



# General Assembly

Distr.: General  
20 June 2017

Original: English

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**Seventy-first session**

Agenda item 74

**Responsibility of States for internationally wrongful acts**

## **Responsibility of States for internationally wrongful acts**

### **Compilation of decisions of international courts, tribunals and other bodies**

#### **Report of the Secretary-General**

##### **Addendum**

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## Abbreviations

ACHPR	African Commission on Human and Peoples' Rights
ACtHPR	African Court on Human and Peoples' Rights
CCJ	Caribbean Court of Justice
CJEU	Court of Justice of the European Union
ECHR	European Court of Human Rights
EECC	Eritrea-Ethiopia Claims Commission
HRC	Human Rights Committee
IACtHR	Inter-American Court of Human Rights
ICC	International Criminal Court
ICJ	International Court of Justice
ICTR	International Criminal Tribunal for Rwanda
ICTY	International Tribunal for the Former Yugoslavia
ITLOS	International Tribunal for the Law of the Sea
SCSL	Special Court for Sierra Leone
STL	Special Tribunal for Lebanon
WTO	World Trade Organization
UNCC	United Nations Compensation Commission

## I. Introduction

1. The International Law Commission adopted the draft articles on responsibility of States for internationally wrongful acts at its fifty-third session, in 2001. In its resolution [56/83](#), the General Assembly took note of the articles, the text of which was annexed to that resolution, and commended them to the attention of Governments without prejudice to the question of their future adoption or other appropriate action.

2. In 2007, 2010, 2013 and 2016, the Secretary-General prepared compilations of decisions of international courts, tribunals and other bodies referring to the State responsibility articles, as requested by the General Assembly in its resolutions [59/35](#), [62/61](#), [65/19](#) and [68/104](#).<sup>1</sup>

3. In its resolution [71/133](#), the Assembly requested the Secretary-General to prepare a technical report listing, in a tabular format, the references to the articles contained in the compilations of decisions of international courts, tribunals and other bodies referring to the articles prepared since 2001, as well as references to the articles made in submissions presented by Member States before international courts, tribunals and other bodies since 2001, and further requested the Secretary-General to submit such material during its seventy-first session.

4. In preparing the present report, the Secretariat reviewed the decisions of and submissions by parties before the following international courts, tribunals and other bodies: the International Court of Justice; the International Tribunal for the Law of the Sea; the Iran-United States Claims Tribunal; the United Nations Compensation Commission; the Eritrea-Ethiopia Claims Commission; panels and the Appellate Body of the World Trade Organization; international arbitral tribunals;<sup>2</sup> the International Tribunal for the Former Yugoslavia; the International Criminal Tribunal for Rwanda; the Special Court for Sierra Leone; the Special Tribunal for Lebanon; the Extraordinary Chambers in the Courts of Cambodia; the International Criminal Court; the United Nations system of administration of justice; the International Labour Organization Administrative Tribunal; the World Bank Administrative Tribunal; the International Monetary Fund Administrative Tribunal; the European Court of Human Rights; universal human rights and humanitarian law bodies, both Charter-based and treaty-based; the Inter-American Commission of Human Rights; the Inter-American Court of Human Rights; the African Commission on Human and Peoples' Rights; the African Court on Human and Peoples' Rights; the Court of Justice of the European Union; the Caribbean Court of Justice; the Court of Justice of the Economic Community of West African States; and the Common Court of Justice and Arbitration of the Organization for the Harmonization of Business Law in Africa.

5. The present report, based on the four compilations prepared by the Secretariat, covers 163 cases with 392 references to the State responsibility articles in publicly available decisions taken during the period from 1 January 2001 to 31 January 2016. The report also covers opinions of judges appended to a decision, where available, in 50 cases, with 202 references to the articles.

