentary to the article, see ibid., pp. 81-83.

CHAPTER III

COUNTERMEASURES

<u>Article 47</u> 47/

Countermeasures by an injured State

- 1. For the purposes of the present draft articles, the taking of countermeasures means that an injured State does not comply with one or more of its obligations towards a State which has committed an internationally wrongful act in order to induce it to comply with its obligations under articles 41 to 46, as long as it has not complied with those obligations and as necessary in the light of its response to the demands of the injured State that it do so.
- 2. The taking of countermeasures is subject to the conditions and restrictions set out in articles 48 to 50.
- 3. Where a countermeasure against a State which has committed an internationally wrongful act involves a breach of an obligation towards a third State, such a breach cannot be justified under this chapter as against the third State.

Article 48 48/

Conditions relating to resort to countermeasures

- 1. Prior to taking countermeasures, an injured State shall fulfil its obligation to negotiate provided for in article 54. This obligation is without prejudice to the taking by that State of interim measures of protection which are necessary to preserve its rights and which otherwise comply with the requirements of this Chapter.
- 2. An injured State taking countermeasures shall fulfil the obligations in relation to dispute settlement arising under Part Three or any other binding dispute settlement procedure in force between the injured State and the State which has committed the internationally wrongful act.
- 3. Provided that the internationally wrongful act has ceased, the injured State shall suspend countermeasures when and to the extent that the dispute settlement procedure referred to in paragraph 2 is being implemented in good faith by the State which has committed the internationally wrongful act and the dispute is submitted to a tribunal which has the authority to issue orders binding on the parties.

^{47/} For the commentary to the article, see infra.

^{48/} For the commentary to the article, see infra.

4. The obligation to suspend countermeasures ends in case of failure by the State which has committed the internationally wrongful act to honour a request or order emanating from the dispute settlement procedure.

<u>Article 49 49/</u>

Proportionality

Countermeasures taken by an injured State shall not be out of proportion to the degree of gravity of the internationally wrongful act and the effects thereof on the injured State.

Article 50 50/

Prohibited countermeasures

An injured State shall not resort by way of countermeasures to:

- (a) the threat or use of force as prohibited by the Charter of the United Nations;
- (b) extreme economic or political coercion designed to endanger the territorial integrity or political independence of the State which has committed the internationally wrongful act;
- (c) any conduct which infringes the inviolability of diplomatic or consular agents, premises, archives and documents;
 - (d) any conduct which derogates from basic human rights; or
- (e) any other conduct in contravention of a peremptory norm of general international law.

^{49/} For the commentary to the article, see the Report of the Commission on the work of its forty-seventh session (<u>Official Records of the General Assembly, Fiftieth Session, Supplement No. 10</u> (A/50/10)), pp. 144-149.

^{50/} For the commentary to the article, see ibid., pp. 149-173.

CHAPTER IV

INTERNATIONAL CRIMES

Article 51 51/

Consequences of an international crime

An international crime entails all the legal consequences of any other internationally wrongful act and, in addition, such further consequences as are set out in articles 52 and 53.

Article 52 52/

Specific consequences

Where an internationally wrongful act of a State is an international crime:

- (a) an injured State's entitlement to obtain restitution in kind is not subject to the limitations set out in subparagraphs (c) and (d) of article 43;
- (b) an injured State's entitlement to obtain satisfaction is not subject to the restriction in paragraph 3 of article 45.

Article 53 53/

Obligations for all States

An international crime committed by a State entails an obligation for every other State:

- (a) not to recognize as lawful the situation created by the crime;
- (b) not to render aid or assistance to the State which has committed the crime in maintaining the situation so created;
- (c) to cooperate with other States in carrying out the obligations under subparagraphs (a) and (b); and
- (d) to cooperate with other States in the application of measures designed to eliminate the consequences of the crime.

^{51/} For the commentary to the article, see <u>infra</u>.

^{52/} For the commentary to the article, see <u>infra</u>.

^{53/} For the commentary to the article, see <u>infra</u>.

