



## Convention to Combat Desertification

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### Conference of the Parties

## Report of the Conference of the Parties on its tenth session, held in Changwon from 10 to 21 October 2011

### Part two: Action taken by the Conference of the Parties at its tenth session

#### Addendum

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*Resolution*

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## Decision 1/COP.10

### Multi-year workplans of the Convention institutions and subsidiary bodies

*The Conference of the Parties,*

*Recalling* decision 3/COP.8, by which the Parties adopted the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), and in particular paragraph 38 of that decision on planning and budgeting cycles, whereby UNCCD institutions are requested to develop their respective results-based management multi-year workplan in line with The Strategy, and section VII of the annex to that decision on performance reporting,

*Recalling also* decision 11/COP.9, annex, paragraph 2 (b), in which the Committee for the Review of the Implementation of the Convention is entrusted with undertaking a performance review of the Convention's institutions and subsidiary bodies, following a results-based management approach and on the basis of the reports on the two-year costed work programme,

*Having reviewed* documents ICCD/CRIC(10)/2, ICCD/CRIC(10)/3, ICCD/CRIC(10)/4, ICCD/CRIC(10)/5, ICCD/CRIC(10)/6, ICCD/CRIC(10)/7-ICCD/COP(10)/CST/10, ICCD/CRIC(10)/8 and ICCD/CRIC(10)/9, and *having referred to* documents ICCD/COP(10)/10, ICCD/COP(10)/11, ICCD/COP(10)/12, ICCD/COP(10)/13, ICCD/COP(10)/14 and ICCD/COP(10)/15,

*Underlining* the importance of the efficient and coordinated functioning of the Convention's institutions and subsidiary bodies in supporting Parties in their implementation of The Strategy,

1. *Approves* the strategic orientation of the Committee on Science and Technology, the Committee for the Review of the Implementation of the Convention, the Global Mechanism and the secretariat, as contained in the annexed workplans;
2. *Requests* the Committee on Science and Technology, the Committee for the Review of the Implementation of the Convention, the Global Mechanism and the secretariat to utilize the workplans in the attached annexes, organizing their work in a manner that is consistent with the provisions of the Convention and decisions taken by the tenth session of the Conference of the Parties, and in line with the guidance outlined in The Strategy;
3. *Requests* the Committee on Science and Technology, when implementing its work plan for 2012–2015, to continue to strengthen activities relating to advocacy, awareness-raising and education, as outlined in the work programme for the Committee on Science and Technology contained in The Strategy;
4. *Also requests* the secretariat to support the activities described in decision 19/COP.10 on how best to measure progress on strategic objectives 1, 2, and 3 of The Strategy when implementing subprogramme 3;
5. *Further requests* the Committee on Science and Technology and the Committee for the Review of the Implementation of the Convention to engage in further coordination work relating to the improvement of UNCCD knowledge management in order to provide information that is coherent and easily accessible;

6. *Invites* Parties, donors and financial institutions to provide further technical and financial support for the UNCCD knowledge management tools in order to enhance the effective implementation of the Convention;
7. *Requests* the secretariat and the Global Mechanism to prepare, in line with decision 3/COP.8, multi-year workplans (2014–2017), utilizing the results-based management approach;
8. *Also requests* the secretariat to integrate these plans into a comprehensive multi-year workplan for the Convention, to be considered at the eleventh session of the Conference of the Parties;

### **Performance of the Convention's institutions and subsidiary bodies**

9. *Decides* that future performance reviews to be conducted by the Committee for the Review of the Implementation of the Convention, starting with its twelfth session, should be based on the reports on the implementation of the two-year costed work programmes of Convention institutions and subsidiary bodies;
10. *Also decides* to use the performance indicators and their related targets included in the workplans in order to allow for the proper performance assessment of the Convention's institutions and subsidiary bodies.

*9<sup>th</sup> plenary meeting*  
*21 October 2011*

# Annex I

[English only]

## Multi-year workplan for the Committee on Science and Technology (2012–2015)

<b>Outcome area:</b>		<b>Risks/assumptions:</b>
3.1 National monitoring and vulnerability assessments on biophysical and socio-economic trends in affected countries are supported.		The political will of the Parties and low capacity in some affected countries.
<b>Expected accomplishments</b>		<b>Performance indicators</b>
3.1.1	Affected Parties conduct impact assessments under the UNCCD, focusing on progress made in meeting strategic objectives 1–3.	The extent to which affected Parties report on progress made in meeting strategic objectives 1–3. A decision by the Conference of the Parties (COP) on a common approach for the reporting process on impact indicators.
<b>Outcome area:</b>		<b>Risks/assumptions:</b>
3.2 A baseline based on the most robust data available on biophysical and socio-economic trends is developed and relevant scientific approaches are gradually harmonized.		The political will of the Parties and low capacity in some affected countries.
<b>Expected accomplishments</b>		<b>Performance indicators</b>
3.2.1	National, regional and global baselines for assessing progress in meeting strategic objectives 1–3 are established.	The extent to which affected Parties set up a baseline for assessing the progress in implementing the 10-year Strategy. Recommendations on baselines by the Committee on Science and Technology (CST) are reflected in COP decisions.
<b>Outcome area:</b>		<b>Risks/assumptions:</b>
3.3 Knowledge of biophysical and socio-economic factors and of their interactions in affected areas is improved to enable better decision-making.		Engagement of the scientific community.
<b>Expected accomplishments</b>		<b>Performance indicators</b>
3.3.1	The CST advances knowledge of biophysical and socio-economic factors and of their interactions in affected areas including issues associated with delineation of affected areas and planning and implementation of action.	CST recommendations on scientific advice delivered to the UNCCD decision-making process.

<b>Outcome area:</b>	<b>Risks/assumptions:</b>
3.4 Knowledge of the interactions between climate change adaptation, drought mitigation and restoration of degraded land in affected areas is improved to develop tools to assist decision-making.	The political will of the Parties, and the engagement of the scientific bodies of the United Nations Framework Convention on Climate Change (UNFCCC) and the Convention on Biological Diversity (CBD).
<b>Expected accomplishments</b>	<b>Performance indicators</b>
3.4.1 The CST increasingly cooperates with, and benefits from the work of, relevant organizations and bodies dealing with climate change adaptation, drought mitigation and restoration of degraded land.	The extent to which CST interacts with the scientific subsidiary bodies of the UNFCCC and the CBD, and other relevant scientific cooperation bodies.
<b>Outcome area:</b>	<b>Risks/assumptions:</b>
3.5 Effective knowledge-sharing systems, including traditional knowledge, <sup>a</sup> are in place at the global, regional, subregional and national levels to support policymakers and end-users, including through the identification and sharing of best practices and success stories.	The political will of the Parties to continuously support the progressive development of the UNCCD knowledge management system.
<b>Expected accomplishments</b>	<b>Performance indicators</b>
3.5.1 Parties and other stakeholders increasingly use local, regional and global knowledge management systems.	Number of Parties and other stakeholders using local, regional and global knowledge management systems.
<sup>a</sup> Excluding traditional knowledge on genetic resources.	
<b>Outcome area:</b>	<b>Risks/assumptions:</b>
3.6 Science and technology networks and institutions relevant to desertification/land degradation and drought are engaged to support UNCCD implementation.	The political will of the Parties to engage science and technology networks and institutions in affected countries.  Engagement of the scientific community.
<b>Expected accomplishments</b>	<b>Performance indicators</b>
3.6.1 Provisions for the engagement of science and institutions are improved.	The extent to which scientific institutions participate in the work of the CST.

# Annex II

[English only]

## Multi-year workplan for the Committee for the Review of the Implementation of the Convention (2012-2015)

### A. Assessment of the implementation of the Convention and The Strategy

Expected accomplishments	Performance indicator
Parties assess the progress made in meeting strategic objectives 1–4 of The Strategy and related targets, and provide guidance on further steps to be taken	<p>Draft decisions by the Committee for the Review of the Implementation of the Convention (CRIC) on further steps to be taken to meet the strategic objectives, taking into consideration the section of the mid-term evaluation that deals with strategic objectives 1–4</p> <p>Strategic objectives 1–3 analysed by the CST and integrated into PRAIS*</p> <p><i>Target for 2012</i></p> <p>Second assessment of implementation (2010–2011) undertaken, including against impact indicators</p> <p><i>Target for 2013</i></p> <p>Targets for strategic objectives 1–4 adopted, including input by the CST</p>
Parties assess the progress made in meeting operational objectives 1–5 of The Strategy and related targets, and provide guidance on further steps to be taken	<p>CRIC draft decision(s) submitted to COP 11 on further steps to be taken to meet the operational objectives</p> <p>CRIC draft decision(s) submitted to COP 12 on further steps to be taken to meet operational objectives, outcome areas, performance indicators and related targets that may be adopted/amended as part of the mid-term evaluation at the performance level</p> <p><i>Target for 2012</i></p> <p>Second assessment of implementation (2010–2011) against performance indicators</p> <p><i>Target for 2014</i></p> <p>Third assessment of implementation (2012–2013) against performance indicators</p>
Parties assess the mobilization and use of financial resources and other support, and provide guidance on how to enhance their effectiveness and efficiency in the achievement of the objectives of the Convention	<p>CRIC draft decision(s) submitted to COP 11 on how to enhance the effectiveness and efficiency of financial resources and other support</p> <p>CRIC draft decision(s) submitted to COP 12 on how to enhance the effectiveness and efficiency of financial resources and other support, including on</p>



	<p>new provisions that may be adopted as part of the mid-term evaluation in this regard</p> <p><i>Target for 2012</i></p> <p>Second review of financial flows (2010–2011) based on preliminary analysis submitted by the Global Mechanism (GM) to the secretariat</p> <p><i>Target for 2014</i></p> <p>Third review of financial flows (2012–2013) based on preliminary analysis submitted by the GM to the secretariat</p>
Parties adopt methods that improve communication of information, as well as the quality and format of reports to be submitted to the COP	<p>CRIC draft decision(s) submitted to COP 11 on methods to improve communication of information and the quality and formats of reports</p> <p><i>Target for 2013</i></p> <p>Complete the first iteration on reporting against impact indicators and the second iteration on reporting against performance indicators as part of the mid-term evaluation</p>

\* Performance review and assessment of implementation system.

## B. Performance review of the Convention's institutions and subsidiary bodies

Expected accomplishments	Performance indicator
Parties review the performance of the secretariat and the Global Mechanism, and provide guidance on further improvements	<p>CRIC draft decisions submitted to COP 11 and COP 12 on improving the performance of the institutions of the Convention</p> <p><i>Target for 2013</i></p> <p>Second review of performance taking a results-based management (RBM) approach and based on the reports on the two-year work programmes (2012–2013) submitted by the secretariat and the GM</p> <p><i>Target for 2015</i></p> <p>Third review of performance taking an RBM approach and based on the reports on the two-year work programmes (2014–2015) submitted by the secretariat and the GM, and taking into consideration the outcome of the mid-term evaluation of The Strategy</p>
Parties review the performance of the CRIC and the CST and the interaction between them, and provide guidance on further improvements	<p>CRIC draft decisions submitted to COP 11 and COP 12 on improving the performance of the subsidiary bodies of the Convention</p> <p><i>Target for 2013</i></p>

Second review of performance taking an RBM approach and based on the reports on the two-year work programmes (2012–2013) of the CRIC and the CST

*Target for 2015*

Third review of performance taking an RBM approach and based on the reports on the two-year work programmes (2014–2015) of the CRIC and the CST, and taking into consideration the outcome of the mid-term evaluation of The Strategy

### C. Compilation and dissemination of best practices

Expected accomplishments	Performance indicator
CRIC facilitates the compilation and dissemination of best practices	<p>CRIC reviews the accessibility of best practices according to table 3 annexed to decision 15/COP.10</p> <p>CRIC identifies recommended primary databases on the basis of reports provided to intersessional sessions and as part of the assessment of implementation</p> <p>CRIC draft decision submitted to COP 11 on the possible ways to promote the analysis and dissemination of best practices, according to the respective mandates of the two Committees</p> <p><i>Target for 2013</i></p> <p>Recommended primary databases have been identified on theme 1, 2, 3, 4, 6 of the annex of decision 15/COP.10</p> <p>Joint report of the CST and CRIC tabled through CRIC 11 to COP 11 in 2013</p>

### D. Mid-term evaluation of The Strategy

Expected accomplishments	Performance indicator
Parties consider an update of the Intersessional Working Group (IWG) on the mid-term evaluation with a view of providing feedback on the work undertaken	<p>Update on the mid-term evaluation considered and feedback provided through the final report of CRIC 11</p> <p><i>Target for 2013:</i></p> <p>Review of the progress made by the IWG on the mid-term evaluation</p>

# E. Collaboration with the Global Environment Facility

Expected accomplishments	Performance indicator
Parties assess the progress made in the cooperation with the Global Environment Facility (GEF), and provide guidance on further improvement in order for the GEF to serve as a financial mechanism of the Convention	CRIC draft decision submitted to COP 11 on a revised memorandum of understanding with the GEF <i>Target for 2014</i> GEF reports according to the revised reporting requirements

# F. Relationship with other relevant conventions and international organizations, institutions and agencies

Expected accomplishments	Performance indicator
Parties assess the progress made in the relationships with other relevant conventions and international organizations, institutions and agencies, and provide guidance on further promotion and strengthening of synergies	CRIC draft decisions submitted to COP 11 and COP 12 on strengthening the relationships with other relevant conventions and international organisations, institutions and agencies <i>Target for 2013 and 2015</i> Two reports by the secretariat to be reviewed in 2013 and 2015, respectively

## Annex III

[English only]

## Multi-year workplan for the Global Mechanism (2012–2015)

**Operational objective 1 – Advocacy, awareness-raising and education**

*To actively influence relevant international, national and local processes and actors in adequately addressing desertification/land degradation and drought-related issues.*

**Outcome area:**

**1.2** Desertification/land degradation and drought (DLDD) issues are addressed in relevant international forums, including those pertaining to agricultural trade, climate change adaptation, biodiversity conservation and sustainable use, rural development, sustainable development and poverty reduction

**Expected accomplishments  
(2012–2015)****Performance indicators  
(2012–2015)**

1.2.01 Finance for sustainable land management (SLM) is addressed in relevant international forums

The number of relevant international forums to which the GM contributes that address financial issues related to SLM

*Target for 2012 – 2013: 6*

**Outcome area:**

**1.3** Civil society organizations (CSOs) and the scientific community in the North and the South are increasingly engaged as stakeholders in the Convention's processes, and DLDD is addressed in their advocacy, awareness-raising and education initiatives

**Expected accomplishments  
(2012–2015)****Performance indicators  
(2012–2015)**

1.3.01 CSOs engaged in IFSs and integrated investment frameworks

The number of civil society organizations engaged in IFS and IFF processes supported by the GM

*Target for 2012 – 2013: 23*

1.3.02 The scientific community is engaged to collect economic evidence for increasing investments in SLM

The number of scientific institutions engaged in SLM related processes supported by the GM

*Target for 2012 – 2013: 7*

## Operational objective 2 – Policy framework

*To support the creation of enabling environments for promoting solutions to combat desertification/land degradation and mitigate the effects of drought.*

### Outcome area:

**2.1** Policy, institutional, financial and socio-economic drivers of desertification/land degradation and barriers to SLM are assessed, and appropriate measures to remove these barriers are recommended

**2.2** Affected country Parties revise their national action programmes (NAPs) into strategic documents supported by biophysical and socio-economic baseline information and include them in integrated investment frameworks

#### Expected accomplishments (2012–2015)

#### Performance indicators (2012–2015)

2.1.01 The development of SLM IFSs contribute to the alignment of the NAPs to The Strategy

The number of IFS-related processes contributing to NAP revision and alignment

*Target for 2012 – 2013: 12*

### Outcome area:

**2.3** Affected country Parties integrate their NAPs and sustainable land management and land degradation issues into development planning and relevant sectoral and investment plans and policies

#### Expected accomplishments (2012–2015)

#### Performance indicators (2012–2015)

2.3.01 IFSs identify investment opportunities for NAP priorities in national development processes such as Poverty Reduction Strategy Papers and relevant sectoral and investment plans and policies

The number of affected country Parties supported by the GM to focus strategically on the interlinkages between development, poverty reduction and DLDD/SLM finance

*Target for 2012 – 2013: 17*

### Outcome area:

**2.4** Developed country Parties mainstream the objectives of the Convention and SLM interventions into their development cooperation programmes/projects in line with their support to national, sectoral and investment plans

#### Expected accomplishments (2012–2015)

#### Performance indicators (2012–2015)

2.4.01 SLM is mainstreamed into developed country Parties development policies

The number of donor platforms and individual donors that consider SLM issues in their development policies

*Target for 2012 – 2013: 7*

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**Outcome area:**

**2.5** Mutually reinforcing measures among desertification/land degradation action programmes and biodiversity and climate change mitigation and adaptation are introduced or strengthened to enhance the impact of interventions

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**Expected accomplishments  
(2012–2015)**

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**Performance indicators  
(2012–2015)**

2.5.01 Increased SLM financing through synergistic implementation of the Rio conventions

The number of relevant global and regional events related to the United Nations Framework Convention on Climate Change and the Convention on Biological Diversity to which the GM contributes

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**Operational objective 5 – Financing and technology transfer**

*“To mobilize and improve the targeting and coordination of national, bilateral and multilateral financial and technological resources in order to increase their impact and effectiveness”*

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**Outcome area:**

**5.1** Affected country Parties develop integrated investment frameworks for leveraging national, bilateral and multilateral resources with a view to increasing the effectiveness and impact of interventions

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**Expected accomplishments  
(2012–2015)**

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**Performance indicators  
(2012–2015)**

5.1.01 Regional and subregional platforms relevant to SLM financing functioning and supported by the GM

The number of regional and subregional platforms relevant to SLM financing functioning and supported by the GM through partnerships

*Target for 2012 – 2013: 26*

5.1.02 Affected country Parties increasingly establish Integrated Investment Frameworks for SLM

The number of SLM Integrated Financing Strategies and IIFs supported by the GM endorsed by affected country Parties

*Target for 2012 – 2013: 25*

5.1.03 Economic arguments in support of SLM investments are generated

The number of country Parties that have assessed the economic value of land

*Target for 2012 – 2013: 21*

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**Outcome area:**

**5.2** Developed country Parties provide substantial, adequate, timely and predictable financial resources to support domestic initiatives to reverse and prevent desertification/land degradation and mitigate the effects of drought

**5.3** Parties increase their efforts to mobilize financial resources from international financial institutions, facilities and funds, including the GEF, by promoting the UNCCD/sustainable land management agenda within the governing bodies of these institutions

**Expected accomplishments  
(2012–2015)**
**Performance indicators  
(2012–2015)**

5.2.01 Developed countries and international financial institutions (IFIs), including the GEF, increasingly finance the SLM integrated investment frameworks

Rate of increase in financing volume of developed countries', IFIs and the GEF's contributions to support SLM IIFs (as per FIELD and PRAIS)

*Target for 2012 – 2013: 5%*

**Outcome area:**

**5.4** Innovative sources of finance and financing mechanisms are identified to combat desertification/land degradation and mitigate the effects of drought, including from the private sector, market-based mechanisms, trade, foundations and civil society organizations, and other financing mechanisms for climate change adaptation and mitigation, biodiversity conservation and sustainable use and for hunger and poverty reduction

**Expected accomplishments  
(2012–2015)**
**Performance indicators  
(2012–2015)**

5.4.01 Country Parties are enabled to identify innovative resources and potential entry points for innovative sources of finance and financing mechanisms related to SLM

The number of modules and approaches on innovative finance developed  
*Target for 2012 – 2013: 17*

The number of organizations involved in partnerships with the GM related to innovative finance  
*Target for 2012 – 2013: 20*

5.4.02 Resources mobilized for SLM through the application of innovative sources of finance and financing mechanisms

The number of countries and subregions assisted by the GM with mobilizing innovative finance  
*Target for 2012 – 2013: 39*

**Outcome area:**

**5.5** Access to technology by affected country Parties is facilitated through adequate financing, effective economic and policy incentives and technical support, notably within the framework of South-South and North-South cooperation

**Expected accomplishments  
(2012–2015)**
**Performance indicators  
(2012–2015)**

5.5.01 South-South and North-South partnerships allow affected country Parties to access knowledge on technology transfer

Technology transfer in the context of DLDD/SLM increasingly understood through a number of initiatives

*Target for 2012 – 2013: 7*

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**Executive direction and management**

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<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
X.1.01 The GM is an effective partner on financing for the Convention in international dialogues, partnerships and strategy development for servicing the Convention and its Parties	<p>JWP completed and implemented <i>Target for 2012 – 2013:</i> Yes</p> <p>The proportion of the core budget adopted by the COP received for GM operations</p> <p>Clearance by the President of the International Fund for Agricultural Development on GM inputs</p> <p>The number of meetings held among Facilitation Committee members <i>Target for 2012 – 2013:</i> 2</p>
X.2.01 Effective and transparent management of the work programme and financial resources	<p>Quality and RBM performance enforced <i>Target for 2012 – 2013:</i> Yes</p> <p>Level of satisfaction of country parties with the support provided by the GM (survey) <i>Target for 2012 – 2013:</i> 60%</p> <p>IFAD audit results on the GM are satisfactory <i>Target for 2012–2013:</i> Yes</p> <p>Amount of voluntary contributions raised from donors <i>Target for 2012–2013:</i> EUR 7 000 000</p> <p>The number of staff development and team-building initiatives undertaken</p>
X.3.01 Communication to a wide audience of the services provided by the GM to the Convention	<p>Unique visitors per day to the website <i>Target for 2012 – 2013:</i> 306</p>