6. In addition, the report covers 157 cases with 792 references to the articles in submissions by parties to a dispute. Depending on the court, tribunal or other body,

<sup>1</sup> [A/62/62](#), Corr.1 and Add.1, [A/65/76](#), [A/68/72](#) and [A/71/80](#).

<sup>2</sup> Arbitral tribunals include international arbitrations established and/or administered pursuant to the applicable rules under the Convention on the Settlement of Investment Disputes between States and Nationals of Other States, the Arbitration Rules of the United Nations Commission on International Trade Law, the Permanent Court of Arbitration or the Stockholm Chamber of Commerce or on an ad hoc basis.

those parties might be States, international organizations or individuals. The term “submissions” includes both written and oral submissions, where available. If submissions of the parties were not made publicly available, the relevant information was retrieved from references to the submissions of the parties in the decision of the respective court, tribunal or other body. A number of courts, tribunal or other bodies did not provide information on submissions of the parties, either as a separate document or as part of their decision.

7. The tables included in the present report reflect references to the State responsibility articles by body of origin (section A) and by year (section B). The report takes into account references in which the articles were invoked as relevant or irrelevant law with regard to the issue at hand, or where the articles were referred to as the basis for the decision of the international court, tribunal or other body.

## II. Tables

### A. References to the State responsibility articles by body of origin

#### 1. References in decisions, opinions and submissions by body of origin

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>UNCC</i>	<i>Arbitral tribunals</i>	<i>EECC</i>	<i>WTO</i>	<i>ITLOS</i>	<i>ECHR</i>	<i>ACHPR</i>	<i>ACtHPR</i>	<i>IACtHR</i>	<i>HRC</i>	<i>ICC</i>	<i>ICTY</i>	<i>ICTR</i>	<i>SCSL</i>	<i>STL</i>	<i>CJEU</i>	<i>CCJ</i>	<i>Total</i>
General comments	9		15		4		8	1				2		2		1		42	
Part One																		—	
Chap. I			1															1	
1	11		12			2						4						29	
2	15		25	1	1	6				1		4		4				57	
3	12		37				1			4		2	1					57	
Chap. II	6		3		1		3											13	
4	28		74	6		5		1	2			2				2		120	
5			40	5	1	7			1			3						57	
6	1		2			9						1						13	
7	8		9			7					1			2		4		31	
8	5		29	4	1	12						2		2				55	
9			1									1						2	
10	5										1				1			7	
11	2		7			3						1						13	
Chap. III																		—	
12	7		6			1				1		2				2		19	
13	7		8	1		4	1					1						22	
14	14		18	3		9			6	2	1							53	
15			17	1		3						1						22	
Chap. IV							4					1	1					—	
16	2																	8	
17			2															2	
18	1																	1	
19																		—	

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>UNCC</i>	<i>Arbitral tribunals</i>	<i>EECC</i>	<i>WTO</i>	<i>ITLOS</i>	<i>ECHR</i>	<i>ACHPR</i>	<i>ACtHPR</i>	<i>IACtHR</i>	<i>HRC</i>	<i>ICC</i>	<i>ICTY</i>	<i>ICTR</i>	<i>SCSL</i>	<i>STL</i>	<i>CJEU</i>	<i>CCJ</i>	<i>Total</i>
Chap. V	6		1															7	
20	4					2			1									7	
21	4								1					2				7	
22	9		3						2									14	
23	2		6						3									11	
24	3		2						3									8	
25	9		28						1						1			39	
26			3						1									4	
27	1		14											1				16	
Part Two	4																	4	
Chap. I																		—	
28	4		4						2					1				11	
29	15		2										2					19	
30	30		3						2				2					37	
31	23	2	53	1		2	3			1	2		5			3		95	
32	5		5							3	2		4					19	
33	7		3										1					11	
Chap. II			1															1	
34	14		16			1	2		1	1		1				1		37	
35	25	1	16				11			1		2						56	
36	15		40				5			2								62	
37	9		6				2							1				18	
38	2	1	22															25	
39			11															11	
Chap. III	6									2						1		9	
40	10		2											4				16	
41	23		4				1											28	
Part Three	1																	1	
Chap. I																		—	
42	13						1											14	
43	19		1															20	

