

# UNITED NATIONS GENERAL ASSEMBLY



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#### STATUS OF CONVENTIONS

#### Note by the Secretariat

- 1. At its thirteenth session the Commission decided that it would consider, at each of its sessions, the status of conventions that were the outcome of work carried out by it. a/
- The present note is submitted pursuant to that decision. The annex hereto sets forth the state of signatures, ratifications, accessions and approvals as of 23 April 1992 to the following conventions: Convention on the Limitation Period in the International Sale of Goods (New York, 1974); Protocol amending the Convention on the Limitation Period in the International Sale of Goods (Vienna, 1980); United Nations Convention on the Carriage of Goods by Sea, 1978 (Hamburg); United Nations Convention on International Bills of Exchange and International Promissory Notes (New York, 1988); United Nations Convention on Contracts for the International Sale of Goods (Vienna, 1980); United Nations Convention on the Liability of Operators of Transport Terminals in International Trade (Vienna, 1991); and Convention on the Recognition and Enforcement of Foreign Arbitral Awards (New York, 1958). The latter Convention, which has not emanated from the work of the Commission, has been included because of the close interest of the Commission in it, particularly in connection with the Commission's work in the field of international commercial arbitration. In addition, the annex sets forth those jurisdictions that have enacted legislation based on the UNCITRAL Model Law on International Commercial Arbitration.
- 3. Since the most recent report in this series showing the status of conventions as of 5 June 1991 (A/CN.9/353), the Convention on the Limitation Period in the International Sale of Goods received 2 additional accessions (Romania and Uganda), the Protocol amending that Convention received 2 additional accessions (Romania and Uganda), the United Nations Convention on the Carriage of Goods by Sea, 1978 ("Hamburg Rules") has received 1 additional

a/ Report of the United Nations Commission on International Trade Law on the work of its thirteenth session, Official Records of the General Assembly, Thirty-fifth Session, Supplement No. 17 (A/35/17), para. 163.

accession (Zambia) which will bring the Convention into force on 1 November 1992; the United Nations Convention on Contracts for the International Sale of Goods has received 2 additional accessions (Ecuador and Uganda), the United Nations Convention on the Liability of Operators of Transport Terminals in International Trade was signed by another State (France), and the Convention on the Recognition and Enforcement of Foreign Arbitral Awards has received 2 additional accessions (Latvia and Uganda). Legislation based on the UNCITRAL Model Law on International Commercial Arbitration has been enacted, within the United States, in addition in Oregon.

4. The names of the States that have ratified or acceded to the conventions since the preparation of the last report are underlined.

## 1. <u>Convention on the Limitation Period in the International Sale of Goods</u> (New York, 1974)

<u>State</u>	<u>Signature</u>	Ratification Accession Approval	Entry into force
Argentina Brazil Bulgaria Belarus Costa Rica	14 June 1974 24 February 1975 14 June 1974 30 August 1974	9 October 1981	1 August 1988
Czechoslovakia Dominican Republic Egypt Germany */	29 August 1975	26 May 1977 23 December 1977 6 December 1982	1 August 1988 1 August 1988 1 August 1988
Ghana Guinea	5 December 1974	7 October 1975 23 January 1991	1 August 1988 1 August 1991
Hungary Mexico	14 June 1974	16 June 1983 21 January 1988	1 August 1988 1 August 1988
Mongolia Nicaragua	14 June 1974 13 May 1975		
Norway <u>1</u> / Poland	11 December 1975 14 June 1974	20 March 1980	1 August 1988
<u>Romania</u> <u>Uganda</u>		23 April 1992 12 February 1992	1 November 1992 1 September 1992
Ukraine Russian Federation <u>**</u> /	14 June 1974 14 June 1974		
Yugoslavia Zambia		27 November 1978 6 June 1986	1 August 1988 1 August 1988

Signatures only: 9; ratifications and accessions: 13 \*/

- $\star$ / The Convention was signed by the former German Democratic Republic on 14 June 1974, ratified by it on 31 August 1989 and entered into force on 1 March 1990.
- \*\*/ The Russian Federation continues, as from 24 December 1991, the membership of the former Union of Soviet Socialist Republics (USSR) in the United Nations and maintains, as from that date, full responsibility for all the rights and obligations of the USSR under the Charter of the United Nations and multilateral treaties deposited with the Secretary-General.