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## Annex IV

[English only]

### Multi-year workplan for the secretariat (2012–2015)

#### Subprogramme 1 – Advocacy, awareness-raising and education

*To actively influence relevant international, national and local processes and actors in adequately addressing desertification/land degradation and drought-related issues.*

#### Outcome area:

**1.1** Desertification/land degradation and drought (DLDD) issues and the synergies with climate change adaptation/mitigation and biodiversity conservation are effectively communicated among key constituencies at the international, national and local levels

#### Expected accomplishments (2012–2015)

1.1.1. Awareness of addressing DLDD as one of the solutions to key global challenges increased through the Convention's communication and awareness-raising activities

#### Performance indicators (2012–2015)

The extent to which Parties and other stakeholders use the Convention's awareness-raising programmes and material

#### Target for 2013

*Increase from 2011 in UNCCD website visits, number of articles addressing DLDD or SLM published, media requests and LandScan subscribers*

#### Outcome area:

**1.2** DLDD issues are addressed in relevant international forums, including those pertaining to agricultural trade, climate change adaptation, biodiversity conservation and sustainable use, rural development, sustainable development and poverty reduction

#### Expected accomplishments (2012–2015)

1.2.1 The Convention process is increasingly recognized as a normative reference and the Convention secretariat nominated a global focal point for DLDD issues

#### Performance indicators (2012–2015)

Number of invitations to the secretariat to co-organize, chair or speak at high-level events

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**Outcome area:**

**1.3** CSOs and the scientific community in the North and the South are increasingly engaged as stakeholders in the Convention's processes, and DLDD is addressed in their advocacy, awareness-raising and education initiatives

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<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
1.3.1 CSO contributions to the meetings and processes of the Convention are effectively facilitated	<p>The extent to which CSO participation to Convention meetings is balanced</p> <p><i>Target for 2013</i></p> <p><i>CSO representation at CRIC 11 covers all regions and is balanced in terms of gender and expertise</i></p> <p>The extent to which CSOs present their positions during COP and CRIC deliberations</p>

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**Subprogramme 2 – Policy framework**

*To support the creation of enabling environments for promoting solutions to combat desertification/land degradation and mitigate the effects of drought.*

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**Outcome area:**

**2.1** The policy, institutional, financial and socio-economic drivers of desertification/land degradation and barriers to SLM are assessed, and appropriate measures to remove these barriers are recommended

**2.2** Affected country Parties revise their NAPs into strategic documents supported by biophysical and socio-economic baseline information and include them in integrated investment frameworks

**2.3** Affected country Parties integrate their NAPs, SLM and land degradation issues into development planning and relevant sectoral and investment plans and policies

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<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
2.1.1 Affected country Parties have increased support from major multilateral cooperation organizations and programmes in devising their NAPs and SLM, and addressing DLDD	The extent to which the policies and approaches of UNDP <sup>1</sup> , UNEP <sup>2</sup> , WMO <sup>3</sup> , FAO, <sup>4</sup> UNESCO <sup>5</sup> and IUCN <sup>6</sup> reflect the work carried out under the Convention

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<sup>1</sup> United Nations Development Programme.

<sup>2</sup> United Nations Environment Programme.

<sup>3</sup> World Meteorological Organization.

<sup>4</sup> Food and Agriculture Organization.

<sup>5</sup> United Nations Educational, Scientific and Cultural Organization.

<sup>6</sup> World Conservation Union.

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**Outcome area:**


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**2.4** Developed country Parties mainstream the objectives of the Convention and SLM interventions into their development cooperation programmes/projects in line with their support to national sectoral and investment plans

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**Expected accomplishments  
(2012–2015)**


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**Performance indicators  
(2012–2015)**

2.4.1 SLM/DLDD issues are mainstreamed into development agendas

The extent to which developed countries place DLDD issues on the agenda of multilateral policy forums

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**Outcome area:**


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**2.5** Mutually reinforcing measures among desertification/land degradation action programmes and biodiversity and climate change mitigation and adaptation are introduced or strengthened in order to enhance the impact of interventions

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**Expected accomplishments  
(2012–2015)**


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**Performance indicators  
(2012–2015)**

2.5.1 Improved understanding of the importance of DLDD and SLM in addressing climate change and biodiversity

The extent to which the Convention, DLDD or SLM is referred to in documents and decisions concerning climate change and biodiversity

2.5.2 Improved understanding of the interlinkages between implementation of the Convention and addressing food security, water scarcity and drought, forestry, gender issues and migration

The extent to which the Convention, DLDD or SLM is referred to in the documents and decisions concerning food security, water scarcity and drought, forestry, gender issues and migration, building on inputs made by the secretariat

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### Subprogramme 3 – Science, technology and knowledge

*To become a global authority on scientific and technical knowledge pertaining to desertification/land degradation and mitigation of the effects of drought.*

#### Outcome area:

**3.1 National monitoring and vulnerability assessments of biophysical and socio-economic trends in affected countries are supported**

#### **3.1.(a) Substantive preparation of the assessment of progress in meeting the strategic and operational objectives of The Strategy**

<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
3.1.1 Effective support to affected Parties in conducting assessments of implementation in meeting the five operational objectives under the Strategy	The extent to which the reports of Parties follow the reporting guidelines <i>Target for 2013</i> <i>At least 75% of the reports received follow the guidelines</i>
3.1.2 Effective support to Parties in monitoring impact, with focus on progress made in meeting the strategic objectives under The Strategy	The extent to which the reports of affected countries follow the reporting guidelines <i>Target for 2013</i> <i>At least 75% of the reports received follow the guidelines</i>

#### **3.1.(b) Support to the review and assessment of progress in implementing The Strategy**

<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
3.1.3 Parties assess the relevance and effectiveness of The Strategy, and recommend appropriate measures for improving performance and furthering its implementation	The extent to which the assessment of The Strategy is conducted according to the modalities, criteria and terms of reference adopted at COP 10 <i>Target for 2013:</i> <i>Linkages between GEF investment and the objectives of The Strategy clarified, including alignment of the sets of impact indicators of the GEF land degradation focal area and The Strategy;</i> <i>The merits of a fixed timeframe, with 2018 being the final year of The Strategy, versus a rolling system, as applied in the RBM framework of the workplans of the institutions and subsidiary bodies of the Convention, considered.</i>
3.1.4 Parties assess the progress made with the implementation of The Strategy and recommend appropriate measures to improve efficiency	The extent to which the assessment of progress made in the implementation of The Strategy is undertaken by referring to the baseline assessment of CRIC 9 (as reflected in the pertinent decisions of COP 10) and the analysis of trends to be undertaken at CRIC 1, by making use of the findings of the iterative process undertaken mainly in 2011 and 2012; and by considering how the results achieved under the operational objectives contribute to the delivery of the expected accomplishments under the strategic objectives  The extent to which PRAIS is implemented according to the provisions contained in the relevant decisions of COP 11

3.1.5 Parties assess the CRIC and provide guidance to improve its performance and increase its effectiveness	<p>The extent to which the assessment of the CRIC concerns its operation under the terms of reference as modified by the relevant decision of COP 11</p> <p><u>Target for 2013</u></p> <p>Assessment of the relevance, impact, effectiveness, appropriateness of format and cost-effectiveness of CRIC meetings</p>
3.1.6 Parties assess the CST, with reference of it fulfilling operational objective 3 on how best to measure progress on strategic objectives 1,2 and 3	The extent to which the assessment of the CST concerns its fulfilment of operational objective 3 on how best to measure progress on strategic objectives 1,2 and 3
3.1.7 Parties assess PRAIS and provide guidance on further improvement of its effectiveness	The extent to which the assessment of PRAIS concerns its implementation according to the provisions contained in the relevant decisions of COP 11
3.1.8 Parties assess the effectiveness of existing institutional arrangements and regional coordination mechanisms, and provide guidance on further improvement of regional coordination of the implementation of the Convention	The extent to which the assessment of the institutional arrangements for Regional Coordination Mechanisms (RCMs) supports the revision and amendment of these arrangements

#### Outcome area:

**3.2** A baseline based on the most robust data available on biophysical and socio-economic trends is developed and relevant scientific approaches are gradually harmonized

<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
3.2.1 Effective support to the CST to guide the development of national and global baselines for assessing progress in meeting strategic objectives 1–3	<p>The extent to which the background information provided by the secretariat is reflected in CST recommendations</p> <p><u>Target for 2013</u></p> <p><i>At least 75% of the information provided by the secretariat is reflected in CST recommendations</i></p>

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**Outcome area:**

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**3.3** Knowledge of biophysical and socio-economic factors and of their interactions in affected areas is improved to enable better decision-making

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**Expected accomplishments  
(2012–2015)**

3.3.1 Effective support to the CST in advancing knowledge of the biophysical and socio-economic factors of DLDD and of related interactions in affected areas

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**Performance indicators  
(2012–2015)**

The extent to which background information for and outcomes of the Convention's scientific conferences are disseminated in a timely manner to a large group of recipients

Target for 2013

*The outcomes of the second scientific conference are made available online at least three months before COP 11*

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**Outcome area:**

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**3.4** Knowledge of the interactions between climate change adaptation, drought mitigation and restoration of degraded land in affected areas is improved to develop tools to assist decision-making

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**Expected accomplishments  
(2012–2015)**

3.4.1 Effective support to the CST in addressing interlinkages between the agenda of the CST and the agendas of other organizations and bodies relating to the interactions between climate change adaptation, drought mitigation and the restoration of degraded lands

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**Performance indicators  
(2012–2015)**

The extent to which the background information of the secretariat on interlinkages is reflected in CST recommendations

Target for 2013

*At least 75% of the information provided by the secretariat is reflected in CST recommendations*

The extent to which the actions and needs of the CST are reflected in the work of those scientific bodies and organizations that the secretariat has addressed

3.4.2 Improved knowledge and understanding of the mutual benefits of measures to address drought mitigation and the restoration of degraded land

The extent to which background information on drought mitigation is reflected in CST recommendations and COP decisions

Target for 2013

*At least 75% of the information provided by the secretariat is reflected in CST recommendations*

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**Outcome area:**

**3.5** Effective knowledge-sharing systems, including traditional knowledge,<sup>7</sup> are in place at the global, regional, subregional and national levels to support policymakers and end-users, including through the identification and sharing of best practices and success stories

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<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
3.5.1 Effective support to the CST in promoting the use of scientific knowledge to support decision-making concerning the Convention	The extent to which partners support or join the improvement of knowledge management under the Convention <i>Target for 2013</i> <i>10% increase of involved partners on 2011</i>

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**Outcome area:**

**3.6** Science and technology networks and institutions relevant to DLDD are engaged to support the implementation of the Convention

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<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
3.6.1 The secretariat effectively supports the CST in improving the provisions for the engagement of scientists and scientific institutions	The extent to which the background information of the secretariat on how to improve provisions for the engagement of scientists and scientific institutions is reflected in CST recommendations <i>Target for 2013</i> <i>At least 75% of the information provided by the secretariat is reflected in CST recommendations</i>

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**Subprogramme 4 – Capacity-building**

*To identify and address capacity-building needs to prevent and reverse desertification/land degradation and mitigate the effects of drought.*

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**Outcome area:**

**4.1** Countries which have carried out the national capacity self-assessment (NCSA) implement the resulting action plans to develop the necessary capacity at the individual, institutional and systemic levels to tackle DLDD issues at the national and local levels

**4.2** Those countries which have not previously undertaken capacity needs assessments engage in relevant assessment processes to identify capacity needs for tackling DLDD at the national and local levels

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<sup>7</sup> Excluding traditional knowledge on genetic resources.

<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
4.1.1 Opportunities for targeted capacity-building under the Convention are improved	The extent to which Parties participate in capacity-building that addresses Convention-related needs

### **Subprogramme 5 – Financing and technology transfer**

*To mobilize and improve the targeting and coordination of national, bilateral and multilateral financial and technological resources in order to increase their impact and effectiveness.*

#### **Outcome area:**

**5.1** Affected country Parties develop integrated investment frameworks for leveraging national, bilateral and multilateral resources with a view to increasing the effectiveness and impact of interventions

**5.2** Developed country Parties provide substantial, adequate, timely and predictable financial resources to support domestic initiatives to reverse and prevent desertification/land degradation and mitigate the effects of drought

**5.3** Parties increase their efforts to mobilize financial resources from international financial institutions, facilities and funds, including the GEF, by promoting the Convention's SLM agenda within the governing bodies of these institutions.

**5.4** Innovative sources of finance and financing mechanisms are identified to combat desertification/land degradation and mitigate the effects of drought, including from the private sector, market-based mechanisms, trade, foundations and CSOs, and other financing mechanisms for climate change adaptation and mitigation, biodiversity conservation and sustainable use, and hunger and poverty reduction

**5.5** Access to technology by affected country Parties is facilitated through adequate financing, effective economic and policy incentives and technical support, most notably within the framework of South-South and North-South cooperation

<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
5.1.1. Increased understanding of the importance of DLDD and SLM on the part of the GEF, IFAD, the World Bank and regional banks	The extent to which the policies and approaches of the GEF, IFAD and the World Bank reflect the priorities set under the Convention -



## Subprogramme 6 - Management support for strategy implementation

### (a) Executive direction and management

Expected accomplishments (2012–2015)	Performance indicators (2012–2015)
6.1 Improved integrity and coherence of the Convention process	The extent to which Parties express their satisfaction

### (b) Conference services

Expected accomplishments (2012–2015)	Performance indicators (2012–2015)
6.2 Parties are satisfied with improved conditions for taking informed decisions at the level of the COP and its subsidiary bodies	<p>The extent to which arrangements to organize the sessions of the COP and its subsidiary bodies improve</p> <p><i>Target for 2013</i></p> <p><i>Feedback from Parties about the arrangements at CRIC 11 and the third special session of the CST (CST S-3)</i></p> <p>The number of official documents promptly and effectively submitted to Parties for consideration at sessions of the COP and its subsidiary bodies</p> <p><i>Target for 2013</i></p> <p><i>Increased percentage of prompt submission of documentation</i></p>
6.3 Exchanges among Parties' representatives, scientific experts and/or other key stakeholders are efficiently facilitated	<p>The extent to which requested meetings, workshops and seminars are organized promptly and within the limits of the allocated budget</p> <p><i>Target for 2013</i></p> <p><i>Feedback from partners in the organization of the meetings</i></p> <p>The extent to which requested publications, documentation and information materials are processed promptly and within the limits of the allocated budget</p> <p><i>Target for 2013</i></p> <p><i>Feedback from units involved in the production of the publications, documentation and information material</i></p>

**(c) Administration, finance and human resources**

<b>Expected accomplishments (2012–2015)</b>	<b>Performance indicators (2012–2015)</b>
6.4 The secretariat's financial and human resources are administered effectively and efficiently, and in accordance with the financial rules of the Convention and the regulations and rules of the United Nations	<p>The proportion of actual expenditure compared to the approved budget <i>Target for 2013 100%</i></p> <p>The extent to which staff members' training requirements are met to implement the work programme of the secretariat <i>Target for 2013</i> <i>At least 30% of the staff participates in training relating to their own work</i></p>
6.5 Improved information and communication technology services of the secretariat	<p>The extent to which information and communication technology services meet the secretariat's requirements <i>Target for 2013</i> <i>The staff recognizes improvements in ICT services</i></p> <p>The extent to which Parties and other users express their satisfaction with services at conferences</p>

## Annex V

[English only]

## The 2012–2013 joint work programme between the secretariat and the Global Mechanism

### Operational objective 1: Advocacy, awareness-raising and education

*To actively influence relevant international, national and local processes and actors in adequately addressing issues relating to desertification/land degradation and drought related issues.*

Expected accomplishments	Performance indicators	Main joint outputs
JWP 1.1. The importance of financing SLM is communicated to relevant forums and processes	Joint contributions to at least four forums and processes	Joint or shared information materials on key DLDD-related issues that have relevance for resource mobilization

### Operational objective 2: Policy framework

*To support the creation of enabling environments for promoting solutions to combat desertification/land degradation and mitigate the effects of drought.*

Expected accomplishments	Performance indicators	Main joint outputs
JWP 2.1 Regional cooperation and coordination to address DLDD and advance SLM are effectively supported	<ul style="list-style-type: none"> <li>- The number of regional action programmes (RAPs) and subregional action programmes (SRAPs) that are aligned with The Strategy</li> <li>- The extent to which Parties use the services of the Regional Coordination Units in regional coordination</li> </ul>	Support to regional cooperation and coordination in the content of the five annexes, including <ul style="list-style-type: none"> <li>- provision of services to the regional advisory committee according to the agreed regional work programme,</li> <li>- support for the alignment of regional and subregional action programmes, and</li> <li>- organization of regional meetings preparatory to the sessions of the COP and its subsidiary bodies</li> </ul>
JWP 2.2. Increased effectiveness of servicing countries in NAP alignment and mainstreaming	The number of NAPs aligned with The Strategy	Support for the alignment of NAPs with The Strategy, including <ul style="list-style-type: none"> <li>- information products,</li> <li>- technical assistance, and</li> <li>- organization of subregional workshops on capacity-building for NAP, SRAP and RAP alignment</li> </ul>

### Operational objective 3: Science, technology and knowledge

*To become a global authority on scientific and technical knowledge pertaining to desertification/land degradation and mitigation of the effects of drought.*

Expected accomplishments	Performance indicators	Main joint outputs
JWP 3.1 The review by the CRIC of the status of financing for the implementation of the Convention is based on sound information	At least 50% of the recommendations of the GM and the secretariat are reflected in the recommendations of the CRIC	<p>A CRIC document containing an analysis of financial information in the national reports</p> <p>Information on key issues on financing SLM for the CRIC and the COP</p> <p>Collaboration in the context of PRAIS, including use of the query functions on the public interface of PRAIS to allow access to data by the general public</p> <p>Revised templates, reporting guidelines and glossary as regards the programme and project sheets, the standard financial annex and strategic objective 4</p> <p>CRIC documentation on best practices</p>

### Operational objective 5: Financing and technology transfer

*To mobilize and improve the targeting and coordination of national, bilateral and multilateral financial and technological resources in order to increase their impact and effectiveness*

Expected accomplishments	Performance indicators	Main joint outputs
JWP 5.1 A policy environment that is more conducive to financing the Convention through the implementation of the common fundraising strategy	<p>The extent to which the financial requirements of and flows to the Convention are clearly and transparently communicated to and understood by partners</p> <p>The extent to which resources are mobilized to effectively deliver the work packages of the secretariat</p>	<p>Material on resource needs, priorities and policy arguments for effective resource mobilization</p> <p>Joint or coordinated outreach to potential donors and partners</p>
JWP 5.2 Improved opportunities for SLM initiatives to access innovative sources of financing	The number of financing channels/opportunities and initiatives for DLDD/SLM issues	Development of innovative approaches for marketing DLDD/SLM issues

**EE: An enabling environment for strengthened collaboration**

<b>Expected accomplishments</b>	<b>Performance indicators</b>	<b>Main joint outputs</b>
JWP EE.1 Coordination, collaboration and communication between the two institutions is substantially improved	The number of coordination events held between the GM and the secretariat	Documentation on the JWP for consideration by the CRIC and the COP Coordinated approaches to various administrative issues

## Decision 2/COP.10

### Strengthening and enhancing the process of alignment of action programmes with The Strategy

*The Conference of the Parties,*

*Recalling* the relevant provisions of decision 3/COP.8, in particular paragraphs 5 and 45, on the alignment of action programmes with the 10-year strategic plan and framework to enhance the implementation of the Convention (The Strategy),

*Also recalling* decision 2/COP.9 on the alignment of action programmes with The Strategy, and decision 13/COP.9, which envisages completion of 80 per cent of the alignment process by 2014, and being aware that this target is attainable only through a renewed focused commitment, particularly on the part of affected country Parties,

*Having considered* the report of the ninth session of the Committee for the Review of the Implementation of the Convention contained in document ICCD/CRIC(9)/16, specifically its recommendations pertaining to the national, subregional and regional action programme alignment process,

*Taking note* of document ICCD/COP(10)/21 and, in particular, its conclusions and recommendations regarding the action programme alignment process,

*Cognizant* that the action programme alignment process may present a challenge for affected country Parties as well as for the Convention institutions that are expected to render support in this regard, and that it will require strong and effective support through the Regional Coordination Mechanisms and from all Parties,

*Having considered* decision 13/COP.10 on the assessment of the implementation of the Convention against performance indicators, specifically the need to further analyse the alignment process and to review the issue at the eleventh session of the Committee for the Review of the Implementation of the Convention,

*Welcoming* the efforts being made by various affected country Parties to align their national action programmes with The Strategy and the voluntary contributions made to support that process, while recognizing that the responsibility for the alignment process lies primarily with the affected country Parties,

1. *Acknowledges* the need to speed up the alignment process of national, subregional and regional action programmes with The Strategy;
2. *Urges* affected country Parties and Regional Implementation Annexes to intensify their efforts to align their national, subregional and regional action programmes with The Strategy;
3. *Invites* developed country Parties and financial institutions to provide funding assistance to eligible country Parties, as well as to subregional and regional organizations in charge of subregional and regional action programmes and the alignment of action programmes at all levels;
4. *Acknowledges* the efforts made by the Convention institutions in support of the alignment process and requests them to continue providing affected country Parties with the

support they require to build institutional and technical capacity for the effective alignment and implementation of the action programmes within available resources;

5. *Encourages* Parties to coordinate more closely with relevant subregional organizations through the Regional Coordination Mechanisms and their executive committees, where established, in an effort to promote subregional and regional action programme alignment and implementation within the framework and the timeframe of The Strategy;

6. *Further requests* the Convention institutions to continue providing the relevant technical assistance for the preparation, revision and alignment of subregional and regional action programmes;

7. *Requests* the Executive Secretary, in coordination with the Global Environment Facility, to report to the Conference of the Parties at its eleventh session, through the Committee for the Review of the Implementation of the Convention, on implementation of the provisions of the present decision.