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>UNCC</i>	<i>Arbitral tribunals</i>	<i>EECC</i>	<i>WTO</i>	<i>ITLOS</i>	<i>ECHR</i>	<i>ACHPR</i>	<i>ACtHPR</i>	<i>IACtHR</i>	<i>HRC</i>	<i>ICC</i>	<i>ICTY</i>	<i>ICTR</i>	<i>SCSL</i>	<i>STL</i>	<i>CJEU</i>	<i>CCJ</i>	<i>Total</i>
44	9		8								1								18
45	5		2		1			1											9
46																			—
47	2		1																3
48	18					1		3											22
Chap. II	4					2													6
49	10		4		2														16
50	9		3	1															13
51	8		2		3														13
52	9		1		1														11
53	6		1		1														8
54	6		2																8
Part Four																			—
55	2		10		3		2					2							19
56	2			1															3
57	1										1								2
58	3					1													4
59																			—
<b>Total</b>	<b>490</b>	<b>4</b>	<b>586</b>	<b>3</b>	<b>41</b>	<b>9</b>	<b>130</b>	<b>2</b>	<b>6</b>	<b>25</b>	<b>4</b>	<b>42</b>	<b>13</b>	<b>8</b>	<b>9</b>	<b>2</b>	<b>10</b>	<b>2</b>	<b>1 386</b>

## 2. References in decisions by body of origin

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>UNCC</i>	<i>Arbitral tribunals</i>	<i>EECC</i>	<i>WTO</i>	<i>ITLOS</i>	<i>ECHR</i>	<i>ACHPR</i>	<i>ACtHPR</i>	<i>IACtHR</i>	<i>HRC</i>	<i>ICTY</i>	<i>STL</i>	<i>CJEU</i>	<i>CCJ</i>	<i>Total</i>		
General comments				7		1			3									11
Part One																		—
Chap. I				1														1
1				6		2												8
2				11		1	1				1							14
3		1		19			1				3							24

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>UNCC</i>	<i>Arbitral tribunals</i>	<i>EECC</i>	<i>WTO</i>	<i>ITLOS</i>	<i>ECHR</i>	<i>ACHPR</i>	<i>ACtHPR</i>	<i>IACtHR</i>	<i>HRC</i>	<i>ICTY</i>	<i>STL</i>	<i>CJEU</i>	<i>CCJ</i>	<i>Total</i>
Chap. II			2		1		2									5
4	1		38		4		3		1	2						49
5			17		1	1	5			1						25
6			2				2									4
7			5				5				1			1		12
8	1		10		1	1	5									18
9			1													1
10	1															1
11			3				1					1				5
Chap. III																—
12			2										1			3
13	1		5				2	1								9
14	1		7		1		5			4						18
15			5				2									7
Chap. IV																—
16	1						2									3
17																—
18																—
19																—
Chap. V			1													1
20						1										1
21																—
22	1		1													2
23			3				1									4
24																—
25	1		13													14
26			2													2
27			6													6
Part Two																—
Chap. I																—
28			2													2

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>UNCC</i>	<i>Arbitral tribunals</i>	<i>EECC</i>	<i>WTO</i>	<i>ITLOS</i>	<i>ECHR</i>	<i>ACtHPR</i>	<i>ACtHPR</i>	<i>IACtHR</i>	<i>HRC</i>	<i>ICTY</i>	<i>STL</i>	<i>CJEU</i>	<i>CCJ</i>	<i>Total</i>
29			1													1
30	1		1													2
31	1	2	24	1		2				1			1			32
32					2					1	1					4
33					2											2
Chap. II					1											1
34	1			7			1			1			1			11
35	1	1	5				6									13
36	2		19													21
37			3													3
38		1	11													12
39			7													7
Chap. III													1			1
40																—
41	1															1
Part Three																—
Chap. I																—
42																—
43			1													1
44			3													3
45	1				1											2
46																—
47			1													1
48						1										1
Chap. II							1									1
49			2			2										4
50			2	1												3
51			1			3										4
52						1										1
53							1									1
54																—