#### Declarations and reservations

1/ Upon signature Norway declared, and confirmed upon ratification, that in accordance with article 34 the Convention would not govern contracts of sale where the seller and the buyer both had their relevant places of business within the territories of the Nordic States (i.e. Norway, Denmark, Finland, Iceland and Sweden).

## 2. <u>Protocol amending the Convention on the Limitation Period in the</u> International Sale of Goods (Vienna, 1980)

<u>State</u>	Accession	Entry into force
Argentina Czechoslovakia <u>1</u> / Egypt Germany */	19 July 1983 5 March 1990 6 December 1982	1 August 1988 1 October 1990 1 August 1988
Guinea Hungary	23 January 1991 16 June 1983	1 August 1991 1 August 1988
Mexico Romania Uganda	21 January 1988 23 April 1992 12 February 1992	1 August 1988 1 November 1992 1 September 1992
Zambia	6 June 1986	1 August 1988

In accordance with articles XI and XIV of the Protocol, the Contracting States to the Protocol are considered to be Contracting Parties to the Convention on the Limitation Period in the International Sale of Goods as amended by the Protocol in relation to one another and Contracting Parties to the Convention, unamended, in relation to any Contracting Party to the Convention not yet a Contracting Party to this Protocol.

 $\pm$ / The Protocol was acceded to by the former German Democratic Republic on 31 August 1989 and entered into force on 1 March 1990.

## Declarations and reservations

 $\underline{1}$ / Upon accession, Czechoslovakia declared that, pursuant to Article XII, it iid not consider itself bound by Article I.

#### 3. United Nations Convention on the Carriage of Goods by Sea, 1978 (Hamburg)

<u>State</u>	<u>Signature</u>	Ratification Accession	Entry into force
Austria	30 April 1979		
Barbados		2 February 1981	1 November 1992
Botswana		16 February 1988	1 November 1992
Brazil	31 March 1978		
Burkina Faso		14 August 1989	1 November 1992
Chile	31 March 1978	9 July 1982	1 November 1992
Czechoslovakia <u>1</u> /	6 March 1979		
Denmark	18 April 1979		
Ecuador	31 March 1978		
Egypt	31 March 1978	23 April 1979	1 November 1992
Finland	18 April 1979		
France	18 April 1979		
Germany	31 March 1978		
Ghana	31 March 1978		
Guinea		23 January 1991	1 November 1992

<u>State</u>	<u>Signature</u>	Ratification Accession	Entry into force
Holy See	31 March 1978		
Hungary	23 April 1979	5 July 1984	1 November 1992
Kenya		31 July 1989	1 November 1992
Lebanon		4 April 1983	1 November 1992
Lesotho		26 October 1989	1 November 1992
Madagascar	31 March 1978		
Malawi		18 March 1991	1 November 1992
Mexico	31 March 1978		
Morocco		12 June 1981	1 November 1992
Nigeria		7 November 1988	1 November 1992
Norway	18 April 1979		
Pakistan	8 March 1979		
Panama	31 March 1978		
Philippines	14 June 1978		
Portugal	31 March 1978		
Romania		7 January 1982	1 November 1992
Senegal	31 March 1978	17 March 1986	1 November 1992
Sierra Leone	15 August 1978	7 October 1988	1 November 1992
Singapore	31 March 1978		
Sweden	18 April 1979		
Tunisia		15 September 1980	1 November 1992
Uganda		6 July 1979	1 November 1992
United Rep. of Tanzania		24 July 1979	1 November 1992
United States of America	30 April 1979		
Venezuela	31 March 1978		
Zaire	19 April 1979		
<u>Zambia</u>		7 October 1991	1 November 1992

Signatures only: 22; ratifications and accessions: 20

### Declarations and reservations

1/ Upon signing the Convention the Czechoslovak Socialist Republic declared in accordance with article 26 a formula for converting the amounts of liability referred to in paragraph 2 of that article into the Czechoslovak currency and the amount of the limits of liability to be applied in the territory of the Czechoslovak Socialist Republic as expressed in the Czechoslovak currency.