*9<sup>th</sup> plenary meeting  
21 October 2011*

## Decision 3/COP.10

### Improving mechanisms to facilitate regional coordination of the implementation of the Convention

*The Conference of the Parties,*

*Recalling* article 3 (b) of the Convention and its particularities when compared with the other Rio conventions as articulated in its Regional Implementation Annexes for Africa (Annex I), Asia (Annex II), Latin America and the Caribbean (Annex III), the Northern Mediterranean (Annex IV), and Central and Eastern Europe (Annex V),

*Recalling* decisions 3/COP.3, 4/COP.4, 6/COP.5, 11/COP.6, 11/COP.7 and 3/COP.8 on Regional Coordination Units, as well as decision 3/COP.9 on mechanisms to facilitate regional coordination and decision 6/COP.9 on regional work programmes,

*Taking into account* the role of Regional Coordination Mechanisms in responding to existing and emerging challenges, capacities and the specific issues of regions as regards the implementation of the Convention and the 10-year strategic plan and framework to enhance the implementation of the Convention,

*Recognizing* the need to improve the capacity, effectiveness and efficiency of the Regional Coordination Units,

*Having noted* document ICCD/COP(10)/MISC.1 containing conclusions and recommendations for improving the effectiveness of existing Regional Coordination Units in Africa, Asia, and Latin America and the Caribbean, and on the possibilities of establishing an RCU for Central and Eastern Europe,

1. *Calls on* the Executive Secretary and the Managing Director of the Global Mechanism to strengthen their cooperation at regional level through ensuring the effective implementation of region-specific issues of the joint work programme, and facilitating cooperation among affected country Parties within the regions;
2. *Invites* affected country Parties to work closely with other Parties within their regions, and *invites* the secretariat and the Global Mechanism to work in a cooperative and coordinated manner within the framework of the Regional Coordination Mechanisms of their respective regions in order to define and implement their respective common regional priorities as delivered for the consideration of the Conference of the Parties;
3. *Requests* the secretariat and the Global Mechanism to continue to support, within their respective mandates and available resources, the implementation of regional priorities as identified by the regions;
4. *Invites* developed country Parties, international organizations, the private sector and civil society to continue to provide technical and financial support to the Regional Coordination Units, including through voluntary contributions to the Supplementary Fund and contributions in kind, as appropriate;
5. *Calls on* the Executive Secretary, at the request of and in collaboration with the affected country Parties of the regions concerned, to support the effective functioning of the thematic programme networks, subject to the provision of the necessary financial and technical support by country Parties;



6. *Decides* that the subregional and regional institutions listed in the annex to this decision shall act as reporting entities with regard to the preparation and submission of reports on the implementation of the subregional and regional action programmes referred to in paragraph 2 of decision 8/COP.8, and *requests* the secretariat and the Global Mechanism to facilitate this process, through, inter alia, the provision of technical assistance as required, in consultation with the regional committees where established;
7. *Requests* that those Regional Implementation Annexes that have not yet identified their subregional and regional reporting entities to do so and to communicate this to the secretariat by 31 December 2011;
8. *Requests* the Executive Secretary to report to the Conference of the Parties at its eleventh session on the implementation of this decision and the results achieved.

*9<sup>th</sup> plenary meeting*  
*21 October 2011*

## Annex

### Subregional and regional entities in charge of the preparation of reports on subregional and regional action programmes

<i>Subregion</i>	<i>Reporting entity</i>
West Africa	Economic Community of West African States (ECOWAS), in cooperation with Comité permanent Inter-Etats de Lutte contre la Sécheresse dans le Sahel (CILSS)
East Africa	Intergovernmental Authority on Development (IGAD)
North Africa	l'Union du Maghreb Arabe (UMA)
Central Africa	Commission des Forêts d'Afrique Centrale (COMIFAC)
Southern Africa	Southern African Development Community (SADC)
Mesoamerican	To be decided and sent in accordance with operative paragraph 7 of the parent document
Andean	To be decided and sent in accordance with operative paragraph 7 of the parent document
Southern Cone	To be decided and sent in accordance with operative paragraph 7 of the parent document
Caribbean	Caribbean Network for Integrated Rural Development (CNIRD)
Central Asia	To be decided and sent in accordance with operative paragraph 7 of the parent document
West Asia	To be decided and sent in accordance with operative paragraph 7 of the parent document
South Asia	To be decided and sent in accordance with operative paragraph 7 of the parent document
North-East Asia	To be decided and sent in accordance with operative paragraph 7 of the parent document
South-East Asia	To be decided and sent in accordance with operative paragraph 7 of the parent document
South Pacific	To be decided and sent in accordance with operative paragraph 7 of the parent document

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<i>Region</i>	<i>Reporting entity</i>
Africa	To be decided and sent in accordance with operative paragraph 7 of the parent document
Asia	To be decided and sent in accordance with operative paragraph 7 of the parent document
Latin America and the Caribbean	To be decided and sent in accordance with operative paragraph 7 of the parent document
Northern Mediterranean	To be decided and sent in accordance with operative paragraph 7 of the parent document
Central and Eastern Europe	To be decided and sent in accordance with operative paragraph 7 of the parent document

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## Decision 4/COP.10

### Review of progress in the implementation of the comprehensive communication strategy

*The Conference of the Parties,*

*Recalling* decision 4/COP.9,

*Recognizing* the importance of operational objective 1 of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy) on advocacy, awareness-raising and education,

*Recognizing also* the importance of language as a fundamental tool for any form of communication, and especially for public outreach,

*Affirming* that the implementation of the comprehensive communication strategy is central to coordinated and targeted awareness-raising activities which support the effective implementation of The Strategy,

*Welcoming* the progress made in the implementation of the comprehensive communication strategy, as reported in document ICCD/COP(10)/2,

*Welcoming also* the “Land for Life Award”, which was presented at the Conference of the Parties as part of the proposed “Changwon initiative”, as a contribution to the comprehensive communication strategy,

1. *Calls on* Parties to implement the comprehensive communication strategy as an integral part of the implementation of The Strategy and as an integral part of UNCCD knowledge management;
2. *Emphasizes* that training and capacity development among journalists and environmental correspondents are imperative for developing a core group of actors whose primary focus is on desertification/land degradation and drought;
3. *Invites* Parties, as well as Governments of States not Parties to the Convention, intergovernmental organizations and non-governmental organizations to provide financial and/or in-kind support to ensure the effective implementation of the comprehensive communication strategy, including financial and/or in-kind support for translation services for wider and balanced dissemination of media and outreach materials;
4. *Also invites* public-private partnerships for the implementation of the comprehensive communication strategy to further strengthen the outreach for new actors on sustainable land management and to receive support from those partnerships for global campaigns on desertification/land degradation and drought;
5. *Further invites* Parties and stakeholders with particular emphasis on the private sector to support the Land for Life Award by participating in the promotion of the Award and other possible activities to ensure the smooth operation of the Award programme;
6. *Requests* the secretariat to continue coordinating the implementation of the comprehensive communication strategy;

7. *Also requests* the Executive Secretary to report on progress in the implementation of the comprehensive communication strategy at the eleventh session of the Conference of the Parties.

*9<sup>th</sup> plenary meeting*

*21 October 2011*

## Decision 5/COP.10

### **Revised procedures for the accreditation of civil society organizations and representatives from the private sector to the Conference of the Parties and their participation in meetings and processes of the United Nations Convention to Combat Desertification**

*The Conference of the Parties,*

*Recalling* article 22, paragraph 7, of the UNCCD,

*Recalling also* rule 7 of the rules of procedure of the Conference of the Parties on the participation of other bodies or agencies, and decision 26/COP.1 on the accreditation of non-governmental and intergovernmental organizations,

*Recalling further* decision 5/COP.9 on the revised procedures and the eligibility criteria for the participation of civil society organizations in the Conference of the Parties and other UNCCD activities,

*Stressing* the importance of the participation of civil society organizations and the private sector in the sessions of the Conference of the Parties and its subsidiary bodies, as well as the involvement of these stakeholders in the implementation of the UNCCD and the 10-year strategic plan and framework to enhance the implementation of the Convention (The Strategy),

*Taking note* of the recommendations made by the Committee for the Review of the Implementation of the Convention at its ninth session on the above-mentioned issues as contained in document ICCD/CRIC(9)/16,

*Having considered* documents ICCD/COP(10)/29 on revised procedures for the accreditation of civil society organizations and the private sector and document ICCD/COP(10)/5 on revised procedures for the participation of civil society organizations in meetings and processes of the UNCCD,

### **Revised procedures for the accreditation of civil society organizations and representatives from the private sector to the Conference of the Parties of the United Nations Convention to Combat Desertification**

1. *Decides* that the civil society organizations accredited to the Conference of the Parties shall submit to the secretariat on 30 March 2012 and every five years thereafter: (i) a written confirmation of their interest in remaining accredited to the Conference of the Parties; (ii) a declaration that no changes have occurred in their status, juridical personality, governing bodies, membership and other requirements that may preclude their rights to be admitted to UNCCD meetings with observer status; and (iii) any other information relevant to the accreditation, with particular regard to their activities and competence in matters relating to the Convention;

2. *Decides also* that the civil society organizations accredited to the Conference of the Parties shall submit a report to the secretariat, with a copy to the national focal point, every

five years on their activities and on contributions made to the implementation of the Convention;

3. *Decides further* that observer status will be withdrawn from those civil society organizations failing to comply with the obligations referred to in paragraphs 1 and 2 above;
4. *Decides furthermore* to grant observer status and participation in official meetings of the governing bodies of the UNCCD to those business and industry entities that: (a) have expressed interest in participating in meetings of the Conference of the Parties and its subsidiary bodies; (b) have specific expertise in matters relating to the Convention; and (c) participate in the United Nations Global Compact. In the case where an organization does not participate in the United Nations Global Compact, clearance prior to its accreditation shall be requested from the United Nations Procurement Division and the United Nations Ethics Office;
5. *Requests* the secretariat to implement the above-mentioned provisions starting from the next intersessional meeting of the Committee for the Review of the Implementation of the Convention (its eleventh session) and the next ordinary session of the Conference of the Parties (its eleventh session), where applicable;
6. *Also requests* the secretariat to facilitate the process of revising and updating the list of organizations accredited to the Conference of the Parties and to ensure the participation of the business and industry entities by establishing formats, guidelines and other tools that might be required for this purpose;
7. *Further requests* the secretariat to deploy, within the existing resources, an online system to facilitate the accreditation process for civil society organizations.

### **Revised procedures for the participation of civil society organizations and representatives from the private sector in meetings and processes of the United Nations Convention to Combat Desertification**

8. *Decides* that the selection panel established by decision 5/COP.9 shall comprise two representatives of the secretariat and one representative of civil society organizations from each of the five Regional Implementation Annexes of the Convention; that its membership shall be regularly renewed; that information shall be submitted according to an agreed format; and that state-of-the art information and communication technology shall be used for its deliberations;
9. *Decides also* to entrust the selection panel with the additional tasks of: (a) facilitating consultations among civil society organizations between sessions of the Conference of the Parties; (b) monitoring the participation of civil society organizations in meetings of the UNCCD in close consultation with the Bureau of the Committee for the Review of the Implementation of the Convention; and (c) reporting to the Conference of the Parties through the secretariat at the conclusion of the term of its mandate;
10. *Invites* Parties, in particular developed country Parties, international and financial organizations and relevant stakeholders to contribute to the Supplementary Fund of the Convention in order to ensure wider participation of civil society organizations in UNCCD meetings;
11. *Requests* the UNCCD secretariat to continue using all possible means, including state-of-the-art information and communication technology, to facilitate interaction among civil society organizations and to ensure the active involvement of civil society in UNCCD processes;

12. *Requests* the Executive Secretary to report to the Conference of the Parties at its eleventh session on the implementation of this decision;

13. *Decides* that, at its thirteenth session, the Conference of the Parties will undertake an overall review of the results achieved with the revised procedures for accreditation of civil society organizations in terms of the increased effectiveness of their involvement in the implementation of the Convention, and *requests* the secretariat, in consultation with the Bureau of the Conference of the Parties, to prepare for this review.

*9<sup>th</sup> plenary meeting*

*21 October 2011*



## Decision 6/COP.10

### Governance and institutional arrangements of the Global Mechanism

#### *The Conference of the Parties*

*Recalling* articles 20, 21, 22 and 23 of the Convention, and decisions 10/COP.3, 3/COP.7 and 3/COP.8,

*Recalling also* article 22 of the Convention, which states that in its function and capacity as the supreme body of the Convention, it shall take the decisions necessary to promote the effective implementation of the Convention, and *recalling* article 27 of the Convention, which requires the Conference of the Parties to consider and adopt procedures and institutional mechanisms for the resolution of questions that may arise with regard to the implementation of the Convention,

*Recalling further* decision 6/COP.9, notably its paragraph 9,

*Welcoming* the report by the Bureau of the ninth session of the Conference of the Parties on the evaluation of existing and potential reporting, accountability and institutional arrangements for the Global Mechanism as a follow-up to the assessment of the Global Mechanism by the Joint Inspection Unit,

*Realizing* that since the establishment of the Global Mechanism, progress in mobilizing and channelling of substantial financial resources, including for the transfer of technology, on a grant basis and/or on concessional or other terms, to affected developing countries has not yet met expectations, and that a new and more effective approach is needed at all levels within the framework of the implementation of the Convention under the authority, guidance and orientation of the Conference of the Parties,

*Noting* that the inconsistencies and contradictions contained in the Memorandum of Understanding between the Conference of the Parties and the International Fund for Agricultural Development regarding the modalities and administrative operations of the Global Mechanism have resulted in lack of oversight, inadequate reporting and accountability by the Global Mechanism,

*Having considered* reports on progress made on the implementation of paragraphs 1 to 3 and 5 to 8 of decision 6/COP.9,

*Recognizing* the need to ensure accountability, efficiency, effectiveness, transparency and institutional coherence in the delivery of services by the Convention's institutions and bodies for the implementation of the Convention and its 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), in line with the relevant provisions of decision 3/COP.8,

*Recognizing also* the need to avoid duplication and overlapping in activities and to promote complementarities between the Global Mechanism and the permanent secretariat with a view to enhancing cooperation and coordination and using the Convention resources efficiently,

*Mindful* that the appointment and recruitment of staff, management and the auditing of the accounts of the Global Mechanism are under the rules and regulations of the

International Fund for Agricultural Development and have not been subject to direct review and guidance by the Conference of the Parties,

*Taking note* of the letter contained in document ICCD/COP(10)/INF.5, in which the International Fund for Agricultural Development states that the “Memorandum of Understanding which currently governs the relationship between the International Fund for Agricultural Development and the Global Mechanism is out of date and needs to be reformulated” and further states that should the Conference of the Parties decide to retain the International Fund for Agricultural Development as a host for the Global Mechanism, there “should be no cost or liability to the International Fund for Agricultural Development” in any future possible relationship,

*Determined* to find lasting solutions to the Global Mechanism institutional and managerial challenges recurrently identified by the various external assessments undertaken, including the 2009 Joint Inspection Unit report and the report by the Bureau of the ninth session of the Conference of the Parties, which have impeded the delivery of better services to Parties,

1. *Decides* that the accountability and the legal representation of the Global Mechanism shall be transferred from the International Fund for Agricultural Development to the UNCCD secretariat;
2. *Reaffirms* that the Global Mechanism is accountable to and reports to the Conference of the Parties under its mandate, and *decides* that all issues related to the implementation of the Convention will be reported to the Conference of the Parties through the Executive Secretary;
3. *Decides also* that the Executive Secretary shall assume overall management responsibility, including coordinating reporting on, inter alia, accounting, performance and activities of the Global Mechanism, to the Conference of the Parties;
4. *Requests* the Executive Secretary, in consultation with the Managing Director of the Global Mechanism, with the support of senior staff of the UNCCD secretariat and the Global Mechanism and others as appropriate, to inter alia:
  - (a) Develop internal rules and procedures for the relationship between the UNCCD secretariat and the Global Mechanism;
  - (b) Coordinate and facilitate the joint implementation of workplans and programmes as per the decision of the Conference of the Parties;
  - (c) Develop and implement a joint corporate identity with joint information and communication strategies;
  - (d) Undertake streamlining of financial management and administration for cost efficiency;
  - (e) Coordinate the required reporting to the Committee for the Review of the Implementation of the Convention and the Conference of the Parties through the Executive Secretary;
5. *Requests also* the Executive Secretary to ensure that all accounts and staff managed by the Global Mechanism are under one single administrative regime administered by the United Nations Office at Geneva and managed under the Financial Regulations and Rules of the United Nations;
6. *Decides* that the appointment of the Managing Director of the Global Mechanism shall be done through the recruitment process of the United Nations by the Executive Secretary;

7. *Decides also* that the Executive Secretary delegates operational authority, as appropriate and in accordance with United Nations rules and regulations, to the Managing Director of the Global Mechanism to:

- (a) Manage the programme and budget assigned to the Global Mechanism, including entering into service contracts, arrange travels and missions;
- (b) Take such actions as the Managing Director deems relevant to implement the agreed workplans and programmes of the Global Mechanism;
- (c) Enter into agreement with donors on programmes and voluntary contributions;
- (d) Employ personnel for the Global Mechanism;

8. *Decides further* to revise its Memorandum of Understanding with the International Fund for Agricultural Development contained in decision 10/COP.3, regarding the modalities and administrative operations of the Global Mechanism, and *requests* the President of the Conference of the Parties to notify the International Fund for Agricultural Development of this decision no later than 1st November 2011;

9. *Directs and authorizes* the Executive Secretary, in order to address the governance issues immediately, and under the guidance of the Bureau of the tenth session of the Conference of the Parties and in consultation with the President of the International Fund for Agricultural Development, to revise and implement the Memorandum of Understanding between the Conference of the Parties and the International Fund on Agricultural Development to limit the International Fund for Agricultural Development to (1) logistical and administrative support other than those provided under paragraph 5 and (2) privileges and immunities to Global Mechanism staff through the Government of Italy;

10. *Directs also* the Executive Secretary to work with the International Fund for Agricultural Development to further modify the Memorandum of Understanding to enable its timely termination once the new housing arrangement has been concluded;

11. *Requests* the Executive Secretary, in consultation with the Bureau of the tenth session of the Conference of the Parties, to undertake a process to identify a new housing arrangement for the Global Mechanism, including potential co-location with the UNCCD secretariat, with consideration to information on costs, operational modalities and synergies, and governance efficiencies, and to provide this information to the Bureau of the tenth session of the Conference of the Parties no later than July 1, 2012;

12. *Requests also* the Executive Secretary to organize an informal discussion with Parties in conjunction with the eleventh session of the Committee for the Review of the Implementation of the Convention on the results of the process;

13. *Requests further* the Executive Secretary to submit progress reports to the Bureau of the tenth session of the Conference of the Parties on the implementation of this decision;

14. *Directs* the Executive Secretary to present to the eleventh session of the Conference of the Parties a recommendation on the new housing arrangement for the Global Mechanism, including potential co-location with the UNCCD secretariat, for the eleventh session of the Conference of the Parties to adopt a final decision;

15. *Requests* the Executive Secretary to take all necessary measures as a matter of urgency, in consultation with the Managing Director of the Global Mechanism and the President of the International Fund for Agricultural Development, to implement the governance arrangements in this decision to ensure that the administrative, procedural and legal aspects of this decision are implemented;

16. *Requests also* the Executive Secretary and the Managing Director of the Global Mechanism, through regular or special meetings based on availability of extra-budgetary funds of, inter alia, Regional Coordination Mechanisms, in particular the Regional Committees, to seek the views of Parties and relevant actors on issues relating to the work of the Global Mechanism, notably on implementation of Global Mechanism programmes and operations at the regional, subregional, national and local levels, and to report to the Conference of the Parties the views expressed for Parties consideration and action ;

17. *Requests further* the Executive Secretary to prepare and submit to the intersessional meeting of the eleventh session of the Committee for the Review of the Implementation of the Convention and the eleventh session of the Conference of the Parties, reports on progress made on the implementation of this decision.