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>UNCC</i>	<i>Arbitral tribunals</i>	<i>EECC</i>	<i>WTO</i>	<i>ITLOS</i>	<i>ECHR</i>	<i>ACHPR</i>	<i>ACtHPR</i>	<i>IACtHR</i>	<i>HRC</i>	<i>ICTY</i>	<i>STL</i>	<i>CJEU</i>	<i>CCJ</i>	<i>Total</i>
Part Four																—
55					2				3							5
56							1									1
57														1		1
58				1						1						2
59																—
<b>Total</b>	<b>18</b>	<b>4</b>	<b>264</b>	<b>3</b>	<b>22</b>	<b>9</b>	<b>47</b>	<b>1</b>	<b>4</b>	<b>12</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>392</b>

### 3. References in submissions by body of origin

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>Arbitral tribunals</i>	<i>WTO</i>	<i>ECHR</i>	<i>ACHPR</i>	<i>ACtHPR</i>	<i>HRC</i>	<i>ICC</i>	<i>ICTY</i>	<i>ICTR</i>	<i>SCSL</i>	<i>STL</i>	<i>CJEU</i>	<i>Total</i>	
General Comments	7	6	3	4	1				2		2		1		26
Part One															—
Chap. I															—
1	10	6						4							20
2	13	13	1					4		4					35
3	10	17						2	1						30
Chap. II	6	1		1											8
4	21	35	2	1				2				2			63
5		23	4	1				3							31
6				1				1							2
7	6	4		1							2		3		16
8	3	19	3						2		2				29
9									1						1
10								1				1			2
11	2	4													6
Chap. III															—
12	6	4		1				2				1			14
13	3	3	1					1							8
14	10	11	2					2	1						26

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>Arbitral tribunals</i>	<i>WTO</i>	<i>ECHR</i>	<i>ACtHPR</i>	<i>ACtHPR</i>	<i>HRC</i>	<i>ICC</i>	<i>ICTY</i>	<i>ICTR</i>	<i>SCSL</i>	<i>STL</i>	<i>CJEU</i>	<i>Total</i>
15		10	1	1				1						13
Chap. IV														—
16								1	1					2
17		1												1
18	1													1
19														—
Chap. V	5													5
20	4		1											5
21	3							2						5
22	6	2												8
23	2	3		1										6
24	3	2												5
25	8	15									1			24
26		1												1
27	1	8						1						10
Part Two	3													3
Chap. I														—
28	4	2						1						7
29	14	1						2						17
30	28	2						2						32
31	21	26						5				2		54
32	5	3			2			4						14
33	4	1						1						6
Chap. II														—
34	13	9						1						23
35	23	11		1				2						37
36	11	18												29
37	9	3								1				13
38	1	11												12
39		4												4

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>Arbitral tribunals</i>	<i>WTO</i>	<i>ECHR</i>	<i>ACtHPR</i>	<i>ACtHPR</i>	<i>HRC</i>	<i>ICC</i>	<i>ICTY</i>	<i>ICTR</i>	<i>SCSL</i>	<i>STL</i>	<i>CJEU</i>	<i>Total</i>
Chap. III	1													1
40	6	2								4				12
41	17	2												19
Part Three	1													1
Chap. I														—
42	7													7
43	11													11
44	6	4					1							11
45	4	2												6
46														—
47	1													1
48	12													12
Chap. II	4		1											5
49	7	2												9
50	5	1												6
51	5	1												6
52	6	1												7
53	4	1												5
54	3	2												5
Part Four														—
55		8							2					10
56		2												2
57		1												1
58		1												1
59														—
<b>Total</b>	<b>370</b>	<b>305</b>	<b>19</b>	<b>13</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>42</b>	<b>11</b>	<b>8</b>	<b>9</b>	<b>1</b>	<b>8</b>	<b>792</b>

