



Conference of the States Parties to the United Nations Convention against Corruption

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Open-ended Intergovernmental Working Group on the Prevention of Corruption

Second Session

Vienna, 22-24 August 2011

Draft report*

Addendum

V. Conclusions and recommendations

1. The Open-ended Intergovernmental Working Group on the Prevention of Corruption reached the following conclusions and recommendations at its meeting held in Vienna from 22 to 24 August 2011:

(a) The Working Group recommended that the Conference of the States Parties at its fourth session consider deciding that the Working Group continue its work and hold two meetings before the fifth session of the Conference;

(b) The Working Group recommended that it continue to focus on a manageable number of specific substantive topics drawn from Chapter II of the Convention at its future sessions, and reiterated that the availability of adequate expertise on the topics of attention would benefit the discussions. Topics of focused attention at future meetings could be:

- Strengthen public-private partnerships, particularly in the context of article 12 of the Convention;
- Conflicts of interest, unacceptable practices and asset declarations;

(c) The Working Group recommended that future meetings follow a multi-year workplan, to ensure that all key provisions in Chapter II are addressed in the period up to 2015 when the second cycle of the Implementation Review Mechanisms begins. In advance of each meeting of the working group, States parties should be invited to share their experiences of implementing the provisions under consideration, preferably by using the self-assessment checklist, including, where possible, successes, challenges and lessons learned in implementation. Also

* The present document is being issued without formal editing.



in advance of each meeting, the Secretariat should prepare background papers for topics under discussion, based on the inputs from States parties on initiatives and good practices. These background papers should synthesize the different approaches taken by States parties in their different contexts, presenting the broad options and typologies of approach that have been used, and drawing attention to any common issues arising or lessons identified by States parties. Panel discussions should be held during the Working Group meetings, involving experts from countries who have provided written responses on the priority themes in question. If there are specialist areas requiring more detailed technical discussion, States parties may consider requesting the Secretariat, subject to the availability of resources, to host separate sub-working group discussions comprised of experts in the areas concerned;

(d) The Working Group noted with appreciation that many States parties had shared information on their initiatives and good practices on the topics considered, namely

- (i) Awareness-raising policies and practices, with special reference to articles 5, 7, 12 and 13 of the Convention;
- (ii) The public sector and prevention of corruption: codes of conduct (article 8 of the Convention) and public reporting (article 10 of the Convention);

and requested States parties to continue to share with the Secretariat updated information on such initiatives and good practices;

(e) The Working Group reminded States parties to continue to notify the Secretary-General about the designation of competent authorities that may assist other States parties in developing and implementing specific measures for the prevention of corruption, pursuant to article 6, paragraph 3 of the Convention, in particular, to update existing information where needed;

(f) The Working Group recommended that the Secretariat conduct, subject to the availability of extrabudgetary resources and further substantive guidance by the Working Group, analyses of good practices reported by States parties on measures taken to address practical issues related to the implementation of provisions contained in Chapter II and to collect information on existing expertise within the United Nations system and other relevant organizations in this regard;

(g) The Working Group noted with appreciation the Resource Guide on Strengthening Judicial Integrity and Capacity, developed by the Secretariat, and decided to give particular consideration at a future session to the issue of integrity of the judiciary and prosecution services, with a view to promoting article 11 of the Convention;

(h) The Working Group noted with appreciation the cooperation between the Secretariat and UNDESA with regard to preventing corruption in the public sector, and recommended that such cooperation continue, particularly with regard to the United Nations Civil Service Awards as well as through other initiatives, including activities that enhance public service delivery and prevent corruption;

(i) The Working Group welcomed the initiatives taken by the Secretariat to promote partnerships with the private sector, in close cooperation with the Office of

the United Nations Global Compact and other relevant organizations, and recommended that the Secretariat continue to promote the implementation of the Convention among the corporate community;

(j) The Working Group reaffirmed that States parties should continue to strengthen awareness-raising and education throughout all sectors in society, and that special attention be devoted to the contributions that can be made by women and young people in preventing corruption;

(k) The Working Group welcomed the initiative taken by the Secretariat to develop comprehensive anti-corruption academic materials for universities and requested regular updates about progress in this endeavour;

(l) The Working Group recommended that the Secretariat continue to support efforts of States parties to assess vulnerabilities to corruption in the public and private sectors and requested to be informed at a future session about further action taken in this regard;

(m) The Working Group took note of the approval by UNCITRAL of the revised Model Law on Procurement of Goods, Construction and Services and recommended that the Secretariat continue to cooperate with the UNCITRAL Secretariat, in particular to assist States parties with the implementation of article 9, paragraph 1 of the Convention;

(n) The Working Group welcomed the continued efforts of the Secretariat to promote integrity among members of the United Nations Chief Executive Board for Coordination (CEB) through the Institutional Integrity Initiative, including in cooperation with the United Nations Ethics Office, and recommended that the Conference of the States Parties provide guidance to the Secretariat as to how to further pursue this Initiative;

(o) The Working Group recommended that the Secretariat, in close cooperation with UNDP and other assistance providers, continue to provide technical assistance to States parties, upon request, with a view to advancing the implementation of Chapter II;

(p) The Working Group called upon States parties to make sufficient financial resources available to the Secretariat and other assistance providers in order to effectively meet the technical assistance needs identified by States parties;

(q) The Working Group requested the Secretariat, at the next meeting, to report on the activities undertaken pursuant to these recommendations.