*9<sup>th</sup> plenary meeting*

*21 October 2011*

## Decision 7/COP.10

### The common fund-raising strategy

*The Conference of the Parties,*

*Recalling* that strategic objective 4 of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), adopted by decision 3/COP.8, is to “mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors”,

*Also recalling* paragraph 7 of decision 6/COP.9,

*Reaffirming* that urgent action is needed to combat desertification, land degradation and drought, which requires favourable conditions for enhanced investment from national, international and private sector resources,

*Having reviewed and considered* document ICCD/COP(10)/3 on the establishment of a common fund-raising strategy (2012–2015),

1. *Takes note* of the draft common fund-raising strategy (2012–2015);<sup>8</sup>
2. *Requests* the secretariat and the Global Mechanism to continue coordinating their fund-raising efforts, ensuring alignment and integration with wider strategies to address strategic objective 4 of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), in accordance with decision 6/COP.10;
3. *Further requests* the secretariat and the Global Mechanism to include reporting on their fundraising efforts in the overall report on the performance of the Convention’s institutions and subsidiary bodies.

*9<sup>th</sup> plenary meeting  
21 October 2011*

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<sup>8</sup> Not reproduced in the present document; for the text see document ICCD/COP(10)/3, paragraphs 36–50.

## Decision 8/COP.10

### **Follow-up to the outcome of the World Summit on Sustainable Development relevant to the United Nations Convention to Combat Desertification, the High-level Meeting of the General Assembly on “Addressing desertification, land degradation and drought in the context of sustainable development and poverty eradication” and the preparatory process for the United Nations Conference on Sustainable Development**

*The Conference of the Parties,*

*Recalling* decision 7/COP.9,

*Further recalling* the outcome document of the High-level Plenary Meeting of the General Assembly on the Millennium Development Goals adopted at its sixty-fifth session (General Assembly resolution 65/1),

*Recalling* General Assembly resolution 65/160, the subsequent sixty-sixth session of the General Assembly and the high-level meeting on addressing desertification, land degradation and drought in the context of sustainable development and poverty eradication,

*Also recalling* General Assembly resolution 64/236 convening the United Nations Conference on Sustainable Development,

*Further recalling* the objective of the Conference, which is to secure renewed political commitment for sustainable development, assessing the progress to date and the remaining gaps in the implementation of the outcomes of the major summits on sustainable development, and addressing new and emerging challenges,

*Aware* that the United Nations Conference on Sustainable Development, including its preparatory process, should ensure the balanced integration of economic development, social development and environmental protection, as these are interdependent and mutually reinforcing components of sustainable development,

*Underlining* the relevance of the core issues of the UNCCD to the themes of the United Nations Conference on Sustainable Development (Rio+20),

*Drawing attention* to the need to raise the profile of desertification, land degradation and drought among the priority issues to be addressed by the United Nations Conference on Sustainable Development during its preparatory process,

*Noting* that one of the outcomes of the World Summit on Sustainable Development was the recognition of the Convention as an important tool in eradicating poverty,

*Noting* that the High-level Meeting of the General Assembly on “Addressing desertification, land degradation and drought in the context of sustainable development and poverty eradication” emphasized that desertification, land degradation and drought are pervasive problems, particularly in arid, semi-arid and dry sub humid areas, and need to be addressed in the context of sustainable development and poverty eradication,

*Noting further* that the High-level Meeting of the General Assembly on “Addressing desertification, land degradation and drought in the context of sustainable development and poverty eradication” emphasized that the UNCCD serves as a tool, inter alia, to achieve food security, poverty eradication and sustainable development, to promote sustainable land use in drylands, and to enhance the scientific process so that desertification, land degradation and drought issues are better understood and addressed;

1. *Expresses* its deep appreciation to the United Nations General Assembly for convening and holding at its sixty-sixth session, on 20 September 2011, the High-level Meeting of the General Assembly on “Addressing desertification, land degradation and drought in the context of sustainable development and poverty eradication”;
2. *Takes note of* the summary of the High-level Meeting of the General Assembly on “Addressing desertification, land degradation and drought in the context of sustainable development and poverty eradication” presented by the President of the General Assembly at this Conference of the Parties;
3. *Takes note also* of the decision of the second meeting of the Preparatory Committee for Rio+20 held in New York on 7 and 8 March 2011 requesting its Bureau to initiate an open, transparent and inclusive process led by Member States, to prepare in a timely manner a draft text, based upon all preparatory inputs, to serve as the basis for an outcome document for the Conference and inviting all Member States, the relevant United Nations system organizations, and relevant stakeholders to provide their inputs and contributions in writing by 1 November 2011 for inclusion in a compilation document to serve as the basis for the preparation of zero-draft of the outcome document;
4. *Invites* Parties to submit their inputs to the United Nations Conference on Sustainable Development for inclusion in the compilation document by 1 November 2011;
5. *Requests* the Executive Secretary to actively prepare for and participate in the United Nations Conference on Sustainable Development and to contribute to the compilation document within the context of the conference themes, with a view to ensuring that due regard is paid to desertification, land degradation and drought issues;
6. *Invites* the President of the Conference of the Parties at its tenth session to convey this decision to the secretariat of the United Nations Conference on Sustainable Development as a contribution of the Conference of the Parties to the document referred to in paragraph 3 above;
7. *Decides* to include in the agenda of the Conference of the Parties at its eleventh session an agenda item entitled: “Follow up to the High-level Meeting of the General Assembly on ‘Addressing desertification, land degradation and drought in the context of sustainable development and poverty eradication’ and the United Nations Conference on Sustainable Development”;
8. *Requests* the Executive Secretary to report to the Conference of the Parties at its eleventh session on the implementation of the present decision.

*9<sup>th</sup> plenary meeting  
21 October 2011*

## Decision 9/COP.10

### **Promotion and strengthening of relationships with other relevant conventions and international organizations, institutions and agencies**

*The Conference of the Parties,*

*Cognizant* that the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy) calls for partnership building for the implementation of the Convention,

*Recalling* decision 4/COP.8 and decision 8/COP.9 on the promotion and strengthening of relationships with other relevant conventions and international organizations,

*Reaffirming* the need for mutually supportive targeted action to address desertification/land degradation and to mitigate the effects of drought,

*Having reviewed* the advocacy policy frameworks on gender, climate change and food security as contained in documents ICCD/CRIC(10)/18 to ICCD/CRIC(10)/21,

*Welcoming* the progress made to date in the development of cooperative arrangements with relevant conventions, international organizations and institutions,

*Expressing* appreciation to those conventions, international agreements and programmes that have provided information and undertaken common action to assist in delivery of The Strategy,

1. *Requests* the Executive Secretary to strengthen existing strategic partnerships with relevant stakeholders, building on established and new cooperation initiatives with relevant international agencies and bodies on issues relating to desertification, land degradation and drought;
2. *Welcomes* the decision of the 16th Congress of the World Meteorological Organization to invite the secretariat of the UNCCD to participate in the organization of the High Level Meeting on National Drought Policy to be held in 2012;
3. *Requests* the Executive Secretary to continue advocating international cooperation on sustainable management of the land and water resources in drylands and on drought risk management in drylands in the context of climate change and biological diversity loss;
4. *Encourages* the Executive Secretary to continue coordinating with the secretariats of the United Nations Framework Convention on Climate Change and the Convention on Biological Diversity through the Joint Liaison Group, taking into account their respective mandates;
5. *Encourages* the Executive Secretary to incorporate the issue of synergies in joint reporting in the agenda of the Joint Liaison Group;
6. *Invites* Parties to establish national collaborative processes on synergies in reporting, involving national focal points and their representatives from the Rio conventions, to enhance coordination and synergies when reporting to the respective conventions, as appropriate, by formalizing information-sharing processes and building institutional



linkages between the ministries responsible for the implementation of each Convention, and by maximizing stakeholder interaction and active participatory processes;

7. *Requests* the Committee on Science and Technology to continue involving relevant bodies of the other Rio conventions in the iterative process for the refinement of the UNCCD set of provisionally adopted impact indicators, as appropriate;

8. *Invites* Parties, financial institutions and the Global Environment Facility to provide technical and financial support for joint capacity-building initiatives aimed at achieving increased synergies in reporting at the national level;

9. *Notes* the consultative approaches followed in developing advocacy policy frameworks on gender, climate change and food security in the context of desertification/land degradation and drought;

10. *Invites* the Executive Secretary, drawing on the guidance contained in the advocacy policy frameworks on gender, climate change and food security, to undertake advocacy with Parties in order to increase ecosystem resilience in the drylands and to improve the livelihood conditions of affected populations, noting that framework development is an iterative process;

11. *Requests* the Executive Secretary:

(a) To continue formulating an additional advocacy policy framework on the thematic issue of drought (including water scarcity), in consultation with national focal points, taking gender-sensitive approaches into account;

(b) To develop a standard approach and process to ensure consistency of advocacy policy frameworks;

(c) To advise on any other emerging issues and strategic approaches that require an advocacy policy framework;

(d) To collaborate closely with other relevant institutions when preparing draft advocacy policy frameworks;

(e) To submit reports to the eleventh session of the Conference of the Parties through the eleventh session of the Committee for the Review of the Implementation of the Convention on these matters;

12. *Invites* the Executive Secretary, consistent with decision 8/COP.9, paragraph 8, to utilize the relevant advocacy policy frameworks to be developed to seek financial resources for their implementation consistent with the fund-raising activities of the secretariat;

13. *Invites* Parties and financial institutions to support the implementation of advocacy policy frameworks as well as the creation of any additional advocacy policy frameworks;

14. *Takes note* of the proposed coordinated action of the United Nations system in the drylands, as contained in document ICCD/CRIC(10)/INF.1, and *calls upon* the Executive Secretary, in collaboration with the Environment Management Group, to work on a concrete action plan for 2012–2018 for promoting and strengthening relationships with other international organizations, institutions and agencies;

15. *Encourages* the secretariat, in consultation with the Parties, to provide the eleventh session of the Conference of the Parties with recommendations to follow up on the action plan mentioned under paragraph 14;

16. *Decides* to include a review and assessment of progress in the response to this decision in the programme of work of the Committee for the Review of the Implementation of the Convention at its eleventh and twelfth sessions, and *requests* the Committee to

include consideration of this matter in its report to the eleventh session of the Conference of the Parties.

*9<sup>th</sup> plenary meeting*  
*21 October 2011*

## Decision 10/COP.10

### Programme and budget for the biennium 2012–2013

*The Conference of the Parties,*

*Recalling* the financial rules for the Conference of the Parties to the UNCCD,<sup>9</sup>

*Recalling* the 10-year strategic plan and framework to enhance the implementation of the United Nations Convention to Combat Desertification (2008–2018) (The Strategy),<sup>10</sup>

*Having considered* the information contained in the documents prepared by the secretariat and the Global Mechanism on the programme and budget,<sup>11</sup>

#### I. Programme budget for the biennium 2012–2013

1. *Approves* the programme budget for the biennium 2012–2013 in the amount of EUR 16,128,344 for the purposes specified in table 1;
2. *Expresses its appreciation* to the Government of Germany for its biennial voluntary contribution to the core budget of EUR 1,022,584 and its special contribution of EUR 1,022,584 (Bonn Fund) as host Government to the secretariat;
3. *Approves* the staffing table for the programme budget, as contained in table 2;
4. *Notes with appreciation* the International Fund for Agricultural Development's practice of using actual staff costs for the past biennium in annual budget planning, in order to align the budget preparations with the approach of the secretariat of the UNCCD;
5. *Decides* to maintain the level of the working capital reserve at 8.3 per cent of the estimated annual expenditure in the Trust Fund for the Core Budget of the UNCCD;
6. *Adopts* the indicative scale of contributions for 2012 and 2013 contained in the annex to this decision;
7. *Invites* all Parties to the Convention to note that contributions to the core budget are expected on or before 1 January of each year, in accordance with paragraph 14(a) of the financial rules of the Conference of the Parties;
8. *Authorizes* the Executive Secretary to make transfers between each of the main appropriation lines 1 to 8 as set out in table 1, up to an aggregate limit of 20 per cent of the total estimated expenditure for those appropriation lines, provided that a further limitation of up to minus 25 per cent of each such appropriation line shall apply, and *requests* the Executive Secretary to report to the Conference of the Parties on any such transfers;

<sup>9</sup> Decision 2/COP.1, annex.

<sup>10</sup> Decision 3/COP.8.

<sup>11</sup> ICCD/COP(10)/7, ICCD/COP(10)/8, ICCD/COP(10)/9, ICCD/COP(10)/10, ICCD/COP(10)/11, ICCD/COP(10)/12, ICCD/COP(10)/13, ICCD/COP(10)/14, ICCD/COP(10)/15, ICCD/COP(10)/16, ICCD/COP(10)/17, ICCD/COP(10)/18, ICCD/COP(10)/19, ICCD/COP(10)/20.

9. *Invites* the United Nations General Assembly to include, in the calendar of conferences and meetings for the biennium 2012–2013, the sessions of the Conference of the Parties and its subsidiary bodies envisaged for the biennium;
10. *Approves* a contingency budget as set out in table 3, amounting to EUR 2,033,000, for conference servicing, to be added to the programme budget for the biennium 2012–2013 in the event that the General Assembly decides not to provide resources for these activities in the United Nations regular budget;
11. *Takes note* of the estimated additional costs of up to EUR 1,496,000, as set out in table 4, which will be incurred in the event that the eleventh session of the Conference of the Parties is held in Bonn;
12. *Decides* that, to the extent that offsetting voluntary contributions for the purpose expressed in paragraph 10 do not reach this amount, the balance will be included in the contingency budget for conference servicing;
13. *Takes note* of the funding estimates for the Special Trust Fund specified by the Executive Secretary in table 5, and *invites* Parties to make contributions to this Fund;
14. *Also takes note* of the funding estimates for extrabudgetary needs specified by the Executive Secretary (EUR 12,139,138) and the Global Mechanism (EUR 14,737,041) for the biennium 2012–2013, and *requests* Parties in a position to do so to make voluntary contributions to these activities;
15. *Invites* Parties, as well as Governments of States not Parties, intergovernmental organizations and non-governmental organizations, to make contributions to the Supplementary Fund, the Special Fund and the extrabudgetary funds of the Global Mechanism;
16. *Requests* the Executive Secretary to report to the Conference of the Parties at its eleventh session on the status of income and expenditure and on budget performance, using a results-based approach;
17. *Also requests* the Managing Director to report to the Conference of the Parties at its eleventh session on the status of the extrabudgetary funds of the Global Mechanism;
18. *Requests* the Executive Secretary to prepare a results-based budget and work programmes for the biennium 2014–2015, in line with decision 1/COP.10 on workplans, including budget scenarios reflecting zero nominal growth and zero real growth, on the basis of the template laid out in decision 9/COP.9.
19. *Calls upon* the Executive Secretary to increase efforts to continuously seek out and implement efficiencies and creative innovations, including with respect to liaison arrangements with United Nations Headquarters, in accordance with decision 4/COP.4;

## II. Financial performance for the Convention trust funds

20. *Takes note* of the financial statements for the bienniums 2008–2009 and 2010–2011, the report on financial performance and the reports on the implementation of the work programmes for the biennium 2010–2011 of the secretariat and the Global Mechanism, and the status of contributions as at 15 September 2011;
21. *Expresses appreciation* to Parties that have paid their contributions to the core budget in a timely manner;

22. *Calls upon* Parties that have not paid their contributions to the core budget to do so without delay, bearing in mind that contributions are expected on or before 1 January of each year.
23. *Requests* the Executive Secretary to continue to report on the implementation of any arrangements regarding outstanding contributions, pursuant to decision 9/COP.9;
24. *Expresses appreciation* for the contributions received from Parties to the Supplementary Fund, the Special Fund and the extrabudgetary funds of the Global Mechanism.

*9<sup>th</sup> plenary meeting*  
*21 October 2011*

Table 1  
Resource requirements by subprogramme  
(Euros)

<i>Programmes</i>	<i>2012</i>	<i>2013</i>	<i>Total core budget</i>
<b>A. Secretariat</b>			
Advocacy, awareness raising and education	706 400	717 100	1 423 500
Policy framework	577 800	585 400	1 163 200
Science, technology and knowledge	1 089 500	1 169 700	2 259 200
Capacity-building	350 900	356 400	707 300
Financing and technology transfer	75 600	76 800	152 400
<b>B. Management support</b>			
Executive Direction and Management	997 400	1 010 300	2 007 700
Conference Services	324 200	327 600	651 800
Administration and Finance Services	1 161 937	1 161 938	2 323 875
<b>Subtotal secretariat (A + B)</b>	<b>5 283 737</b>	<b>5 405 238</b>	<b>10 688 975</b>
<b>C. Committee on Science and Technology</b>	<b>38 000</b>	<b>38 000</b>	<b>76 000</b>
<b>D. Committee for the Review of the Implementation of the Convention</b>	<b>38 000</b>	<b>38 000</b>	<b>76 000</b>
<b>E. Global Mechanism</b>			
Advocacy, awareness raising and education	267 520	273 539	541 059
Policy framework	202 891	207 456	410 347
Financing and technology transfer	677 794	693 046	1 370 840
<b>F. Management support</b>			
Executive direction and management	647 182	661 744	1 308 926
<b>Subtotal Global Mechanism (E + F)</b>	<b>1 795 387</b>	<b>1 835 785</b>	<b>3 631 172</b>
<b>G. Programme support costs</b>	<b>930 166</b>	<b>951 213</b>	<b>1 881 379</b>
<b>H. Working capital reserve</b>	<b>(225 182)</b>		
<b>TOTAL (A–H)</b>	<b>7 860 108</b>	<b>8 268 236</b>	<b>16 128 344</b>
<b>Income</b>			
Contribution from the host Government	511 292	511 292	1 022 584
Indicative contributions	7 348 816	7 756 944	15 105 760
<b>TOTAL income</b>	<b>7 860 108</b>	<b>8 268 236</b>	<b>16 128 344</b>

Table 2  
Staffing requirements

	Actual	Required	
	2011	2012	2013
<u>Secretariat</u>			
A. Professional category and above			
ASG	1.00	1.00	1.00
D-2	0.00	0.00	0.00
D-1	1.00	1.00	1.00
P-5	6.00	7.00	7.00
P-4	6.25	7.00	7.00
P-3	3.00	4.00	4.00
P-2	3.00	1.00	1.00
P-1	0.00	0.00	0.00
Subtotal A. Professional category and above	20.25	21.00	21.00
B. General Service category	11.00	10.00	10.00
<b>Total (A + B)</b>	<b>31.25</b>	<b>31.00</b>	<b>31.00</b>
	Actual	Required	
	2011	2012	2013
<u>Global Mechanism</u>			
A. Professional category and above			
D-2	1.00	1.00	1.00
D-1	1.00	1.00	1.00
P-5	1.00	2.00	2.00
P-4	4.00	3.00	3.00
P-3	0.00	0.00	0.00
P-2	0.00	2.00	2.00
P-1	2.00	0.00	0.00
Subtotal A. Professional category and above	9.00	9.00	9.00
B. General Service category	5.00	5.00	5.00
<b>Total (A + B)</b>	<b>14.00</b>	<b>14.00</b>	<b>14.00</b>
<b>Grand total</b>	<b>45.25</b>	<b>45.00</b>	<b>45.00</b>

Table 3  
**Contingency budget for conference servicing**  
(Euros)

<i>Object of expenditure</i>	<i>2010–2011</i>	<i>2012–2013</i>
United Nations meetings services	1 759 000	1 799 000
Programme support costs	229 000	234 000
<b>TOTAL</b>	<b>1 988 000</b>	<b>2 033 000</b>

Table 4  
**Resource requirements for hosting the eleventh session of the Conference of the Parties**  
(Euros)

<i>Object of expenditure</i>	<i>2010–2011</i>	<i>2012–2013</i>
Incremental costs	1 202 000	1 204 000
Contingencies	120 000	120 000
<b>Subtotal</b>	<b>1 322 000</b>	<b>1 324 000</b>
Programme support costs	172 000	172 000
<b>TOTAL</b>	<b>1 494 000</b>	<b>1 496 000</b>

Table 5  
**Estimated resource requirements for participation in the UNCCD process for the biennium 2012–2013**  
(Euros)

<i>Sessions</i>	<i>Estimated cost</i>
Eleventh session of the Committee for the Review of the Implementation of the Convention and the third special session of the Committee on Science and Technology	610 000
Eleventh session of the Conference of the Parties	1 275 700
	<b>1 885 700</b>