#### 4. References in opinions by body of origin

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>Arbitral tribunals</i>	<i>ECHR</i>	<i>IACtHR</i>	<i>Total</i>
General comments	2	2	1		5
Part One					—
Chap. I					—
1	1				1
2	2	1	5		8
3	1	1		1	3
Chap. II					—
4	6	1	1		8
5			1		1
6	1		6		7
7	2		1		3
8	1		7		8
9					—
10	4				4
11			2		2
Chap. III					—
12	1			1	2
13	3		2		5
14	3		4	2	9
15		2			2
Chap. IV					—
16	1		2		3
17		1			1
18					—
19					—
Chap. V	1				1
20			1		1
21	1		1		2
22	2		2		4
23			1		1
24			3		3

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>Arbitral tribunals</i>	<i>ECHR</i>	<i>IACtHR</i>	<i>Total</i>
25			1		1
26			1		1
27					—
Part Two	1				1
Chap. I					—
28			2		2
29	1				1
30	1		2		3
31	1	3	3	2	9
32				1	1
33	3				3
Chap. II					—
34			2	1	3
35	1		4	1	6
36	2	3	5	2	12
37			2		2
38	1				1
39					—
Chap. III	5			2	7
40	4				4
41	5	2	1		8
Part Three					—
Chap. I					—
42	6		1		7
43	8				8
44	3	1			4
45			1		1
46					—
47	1				1
48	6		3		9
Chap. II					—
49	3				3

<i>Part, chapter or article</i>	<i>ICJ</i>	<i>Arbitral tribunals</i>	<i>ECHR</i>	<i>IACtHR</i>	<i>Total</i>
50	4				4
51	3				3
52	3				3
53	2				2
54	3				3
Part Four					—
55	2		2		4
56					—
57					—
58	1				1
59					—
<b>Total</b>	<b>102</b>	<b>17</b>	<b>70</b>	<b>13</b>	<b>202</b>

## B. References to the State responsibility articles by year (2001 to 2016)

### 1. References in decisions, opinions and submissions by year of submission or issuance

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	<i>Total</i>
General comments	1	3	2			1	1	5	3		4	5	7	7	3		42
Part One																	—
Chap. I												1					1
1	1		1	1		4	2	1	1	4	3	1	5	5			29
2	1		1	1	6	5	3	3	6	2	1	4	11	12	1		57
3	1	4	2	1		2	4	2	6	5	7	12	7	2	2		57
Chap. II	1					1	2	1	1	3	1		2	1			13
4	1	4	8	3	4	7	5	12	11	5	2	13	11	12	18	4	120
5	3	2		4	4	2	1	2	4	3	7	3	6	14	2		57
6						2			1		1		6	3			13
7		4	1	2	2	2	2	1	4		2	3	5	2	1		31
8		3	1	3	2	2	2	3	2	5	6	3	12	11			55

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	Total
9						1					1						2
10			1					1					1		4		7
11		1		1					1	1			2		7		13
Chap. III																	—
12		2		2			1	2	1		1	1	5	1	3		19
13		1				2			4	4		2		3	6		22
14		1	1	2	2	4	5	3	9	7	4	3	2	3	5	2	53
15		1	1	1		1	2	2		1	4	2	2	3	2		22
Chap. IV								2		1		1	1	1			—
16										1		1		1	2		8
17				1								1					2
18						1											1
19																	—
Chap. V								1		3	2				1		7
20				1			1	1	1						3		7
21			2				1		1				1		2		7
22	1		3			3	1			2	2				2		14
23		1	1			2				2		2	1		2		11
24			2			1							1		4		8
25		1	4	2	2	2				7	3	2	3	4	5	4	39
26				1											3		4
27		1		1	3	1	1			2	2	2	1	1		1	16
Part Two						1			1		1		1		1		4
Chap. I																	—
28		1	1	1				2	2				2	1	1		11
29	1	1		3		1	3	1	2	2		1	2	2			19
30		1	4	4		1	2	5	4	4	1	3	4	2	2		37
31			6	5	1	2	5	6	9	9	7	5	11	10	19		95
32	1	1	2	1				1	1	2	1		4	3	2		19
33							1				4	3		3			11

