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## **Subsidiary Body for Implementation**

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Item 3(a) of the provisional agenda

**National communications from Parties included in Annex I to the Convention**

**Status of submission and review of fifth national communications from**

**Parties included in Annex I to the Convention**

## **Status of submission and review of fifth national communications**

### **Note by the secretariat**

1. This note provides information on the status of submission and review of fifth national communications<sup>1</sup> (NC5s) under the Convention and its Kyoto Protocol from Parties included in Annex I to the Convention (Annex I Parties) as at 16 November 2012.

2. The Conference of the Parties, by decision 10/CP.13, requested Annex I Parties to submit their NC5s by 1 January 2010, in accordance with Article 12, paragraphs 1 and 2, of the Convention. Decision 8/CMP.3 requested Annex I Parties that are also Parties to the Kyoto Protocol to include, in their NC5s, the necessary supplementary information required under the “Guidelines for reporting supplementary information under Article 7, paragraph 2, of the Kyoto Protocol” (contained in the annex to decision 15/CMP.1).

3. At the time of preparation of this note, and as shown in the table below, the secretariat had received 40 NC5s. A total of 16 Annex I Parties submitted their NC5s before the due date of submission in accordance with decision 10/CP.13; 24 Parties submitted their NC5s after that date (19 of these submitted them within six weeks after that date) and one Annex I Party has yet to submit its national communication. Submission

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<sup>1</sup> The term “fifth national communication” includes the second, third and fourth national communications that were submitted during the period of time in which the majority of Parties included in Annex I to the Convention submitted their fifth national communication in accordance with decision 10/CP.13.

dates and the pending submission are reflected in the table included in this document.<sup>2</sup> All national communications received so far have been posted on the UNFCCC website.<sup>3</sup>

4. In accordance with decisions 2/CP.1, 6/CP.3, 11/CP.4, 33/CP.7, 4/CP.8, 7/CP.11 and 9/CP.16, national communications from Annex I Parties are subject to in-depth review. The “Guidelines for review under Article 8 of the Kyoto Protocol” (contained in the annex to decision 22/CMP.1) (hereinafter referred to as the Article 8 review guidelines) specify that each national communication submitted by an Annex I Party shall be subject to a scheduled in-country, in-depth review. This in-depth review for Annex I Parties that are also Parties to the Kyoto Protocol encompasses a review of the supplementary information under Article 7, paragraph 2, of the Kyoto Protocol and also a review of the supplementary information under Article 7, paragraph 1, of the Kyoto Protocol on the minimization of adverse impacts in accordance with Article 3, paragraph 14.

5. In accordance with decision 10/CMP.6, the secretariat should organize centralized reviews of NC5s for Parties with total greenhouse gas emissions of less than 50 million tonnes of carbon dioxide equivalent (excluding land use, land-use change and forestry), with the exception of Parties included in Annex II to the Convention, for which the secretariat will organize in-country, in-depth reviews.

6. As at 16 November 2012, the secretariat had reviewed all submitted NC5s. The reviews included 32 in-country reviews. The NC5s of eight Parties were reviewed in centralized reviews in accordance with decision 10/CMP.6, namely the NC5s of Croatia, Estonia, Latvia, Liechtenstein, Lithuania, Monaco, Slovakia and Slovenia.

7. The table below presents the status of submission and review of the NC5s.

**Submission of fifth national communications, review dates and status of the preparation of the review reports, as at 16 November 2012**

<i>Party</i>	<i>Communication received (date)</i>	<i>Language of communication</i>	<i>Review dates</i>	<i>Status of review report<sup>a</sup></i>	<i>Document symbol</i>
Australia	12 Feb. 2010	English	20–25 Feb. 2012	Published	FCCC/IDR.5/AUS
Austria	16 Feb. 2010	English	24–29 Jan. 2011	Published	FCCC/IDR.5/AUT
Belarus	30 Dec. 2009	Russian, English	6–11 Feb. 2012	Published	FCCC/IDR.5/BLR
Belgium	4 Dec. 2009	English, French	31 Jan. to 5 Feb. 2011	Published	FCCC/IDR.5/BEL
Bulgaria	12 Feb. 2010	English	6–11 Feb. 2012	Published	FCCC/IDR.5/BGR
Canada	12 Feb. 2010	English, French	16–21 May 2011	Published	FCCC/IDR.5/CAN
Croatia	12 Feb. 2010	English	9–14 May 2011	Published	FCCC/IDR.5/HRV
Czech Republic	30 Nov. 2009	English	21–26 Jun. 2010	Published	FCCC/IDR.5/CZE
Denmark	31 Dec. 2009	English	21–26 Jun. 2010	Published	FCCC/IDR.5/DNK
Estonia	12 Feb. 2010	English	9–14 May 2011	Published	FCCC/IDR.5/EST
European Union	7 Dec. 2009	English	23–28 Apr. 2012	Published	FCCC/IDR.5/EU
Finland	23 Dec. 2009	English	3–8 May 2010	Published	FCCC/IDR.5/FIN
France	2 Dec. 2009	French, abstract in English	23–28 May 2011	Published	FCCC/IDR.5/FRA

<sup>2</sup> In addition, the submission of the third national communication of Kazakhstan is also pending. For Kazakhstan, the Kyoto Protocol entered into force on 17 September 2009, and in December 2009 the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol concluded that Kazakhstan is a Party included in Annex I to the Convention for the purposes of the Kyoto Protocol (FCCC/KP/CMP/2009/21, para. 91).