## Annex I

### Work programmes of the secretariat and the Global Mechanism

Outcome	Secretariat			Global Mechanism			Total
	Core	XB	Total	Core	XB	Total	
1.1 DLDD issues and the synergies with climate change adaptation/mitigation and biodiversity conservation are effectively communicated among key constituencies at the international, national and local levels.	847,600	1,065,000	<b>1,912,600</b>	0	0	<b>0</b>	<b>1,912,600</b>
1.2 DLDD issues are addressed in relevant international forums, including those pertaining to agricultural trade, climate change adaptation, biodiversity conservation and sustainable use, rural development, sustainable development and poverty reduction.	326,500	270,400	<b>596,900</b>	301,174	545,146	<b>846,320</b>	<b>1,443,220</b>
1.3 Civil society organizations (CSOs) and the scientific community in the North and the South are increasingly engaged as stakeholders in the Convention processes and DLDD is addressed in their advocacy, awareness-raising and education initiatives.	249,400	61,000	<b>310,400</b>	239,885	480,483	<b>720,368</b>	<b>1,030,768</b>
<b>Subtotal</b>	<b>1,423,500</b>	<b>1,396,400</b>	<b>2,819,900</b>	<b>541,059</b>	<b>1,025,629</b>	<b>1,566,688</b>	<b>4,386,588</b>
2.1 Policy, institutional, financial and socio-economic drivers of desertification/land degradation and barriers to SLM are assessed, and appropriate measures to remove these barriers are recommended	742,824	1,804,390	<b>2,547,214</b>	35,813	110,579	<b>146,392</b>	<b>2,693,606</b>
2.2 Affected country Parties revise their NAPs into strategic documents supported by biophysical and socio-economic baseline information and include them in integrated investment frameworks.	33,000	523,250	<b>556,250</b>	83,563	258,015	<b>341,578</b>	<b>897,828</b>
2.3 Affected country Parties integrate their NAPs and SLM and land degradation issues into development planning and relevant sectoral and investment plans and policies.	0	0	Related funding is under 2.2	93,944	569,669	<b>663,613</b>	<b>663,613</b>
2.4 Developed country Parties mainstream UNCCD objectives and SLM interventions into their development cooperation programmes/projects in line with their support to national sectoral and investment plans	0	0	Related funding is under 6.1	93,097	259,903	<b>353,000</b>	<b>353,000</b>
2.5 Mutually reinforcing measures among desertification/land degradation action programmes and biodiversity and climate change mitigation and adaptation are introduced or strengthened so as to enhance the impact of interventions.	387,376	597,160	<b>984,536</b>	103,930	350,461	<b>454,391</b>	<b>1,438,927</b>

Outcome	Secretariat			Global Mechanism			Total
	Core	XB	Total	Core	XB	Total	
<b>Subtotal</b>	<b>1,163,200</b>	<b>2,924,800</b>	<b>4,088,000</b>	<b>410,347</b>	<b>1,548,627</b>	<b>1,958,974</b>	<b>6,046,974</b>
3.1 National monitoring and vulnerability assessment on biophysical and socio-economic trends in affected countries are supported.	1,539,398	1,883,950	<b>3,423,348</b>	0	0	<b>0</b>	<b>3,423,348</b>
3.2 A baseline based on the most robust data available on biophysical and socio-economic trends is developed and relevant scientific approaches are gradually harmonized.	133,512	740,400	<b>873,912</b>	0	0	<b>0</b>	<b>873,912</b>
3.3 Knowledge on biophysical and socio-economic factors and on their interactions in affected areas is improved to enable better decision-making.	171,002	1,376,620	<b>1,547,622</b>	0	0	<b>0</b>	<b>1,547,622</b>
3.4 Knowledge of the interactions between climate change adaptation, drought mitigation and restoration of degraded land in affected areas is improved to develop tools to assist decision-making.	61,996	166,650	<b>228,646</b>	0	0	<b>0</b>	<b>228,646</b>
3.5 Effective knowledge-sharing systems, including traditional knowledge, <sup>a</sup> are in place at the global, regional, subregional and national levels to support policymakers and end users, including through the identification and sharing of best practices and success stories.	200,282	292,700	<b>492,982</b>	0	0	<b>0</b>	<b>492,982</b>
3.6 Science and technology networks and institutions relevant to DLDD are engaged to support UNCCD implementation.	153,010	92,080	<b>245,090</b>	0	0	<b>0</b>	<b>245,090</b>
<b>Subtotal</b>	<b>2,259,200</b>	<b>4,552,400</b>	<b>6,811,600</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6,811,600</b>
4.1 Countries which have carried out the national capacity self-assessment (NCSA) implement the resulting action plans to develop the necessary capacity at the individual, institutional and systemic levels to tackle DLDD issues at the national and local levels.	707,300	834,400	<b>1,541,700</b>	0	0	<b>0</b>	<b>1,541,700</b>
4.2 Those countries which have not previously undertaken capacity needs assessments engage in relevant assessments processes to identify capacity needs for tackling DLDD at the national and local levels.	0	0	Related funding is under 4.1	0	0	0	0
<b>Subtotal</b>	<b>707,300</b>	<b>834,400</b>	<b>1,541,700</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,541,700</b>
5.1 Affected country Parties develop integrated investment frameworks for leveraging national, bilateral and multilateral resources with a view to increasing the effectiveness and impact of interventions.	9,000	0	<b>9,000</b>	311,656	6,494,086	<b>6,805,742</b>	<b>6,814,742</b>
5.2 Developed country Parties provide substantial, adequate, timely and predictable financial resources to support domestic initiatives to reverse and prevent desertification/land degradation and mitigate the effects of drought.	4,500	0	<b>4,500</b>	171,279	324,583	<b>495,862</b>	<b>500,362</b>

Outcome	Secretariat			Global Mechanism			Total
	Core	XB	Total	Core	XB	Total	
5.3 Parties increase their efforts to mobilize financial resources from IFIs, facilities and funds, including the GEF, by promoting the UNCCD/SLM agenda within the governing bodies of these institutions.	138,900	172,700	<b>311,600</b>	140,137	265,569	<b>405,706</b>	<b>717,306</b>
5.4 Innovative sources of finance and financing mechanisms are identified to combat desertification/land degradation and mitigate the effects of drought, including from the private sector, market-based mechanisms, trade, foundations and CSOs, and other financing mechanisms for climate change adaptation and mitigation, biodiversity conservation and sustainable use and for hunger and poverty reduction.	0	0	Related funding is under 6.1	338,405	2,824,789	<b>3,163,194</b>	<b>3,163,194</b>
5.5 Access to technology by affected country Parties is facilitated through adequate financing, effective economic and policy incentives and technical support, notably within the framework of South-South and North-South cooperation.	0	0	Related funding is under 6.1	409,363	515,317	<b>924,680</b>	<b>924,680</b>
<b>Subtotal</b>	<b>152,400</b>	<b>172,700</b>	<b>325,100</b>	<b>1,370,840</b>	<b>10,424,344</b>	<b>11,795,184</b>	<b>12,120,284</b>
X.1 (CRIC/COP/CST and UNCCD decisions, communication and management)	0	0	0	1,308,926	646,808	<b>1,955,734</b>	<b>1,955,734</b>
<b>Subtotal</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,308,926</b>	<b>646,808</b>	<b>1,955,734</b>	<b>1,955,734</b>
Subprogramme 6							
<b>Executive Direction and Management</b>	2,007,700	156,600	<b>2,164,300</b>	0	0	0	<b>2,164,300</b>
<b>Conference Services</b>	651,800	0	<b>651,800</b>		0	0	<b>651,800</b>
<b>Administration and Finance Services</b>	2,323,875	185,000	<b>2,508,875</b>	0	0	0	<b>2,508,875</b>
<b>Total for the subprogramme</b>	<b>4,983,375</b>	<b>341,600</b>	<b>5,324,975</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,324,975</b>
<b>Committee on Science and Technology</b>	<b>76,000</b>	<b>242,000</b>	<b>318,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>318,000</b>
<b>Committee for the Review of the Implementation of the Convention</b>	<b>76,000</b>	<b>278,300</b>	<b>354,300</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>354,300</b>
<b>GRAND TOTAL<sup>a</sup></b>	<b>10,840,975</b>	<b>10,742,600</b>	<b>21,583,575</b>	<b>3,631,172</b>	<b>13,645,408</b>	<b>17,276,580</b>	<b>38,860,155</b>

<sup>a</sup>In addition to the above, the convention budget includes programme support costs, less the Work Capital Reserve, in the amount of EUR 4 million.

## Annex II

### Indicative scale of contributions for the core budget of the Convention for 2012–2013

	<i>Parties to the Convention<sup>a</sup></i>	<i>(<sup>b</sup>/)</i>	<i>United Nations scale</i>	<i>Indicative scale of contributions for 2012–2013</i>	<i>Indicative contributions for 2012 (Euros)</i>	<i>Indicative contributions for 2013 (Euros)</i>	<i>Total amount payable (Euros)</i>
1	Afghanistan	LDC	0.004	0.004	287	303	590
2	Albania		0.010	0.010	717	757	1 474
3	Algeria		0.128	0.125	9 175	9 684	18 859
4	Andorra		0.007	0.007	502	530	1 032
5	Angola	LDC	0.010	0.010	717	757	1 474
6	Antigua and Barbuda		0.002	0.002	143	151	294
7	Argentina		0.287	0.280	20 572	21 714	42 286
8	Armenia		0.005	0.005	358	378	736
9	Australia		1.933	1.885	138 553	146 247	284 800
10	Austria		0.851	0.830	60 998	64 385	125 383
11	Azerbaijan		0.015	0.015	1 075	1 135	2 210
12	Bahamas		0.018	0.018	1 290	1 362	2 652
13	Bahrain		0.039	0.038	2 795	2 951	5 746
14	Bangladesh	LDC	0.010	0.010	717	757	1 474
15	Barbados		0.008	0.008	573	605	1 178
16	Belarus		0.042	0.041	3 010	3 178	6 188
17	Belgium		1.075	1.049	77 054	81 332	158 386
18	Belize		0.001	0.001	73	78	151
19	Benin	LDC	0.003	0.003	215	227	442
20	Bhutan	LDC	0.001	0.001	73	78	151
21	Bolivia		0.007	0.007	502	530	1 032
22	Bosnia and Herzegovina		0.014	0.014	1 003	1 059	2 062
23	Botswana		0.018	0.018	1 290	1 362	2 652
24	Brazil		1.611	1.571	115 473	121 885	237 358
25	Brunei Darussalam		0.028	0.027	2 007	2 118	4 125
26	Bulgaria		0.038	0.037	2 724	2 875	5 599
27	Burkina Faso	LDC	0.003	0.003	215	227	442
28	Burundi	LDC	0.001	0.001	73	78	151
29	Cambodia	LDC	0.003	0.003	215	227	442
30	Cameroon		0.011	0.011	788	832	1 620
31	Canada		3.207	3.128	229 870	242 635	472 505
32	Cape Verde	LDC	0.001	0.001	73	78	151
33	Central African Republic	LDC	0.001	0.001	73	78	151
34	Chad	LDC	0.002	0.002	143	151	294
35	Chile		0.236	0.230	16 916	17 855	34 771
36	China		3.189	3.110	228 580	241 273	469 853
37	Colombia		0.144	0.140	10 322	10 895	21 217
38	Comoros	LDC	0.001	0.001	73	78	151

<i>Parties to the Convention<sup>a</sup></i>	<i>(<sup>b</sup>/)</i>	<i>United Nations scale</i>	<i>Indicative scale of contributions for 2012–2013</i>	<i>Indicative contributions for 2012 (Euros)</i>	<i>Indicative contributions for 2013 (Euros)</i>	<i>Total amount payable (Euros)</i>
39 Cook Islands		0.001	0.001	73	78	151
40 Congo		0.003	0.003	215	227	442
41 Costa Rica		0.034	0.033	2 437	2 572	5 009
42 Côte d'Ivoire		0.010	0.010	717	757	1 474
43 Croatia		0.097	0.095	6 953	7 339	14 292
44 Cuba		0.071	0.069	5 089	5 372	10 461
45 Cyprus		0.046	0.045	3 297	3 480	6 777
46 Czech Republic		0.349	0.340	25 016	26 405	51 421
47 Democratic People's Republic of Korea		0.007	0.007	502	530	1 032
48 Democratic Republic of the Congo	LDC	0.003	0.003	215	227	442
49 Denmark		0.736	0.718	52 755	55 684	108 439
50 Djibouti	LDC	0.001	0.001	73	78	151
51 Dominica		0.001	0.001	73	78	151
52 Dominican Republic		0.042	0.041	3 010	3 178	6 188
53 Ecuador		0.040	0.039	2 867	3 026	5 893
54 Egypt		0.094	0.092	6 738	7 112	13 850
55 El Salvador		0.019	0.019	1 362	1 438	2 800
56 Equatorial Guinea	LDC	0.008	0.008	573	605	1 178
57 Eritrea	LDC	0.001	0.001	73	78	151
58 Ethiopia	LDC	0.008	0.008	573	605	1 178
59 European Union		2.500	2.500	183 720	193 924	377 644
60 Fiji		0.004	0.004	287	303	590
61 Finland		0.566	0.552	40 570	42 822	83 392
62 France		6.123	5.972	438 883	463 254	902 137
63 Gabon		0.014	0.014	1 003	1 059	2 062
64 Gambia	LDC	0.001	0.001	73	78	151
65 Georgia		0.006	0.006	430	454	884
66 Germany		8.018	7.820	574 712	606 626	1 181 338
67 Ghana		0.006	0.006	430	454	884
68 Greece		0.691	0.674	49 529	52 280	101 809
69 Grenada		0.001	0.001	73	78	151
70 Guatemala		0.028	0.027	2 007	2 118	4 125
71 Guinea	LDC	0.002	0.002	143	151	294
72 Guinea-Bissau	LDC	0.001	0.001	73	78	151
73 Guyana		0.001	0.001	73	78	151
74 Haiti	LDC	0.003	0.003	215	227	442
75 Honduras		0.008	0.008	573	605	1 178
76 Hungary		0.291	0.284	20 858	22 016	42 874
77 Iceland		0.042	0.041	3 010	3 178	6 188
78 India		0.534	0.521	38 276	40 401	78 677
79 Indonesia		0.238	0.232	17 059	18 007	35 066
80 Iran (Islamic Republic of)		0.233	0.227	16 701	17 628	34 329
81 Iraq		0.020	0.020	1 434	1 513	2 947
82 Ireland		0.498	0.486	35 695	37 678	73 373

<i>Parties to the Convention<sup>a</sup></i>	<i>(<sup>b</sup>/)</i>	<i>United Nations scale</i>	<i>Indicative scale of contributions for 2012–2013</i>	<i>Indicative contributions for 2012 (Euros)</i>	<i>Indicative contributions for 2013 (Euros)</i>	<i>Total amount payable (Euros)</i>
83 Israel		0.384	0.375	27 524	29 053	56 577
84 Italy		4.999	4.876	358 317	378 214	736 531
85 Jamaica		0.014	0.014	1 003	1 059	2 062
86 Japan		12.530	12.221	898 122	947 995	1 846 117
87 Jordan		0.014	0.014	1 003	1 059	2 062
88 Kazakhstan		0.076	0.074	5 448	5 750	11 198
89 Kenya		0.012	0.012	860	908	1 768
90 Kiribati	LDC	0.001	0.001	73	78	151
91 Kuwait		0.263	0.257	18 851	19 898	38 749
92 Kyrgyzstan		0.001	0.001	73	78	151
93 Lao People's Democratic Republic	LDC	0.001	0.001	73	78	151
94 Latvia		0.038	0.037	2 724	2 875	5 599
95 Lebanon		0.033	0.032	2 365	2 497	4 862
96 Lesotho	LDC	0.001	0.001	73	78	151
97 Liberia	LDC	0.001	0.001	73	78	151
98 Libya		0.129	0.126	9 246	9 760	19 006
99 Liechtenstein		0.009	0.009	645	681	1 326
100 Lithuania		0.065	0.063	4 659	4 918	9 577
101 Luxembourg		0.090	0.088	6 451	6 809	13 260
102 Madagascar	LDC	0.003	0.003	215	227	442
103 Malawi	LDC	0.001	0.001	73	78	151
104 Malaysia		0.253	0.247	18 134	19 141	37 275
105 Maldives	LDC	0.001	0.001	73	78	151
106 Mali	LDC	0.003	0.003	215	227	442
107 Malta		0.017	0.017	1 219	1 286	2 505
108 Marshall Islands		0.001	0.001	73	78	151
109 Mauritania	LDC	0.001	0.001	73	78	151
110 Mauritius		0.011	0.011	788	832	1 620
111 Mexico		2.356	2.298	168 873	178 250	347 123
112 Micronesia (Federated States of)		0.001	0.001	73	78	151
113 Republic of Moldova		0.002	0.002	143	151	294
114 Monaco		0.003	0.003	215	227	442
115 Mongolia		0.002	0.002	143	151	294
116 Montenegro		0.004	0.004	287	303	590
117 Morocco		0.058	0.057	4 157	4 388	8 545
118 Mozambique	LDC	0.003	0.003	215	227	442
119 Myanmar	LDC	0.006	0.006	430	454	884
120 Namibia		0.008	0.008	573	605	1 178
121 Nauru		0.001	0.001	73	78	151
122 Nepal	LDC	0.006	0.006	430	454	884
123 Netherlands		1.855	1.809	132 962	140 346	273 308
124 New Zealand		0.273	0.266	19 568	20 655	40 223
125 Nicaragua		0.003	0.003	215	227	442
126 Niger	LDC	0.002	0.002	143	151	294

<i>Parties to the Convention<sup>a</sup></i>	<i>(<sup>b</sup>/)</i>	<i>United Nations scale</i>	<i>Indicative scale of contributions for 2012–2013</i>	<i>Indicative contributions for 2012 (Euros)</i>	<i>Indicative contributions for 2013 (Euros)</i>	<i>Total amount payable (Euros)</i>
127 Nigeria		0.078	0.076	5 591	5 901	11 492
128 Niue		0.001	0.001	73	78	151
129 Norway		0.871	0.850	62 431	65 898	128 329
130 Oman		0.086	0.084	6 164	6 507	12 671
131 Pakistan		0.082	0.080	5 878	6 204	12 082
132 Palau		0.001	0.001	73	78	151
133 Panama		0.022	0.021	1 577	1 664	3 241
134 Papua New Guinea		0.002	0.002	143	151	294
135 Paraguay		0.007	0.007	502	530	1 032
136 Peru		0.090	0.088	6 451	6 809	13 260
137 Philippines		0.090	0.088	6 451	6 809	13 260
138 Poland		0.828	0.808	59 349	62 645	121 994
139 Portugal		0.511	0.498	36 627	38 661	75 288
140 Qatar		0.135	0.132	9 676	10 214	19 890
141 Republic of Korea		2.260	2.204	161 992	170 987	332 979
142 Romania		0.177	0.173	12 687	13 391	26 078
143 Russian Federation		1.602	1.563	114 828	121 204	236 032
144 Rwanda	LDC	0.001	0.001	73	78	151
145 Saint Kitts and Nevis		0.001	0.001	73	78	151
146 Saint Lucia		0.001	0.001	73	78	151
147 Saint Vincent and the Grenadines		0.001	0.001	73	78	151
148 Samoa	LDC	0.001	0.001	73	78	151
149 San Marino		0.003	0.003	215	227	442
150 Sao Tome and Principe	LDC	0.001	0.001	73	78	151
151 Saudi Arabia		0.830	0.810	59 492	62 796	122 288
152 Senegal		0.006	0.006	430	454	884
153 Serbia		0.037	0.036	2 652	2 799	5 451
154 Seychelles		0.002	0.002	143	151	294
155 Sierra Leone	LDC	0.001	0.001	73	78	151
156 Singapore		0.335	0.327	24 012	25 345	49 357
157 Slovakia		0.142	0.139	10 178	10 743	20 921
158 Slovenia		0.103	0.100	7 383	7 793	15 176
159 Solomon Islands	LDC	0.001	0.001	73	78	151
160 Somalia	LDC	0.001	0.001	73	78	151
161 South Africa		0.385	0.376	27 596	29 128	56 724
162 Spain		3.177	3.099	227 720	240 365	468 085
163 Sri Lanka		0.019	0.019	1 362	1 438	2 800
164 Sudan	LDC	0.010	0.010	717	757	1 474
165 Suriname		0.003	0.003	215	227	442
166 Swaziland		0.003	0.003	215	227	442
167 Sweden		1.064	1.038	76 265	80 500	156 765
168 Switzerland		1.130	1.102	80 996	85 494	166 490
169 Syrian Arab Republic		0.025	0.024	1 792	1 891	3 683
170 Tajikistan		0.002	0.002	143	151	294
171 Thailand		0.209	0.204	14 981	15 813	30 794

<i>Parties to the Convention<sup>a</sup></i>	<i>(<sup>b</sup>/)</i>	<i>United Nations scale</i>	<i>Indicative scale of contributions for 2012–2013</i>	<i>Indicative contributions for 2012 (Euros)</i>	<i>Indicative contributions for 2013 (Euros)</i>	<i>Total amount payable (Euros)</i>
172 The former Yugoslav Republic of Macedonia		0.007	0.007	502	530	1 032
173 Timor-Leste	LDC	0.001	0.001	73	78	151
174 Togo	LDC	0.001	0.001	73	78	151
175 Tonga		0.001	0.001	73	78	151
176 Trinidad and Tobago		0.044	0.043	3 154	3 329	6 483
177 Tunisia		0.030	0.029	2 150	2 270	4 420
178 Turkey		0.617	0.602	44 225	46 681	90 906
179 Turkmenistan		0.026	0.025	1 864	1 967	3 831
180 Tuvalu	LDC	0.001	0.001	73	78	151
181 Uganda	LDC	0.006	0.006	430	454	884
182 Ukraine		0.087	0.085	6 236	6 582	12 818
183 United Arab Emirates		0.391	0.381	28 026	29 582	57 608
184 United Kingdom of Great Britain and Northern Ireland		6.604	6.441	473 360	499 645	973 005
185 United Republic of Tanzania	LDC	0.008	0.008	573	605	1 178
186 United States of America <sup>c</sup>		22.000	21.458	1 576 910	1 664 476	3 241 386
187 Uruguay		0.027	0.026	1 935	2 043	3 978
188 Uzbekistan		0.010	0.010	717	757	1 474
189 Vanuatu	LDC	0.001	0.001	73	78	151
190 Venezuela		0.314	0.306	22 507	23 757	46 264
191 Viet Nam		0.033	0.032	2 365	2 497	4 862
192 Yemen	LDC	0.010	0.010	717	757	1 474
193 Zambia	LDC	0.004	0.004	287	303	590
194 Zimbabwe		0.003	0.003	215	227	442
<b>Total from Parties</b>		<b>102.462</b>	<b>100.000</b>	<b>7 348 816</b>	<b>7 756 944</b>	<b>15 105 760</b>

<sup>a</sup> Parties include States and regional economic integration organizations that are Parties as of 31 May 2011.