<i>Part, chapter or article</i>	<i>2001</i>	<i>2002</i>	<i>2003</i>	<i>2004</i>	<i>2005</i>	<i>2006</i>	<i>2007</i>	<i>2008</i>	<i>2009</i>	<i>2010</i>	<i>2011</i>	<i>2012</i>	<i>2013</i>	<i>2014</i>	<i>2015</i>	<i>2016</i>	<i>Total</i>
Chap. II															1	1	
34			3	2	1		2	5	4	2	1	4	5	8		37	
35		1	6	7	1	2	3	3	7	4		4	6	3	8	1	56
36		1	1	4	1	1	4	5	6	5	6	4	7	5	11	1	62
37			1	1				1	3	1	2	2	1	2	4		18
38			1		1		2		4	1	1	2	3	2	7	1	25
39									1	2	1	2	2	2	3		11
Chap. III				3			3		2						1		9
40			1	5		4	1		1		1	1	1		1		16
41			3			1	1	2	10	1	3	3	1		3		28
Part Three											1						1
Chap. I																	—
42								1		2	1	3		4	3		14
43							1		1	1			1		3	13	20
44	1		2		1			1			2		5		6		18
45	1		2		1			2	1					1	1		9
46																	—
47			1				1								1		3
48									2	1	3	3		1	6	6	22
Chap. II				1	2				1	2							6
49			1	1	1		2	1	2	2	4	1			1		16
50			2	1	1		1	2	1	1	3	1					13
51	1	1	1	1			1	1	2	1	3	1					13
52			1			1		2	1	1	4	1					11
53			1					2	1	1	2	1					8
54			1	1				1	1		3			1			8
Part Four																	—
55					1	2	2	3	2	1	1	1	2		3	1	19
56									1	1	1						3
57			1								1						2
58							2		1					1			4

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	Total
59																	—
<b>Total</b>	<b>7</b>	<b>27</b>	<b>67</b>	<b>75</b>	<b>34</b>	<b>51</b>	<b>86</b>	<b>91</b>	<b>116</b>	<b>108</b>	<b>104</b>	<b>109</b>	<b>121</b>	<b>129</b>	<b>212</b>	<b>49</b>	<b>1 386</b>

## 2. References in decisions by year of issuance

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	Total
General comments							1	2			1	1	2	3	1		11
Part One																	—
Chap. I																	1
1					1						1	1		2	3		8
2		1			1	1	1			3	1		2		3		14
3		1	2	1	1				1	3	2	2	7	3	1		24
Chap. II											1	1		2	1		5
4	3	4	2	2	4	2			1	3	2	6	5	5	9	1	49
5		1		3	4					1	2	4	1	3	5	1	25
6												1		1	2		4
7		2	1	1	1					2		2		2	1		12
8				1	1	1					2	3		5	5		18
9											1						1
10															1		1
11		1											1		3		5
Chap. III																	—
12		1											1		1		3
13		1				1			1			2		1	3		9
14		1	1	1	2	1	1		3	1	1	3	1	1	1		18
15		1	1							1	2	1		1			7
Chap. IV								1					1		1		—
16								1					1		1		3
17																	—
18																	—