<sup>3</sup> <[http://unfccc.int/national\\_reports/annex\\_i\\_natcom/submitted\\_natcom/items/4903.php](http://unfccc.int/national_reports/annex_i_natcom/submitted_natcom/items/4903.php)>.

<i>Party</i>	<i>Communication received (date)</i>	<i>Language of communication</i>	<i>Review dates</i>	<i>Status of review report<sup>a</sup></i>	<i>Document symbol</i>
Germany	14 Jan. 2010	English	14–19 Mar. 2011	Published	FCCC/IDR.5/DEU
Greece	18 Jan. 2010	English	31 Jan. to 5 Feb. 2011	Published	FCCC/IDR.5/GRC
Hungary	10 Dec. 2009	English	18–23 Oct. 2010	Published	FCCC/IDR.5/HUN
Iceland	12 Feb. 2010	English	23–28 Apr. 2012	Published	FCCC/IDR.5/ISL
Ireland	12 Feb. 2010	English	21–26 Jun. 2010	Published	FCCC/IDR.5/IRL
Italy	17 Mar. 2010	English	28 Mar. to 2 Apr. 2011	Published	FCCC/IDR.5/ITA
Japan	21 Dec. 2009	English	8–13 Nov. 2010	Published	FCCC/IDR.5/JPN
Latvia	16 Mar. 2010	English	2–7 May 2011	Published	FCCC/IDR.5/LVA
Liechtenstein	25 Jan. 2010	English	2–7 May 2011	Published	FCCC/IDR.5/LIE
Lithuania	13 Feb. 2010	English	2–7 May 2011	Published	FCCC/IDR.5/LTU
Luxembourg (NC2, 3, 4 and 5)	14 Feb. 2010	English	13–18 Feb. 2012	Published	FCCC/IDR.5/LUX
Monaco	25 Mar. 2011	French	9–14 May 2011	Published	FCCC/IDR.5/MCO
Netherlands	19 Dec. 2009	English	28 Mar. to 2 Apr. 2011	Published	FCCC/IDR.5/NLD
New Zealand	23 Dec. 2009	English	23–28 Aug. 2010	Published	FCCC/IDR.5/NZL
Norway	22 Jan. 2010	English	10–15 May 2010	Published	FCCC/IDR.5/NOR
Poland	16 Feb. 2010	English	11–16 Apr. 2011	Published	FCCC/IDR.5/POL
Portugal	30 Dec. 2009	English	26 Apr. to 1 May 2010	Published	FCCC/IDR.5/PRT
Romania	22 Jan. 2010	English	16–21 May 2011	Published	FCCC/IDR.5/ROU
Russian Federation	12 Feb. 2010	Russian	16–21 Apr. 2012	Published	FCCC/IDR.5/RUS
Slovakia	15 Feb. 2010	English	9–14 May 2011	Published	FCCC/IDR.5/SVK
Slovenia	6 Apr. 2010	English	2–7 May 2011	Published	FCCC/IDR.5/SVN
Spain	18 Dec. 2009	Spanish	18–23 Oct. 2010	Published	FCCC/IDR.5/ESP
Sweden	4 Feb. 2010	English	8–13 Nov. 2010	Published	FCCC/IDR.5/SWE
Switzerland	14 Dec. 2009	English	17–22 May 2010	Published	FCCC/IDR.5/CHE
Turkey <sup>b</sup>	Not received	–	–	–	–
Ukraine (NC3, 4 and 5)	29 Dec. 2009	Russian	14–19 Mar. 2011	Published	FCCC/IDR.5/UKR
United Kingdom of Great Britain and Northern Ireland	12 Jun. 2009	English	1–5 Mar. 2010	Published	FCCC/IDR.5/GBR
United States of America	28 May 2010	English	12–16 Mar. 2012	Published	FCCC/IDR.5/USA

*Abbreviation:* NC = national communication.

<sup>a</sup> Published on the UNFCCC website at <[http://unfccc.int/national\\_reports/annex\\_i\\_natcom/idr\\_reports/items/4056.php](http://unfccc.int/national_reports/annex_i_natcom/idr_reports/items/4056.php)>.

<sup>b</sup> The NC of Turkey will be reviewed accordingly upon its receipt.