Part Three

Settlement of disputes

<u>Article 54 54</u>/

Negotiation

If a dispute regarding the interpretation or application of the present articles arises between two or more States Parties to the present articles, they shall, upon the request of any of them, seek to settle it amicably by negotiation.

Article 55 55/

Good offices and mediation

Any State Party to the present articles, not being a party to the dispute may, at the request of any party to the dispute or upon its own initiative, tender its good offices or offer to mediate with a view to facilitating an amicable settlement of the dispute.

Article 56 56/

Conciliation

If, three months after the first request for negotiations, the dispute has not been settled by agreement and no mode of binding third party settlement has been instituted, any party to the dispute may submit it to conciliation in conformity with the procedure set out in annex I to the present articles.

<u>Article 57 57</u>/

Task of the Conciliation Commission

1. The task of the Conciliation Commission shall be to elucidate the questions in dispute, to collect with that object all necessary information by means of inquiry or otherwise and to endeavour to bring the parties to the dispute to a settlement.

 $[\]underline{54}/$ For the commentary to the article, see the Report of the Commission on the work of its forty-seventh session (<u>Official Records of the General Assembly, Fiftieth Session, Supplement No. 10</u> (A/50/10)), pp. 173-174.

^{55/} For the commentary to the article, see ibid., pp. 175-176.

⁵⁶/ For the commentary to the article, see ibid., pp. 176-178.

^{57/} For the commentary to the article, see ibid., pp. 178-182.

- 2. To that end, the parties shall provide the Commission with a statement of their position regarding the dispute and of the facts upon which that position is based. In addition, they shall provide the Commission with any further information or evidence as the Commission may request and shall assist the Commission in any independent fact-finding it may wish to undertake, including fact-finding within the territory of any party to the dispute, except where exceptional reasons make this impractical. In that event, that party shall give the Commission an explanation of those exceptional reasons.
- 3. The Commission may, at its discretion, make preliminary proposals to any or all of the parties, without prejudice to its later recommendations.
- 4. The recommendations to the parties shall be embodied in a report to be presented not later than three months from the formal constitution of the Commission, and the Commission may specify the period within which the parties are to respond to those recommendations.
- 5. If the response by the parties to the Commission's recommendations does not lead to the settlement of the dispute, the Commission may submit to them a final report containing its own evaluation of the dispute and its recommendations for settlement.

<u>Article 58 58/</u>

<u>Arbitration</u>

- 1. Failing a referrence of the dispute to the Conciliation Commission provided for in article 56 or failing an agreed settlement within six months following the report of the Commission, the parties to the dispute may, by agreement, submit the dispute to an arbitral tribunal to be constituted in conformity with annex II to the present articles.
- 2. In cases, however, where the dispute arises between States Parties to the present articles, one of which has taken countermeasures against the other, the State against which they are taken is entitled at any time unilaterally to submit the dispute to an arbitral tribunal to be constituted in conformity with annex II to the present articles.

Article 59 59/

Terms of reference of the Arbitral Tribunal

1. The Arbitral Tribunal, which shall decide with binding effect any issues of fact or law which may be in dispute between the parties and are relevant under any of the provisions of the present articles, shall operate under the rules laid down or referred to in annex II to the present articles and shall submit its decision to the parties within six months from the date of completion of the parties' written and oral pleadings and submissions.

^{58/} For the commentary to the article, see ibid., pp. 182-184.

^{59/} For the commentary to the article, see ibid., pp. 185-186.

2. The Tribunal shall be entitled to resort to any fact-finding it deems necessary for the determination of the facts of the case.

<u>Article 60 60</u>/

Validity of an arbitral award

- 1. If the validity of an arbitral award is challenged by either party to the dispute, and if within three months of the date of the challenge the parties have not agreed on another tribunal, the International Court of Justice shall be competent, upon the timely request of any party, to confirm the validity of the award or declare its total or partial nullity.
- 2. Any issue in dispute left unresolved by the nullification of the award may, at the request of any party, be submitted to a new arbitration before an arbitral tribunal to be constituted in conformity with annex II to the present articles.