# 4. <u>United Nations Convention on Contracts for the International Sale of Goods</u> (Vienna, 1980)

<u>State</u>	Signature	Ratification Accession Approval Acceptance	Entry into force
Argentina $1/$		19 July 1983	1 January 1988
Australia		17 March 1988	1 April 1989
Austria	11 April 1980	29 December 1987	1 January 1989
Bulgaria		9 July 1990	1 August 1991
Belarus 1/		9 October 1989	1 November 1990
Canada <u>8</u> / <u>9</u> /		23 April 1991	1 May 1992
Chile 1/	11 April 1980	7 February 1990	1 March 1991
China $\frac{1}{2}$	30 September 1981	11 December 1986	1 January 1988
Czechoslovakia 3/	1 September 1981	5 March 1990	1 April 1991

State	Signature	Ratification Accession Approval Acceptance	Entry into force
Denmark <u>4</u> / <u>5</u> /	26 May 1981	14 February 1989	1 March 1990
<u>Ecuador</u>		27 January 1992	1 February 1993
Egypt		6 December 1982	l January 1988
Finland $4/5/$	26 May 1981	15 December 1987	1 January 1989
France	27 August 1981	6 August 1982	1 January 1988
Germany <u>*</u> / <u>7</u> /	26 May 1981	21 December 1989	l January 1991
Ghana	11 April 1980		
Guinea		23 January 1991	1 February 1992
Hungary $1/6/$	11 April 1980	16 June 1983	l January 1988
Iraq		5 March 1990	1 April 1991
Italy	30 September 1981	11 December 1986	1 January 1988
Lesotho	18 June 1981	18 June 1981	1 January 1988
Mexico		29 December 1987	l January 1989
Netherlands	29 May 1981	13 December 1990	1 January 1992
Norway <u>4</u> / <u>5</u> /	26 May 1981	20 July 1988	1 August 1989
Poland	28 September 1981		
Romania		22 May 1991	1 June 1992
Singapore	11 April 1980		
Spain		24 July 1990	l August 1991
Sweden <u>4</u> / <u>5</u> /	26 May 1981	15 December 1987	1 January 1989
Switzerland		21 February 1990	1 March 1991
Syrian Arab Republic		19 October 1982	l January 1988
<u>Uganda</u>		12 February 1992	1 March 1993
United States of America <u>3</u> /	31 August 1981	11 December 1986	l January 1988
Venezuela	28 September 1981		
Ukraine <u>1</u> /		3 January 1990	l February 1991
Russian Federation $**/$ 1/		16 August 1990	1 September 1991
Yugoslavia	11 April 1980	27 March 1985	l January 1988
Zambia		6 June 1986	1 January 1988

Signatures only: 4; ratifications, accessions, approvals and acceptances: 34

- $\star$ / The Convention was signed by the former German Democratic Republic on 13 August 1981, ratified on 23 February 1989 and entered into force on 1 March 1990.
- $\star\star\star$ / The Russian Federation continues, as from 24 December 1991, the membership of the former Union of Soviet Socialist Republics (USSR) in the United Nations and maintains, as from that date, full responsibility for all the rights and obligations of the USSR under the Charter of the United Nations and multilateral treaties deposited with the Secretary-General.

## Declarations and reservations

- 1/ Upon ratifying the Convention the Governments of Argentina, Belarus, Chile, Hungary, Russian Federation and Ukraine stated, in accordance with articles 12 and 96 of the Convention, that any provision of article 11, article 29 or Part II of the Convention that allows a contract of sale or its modification or termination by agreement or any offer, acceptance or other indication of intention to be made in any form other than in writing, would not apply where any party had its place of business in their respective States.
- $\underline{2}$ / Upon approving the Convention the Government of China declared that it did not consider itself bound by Sub-paragraph (b) of Paragraph 1 of Article 1 and Article 11 as well as the provisions in the Convention relating to the content of Article 11.