<sup>b</sup> Least developed country.

<sup>c</sup> The United States of America views its funding to the core budget of the Convention as a voluntary contribution.



## Decision 11/COP.10

### Collaboration with the Global Environment Facility

*The Conference of the Parties,*

*Recalling* articles 5, 6, 20 and 21 of the UNCCD,

*Also recalling* its decisions 6/COP.6, 6/COP.7, 6/COP.8 and 10/COP.9 on collaboration with the Global Environment Facility,

*Further recalling* decision 3/COP.8 and, in particular, paragraph 35,

*Having considered* the report of the Global Environment Facility contained in document ICCD/CRIC(10)/23,

*Having also considered* document ICCD/CRIC(10)/24 and the support provided by the Global Environment Facility in the current biennium to the reporting and review process under the Convention,

1. *Expresses its appreciation* to the Fourth Global Environment Facility Assembly for the amendment to the Global Environment Facility Instrument which states that the Global Environment Facility shall be available to serve as a financial mechanism of the UNCCD;
2. *Welcomes* the improved resource allocation system of the Global Environment Facility, the System for Transparent Allocation of Resources, whereby indicative envelopes of resources are allocated to all eligible countries so that they can access funding for projects aimed at combating land degradation, primarily desertification and deforestation;
3. *Expresses its appreciation* to the Global Environment Facility Council for setting aside funds for enabling activities outside the System for Transparent Allocation of Resources resources to support activities under the focal area in accordance with the priorities of the UNCCD;
4. *Invites* the Global Environment Facility to also support the alignment of subregional action programmes and regional action programmes with the 10-year strategic plan and framework to enhance the implementation of the Convention (The Strategy), in the context of enabling activities and their implementation;
5. *Encourages* eligible Parties, taking into account the cross-sectoral nature of land degradation, to use existing potential to harness synergies across the Global Environment Facility focal areas in order further to reinforce the importance of sustainable land management for integrating environmental and developmental aspirations globally;
6. *Invites* the Convention institutions and the Global Environment Facility secretariat to assist eligible Parties in building capacities to mobilize and use Global Environment Facility resources in a timely fashion;
7. *Invites* the Global Environment Facility, in further enhancing resource allocation during future replenishments, to consider increasing allocations to the land degradation focal area, depending on the availability of resources;
8. *Requests* the Executive Secretary, in consultation with the United Nations Environment Programme, the Global Mechanism and the Global Environment Facility, to further explore the feasibility and modalities of a global programme of support to the

national, subregional and regional action programme alignment and reporting process, taking into consideration the feedback received from Parties at the tenth session of the Committee for the Review of the Implementation of the Convention;

9. *Urges* Parties to access the Global Environment Facility funding available for enabling activities, in accordance with Global Environment Facility procedures, to meet their obligations under the Convention, either (a) through a Global Environment Facility agency, (b) via direct access or (c) under an umbrella project;

10. *Encourages* Parties to seek support from all possible sources, including the Global Environment Facility, for country-driven activities to implement the results of national capacity self-assessments and other relevant capacity assessment initiatives;

11. *Encourages* affected eligible country Parties to increase their efforts to submit project proposals to multilateral financial institutions, in particular with respect to the resources allocated under the Global Environment Facility and its System for Transparent Allocation of Resources;

12. *Invites* the Global Environment Facility to further simplify its procedures for access to land degradation focal area allocations in the interest of full and timely utilization by the eligible country Parties;

13. *Requests* the Executive Secretary to consult with the Chief Executive Officer of the Global Environment Facility on whether amendments to the existing Memorandum of Understanding between the UNCCD and the Global Environment Facility are required, and on possible proposals in light of recent developments, and to report to the eleventh session of the Conference of the Parties.

*9<sup>th</sup> plenary meeting  
21 October 2011*

## Decision 12/COP.10

### **Modalities, criteria and terms of reference for the mid-term evaluation of the 10-year strategic plan and framework to enhance the implementation of the Convention**

*The Conference of the Parties,*

*Noting* with appreciation the work undertaken by the Bureau of the Committee for the Review of Implementation of the Convention in preparing the draft modalities, criteria and terms of reference for the mid-term evaluation of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), provided in document ICCD/CRIC(10)/17,

*Recalling* decision 11/COP.9, annex, paragraph 2 (e), which requests the Committee for the Review of the Implementation of the Convention to assist the Conference of the Parties in evaluating the implementation of The Strategy, including its mid-term evaluation by 2013,

*Recalling* also decision 3/COP.8, which affirms that the Conference of the Parties will be the main body for assessing and reviewing the overall implementation of The Strategy,

*Mindful* that the mid-term evaluation presents Parties and stakeholders with a unique opportunity to provide strategic guidance and direction in order to enhance the implementation of the Convention and The Strategy, building on experience gained in the period since its adoption,

*Recognizing* that significant steps have been taken in order to monitor and assess the implementation of The Strategy, such as the establishment of the performance review and assessment of implementation system (PRAIS) and the first reporting cycle by Parties and observers based on quantifiable provisionally adopted indicators in 2010–2011, and that important lessons can be learned from these activities,

*Recognizing also* the urgency of commencing the preparations for the mid-term evaluation as soon as possible in order to allow for necessary consultations with the Parties to take place before 2013,

1. *Decides* to adopt the terms of reference of the mid-term evaluation of The Strategy as contained in the annex to this decision and that this will include an evaluation of the fulfilment by the Committee on Science and Technology of operational objective 3 on how best to measure progress on strategic objectives 1, 2 and 3 of The Strategy as an integral part of the evaluation, which will take place at the eleventh session of the Conference of the Parties in 2013;
2. *Also decides* to establish an ad hoc Intersessional Working Group, subject to available funding, with a mandate to prepare recommendations on the mid-term evaluation under the direction of the Bureau of the Conference of the Parties;
3. *Decides* that in the event of insufficient funding to support the Intersessional Working Group, all functions associated with the Group in this document will be assumed by the Bureau of the Conference of the Parties;

4. *Further decides* that the ad hoc Intersessional Working Group will consist of 14 members, comprising the President of Conference of the Parties, the Chairperson of the Committee for the Review of the Implementation of the Convention, the Chairperson of the Committee on Science and Technology, two members from each of the five regional groups, and one representative from civil society organizations accredited to the Conference of the Parties, to be nominated no later than 1 February 2012;
5. *Requests* the Bureau of the Conference of the Parties to develop, by January 2012, terms of reference covering the roles and responsibilities of the Intersessional Working Group, as outlined in this decision and its annex;
6. *Decides* that the Intersessional Working Group may decide to draw on the expertise of consultants and institutions, as and when it deems necessary, in accordance with the terms of reference and within the financial provisions contained in paragraph 12 below;
7. *Requests* the secretariat to facilitate the work of the Intersessional Working Group, supported by the Global Mechanism where relevant and in accordance with its mandate;
8. *Decides* that the Intersessional Working Group will present an update on the process at the eleventh session of the Committee for the Review of the Implementation of the Convention;
9. *Requests* the Intersessional Working Group to consult with Parties, as well as with other stakeholders, to further its work, making use of electronic communication facilities and other meetings whenever possible, and seeking comments on documentation provided through a dedicated webpage established on the UNCCD website;
10. *Also requests* the Intersessional Working Group to report periodically to the Bureau of the Conference of the Parties on its activities and on progress made, and to transmit such reports and other outputs to the secretariat for posting on the UNCCD website;
11. *Further requests* the Intersessional Working Group to complete its work by 30 June 2013 and to transmit its report and findings, as outlined in the attached terms of reference, to the eleventh session of the Conference of the Parties;
12. *Invites* Parties to contribute on a voluntary basis, either to the Supplementary Fund or in kind, to cover the additional cost of an Intersessional Working Group, and *authorizes* the Executive Secretary to seek contributions in this regard;
13. *Also recommends*, in the event that voluntary contributions are not received, that a mandate be assigned to the Bureau of the Committee for the Review of the Implementation of the Convention to prepare the mid-term evaluation under the supervision of the Bureau of the Conference of the Parties;
14. *Decides* that the Conference of the Parties will consider the report at its eleventh session.

*9<sup>th</sup> plenary meeting*  
*21 October 2011*

## Annex I

### Terms of reference for the mid-term evaluation of The Strategy

#### A. Mandate

1. Parties at the eighth session of the Conference of the Parties decided that a mid-term evaluation of the 10-year strategic plan and framework to enhance the implementation of the Convention (The Strategy) should be undertaken by the Conference of the Parties, based on the performance monitoring system, six years after the adoption of The Strategy, that is at the eleventh session of the Conference of the Parties in 2013.<sup>12</sup>

#### B. Objective

2. The overall objective of the mid-term evaluation is to recommend appropriate measures for improving performance and furthering implementation of The Strategy.<sup>13</sup>

#### C. Scope and timeframe of activities

3. The mid-term evaluation will comprise an evaluation of The Strategy (the overall framework and scope) as well as an evaluation of its building blocks (evaluation of progress made in implementing The Strategy;<sup>14</sup> evaluation of the performance and effectiveness of the Committee for the Review of the Implementation of the Convention;<sup>15</sup> evaluation of the PRAIS;<sup>16</sup> and review of the Regional Coordination Mechanism arrangements<sup>17</sup>). Additionally, the mid-term evaluation will include an evaluation of the performance and effectiveness of the Committee on Science and Technology in fulfilling operational objective 3 on how best to measure progress on strategic objectives 1, 2 and 3 of The Strategy.

4. The mid-term evaluation should consider, where relevant, earlier UNCCD reports and/or documents that have already identified matters relevant to the evaluation, including in relation to the alignment of national action programmes, in order to avoid unnecessary duplication and omission of key issues. The evaluation should not only cover the status of implementation of the Convention, but also identify possible causes and potential solutions where implementation is incomplete;

5. The following indicative deadlines for documentation emerging from the evaluation are proposed, subject to revision based on UNCCD meeting schedules:

(a) Evaluation of The Strategy

29 February 2012

<sup>12</sup> Decision 3/COP.8, paragraph 42.

<sup>13</sup> Decision 3/COP.8, annex, paragraph 26.

<sup>14</sup> Decision 3/COP.8, paragraph 42.

<sup>15</sup> Decision 11/COP.9, paragraph 7.

<sup>16</sup> Decision 11/COP.9, paragraph 7.

<sup>17</sup> Decision 3/COP.9, paragraph 8.

- |     |   |              |
|-----|---|--------------|
| (b) | Evaluation of progress made in the implementation of The Strategy   | January 2013 |
| (c) | Evaluation of the performance and effectiveness of the Committee for the Review of the Implementation of the Convention   | August 2012  |
| (d) | Review of the Regional Coordination Mechanisms  | May 2012     |
| (e) | Evaluation of the performance and effectiveness of the Committee on Science and Technology in fulfilling operational objective 3 on how best to measure progress on strategic objectives 1, 2 and 3 of The Strategy | April 2013   |
| (f) | Final output of the mid-term evaluation   | 30 June 2013 |

6. The following indicate the timeframe for meetings during which mid-term evaluation-related issues will be discussed, subject to revision based on UNCCD meeting schedules:

- |     |   |                     |
|-----|---|---------------------|
| (a) | Regional meetings preparatory to the eleventh session of the Committee for the Review of the Implementation of the Convention <sup>18</sup> | fourth quarter 2012 |
| (b) | The eleventh session of the Committee for the Review of the Implementation of the Convention  | March 2013          |
| (c) | Regional meetings preparatory to the eleventh session of the Conference of the Parties <sup>19</sup>  | July–October 2013   |
| (d) | The eleventh session of the Conference of the Parties   | fourth quarter 2013 |

## D. Methodology

7. The following evaluation criteria will be used for the evaluation of The Strategy and each of its building blocks:

- (a) Relevance: the extent to which the objectives of The Strategy are consistent with the Parties' needs, priorities and policies, and a measure of whether the objectives or their design are still appropriate given changed circumstances;
- (b) Effectiveness: the extent to which The Strategy's objectives have been achieved, or are expected to be achieved, in the context of the provisions of decision 3/COP.8;
- (c) Impact: the extent to which there has been progress towards achieving the overall objectives of the Convention that can be attributed to the implementation of The Strategy;

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<sup>18</sup> Subject to Parties providing such additional financial resources as may be required.

<sup>19</sup> Subject to Parties providing such additional financial resources as may be required.

(d) Efficiency: a measure of how efficiently the resources/inputs (funds, expertise, time, etc.) invested in the implementation of The Strategy have been converted into results;

(e) Sustainability: The likelihood of continued long-term benefits from the implementation of the Convention resulting from the implementation of The Strategy.

## **E. Substantive elements**

### **1. Evaluation of the overall framework and scope of The Strategy**

8. The overall relevance, effectiveness, impact, efficiency and sustainability of The Strategy will be evaluated by assessing how it has achieved the following aspects<sup>20</sup> of its aim and mission:

(a) Enhancing Parties' capacities to integrate objectives of the Convention into regional, subregional and national development policies, plans and strategies;

(b) Engaging the international community in setting targets and defining indicators for progress;

(c) Making the Convention a centre of excellence in scientific and technical knowledge and best practices;

(d) Enhancing synergies between combating desertification and land degradation, climate change mitigation and adaptation, and biodiversity conservation;

(e) Contributing to the fulfilment of the Johannesburg Plan of Implementation and to the implementation of the Millennium Development Goals;

(f) Improving political will and commitment to the Convention;

(g) Raising awareness of desertification/land degradation and drought and the profile of the Convention;

(h) Improving the engagement and commitment of the Parties to the Convention;

(i) Ensuring better targeting of existing resources and mobilizing new resources for the implementation of the Convention;

(j) Building the capacities of affected developing country Parties to access financial resources, especially the Global Environment Facility, for funding activities to combat desertification/land degradation and drought;

(k) Improving resourcing for the implementation of action programmes and other activities to combat desertification and land degradation, especially through the Global Environment Facility.

9. Input:

(a) A policy document highlighting substantive developments in the implementation of the Convention, as outlined in The Strategy, against points (a) to (k) above, as well as an appraisal of the external context in which the Convention needs to be implemented;

<sup>20</sup> The above-mentioned elements were included among those used by the intergovernmental intersessional working group which assisted in the preparation of The Strategy (decision 3/COP.7, paragraph 10).

(b) A qualitative evaluation, encompassing consultations as well as interviews and survey(s) featuring feedback from country Parties and relevant international organizations and experts, including on the implementation of action programmes;

(c) A report by the Executive Secretary on progress in the implementation of the comprehensive communication strategy.<sup>21</sup>

10. Expected outputs:

(a) A comprehensive evaluation of The Strategy and the context in which it is implemented, which contains proposals for improving the implementation and effectiveness of The Strategy and on its timespan;

(b) An assessment of the interrelationship between the strategic and operational objectives contained in The Strategy, together with related impact and provisionally adopted performance indicators, in order to establish the linkages between expected outcomes in the implementation of The Strategy and expected impacts in the implementation of the Convention.

11. Expected outcome:

Recommendations for the Conference of the Parties at its eleventh session on how to improve the implementation and performance of The Strategy.

## 2. Evaluation of progress made in implementing The Strategy

12. The basis of this evaluation will be the review undertaken at the ninth (for provisionally adopted performance indicators) and the eleventh sessions of the Committee for the Review of the Implementation of the Convention (for impact indicators) as well as the analysis of trends in provisionally adopted performance indicators that will have been undertaken at the eleventh session of the Committee for the Review of the Implementation of the Convention. It will address the specific issues which emerge from the review of progress made, including on financial flows and best practices. Analysis of the achievement of the provisionally adopted targets set by decision 13/COP.9 will be conducted in the context of the iterative process established by the same decision for performance and impact indicators, in order to adjust these indicators, targets and associated methodologies, if and where necessary, including the alignment of the sets of impact indicators of the Global Environment Facility land degradation focal area and The Strategy.

13. For provisionally adopted performance indicators, this process will take account of:

- (a) Definitions of provisionally adopted performance indicators;
- (b) Definitions of targets, including their timeframe;
- (c) The data collection methodology and quality control;
- (d) Simplification of reporting templates and provision of an additional framework for qualitative input;
- (e) Time constraints in collecting and analysing data;
- (f) Coordination with other relevant international organizations in order to complement the data collection, in particular on financial flows and best practices;
- (g) The content and format of any future reporting process for civil society organizations;

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<sup>21</sup> Decision 4/COP.9.



- (h) The cost-effectiveness of the process.
14. For impact indicators, this process will take account of:<sup>22</sup>
- (a) The application and review of the impact indicators by affected countries;
  - (b) The findings by the scientific peer review on the relevance, accuracy and cost-effectiveness of the impact indicators;
  - (c) The outcome of and lessons learned during the pilot-tracking exercise on impact indicators;
  - (d) Possible synergies with relevant programmes, projects and institutions, including those associated with the other Rio conventions;
  - (e) Relevant contributions from the Convention's Scientific Conferences.
15. Inputs:
- (a) Analyses prepared by the Convention institutions, particularly information from the assessment of implementation based on provisionally adopted performance indicators for 2008–2009 and 2010–2011 as well as the first assessment of implementation based on impact indicators for 2008–2011;
  - (b) Decisions emerging from the Conference of the Parties at its tenth session (following the recommendations of the ninth session of the Committee for the Review of the Implementation of the Convention), as well as draft decisions prepared for the Conference of the Parties at its eleventh session as they relate to the assessment of implementation of the Convention and The Strategy;
  - (c) Documents on the iterative process for indicators submitted to the tenth sessions of the Committee for the Review of the Implementation of the Convention and the Conference of the Parties for decisions on this matter, as well as documents on this matter submitted to the Committee for the Review of the Implementation of the Convention at its eleventh session, which take account of the feedback provided by the Parties and relevant international organizations;
  - (d) Documents prepared by the Committee on Science and Technology on how to measure the progress achieved in the implementation of strategic objectives 1, 2 and 3, and related decisions by the Conference of the Parties;
  - (e) A qualitative evaluation, including consultations, as well as interviews and survey(s) featuring feedback from Parties and relevant international organizations and experts.
16. Expected outputs:
- (a) A comprehensive evaluation of progress made in the implementation of The Strategy containing proposals for a minimum set of performance and impact indicators for consideration at the eleventh session of the Conference of the Parties, as requested by decisions 13/COP.9<sup>23</sup> and 17/COP.9,<sup>24</sup> together with a revised set of targets and relevant methodological information on how to implement indicators;
  - (b) Harmonization of the sets of impact indicators in The Strategy, with particular regard to the Global Environment Facility land degradation focal area.

<sup>22</sup> Decision 17/COP.9.

<sup>23</sup> Decision 13/COP.9, paragraph 3.

<sup>24</sup> Decision 17/COP.9, paragraph 5.

17. Expected outcome:

Recommendations to the Conference of the Parties at its eleventh session relating to the revision of elements of decision 13/COP.9 (indicators and related targets).

**3. Evaluation of the performance and effectiveness of the Committee for the Review of the Implementation of the Convention**

18. The assessment of the performance of the Committee for the Review of the Implementation of the Convention will be conducted by applying the following criteria:<sup>25</sup>

(a) Relevance: the extent to which the Committee's overall objectives, purpose and results are in line with the needs and expectations of the Parties, in particular as they relate to the support by the Committee for the Review of the Implementation of the Convention to the Parties in their achievement of the outcomes of and objectives set by The Strategy;

(b) Impact: the extent to which there has been progress towards achieving the overall objectives of the Convention which can be attributed to the Committee for the Review of the Implementation of the Convention, inter alia through relevant action taken by the Conference of the Parties on approaches, policies and strategies to strengthen the implementation of the Convention and The Strategy;

(c) Effectiveness: the extent to which the Committee has responded to its mandate and functions as defined in decisions 3/COP.8 and 11/COP.9;

(d) Sustainability: The extent to which the work of the Committee for the Review of the Implementation of the Convention is contributing to continued long-term benefits for the implementation of the Convention and The Strategy;

(e) Efficiency (cost-effectiveness of its meetings): the extent to which the outcomes of the Committee are commensurate with the resources invested, in terms of both the quantity and the quality of its deliberations;

(f) Appropriateness of format: the extent to which the format of the sessions of the Committee for the Review of the Implementation of the Convention is conducive to interactive discussions and learning-based interaction leading to a transparent and flexible review of implementation.