 $[\]underline{60}/$ For the commentary to the article, see ibid., pp. 186-189.

Annex I 61/

The Conciliation Commission

- 1. A list of conciliators consisting of qualified jurists shall be drawn up and maintained by the Secretary-General of the United Nations. To this end, every State which is a Member of the United Nations or a Party to the present articles shall be invited to nominate two conciliators, and the names of the persons so nominated shall constitute the list. The term of a conciliator, including that of any conciliator nominated to fill a casual vacancy, shall be five years and may be renewed. A conciliator whose term expires shall continue to fulfil any function for which he shall have been chosen under paragraph 2.
- 2. A party may submit a dispute to conciliation under article 56 by a request to the Secretary-General who shall establish a Conciliation Commission to be constituted as follows:
- (a) The State or States constituting one of the parties to the dispute shall appoint:
 - (i) one conciliator of the nationality of that State or of one of those States, who may or may not be chosen from the list referred to in paragraph 1; and
 - (ii) one conciliator not of the nationality of that State or of any of those States, who shall be chosen from the list.
- (b) The State or States constituting the other party to the dispute shall appoint two conciliators in the same way.
- (c) The four conciliators appointed by the parties shall be appointed within 60 days following the date on which the Secretary-General receives the request.
- (d) The four conciliators shall, within 60 days following the date of the last of their own appointments, appoint a fifth conciliator chosen from the list, who shall be chairman.
- (e) If the appointment of the chairman or of any of the other conciliators has not been made within the period prescribed above for such appointment, it shall be made from the list by the Secretary-General within 60 days following the expiry of that period. Any of the periods within which appointments must be made may be extended by agreement between the parties.
- (f) Any vacancy shall be filled in the manner prescribed for the initial appointment.

^{61/} For the commentary to the annex, see ibid., pp. 189-192.

- 3. The failure of a party or parties to participate in the conciliation procedure shall not constitute a bar to the proceedings.
- 4. A disagreement as to whether a Commission acting under this Annex has competence shall be decided by the Commission.
- 5. The Commission shall determine its own procedure. Decisions of the Commission shall be made by a majority vote of the five members.
- 6. In disputes involving more than two parties having separate interests, or where there is disagreement as to whether they are of the same interest, the parties shall apply paragraph 2 in so far as possible.

Annex II 62/

The Arbitral Tribunal

- 1. The Arbitral Tribunal referred to in articles 58 and 60, paragraph 2 shall consist of five members. The parties to the dispute shall each appoint one member, who may be chosen from among their respective nationals. The three other arbitrators including the Chairman shall be chosen by common agreement from among the nationals of third States.
- 2. If the appointment of the members of the Tribunal is not made within a period of three months from the date on which one of the parties requested the other party to constitute an arbitral tribunal, the necessary appointments shall be made by the President of the International Court of Justice. If the President is prevented from acting or is a national of one of the parties, the appointments shall be made by the Vice-President. If the Vice-President is prevented from acting or is a national of one of the parties, the appointments shall be made by the most senior member of the Court who is not a national of either party. The members so appointed shall be of different nationalities and, except in the case of appointments made because of failure by either party to appoint a member, may not be nationals of, in the service of or ordinarily resident in the territory of a party.
- 3. Any vacancy which may occur as a result of death, resignation or any other cause shall be filled within the shortest possible time in the manner prescribed for the initial appointment.
- 4. Following the establishment of the Tribunal, the parties shall draw up an agreement specifying the subject-matter of the dispute, unless they have done so before.
- 5. Failing the conclusion of an agreement within a period of three months from the date on which the Tribunal was constituted, the subject-matter of the dispute shall be determined by the Tribunal on the basis of the application submitted to it.
- 6. The failure of a party or parties to participate in the arbitration procedure shall not constitute a bar to the proceedings.
- 7. Unless the parties otherwise agree, the Tribunal shall determine its own procedure. Decisions of the Tribunal shall be made by a majority vote of the five members.

 $[\]underline{62}$ / For the commentary to the annex, see ibid., pp. 192-194.