- 3/ Upon ratifying the Convention the Governments of Czechoslovakia and of the United States of America declared that they would not be bound by paragraph (1)(b) of Article 1.
- 4/ Upon ratifying the Convention the Governments of Denmark, Finland, Norway and Sweden declared in accordance with article 92(1) that they would not be bound by Part II of the Convention (Formation of the Contract).
- 5/ Upon ratifying the Convention the Governments of Denmark, Finland, Norway and Sweden declared, pursuant to article 94(1) and 94(2), that the Convention would not apply to contracts of sale where the parties have their places of business in Denmark, Finland, Sweden, Iceland or Norway.
- $\underline{6}$ / Upon ratifying the Convention the Government of Hungary declared that it considered the General Conditions of Delivery of Goods between Organizations of the Member Countries of the Council for Mutual Economic Assistance to be subject to the provisions of article 90 of the Convention.
- 7/ Upon ratifying the Convention the Government of Germany declared that it would not apply article 1(1)(b) in respect of any state that had made a declaration that that state would not apply article 1(1)(b).
- 8/ Upon accession the Government of Canada declared, in accordance with article 93 of the Convention, that the Convention will extend to Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland, Nova Scotia, Ontario, Prince Edward Island and the Northwest Territories.
- 9/ Upon accession the Government of Canada declared, in accordance with article 95 of the Convention, that with respect to British Columbia, it will not be bound by article 1(1)(b) of the Convention.

# 5. <u>United Nations Convention on International Bills of Exchange and International Promissory Notes (New York, 1988)</u>

<u>State</u>	Signature	Ratification Accession	Entry into force
Canada Guinea	7 December 1989	23 January 1991	
Russian Federation **/ United States of America	30 June 1990 29 June 1990	·	

Signatures only: 3; ratifications and accessions: 1

Ratifications and accessions necessary to bring the Convention into force: 10

\*\*/ The Russian Federation continues, as from 24 December 1991, the membership of the former Union of Soviet Socialist Republics (USSR) in the United Nations and maintains, as from that date, full responsibility for all the rights and obligations of the USSR under the Charter of the United Nations and multilateral treaties deposited with the Secretary-General.

## 6. <u>United Nations Convention on the Liability of Operators of Transport Terminals</u> in International Trade (Vienna, 1991)

<u>State</u>	Signature	Ratification Accession	Entry into force
<u>France</u> Mexico Philippines Spain	15 October 1991 19 April 1991 19 April 1991 19 April 1991		

Signatures only: 4

Ratifications and accessions necessary to bring the Convention into force: 5

#### 7. UNCITRAL Model Law on International Commercial Arbitration (1985)

Legislation based on the UNCITRAL Model Law on International Commercial Arbitration has been enacted in Australia, Bulgaria, Canada (by the Federal Parliament and by the Legislatures of all Provinces and Territories), Cyprus, Hong Kong, Nigeria, Scotland and, within the United States of America, California, Connecticut, Oregon and Texas).

# 8. <u>Convention on the Recognition and Enforcement of Foreign Arbitral Awards</u> (New York, 1958)

<u>State</u>	<u>Signature</u>	<u>Ratification</u>
		Accession
Algeria <u>1</u> / <u>2</u> /		7 February 1989
Antigua and Barbuda $\underline{1}/$ $\underline{2}/$		2 February 1989
Argentina $1/2/7/$	26 August 1958	14 March 1989
Australia		26 March 1975
Austria		2 May 1961
Bahrain <u>1</u> / <u>2</u> /		6 April 1988
Belgium <u>1</u> /	10 June 1958	18 August 1975
Benin		16 May 1974
Botswana $1/2/$		20 December 1971
Bulgaria <u>1</u> / <u>3</u> /	17 December 1958	10 October 1961
Burkina Faso		23 March 1987
Belarus <u>1</u> / <u>3</u> /	29 December 1958	15 November 1960
Cameroon		19 February 1988
Canada <u>4</u> /		12 May 1986
Central African		
Republic $1/2/$		15 October 1962
Chile		4 September 1975
China <u>1</u> / <u>2</u> /		22 January 1987
Colombia		25 September 1979
Costa Rica	10 June 1958	26 October 1987
Côte d'Ivoire		1 February 1991
Cuba <u>1</u> / <u>2</u> / <u>3</u> /		30 December 1974
Cyprus <u>1</u> / <u>2</u> /		29 December 1980
Czechoslovakia <u>1</u> / <u>3</u> /	3 October 1958	10 July 1959
Democratic Kampuchea		5 January 1960
Denmark <u>1</u> / <u>2</u> /		22 December 1972
Djibouti		14 June 1983
Dominica		28 October 1988
Ecuador $1/2/$	17 December 1958	3 January 1962
Egypt		9 March 1959
El Salvador	10 June 1958	