19. Inputs:

(a) Reports prepared by the secretariat and the Global Mechanism on the performance review of the Convention institutions and subsidiary bodies;

(b) The work programme of the Committee for the Review of the Implementation of the Convention for the biennia 2008–2009, 2010–2011 and 2012–2013, as well as the workplans for 2010–2014 and 2012–2015;

(c) Qualitative evaluation, including consultations, as well as interviews and survey(s) featuring feedback from Parties and relevant international organizations and experts.

20. Expected output:

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<sup>25</sup> Similar criteria were used for the review of the terms of reference of the Committee for the Review of the Implementation of the Convention at the seventh and eighth session of the Conference of the Parties (decision 7/COP.6).

A comprehensive evaluation of the performance and effectiveness of the Committee for the Review of the Implementation of the Convention with possible proposals for revised (new/adjusted) terms of reference of the Committee for the Review of the Implementation of the Convention where needed.

21. Expected outcome:

Recommendations to the Conference of the Parties at its eleventh session on how to improve the performance and effectiveness of the Committee for the Review of the Implementation of the Convention.

#### **4. Evaluation of the performance and effectiveness of the Committee on Science and Technology in fulfilling operational objective 3 on how best to measure progress on strategic objectives 1, 2 and 3 of The Strategy**

22. An assessment of the performance of the Committee on Science and Technology in fulfilling operational objective 3 on how best to measure progress on strategic objectives 1, 2 and 3 of The Strategy will be conducted, building on previous decisions made by the Conference of the Parties on improving the efficiency and effectiveness of the Committee on Science and Technology,<sup>26</sup> on the functioning of the Committee on Science and Technology<sup>27</sup> and on reshaping the operations of the Committee on Science and Technology in line with the recommendations of The Strategy.<sup>28, 29</sup>

23. Expected output:

Comprehensive evaluation of the performance and effectiveness of the Committee on Science and Technology in fulfilling operational objective 3 on how best to measure progress on strategic objectives 1, 2 and 3 of The Strategy, with possible proposals for a revised work programme of the Committee on Science and Technology where needed.

24. Expected outcome:

Recommendations to the Conference of the Parties at its eleventh session regarding the role of the Committee on Science and Technology in, or contribution to, the implementation of The Strategy.

#### **5. Evaluation of the performance review and assessment of implementation system**

25. The evaluation of PRAIS will entail an assessment of:

(a) The interaction between different elements of the system (assessment of implementation, performance review, review of financial flows and collection and dissemination of best practices) in the light of their cross-fertilization and mutual reinforcement;

(b) The feedback provided on the performance of the Convention institutions and subsidiary bodies through the reports received on the implementation of their respective work programmes;

(c) The operational modalities enabling results-based budgeting by the Convention institutions and subsidiary bodies to capture the necessary substantive elements worked out by the Committee for the Review of the Implementation of the Convention;

<sup>26</sup> Decision 15/COP.7.

<sup>27</sup> Decision 12/COP.8.

<sup>28</sup> Decision 16/COP.9.

<sup>29</sup> If Parties agree to include the Committee on Science and Technology in this evaluation, further details on this part of the evaluation will be provided.

(d) The input provided by the Committee on Science and Technology to the Committee for the Review of the Implementation of the Convention and the interaction between the two subsidiary bodies.<sup>30</sup>

26. Inputs:

(a) Review and assessment of the PRAIS portal against its objectives, including the reporting tools associated with it, and of the way PRAIS has been translated into an effective means for Parties to monitor and report on the implementation of action programmes, as well as the feedback by the Parties on their use of the portal;

(b) Reports prepared by the secretariat and the Global Mechanism on the performance review of the Convention institutions and subsidiary bodies;

(c) The work programmes of the Committee for the Review of the Implementation of the Convention for the biennia 2010–2011 and 2012–2013, as well as the workplans for 2009–2011 and 2012–2015;

(d) Official documents and decisions by the Conference of the Parties relating to the interaction between the Committee for the Review of the Implementation of the Convention and the Committee on Science and Technology, with specific reference to best practices and knowledge management;

(e) Documents containing input from the Committee on Science and Technology to the Committee for the Review of the Implementation of the Convention;

(f) Qualitative evaluation, including consultations, as well as interviews and survey(s) featuring feedback from country Parties and relevant international organizations and experts.

27. Expected outputs:

(a) Comprehensive evaluation of PRAIS, including proposals for improvements in the operational modalities of the Committee for the Review of the Implementation of the Convention;

(b) Revised reporting templates, guidelines and glossary.

28. Expected outcome:

Recommendations to the Conference of the Parties at its eleventh session relating to the revision of decisions 12/COP.9 and 13/COP.9.

## 6. Comprehensive review of Regional Coordination Mechanism arrangements

29. The review will address the three elements of the Regional Coordination Mechanisms: (a) the Regional Committees; (b) the Thematic Programme Networks; and (c) the Regional Coordination Units.

30. For each element, analysis will be conducted according to the following criteria:

(a) Relevance: the extent to which the Regional Coordination Mechanisms are responsive to existing and emerging challenges, capacity and the specific issues of the regional implementation annexes;<sup>31</sup>

(b) Impact: the extent to which there has been progress in facilitating the required coordination of implementation of the Convention and The Strategy at the regional

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<sup>30</sup> Decision 11/COP.9, annex, paragraph 17(f); and decision 13/COP.9, attachment, paragraph 9.

<sup>31</sup> Decision 3/COP.9, preamble.

level which can be attributed to the establishment and functioning of the Regional Coordination Mechanisms;

(c) Effectiveness: the extent to which the various element of the Regional Coordination Mechanisms have responded to their mandate and functions, as defined by Parties;

(d) Sustainability: the extent to which the resources invested in the establishment and functioning of the Regional Coordination Mechanisms could be maintained in the medium and long term, and the political support provided by the various stakeholders involved in their implementation;

(e) Efficiency: the extent to which the outcomes of the Regional Coordination Mechanisms are commensurate with investment, in terms of both internal and external resources.

31. With specific regard to the Regional Coordination Units, the following aspects will also be assessed:<sup>32</sup>

- (a) Institutional arrangements;
- (b) Reporting arrangements;
- (c) Staffing requirements;
- (d) Budgetary requirements;
- (e) Hosting arrangements;
- (f) Work programmes and outcomes.

32. Inputs:

- (a) Performance reports of the Convention's institutions (2010–2011);
- (b) Information received from the Convention's institutions on joint activities as contained in the joint work programme;
- (c) Information on subregional action programme/regional action programme analysis produced by the secretariat, including guidance received from regional implementation annexes on how to proceed;
- (d) Information on reference centres that could be given a share of work related to the implementation of the Convention as part of the Regional Coordination Mechanisms;
- (e) Reports by the Executive Secretary on the implementation of decision 3/COP.9;
- (f) Qualitative evaluation, including consultations, as well as interviews and survey(s) featuring feedback from country Parties and relevant international organizations and experts.

33. Expected output:

Comprehensive review of existing Regional Coordination Mechanisms undertaken, including proposals for future modalities of work for the Regional Coordination Mechanisms.

34. Expected outcome:

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<sup>32</sup> Document ICCD/COP(9)/3.

Recommendations to Conference of the Parties at its eleventh session on how to improve the performance and effectiveness of mechanisms to facilitate regional coordination of the implementation of the Convention.

## **F. Consultative process**

35. In order for the mid-term evaluation to be effective and truly participatory, a consultative process will be established between the tenth and the eleventh sessions of the Conference of the Parties, facilitated by the following means:

- (a) Taking advantage of the regional meetings in preparation for the eleventh sessions of the Committee for the Review of the Implementation of the Convention and the Conference of the Parties, during which one full day would be dedicated to the formulation of regional views on the mid-term evaluation;
- (b) Consultations steered by the regional implementation annexes and the regional executive committees;
- (c) Online consultations (e-forums);
- (d) Broad dissemination of all relevant documents and information through a dedicated webpage in the UNCCD website.

## **G. Support by the secretariat and external assistance**

36. The secretariat will facilitate these terms of reference and assist in the consultative process. It will seek external assistance, as necessary, in compiling the required information and producing background documentation, according to the terms of reference contained in Annex I of document ICCD/CRIC(10)/17. The Global Mechanism will assist in the facilitation of the exercise, where relevant and in accordance with its mandate.

## Decision 13/COP.10

### Assessment of the implementation of the Convention against performance indicators

*The Conference of the Parties,*

*Recalling* the extensive process undertaken by Parties in assessing the implementation of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy) and the Convention, in accordance with decision 13/COP.9,

*Recalling also* decision 13/COP.9, in particular its annex III, and decision 11/COP.9 containing the terms of reference of the Committee for the Review of the Implementation of the Convention,

*Appreciating* the financial support received from the Global Environment Facility, the European Union, Spain and Germany to fund the global programme and to facilitate capacity-building for reporting in the period 2010–2011,

*Mindful* of the deliberations and outcome of the various regional meetings organized in the context of the Regional Implementation Annexes of the Convention,

*Having reviewed* the comprehensive report of the Committee for the Review of the Implementation of the Convention on its ninth session held in Bonn, Germany, from 21 to 25 February 2011,

*Recognizing* that significant steps have been taken to monitor and assess the implementation of The Strategy, such as the first reporting cycle by Parties and observers based on quantifiable indicators in 2010–2011, and that important lessons can be learned from these endeavours,

#### **Operational objective 1: advocacy, awareness-raising and education**

1. *Invites* Parties to review the means whereby awareness is being raised and to emphasize the most effective ways of communicating in order to reach larger audiences;
2. *Requests* the secretariat, in collaboration with partner organizations, to continue its advocacy role in combating desertification/land degradation and drought and to ensure that such issues are appropriately reflected in the agendas of relevant international meetings, as described in the work programme;
3. *Further invites* Parties, bearing in mind the Convention's comprehensive communication strategy, to step up their efforts to raise awareness of desertification/land degradation and drought, and its linkages with climate change and biodiversity loss in order to increase the level of understanding and hence of awareness of the support needed to implement the Convention effectively and to meet the global target for CONS-O-1 set by decision 13/COP.9, and *invites* developed country Parties to continue providing assistance;

#### **Operational objective 2: policy framework**

4. *Invites* Parties and the Convention's institutions to further analyse the reasons for the slow implementation rate of the national action programme alignment process and to

propose corrective measures for consideration by the Committee for the Review of the Implementation of the Convention at its eleventh session;

5. *Requests* affected country Parties and annexes to give priority to the alignment of national action programmes, subregional action programmes and regional action programmes with The Strategy, and *also requests* that adequate financial and technical support should continue to be made available to affected country Parties through their technical and financial partners;

6. *Further requests* the secretariat and the Global Mechanism to incorporate in the joint work programme of the next biennium efforts to provide additional technical support and to mobilize financial support for the process of formulation and/or alignment of national action programmes, subregional action programmes and regional action programmes;

7. *Invites* the Committee for the Review of the Implementation of the Convention to analyse why only a limited number of partnership agreements have been established and to explore the possibility of increasing the number of such agreements;

8. *Encourages* Parties to the three Rio conventions to consider the need to ensure synergistic planning and programming of the conventions at the national level with support from international organizations and financial institutions within their respective mandates or mechanisms for joint implementation, in accordance with decision 13/COP.9;

### **Operational objective 3: science, technology and knowledge**

9. *Invites* affected country Parties to increase their efforts to establish or further improve existing national monitoring systems dealing specifically with desertification/land degradation and drought;

10. *Invites* developed country Parties and relevant organizations to provide additional technical and financial support to affected country Parties to establish, maintain and improve integrated desertification/land degradation and drought-specific national monitoring systems to contribute to the harmonization of diverse environmental monitoring systems;

11. *Furthermore invites* the Committee on Science and Technology to facilitate knowledge-based reviews and gap analyses in support of the alignment of national, subregional and regional action programmes with The Strategy;

### **Operational objective 4: capacity-building**

12. *Requests* the secretariat and the Global Mechanism to make an effort to improve geographical balance with respect to support rendered for capacity-building as a matter of priority;

13. *Further requests* the Global Mechanism, within available resources, to provide adequate support to affected countries in assessing their financial needs for capacity-building and in incorporating them into integrated investment frameworks;

### **Review of financial flows for the implementation of the Convention**

14. *Invites* the Parties to undertake the processes of aligning national action programmes and preparing integrated investment frameworks concurrently in order to cross-fertilize ideas and to avoid duplication and competition between the processes;

15. *Invites* affected country Parties, with support from the Global Mechanism, to increase their efforts to establish integrated investment frameworks with the aim of ensuring that at least 50 per cent of affected country Parties and subregional and regional entities have developed integrated investment frameworks by 2014;



16. *Requests* the Global Mechanism to further analyse the difficulties encountered by Parties in developing and implementing integrated investment frameworks in order to provide sound guidance for the achievement of the related targets, for consideration by the Committee for the Review of the Implementation of the Convention at its eleventh session;
17. *Invites* developed countries, multilateral institutions, the Global Mechanism and the secretariat to provide additional support to eligible affected country Parties, taking into consideration geographical balance, in their efforts to develop integrated investment frameworks, and *further requests* the Global Mechanism to increase its efforts to mobilize new and additional financial resources;
18. *Requests* the Global Mechanism to assist affected country Parties in exploring non-traditional and innovative channels of financial resources;
19. *Invites* the Global Mechanism to increase its efforts to mobilize financial support for concrete implementable activities and projects for affected country Parties;
20. *Calls on* the secretariat and the Global Mechanism, within their respective mandates and as provided in their biennial work programmes, and *invites* developed country Parties to provide assistance for the resource assessment and planning needed at the national level and also to facilitate the formulation of appropriate project proposals at the national level;
21. *Invites* the Committee for the Review of the Implementation of the Convention to analyse the reason why the majority of country-level investments related to the Convention are either not considered to contribute or do not contribute to the implementation of national action programmes, and to explore possible solutions for consideration by Parties at the national level;

**Preliminary analysis of information contained in the report from the secretariat on the operational objectives of The Strategy**

22. *Requests* the secretariat to maintain and update the lists created for consolidated indicators 2 and 11 (official documents and decisions, and lists relating to knowledge-sharing systems) with a view to providing new data for the forthcoming reporting;
23. *Further requests* that the secretariat provide a first trend analysis with reference to participation by civil society organizations and science and technology institutions in the forthcoming reporting process;
24. *Recognizes* the limited use of information provided under consolidated performance indicator 12 (number of science and technology networks, institutions or scientists engaged in research mandated by the Conference of the Parties), and *requests* the secretariat to consider options for further refinement including, as appropriate, replacement or deletion of one or more of the five performance indicators proposed as part of the mid-term evaluation;
25. *Revisits* decision 13/COP.9, in particular annex III of that decision, as well as section III, paragraph 10 (a), of the annex to decision 11/COP.9, and *requests* the secretariat to submit its report on the assessment of implementation, together with the other reporting entities, to the sessions of the Committee for the Review of the Implementation of the Convention held between ordinary sessions of the Conference of the Parties.

*9<sup>th</sup> plenary meeting  
21 October 2011*

## Decision 14/COP.10

### **The iterative process relating to the assessment of implementation, including performance and impact indicators, methodology and the reporting procedures**

*The Conference of the Parties,*

*Recalling* article 26 of the Convention,

*Also recalling* article 22, paragraph 2 (a) and (b), of the Convention,

*Taking into account* decision 3/COP.8 and its annex, the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), particularly chapter VI on the implementation framework and the priorities mentioned therein for the Committee for the Review of the Implementation of the Convention as regards communication of information and the review of implementation,

*Conscious* of the desirability of adopting standardized procedures to organize and streamline the communication of information and the need for an iterative process as requested in decision 13/COP.9,

*Taking note with appreciation* of relevant sections of the comprehensive report of the Committee for the Review of the Implementation of the Convention on its ninth session, which captures feedback from Parties on the iterative process,

*Having reviewed* document ICCD/CRIC(10)/11 on the refinement of the set of performance indicators and associated methodologies, document ICCD/CRIC(10)/12 on how best to measure progress on strategic objective 4 of the 10-year strategic plan and framework to enhance the implementation of the Convention, document ICCD/CRIC(10)/13 on the format and methodological guidelines for reporting by civil society organizations, and document ICCD/CRIC(10)/14 on guidelines for the preliminary analysis of information contained in reports from Parties and other reporting entities,

*Aware* of decision 12/COP.10 on the additional procedures or institutional mechanisms to assist the Conference of the Parties in regularly reviewing the implementation of the Convention,

*Also aware* of decision 17/COP.10 on the date and venue of the eleventh session of the Committee for the Review of the Implementation of the Convention,

*Further aware* of decision 19/COP.10 on advice on how best to measure progress on strategic objectives 1, 2 and 3 of The Strategy,

### **Refinement of the set of performance indicators and associated methodologies**

1. *Requests* the secretariat and the Global Mechanism to implement the necessary improvements based on the recommendations and feedback presented in document ICCD/CRIC(10)/11 regarding reporting templates and methodological issues relating to

performance indicators for the 2012 reporting cycle, particularly with a view to simplifying reporting templates and clarifying performance indicators, definitions and methodologies;

2. *Requests* the secretariat and the Global Mechanism to consult the reporting entities regarding the further refinement process;

3. *Also requests* the Executive Secretary to post a timetable on reporting on the Convention website in all United Nations languages in time for Parties to initiate the reporting process at the national level, allowing reporting entities at least six months to deliver their reports;

### **How best to measure progress on strategic objective 4 of the 10-year strategic plan and framework to enhance the implementation of the Convention**

4. *Decides* to utilize the proposed approach for measuring progress on strategic objective 4 relating to provisionally adopted impact indicators as outlined in document ICCD/CRIC(10)/12;

5. *Takes note* of the intended use, analysis and dissemination of data on strategic objective 4;

6. *Requests* the secretariat and the Global Mechanism, in consultation with reporting entities, to develop, in line with the recommendations and indicative aspects described in this document, detailed reporting guidelines on strategic objective 4, including formats and templates to be incorporated in the performance review and assessment of implementation system;

7. *Requests* the Global Mechanism to provide a brief analysis of the impact indicators pertaining to strategic objective 4-4 and strategic objective 4-5, taking into account the availability of data and existing studies;

8. *Invites* the Global Environment Facility and other donors to make adequate financial resources available to the eligible country Parties for training and capacity-building on strategic objective 4 reporting, as appropriate and as part of a global programme in support of the 2012–2013 reporting and review exercise;

9. *Requests* the secretariat and the Global Mechanism to assist, on request, affected country Parties and other reporting entities with strategic objective 4 reporting, including analysis of data and information;

10. *Invites* Parties with available funding from the Global Environment Facility or other sources also to request the secretariat and the Global Mechanism to assist Parties in the development and establishment of systems to facilitate data collection and reporting, including on issues relating to strategic objective 4;

### **Format and methodological guidelines for reporting by civil society organizations (2012–2013)**

11. *Invites* civil society organizations, particularly those accredited to the Conference of the Parties, to network and strengthen cooperation with each other at the national level, with a view to collectively submit best practices to the recommended databases for each of the seven themes that are linked to on the UNCCD website;

12. *Invites* accredited civil society organizations to organize themselves to prepare collaborative reports on their work for the implementation of the Convention, for

transmission to the sessions of the Committee for the Review of the Implementation of the Convention, held between ordinary sessions of the Conference of the Parties, and the focal points of the countries;

13. *Also requests* the secretariat to take into consideration, depending on the availability of funds, the capacity-building needs of civil society organizations in future initiatives in order to allow civil society to comply with the reporting requirements and to participate fully in the reporting and review process;

14. *Invites* developed country Parties, international financial organizations and the Global Environment Facility to continue to support the reporting process, acknowledging the specific needs and the important role of civil society in this process;

15. *Encourages* national focal points, institutional focal points of subregional and regional organizations and other reporting entities, as appropriate, to facilitate exchanges and cooperation with civil society organizations, paying particular attention to the Convention reporting and review process;

### **Guidelines for the preliminary analysis of information contained in the reports from Parties and other reporting entities**

16. *Requests* the secretariat and the Global Mechanism, in accordance with their respective mandates, to implement the guidelines for the preliminary analysis of information contained in reports from Parties and other reporting entities contained in document ICCD/CRIC(10)/14, including at the regional and subregional levels, as appropriate, starting from the 2012–2013 reporting and review process;

17. *Decides* that data quality checks will be undertaken during the compilation and processing of information received from Parties and other reporting entities, and *requests* the secretariat to establish relevant procedures in this regard, in consultation with the Global Mechanism as appropriate;

18. *Further requests* the secretariat and the Global Mechanism to update the guidelines and procedures for the data quality checks as required, and to publish these on the Convention website and on the PRAIS portal;

19. *Decides also* that, starting from the 2012–2013 reporting and review process, a three-month period prior to the publication date of documents for the eleventh session of the Committee for the Review of the Implementation of the Convention will be dedicated to the compilation and data quality checks of information received by reporting entities, thereby enabling the Convention's institutions to seek further guidance from these reporting entities on substantive issues;

20. *Requests* the secretariat and the Global Mechanism to continue to facilitate the reporting and review process, in accordance with their respective mandates and in consultation with Parties and other relevant stakeholders;

21. *Also requests* the Executive Secretary to submit an overall report on the fourth reporting and review process to the twelfth session of the Committee for the Review of the Implementation of the Convention, including on its procedures and outcomes, human and financial resource requirements, and the lessons learned and feedback received from Parties and other reporting entities through their reports.

