

19 December 1991

ORIGINAL: ENGLISH

INTERGOVERNMENTAL NEGOTIATING COMMITTEE
FOR A FRAMEWORK CONVENTION ON CLIMATE CHANGE
Fourth session
Geneva, 9-20 December 1991
Agenda item 2 (c)

CONSOLIDATED WORKING DOCUMENT*

Addendum

ANNEX

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* In order to facilitate processing, the consolidated working document is being reproduced as A/AC.237/Misc.17 and Add.1-9. The present addendum is thus an integral part of the whole document, an edited version of which will be published subsequently as an annex to the report of the Committee on the work of its fourth session.

[ANNEX II**EXCHANGE OF INFORMATION**

[The Parties to the Convention shall exchange information [in accordance with [V. 3.] (Exchange of Information)] [relevant to the objectives of the Convention]. [The Parties recognize that cooperation under this Annex has to be consistent with national laws, regulations and practices regarding patents, trade secrets and protection of confidential and proprietary information.] Such information shall include the following] [It is suggested that the exchange of information, in accordance with Article [V. 3.], include the following]:

1. Scientific Information

This includes information on:

- (a) Planned and ongoing research programmes and activities, both governmental and private [, to the extent such information is available];
- (b) Relevant scientific results published in peer-reviewed literature on the understanding of the physics and chemistry of the Earth's atmosphere, the climate system, the oceans and the biosphere and of their susceptibility to change;
- (c) Relevant unpublished data and results [, if available];
- (d) The assessment of research results and recommendations for future research;
- (e) Measures for strengthening and assisting in building national capacity of developing countries;
- (f) [[Net] emission inventories including ambient concentrations, emission and removal rates, as well as net emissions, of greenhouse gases and the techniques for systematic observation of these variables] [Techniques for systematic observation of the rates of emission and uptake of greenhouse gases and of their net emissions]; and
- (g) Methodologies for the development of [net] emission inventories.

2. Technological, Technical and Socio-economic Information

This includes information on:

- (a) The availability, feasibility and costs of alternative technologies, and agricultural and forestry practices to reduce emissions and increase sequestration of greenhouse gases, as well as related planned and ongoing research, including appropriate information on relevant safe technologies and related equipment and their manuals and guides;
- (b) The limitations involved in using such alternative technologies and agricultural and forestry practices;
- (c) National strategies and programmes to mitigate and adapt to climate change, including actions taken, relevant socio-economic information and available assessments of effectiveness; and
- (d) Relevant business and commercial activities [, when available].

3. Legal Information

This includes information on:

- (a) National laws, administrative measures and legal research relevant to the objectives of the Convention;
- (b) International agreements, including bilateral agreements, relevant to the objectives of the Convention; and
- (c) Methods and terms of licensing and availability of patents relevant to alternative technologies and agricultural and forestry practices.]

[ANNEX III REPORTING

1. [The manner in which, and content in which, such reports would be prepared, should be determined by the individual Party.] Reports submitted pursuant to Article [VII. 1.] (Reporting) [shall subject to paragraph 2, below] [may] contain [inter alia,] the following information:

- [(a) Description of national circumstances including, inter alia population, gross domestic product, land area, CO₂ emissions, CO₂ emissions per capita, relevant national laws [, policies] and administrative measures, [including the use of economic instruments];]
- [(b) National inventories of [gross and where possible] [net] emissions of [main] greenhouse gases [and sinks] [prepared in accordance with internationally accepted methodologies] [covering all [main] greenhouse gases and greenhouse gas precursors and all sink enhancement and destruction];]
- [(c) [For developed country Parties,] [and developing country Parties] [measures] [national strategies] [policies] to mitigate and adapt to climate change [including a description of specific actions being taken or to be taken, with respect to each gas in each sector selected for action by the Party];]
- [(d) Current projections for annual levels of greenhouse gas emissions and sinks over a ten to twenty year planning horizon];]
- [(e) Measures taken in fulfilment of the [obligations] [specific commitments] under the Convention, [with particular attention to [reductions of emissions, transfer of financial resources and technology] [energy efficiency and conservation, new [and renewable] energy sources,] [mitigating the adverse consequences of climate change] [protection and enhancement of] sinks, [land use and management,] coastal zone management, transportation and industrial processes [and agriculture];]
- [(f) Expected changes and trends in [net] emissions of [main] greenhouse gases [and sinks] [including estimates of the [net] effects of its actions on national [net] greenhouse gas emissions] [and sinks] [with particular reference to the achievement of any target established in the Convention];]

- [(g) Contributions to the financial and technology transfer mechanism;]
- [(h) For developing country Parties, projects for transfer of technology and financial resources to mitigate and adapt to climate change; [[need for and requirements of financial and technology transfer and] [estimated gross and incremental costs of projects;]
- [(i) Effective measures in fulfilment of obligations and specific commitments *relative* to the provision of adequate, new and additional financial resources, and access to and transfer of environmentally sound technology on a preferential and non-commercial basis contributions to the financial mechanism of the convention and description of programmes for technological cooperation;]
- [(j) Description of methodologies used in making its estimates;]
- [(k) Efforts [,as appropriate,] to coordinate and harmonize measures to avoid trade distortions;]
- [(l) Relevant national research [and development] and systematic observation programmes and participation in international programmes;
- [(m) National [programmes] [measures] and international cooperation with regard to education, training and public awareness [and technology transfer];
- [(n) Efforts to implement [obligations] [specific commitments] jointly with another Party or Parties;] and
- [(o) Such other actions as are relevant to the achievement of the objective of the Convention.

[2. Each industrialised country Party agrees to submit a national report including information on all the items listed in paragraph 1. It shall submit the report to the secretariat *no later than one year after the date on which the Convention enters into force for it.*

Each least developed country Party agrees to submit a national report including information on at least items (a) and (b) of paragraph 1. It shall submit the report to the secretariat no later than two years after the date on which the Convention enters into force for it.

Each other Parties agrees to submit a national report including information on all items listed in paragraph 1. It shall submit the report to the secretariat no later than two years after the date on which the Convention enters into force for it.]

[Possible additional text

3. Further, reports may, on a voluntary basis, identify proposed projects in need of investment (including specific technologies, materials, equipment, techniques or practices that would be needed to implement such projects) and an estimate of the costs and net greenhouse gas emission reduction associated therewith.]

Timing

4. Unless otherwise decided by the Conference of the Parties, reports to update the information contained in the first Report shall be submitted [within [two] [five] years of the date of submission of the first Report and biennially thereafter] [in a frequency that will vary in accordance with the level of CO₂ energy-related emissions per capita, and to be decided by the Conference of the Parties]. [Due account shall be taken of the differentiated [obligations] [capabilities and capacities] [capabilities and responsibilities.]

Confidential Information

5. A Party may designate [the following] [any] type[s] of information contained in its report as confidential[.][:]

[Possible alternative chapeau

A Party and the Advisory Committee on Implementation may agree that certain information contained in the report of that Party is confidential. Categories of confidential information include:]

- [(a) information that is directly related to the national defence [and security] of that Party;
- [(b) information that is of a proprietary commercial nature;]
- (c) information the release of which would result [directly] in [significant] economic or commercial dislocation for that Party; or
- [(d) such other information as [the Party believes is confidential] [agreed upon] [thought necessary by the Party] [by the Party and the Advisory Committee on Implementation].]]]