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	Total
19																	—
Chap. V								1									1
20															1		1
21																	—
22							1				1						2
23			1					1			1		1				4
24																	—
25				1	1	1	1			4	2	1		2	1		14
26						1									1		2
27						1	2	1			1	1					6
Part Two																	—
Chap. I																	—
28													1		1		2
29									1								1
30											1		1				2
31		1		1	1	3	2	1	3	3	3	3	3	6	5		32
32								1					2		1		4
33						1								1			2
Chap. II															1		1
34					1			1	1	1	1		2		4		11
35		1		1	1				1	3		1	1	2	2		13
36				1	1	3				3	2	2	2	2	4	1	21
37											1		1	1			3
38		1		1						1		2	2		4	1	12
39										1	2	1	2	1			7
Chap. III									1								1
40																	—
41													1				1
Part Three																	—
Chap. I																	—
42																	—

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	Total
43													1				1
44			1										2				3
45				1										1			2
46																	—
47							1										1
48												1					1
Chap. II				1													1
49					1			1		1							4
50			1					1		1							3
51	1	1						1			1						4
52										1							1
53									1								1
54																	—
Part Four								1			1		1				—
55									1			1			1		5
56											1						1
57		1															1
58								1						1			2
59																	—
<b>Total</b>	<b>1</b>	<b>11</b>	<b>17</b>	<b>7</b>	<b>23</b>	<b>19</b>	<b>25</b>	<b>10</b>	<b>15</b>	<b>33</b>	<b>30</b>	<b>44</b>	<b>38</b>	<b>47</b>	<b>68</b>	<b>4</b>	<b>392</b>

### 3. References in submissions by year of submission

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	Total
General comments	1	3	2			1		3	3		2	3	4	3	1		26
Part One																	—
Chap. I																	—
1		1		1				4	2	1	1	3	1	1	3	2	20
2				1		5	2	2	3	3	1	1	2	6	8	1	35
3			2	1			2	2	1	3	2	5	5	4	1	2	30

<i>Part, chapter or article</i>	<i>2001</i>	<i>2002</i>	<i>2003</i>	<i>2004</i>	<i>2005</i>	<i>2006</i>	<i>2007</i>	<i>2008</i>	<i>2009</i>	<i>2010</i>	<i>2011</i>	<i>2012</i>	<i>2013</i>	<i>2014</i>	<i>2015</i>	<i>2016</i>	<i>Total</i>
Chap. II		1					1	2	1	1	2						8
4	1	1	4	1	1	3	2	10	9	2		6	6	6	8	3	63
5		3	1		1		2	1	2	3	1	3	2	3	8	1	31
6							1			1							2
7			2		1	1	1	2	1	2			3	2		1	16
8		3	1	2	1			2	3	2	3	3	3	2	4		29
9						1											1
10		1											1				2
11			1						1				1		3		6
Chap. III																	—
12		1		2				2	1		1		4	1	2		14
13						1			3	3					1		8
14						2	3	3	3	4	3		1	2	3	2	26
15						1	2	2			2		2	2	2		13
Chap. IV																	—
16									1				1				2
17			1														1
18						1											1
19																	—
Chap. V											3	1			1		5
20			1				1	1	1						1		5
21			1				1		1				1		1		5
22	1		2				1	1		2					1		8
23			1				1			1		1	1		1		6
24			2				1						1		1		5
25		1	3	1	1	1				3	1	1	3	2	3	4	24
26															1		1
27		1				1		1			2	1	1	1	1	1	10
Part Two											1		1		1		3
Chap. I																	—
28		1	1	1					1	2							7