<u>State</u>	Signature	Ratification Accession
Finland France 1/ Germany */ 1/ Ghana Greece 1/ 2/ Guatemala 1/ 2/ Guinea Haiti Holy See 1/ 2/	29 December 1958 25 November 1958 10 June 1958	
Hungary $\underline{1}/\underline{2}/$ India $\underline{1}/\underline{2}/$ Indonesia $\underline{1}/\underline{2}/$ Ireland $\underline{1}/$	10 June 1958	13 July 1960 7 October 1981 12 May 1981
Israel Italy Japan 1/	10 June 1958	5 January 1959 31 January 1969 20 June 1961
Jordan Kenya <u>l</u> / Kuwait <u>l</u> / <u>Latvia</u>	10 June 1958	15 November 1979 10 February 1989 28 April 1978 14 April 1992
Lesotho Luxembourg $\underline{1}/$ Madagascar $\underline{1}/\underline{2}/$ Malaysia $\underline{1}/\underline{2}/$ Mexico	11 November 1958	13 June 1989 9 September 1983 16 July 1962 5 November 1985 14 April 1971
Monaco $1/2/$ Morocco $1/$ Netherlands $1/$	31 December 1958 10 June 1958	3 2 June 1982 12 February 1959 24 April 1964
New Zealand $\underline{1}/$ Niger Nigeria $\underline{1}/\underline{2}/$ Norway $\underline{1}/\underline{5}/$		6 January 1983 14 October 1964 17 March 1970 14 March 1961
Pakistan Panama Peru	30 December 1958	
Philippines 1/2/ Poland 1/2/ Republic of Korea 1/2/ Romania 1/2/3/ San Marino Singapore 1/ South Africa	10 June 1958 10 June 1958	6 July 1967 3 October 1961 8 February 1973 13 September 1961 17 May 1979 21 August 1986 3 May 1976
Spain Sri Lanka Sweden Switzerland 1/ Syrian Arab Republic Thailand Trinidad and Tobago 1/ 2/ Tunisia 1/ 2/	30 December 1958 23 December 1958 29 December 1958	28 January 1972
Uganda 1/ Ukraine 1/ 3/ Russian Federation **/ 1/ 3/ United Kingdom 1/ United Republic of	29 December 1958 29 December 1958	
Tanzania $\underline{1}/$		15 0000001 1704

<u>State</u> <u>Signature</u> <u>Ratification</u> Accession

United States of
America 1/2/
Uruguay
Yugoslavia 1/2/6/

30 September 1970 30 March 1983 26 February 1982

Signatures only: 2; ratifications and accessions: 86

- $\star$ / The Convention was acceded to by the former German Democratic Republic on 20 February 1975 with reservations  $\underline{1}$ /,  $\underline{2}$ / and  $\underline{3}$ /.
- \*\*/ The Russian Federation continues, as from 24 December 1991, the membership of the former Union of Soviet Socialist Republics (USSR) in the United Nations and maintains, as from that date, full responsibility for all the rights and obligations of the USSR under the Charter of the United Nations and multilateral treaties deposited with the Secretary-General.

Declarations and reservations
(Excludes territorial declarations and certain other reservations and declarations of a political nature)

- $\underline{1}$ / State will apply the Convention to recognition and enforcement of awards made in the territory of another Contracting State.
- $\underline{2}$ / State will apply the Convention only to differences arising out of legal relationships whether contractual or not which are considered as commercial under the national law.
- 3/ With regard to awards made in the territory of non-contracting States, State will apply the Convention only to the extent to which these States grant reciprocal treatment.
- 4/ The Government of Canada has declared that Canada will apply the Convention only to differences arising out of legal relationships, whether contractual or not, which are considered as commercial under the laws of Canada, except in the case of the Province of Quebec where the law does not provide for such limitation.
- $\underline{5}$ / State will not apply the Convention to differences where the subject matter of the proceedings is immovable property situated in the State, or a right in or to such property.
- $\underline{6}$ / State will apply the Convention only to those arbitral awards which were adopted after the coming of the Convention into effect.
- 7/ The present Convention should be construed in accordance with the principles and rules of the National Constitution in force or with those resulting from reforms mandated by the Constitution.