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	Total
29	1	1		2		1	3	1	2	1		1	2	2			17
30		1	3	4		1	2	5	4	4	1	2	2	1	2		32
31			5	5		1	2	2	7	4	3	2	7	4	12		54
32	1	1	2	1				1		1	1		2	3	1		14
33											4			2			6
Chap. II																	—
34			3	2			2	2	3			4	3	4			23
35	1	5	6		1	3	2	4			3	4	1	6	1		37
36	1	1	4			1	2	4			3		5	2	6		29
37		1	1				1	3	1	2	1				3		13
38						2		3		1		1	2	3			12
39													1	3			4
Chap. III									1								1
40	1	4		3				1		1		1		1			12
41		2					1	10	1	3		1		1			19
Part Three											1						1
Chap. I																	—
42									2	1	1			3			7
43								1	1					3	6		11
44	1		1					1			2		2		4		11
45	1		2					2	1								6
46																	—
47														1			1
48									2	1	1	1		4	3		12
Chap. II		1		1					1	2							5
49		1			1			1	2	2	1		1				9
50		1				1		1	1	1	1	1					6
51		1				1		1	1	1	1	1					6
52					1		1	1	1	2	1						7
53							1	1	1	1	1						5
54			1					1	1		1			1			5

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	Total
Part Four																	—
55					1	1	1	3	1				2			1	10
56										1	1						2
57										1							1
58									1								1
59																	—
<b>Total</b>	<b>6</b>	<b>15</b>	<b>42</b>	<b>58</b>	<b>8</b>	<b>28</b>	<b>43</b>	<b>65</b>	<b>91</b>	<b>64</b>	<b>56</b>	<b>46</b>	<b>75</b>	<b>58</b>	<b>107</b>	<b>30</b>	<b>792</b>

#### 4. References in opinions by year of issuance

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	Total
General comments											1	1	1	1	1		5
Part One																	—
Chap. I																	—
1											1						1
2							2							5	1		8
3								2			1						3
Chap. II																	—
4				1			1	2	1			1		1	1		8
5															1		1
6							1						5	1			7
7								1					1	1			3
8								1					5	2			8
9																	—
10									1						3		4
11										1					1		2
Chap. III																	—
12							1					1					2
13											1			2	2		5
14				1		1	1		3	2				1			9

<i>Part, chapter or article</i>	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	Total
15		1									1						2
Chap. IV																	—
16							1								2		3
17												1					1
18																	—
19																	—
Chap. V											1						1
20															1		1
21			1												1		2
22				1			1					1			1		4
23															1		1
24														3			3
25														1			1
26														1			1
27																	—
Part Two							1										1
Chap. I																	—
28								1					1				2
29				1													1
30			1						2	1	2	1			2		3
31									2	1	2	1		1		2	9
32											1						1
33												3					3
Chap. II																	—
34							2			1							3
35				1					1	2	1			1			6
36									3	2	2	1	2		1	1	12
37														1	1		2
38										1							1
39																	—

<i>Part, chapter or article</i>	<i>2001</i>	<i>2002</i>	<i>2003</i>	<i>2004</i>	<i>2005</i>	<i>2006</i>	<i>2007</i>	<i>2008</i>	<i>2009</i>	<i>2010</i>	<i>2011</i>	<i>2012</i>	<i>2013</i>	<i>2014</i>	<i>2015</i>	<i>2016</i>	<i>Total</i>
Chap. III				3				3							1		7
40				1		1	1					1					4
41				1		1	1	1				2			2		8
Part Three																	—
Chap. I																	—
42									1			2			1	3	7
43								1							7		8
44						1							1		2		4
45														1			1
46																	—
47				1													1
48											1	2		1	2	3	9
Chap. II																	—
49				1							2						3
50				1		1					2						4
51				1							2						3
52				1							2						3
53				1							1						2
54				1							2						3
Part Four								1					1		2		4
55								1									—
56																	—
57																	—
58								1									1
59																	—
<b>Total</b>	<b>1</b>	<b>8</b>	<b>10</b>	<b>3</b>	<b>4</b>	<b>18</b>	<b>16</b>	<b>10</b>	<b>11</b>	<b>18</b>	<b>19</b>	<b>8</b>	<b>24</b>	<b>37</b>	<b>15</b>	<b>202</b>	