*9<sup>th</sup> plenary meeting*  
*21 October 2011*

## Decision 15/COP.10

### Consideration of best practices in the implementation of the Convention

*The Conference of the Parties,*

*Recalling* articles 17, 18, 23 and 26 of the Convention,

*Also recalling* its decision 3/COP.8 as well as decisions 1/COP.9, 11/COP.9 and 12/COP.9 and the specific provisions contained therein on the compilation and dissemination of best practices in the implementation of the Convention,

*Underlining* the importance of ensuring that the Convention's institutions and subsidiary bodies function in an efficient and coordinated manner in supporting Parties in their implementation of The Strategy, and *welcoming* the efforts of the Bureaux of the Committee for the Review of the Implementation of the Convention and the Committee on Science and Technology to ensure coordination with respect to the issue of best practices,

*Having considered* document ICCD/CRIC(10)/15 on methodological guidelines for best practices on sustainable land management technologies, including adaptation, and document ICCD/CRIC(10)/16 on best practices on resource mobilization,

1. *Decides* that the review of the themes of best practices will be carried out as shown in table 3 annexed to this decision, and *furthermore invites* the reporting entities to continue reporting on best practices on the themes already considered at previous sessions of the Committee for the Review of the Implementation of the Convention;
2. *Also decides* to take note of the revised classification of best practices for theme 1 (sustainable land management technologies, including adaptation), as proposed in table 1 annexed to this decision, as well as the classification of best practices for themes 2 to 7, as proposed in table 2 annexed to this decision;
3. *Requests* the secretariat to provide website links to existing databases relevant to themes 1 to 7 in order to provide Parties with access to as many best practices as possible;
4. *Encourages* Parties to submit best practices to new and existing best practice databases;
5. *Requests* the secretariat to identify a recommended database for new information for each of these themes;
6. *Requests* the secretariat, and the Global Mechanism in the case of theme 6, to support the Parties and other reporting entities in the submission of best practices to the recommended primary database;
7. *Decides* that future sessions of the Committee for the Review of the Implementation of the Convention should review the accessibility of the information on best practices;
8. *Requests* the secretariat to transfer the existing sustainable land management best practices in the PRAIS to the recommended database, once it is identified, for each theme and then to replace the existing PRAIS best practices with a link to the recommended database;

9. *Invites* Parties and international financial organizations to support the dissemination of sustainable land management best practices through these recommended databases;
10. *Requests* the Bureaux of the Committee for the Review of the Implementation of the Convention and the Committee on Science and Technology to work together in defining ways to promote the analysis and dissemination of best practices, according to the respective mandates of the two Committees, for consideration at the eleventh session of the Conference of the Parties, consistent with this decision, and *furthermore requests* the secretariat to continue to facilitate these consultations;
11. *Invites* relevant research institutions, intergovernmental organizations and United Nations bodies to provide further input in order to promote the availability of knowledge and information on best practices and on their replication and scaling-up.

*9<sup>th</sup> plenary meeting*

*21 October 2011*

# Annex

Table 1  
**Classification of best practices on sustainable land management technologies, including adaptation<sup>33</sup>**

<i>Geographic location</i>	<i>Classification by region/subregion</i>
<i>Prevailing land use in the specified location</i>	<ul style="list-style-type: none"> <li>Cropland</li> <li>Grazing land</li> <li>Woodland</li> <li>Unproductive land</li> <li>Human settlement</li> <li>Other</li> </ul>
<i>Contribution to DLDD measures</i>	<ul style="list-style-type: none"> <li>Prevention</li> <li>Mitigation</li> <li>Adaptation</li> <li>Rehabilitation</li> </ul>
<i>Contribution to the strategic objectives</i>	<ul style="list-style-type: none"> <li>To improve the living conditions of affected populations</li> <li>To improve the conditions of affected ecosystems</li> <li>To generate global benefits through effective implementation of the Convention</li> </ul>
<i>Type of impact</i>	<ul style="list-style-type: none"> <li>On-site impacts on production or productivity</li> <li>On-site socio-economic and cultural impacts</li> <li>On-site environmental impacts</li> <li>Other on-site impacts</li> <li>Off-site impacts</li> </ul>
<i>Linkages with the other best practice themes</i>	<ul style="list-style-type: none"> <li>Capacity-building and awareness-raising</li> <li>DLDD and SLM monitoring and assessment/research</li> <li>Knowledge management and decision support</li> <li>Policy, legislative and institutional framework</li> <li>Funding and resource mobilization</li> <li>Participation, collaboration and networking</li> </ul>

<sup>33</sup> The title of this particular cluster of best practices was decided on by Parties at COP 9. See decision 13/COP.9, annex V.



Table 2  
Proposed categorization of best practice themes 2 to 7

<i>Best practice theme</i>	<i>Contribution to strategic objectives and related impacts</i>	<i>Contribution to operational objectives and related outcomes</i>
Theme 2: Capacity-building and awareness-raising		OO 1: Advocacy, awareness-raising and education (Outcome 1.1) OO 4: Capacity-building (Outcomes 4.1 and 4.2)
Theme 3: DLDD and SLM monitoring and assessment/research		OO 3: Science, technology and knowledge (Outcomes 3.1, 3.2, 3.3, 3.4, 3.5 and 3.6)
Theme 4: Knowledge management and decision support		OO 5: Financing and technology transfer (Outcome 5.5)
Theme 5: Policy, legislative and institutional framework		OO 2: Policy framework (Outcomes 2.1, 2.2, 2.3 and 2.4)
Theme 6: Funding and resource mobilization	SO 4: To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors (Expected impact 4.1)	OO 5: Financing and technology transfer (Outcomes 5.1, 5.2, 5.3 and 5.4)
Theme 7: Participation, cooperation and networking	SO 4: To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors (Expected impact 4.2)	OO 1: Advocacy, awareness-raising and education (Outcomes 1.2 and 1.3) OO 2: Policy framework (Outcome 2.5)

Table 3

**Proposed schedule of review of best practice themes 1 to 7**

<i>Best practice theme</i>	<i>CRIC 11 (2012)</i>	<i>CRIC 13 (2014)</i>	<i>CRIC 15 (2016)</i>	<i>CRIC 17 (2018)</i>
Theme 1: Sustainable Land management technologies, including adaptation	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	
Theme 2: Capacity-building and awareness-raising		<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>
Theme 3: DLDD and SLM monitoring and assessment/ research		<input checked="" type="checkbox"/>		
Theme 4: Knowledge management and decision support		<input checked="" type="checkbox"/>		
Theme 5: Policy, legislative and institutional framework			<input checked="" type="checkbox"/>	
Theme 6: Funding and resource mobilization	<input checked="" type="checkbox"/>			<input checked="" type="checkbox"/>
Theme 7: Participation, cooperation and networking			<input checked="" type="checkbox"/>	

## Decision 16/COP.10

### Programme of work for the eleventh session of the Committee for the Review of the Implementation of the Convention

*The Conference of the Parties,*

*Recalling* article 22, paragraph 2(a) and (c), of the Convention,

*Also recalling* article 23, paragraph 2 (a), (b) and (c), and article 26 of the Convention,

*Aware* of decisions 14/COP.10,<sup>34</sup> 15/COP.10,<sup>35</sup> 12/COP.10,<sup>36</sup> 9/COP.10<sup>37</sup> and 13/COP.10,<sup>38</sup> which provide further guidance to the Executive Secretary on how to structure the work of the forthcoming eleventh session of the Committee for the Review of the Implementation of the Convention in consultation with the Bureau of the Committee,

*Recognizing* that regional meetings play an important role in reviewing progress and make a useful contribution to the implementation of the Convention and the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy),

1. *Decides* that the eleventh session of the Committee for the Review of the Implementation of the Convention should review the communication of information in the light of the provisions contained in decision 11/COP.9 on additional procedures or institutional mechanisms to assist the Conference of the Parties in regularly reviewing the implementation of the Convention;

2. *Also decides* to include the following items in the agenda of the eleventh session of the Committee:

(a) Review of input from regional meetings in preparation for the eleventh session;

(b) Assessment of implementation against the provisionally adopted performance indicators contained in:

(i) Reports from affected and developed country Parties;

(ii) Reports from affected annexes and subregional and regional entities;

(iii) Reports from United Nations agencies and intergovernmental organizations;

<sup>34</sup> The iterative process relating to the assessment of implementation, including performance and impact indicators, methodology and the reporting procedures.

<sup>35</sup> Consideration of best practices in the implementation of the Convention.

<sup>36</sup> Draft modalities, criteria and terms of reference for the mid-term evaluation of the 10-year strategic plan and framework to enhance the implementation of the Convention.

<sup>37</sup> Promotion and strengthening of relationships with other relevant conventions and international organizations, institutions and agencies.

<sup>38</sup> Assessment of the implementation of the Convention against performance indicators.

- (iv) Review of the report of the Global Mechanism;
  - (v) Review of the report of the secretariat;
  - (vi) Review of the report of the Global Environment Facility;
  - (vii) Review of information from civil society organizations, including the private sector;
  - (c) Assessment of the alignment of action programmes and their implementation in accordance with The Strategy;
  - (d) Assessment of implementation against the provisionally adopted impact indicators contained in:
    - (i) Reports from affected and developed country Parties;
    - (ii) Reports from subregional and regional entities;
    - (iii) Reports from United Nations agencies and intergovernmental organizations;
    - (iv) Review of the report of the Global Mechanism;
    - (v) Review of the report of the secretariat;
    - (vi) Review of the report of the Global Environment Facility;
    - (e) Review of financial flows for the implementation of the Convention;
    - (f) Consideration of best practices;
    - (g) Review of the input from the Committee on Science and Technology on how best to measure progress made with respect to strategic objectives 1, 2 and 3;
    - (h) Improving the procedures for communication of information as well as the quality and formats of reports to be submitted to the Conference of the Parties;
    - (i) Review of information relating to the mid-term evaluation;
    - (j) Promotion and strengthening of relationships with other relevant conventions and international organizations, institutions and agencies;
3. *Requests* the secretariat to circulate in all United Nations languages, at least six weeks prior to the eleventh session of the Committee for the Review of the Implementation of the Convention, a provisional annotated agenda and appropriate documentation for that session, reflecting the decisions contained in paragraph 2 above.

*9<sup>th</sup> plenary meeting  
21 October 2011*

## Decision 17/COP.10

### **Date and venue of the eleventh session of the Committee for the Review of the Implementation of the Convention**

*The Conference of the Parties,*

*Recalling* article 22, paragraph 2(a) and (c), of the Convention,

*Also recalling* its decision 11/COP.9 concerning additional procedures or institutional mechanisms to assist in reviewing the implementation of the Convention, and containing in its annex the terms of reference of the Committee for the Review of the Implementation of the Convention,

*Recognizing* that regional meetings play an important role in reviewing progress and make a useful contribution to the implementation of the Convention and the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy),

*Underlining* the importance of a coordinated reporting process that leaves sufficient time for reporting entities to collect, process and submit information on the implementation of The Strategy as well as sufficient time for data quality checks to be undertaken by the Convention's institutions,

1. *Decides* that the eleventh session of the Committee for the Review of the Implementation of the Convention shall be held in Bonn, Germany, the site of the Convention secretariat, for five working days not later than March 2013, in the event that no Party makes an offer to host that session and meet the additional financial cost;
2. *Requests* the secretariat to review the provisions for regional meetings in preparation for the meetings of the Committee, as contained in its biennial costed work programme, and to seek financial contributions to enable those regional meetings to take place;
3. *Invites* the Executive Secretary, in consultation with the Bureau of the Conference of the Parties, to accommodate any offer from a Party to host the eleventh session of the Committee;
4. *Requests* the Executive Secretary to take the necessary measures to prepare that session, including the conclusion of a legally binding agreement on the international level with a host country/government.

*9<sup>th</sup> plenary meeting*  
*21 October 2011*

## Decision 18/COP.10

### **Reshaping the operation of the Committee on Science and Technology in line with the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018)**

*The Conference of the Parties,*

*Recalling* article 24 of the Convention,

*Recalling* the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy) adopted by decision 3/COP.8 and, in particular, the implementation framework of the Committee on Science and Technology,

*Recalling* the provisions of decision 13/COP.8 that each future ordinary session of the Committee on Science and Technology shall be organized in a predominantly scientific and technical conference-style format by the Bureau of the Committee in consultation with the lead institution/consortium, which is qualified in and has expertise in the relevant thematic topic selected by the Conference of the Parties,

*Recalling* decision 14/COP.8 on networking of institutions, agencies and bodies in the context of UNCCD scientific conferences,

*Recalling further* the provisions of decision 16/COP.9, which requested the Bureau of the Committee on Science and Technology, in collaboration with the selected lead institution/consortium and with the support of the secretariat, to involve relevant networks, institutions, agencies, bodies and scientific organizations at the regional and subregional levels, non-governmental organizations and other civil society stakeholders in addressing the thematic topic of each future UNCCD scientific conference,

*Having reviewed* documents ICCD/COP(10)/CST/5 and ICCD/COP(10)/CST/INF.3,

### **UNCCD 2nd Scientific Conference “Economic assessment of desertification, sustainable land management and resilience of arid, semi-arid and dry sub-humid areas”**

1. *Decides* that the UNCCD 2nd Scientific Conference, which had been scheduled under decision 16/COP.9, paragraph 2, to take place in 2012, shall take place not later than March 2013;
2. *Welcomes* the setting-up of a conference steering committee and a scientific advisory committee to guide the organization process and the scientific activities towards the conference, respectively;
3. *Calls upon* the Global Risk Forum Davos, as the selected lead institution, to mobilize partnerships with other organizations and institutions in preparing the conference and in updating the Parties through an adequate mechanism for reporting progress;

4. *Calls also upon* the scientific community to contribute to the substantial work on the conference topic in preparing for the UNCCD 2nd Scientific Conference;
5. *Invites* scientists to publish their results on the conference topic;
6. *Requests* the Global Risk Forum Davos, as the selected lead institution, to make available on the conference website all relevant information on the outcomes of the conference at least four months before the eleventh session of the Conference of the Parties;
7. *Requests further* the Bureau of the Committee on Science and Technology, with the support of the secretariat, to present a report at the eleventh session of the Committee on Science and Technology on the organization and the outcomes of the UNCCD 2nd Scientific Conference;
8. *Requests furthermore* the Bureau of the Committee on Science and Technology to consult with Parties and regional groups to review the outcomes of the UNCCD 2nd Scientific Conference;
9. *Invites* Parties to review the outcomes of the UNCCD 2nd Scientific Conference before the eleventh session of the Committee on Science and Technology in order to make recommendations, as they deem appropriate, to the eleventh session of the Conference of the Parties;
10. *Invites* the Global Risk Forum Davos, as the selected lead institution, and the Bureau of the Committee on Science and Technology to present a proposal at the eleventh session of the Committee on Science and Technology on how to maintain the momentum achieved through the conference in a sustainable manner;

### **Time frame and thematic topic of the UNCCD 3rd Scientific Conference**

11. *Decides* that the text of decision 13/COP.8 paragraph 1 “...each future ordinary session of the CST” shall not apply to the eleventh session of the Committee on Science and Technology;
12. *Decides also* that the UNCCD 3rd Scientific Conference shall take place in 2014 at a special session of the Committee on Science and Technology, in accordance with provisions of decision 13/COP.8 paragraph 1;
13. *Decides* that the specific thematic topic to be considered by the UNCCD 3rd Scientific Conference shall be “Combating DLDD for poverty reduction and sustainable development: the contribution of science, technology, traditional knowledge and practices”;
14. *Requests* the Bureau of the Committee on Science and Technology, with the support of the secretariat, to establish terms of reference and procedures for selection, taking into account regional balance, of a lead institution/consortium, which is qualified in and has expertise in the relevant thematic topic selected by the Conference of the Parties, to organize the UNCCD 3rd Scientific Conference under the guidance of the Bureau of the Committee on Science and Technology;
15. *Further requests* the Bureau of the Committee on Science and Technology, with the support of the secretariat, to select the lead institution/consortium at least two years before each future UNCCD scientific conference, in line with the provisions of decision 16/COP.9, paragraph 8;
16. *Requests* the Committee on Science and Technology to include in the agenda of each of its sessions a presentation on UNCCD scientific conferences;

### **Organization of sessions of the Committee on Science and Technology in a predominantly scientific and technical conference-style format**

17. *Requests* the secretariat to organize an in-depth assessment of the organization of the UNCCD 2nd Scientific Conference, including of the approaches to scientific partnership-building employed, in consultation with regional groups;

18. *Invites* the Bureau of the Committee on Science and Technology, bearing in mind decision 16/COP.9, in consultation with regional groups, to conduct, after the UNCCD 2nd Scientific Conference, an assessment of whether to hold the UNCCD scientific conferences during intersessional or ordinary sessions of the Committee on Science and Technology, and to report at the eleventh session of the Committee;

### **Funding**

19. *Requests* the secretariat and the Global Risk Forum Davos, as the selected lead institution, in consultation with the Bureau of the Committee on Science and Technology, to continue to secure adequate funding for the UNCCD 2nd Scientific Conference, for the attendance of scientists from developing countries and eligible countries in all implementation annexes at the conference, and for the substantive preparation of the conference;

20. *Invites* developed country Parties, international organizations and relevant stakeholders to make voluntary contributions for the organization of the UNCCD 2nd Scientific Conference and the UNCCD 3rd Scientific Conference.

*3<sup>rd</sup> plenary meeting  
14 October 2011*



## Decision 19/COP.10

### Advice on how best to measure progress on strategic objectives 1, 2, and 3 of The Strategy

*The Conference of the Parties,*

*Bearing in mind* the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy) adopted by decision 3/COP.8 and, in particular, the implementation framework of the Committee on Science and Technology,

*Recalling* the objectives of The Strategy and, in particular, strategic objective 1 on improving the living conditions of affected populations, strategic objective 2 on improving the condition of affected ecosystems, and strategic objective 3 on generating global benefits through effective implementation of the UNCCD,

*Recalling* decision 3/COP.8, paragraph 10, which requests the Committee on Science and Technology to provide advice to the Committee for the Review of the Implementation of the Convention on how best to measure progress on strategic objectives 1, 2 and 3,

*Recalling also* decision 17/COP.9, which requests the Committee on Science and Technology to review the status of the iterative process for refining the provisionally accepted set of impact indicators during its sessions,

*Noting* documents ICCD/CST(S-2)/7, ICCD/CST(S-2)/8 and ICCD/CST(S-2)/INF.1, and the ensuing recommendations made at the second special session of the Committee on Science and Technology, contained in document ICCD/CST(S-2)/9,

*Having reviewed* document ICCD/COP(10)/CST/2 on the refinement of the set of impact indicators on strategic objectives 1, 2 and 3, document ICCD/COP(10)/CST/3 on the template and reporting guidelines on strategic objectives 1, 2 and 3 for affected country Parties, and document ICCD/COP(10)/CST/4-ICCD/CRIC(10)/14 on guidelines for the preliminary analysis of information contained in reports from Parties and other reporting entities as far as it concerns strategic objectives 1, 2 and 3,

*Taking note* of document ICCD/COP(10)/CST/INF.1 on the scientific peer review for the refinement of the set of impact indicators on strategic objectives 1, 2 and 3, document ICCD/COP(10)/CST/INF.2 on the pilot tracking exercises for the refinement of the set of impact indicators on strategic objectives 1, 2 and 3, and document ICCD/COP(10)/CST/INF.6 containing the proposed methodological guide on the use of impact indicators to measure progress against strategic objectives 1, 2 and 3,

*Noting also* document ICCD/COP(10)/INF.9 on the revised glossary for the performance review and assessment of implementation of the Convention as far as it concerns the terminology relating to strategic objectives 1, 2 and 3,

*Noting* decision 14/COP.10 on the iterative process relating to the assessment of implementation, including performance and impact indicators, methodology and the reporting procedures,

*Noting with appreciation* the work undertaken by the Bureau of the Committee on Science and Technology and the secretariat on the process for refining the set of impact indicators on strategic objectives 1, 2 and 3,

### **Refinement of the set of impact indicators on strategic objectives 1, 2 and 3**

1. *Decides* that the core principles identified in the participatory scientific peer review process and contained in document ICCD/COP(10)/CST/2 set the stage for the development of proposals to refine the set of impact indicators and associated methodologies based on national capacities and circumstances;
2. *Requests* the Committee on Science and Technology, with the support of the secretariat, to continue to provide assistance for pilot impact-indicator tracking exercises at the national level and to report on lessons learned to the Conference of the Parties at its eleventh session;
3. *Decides* to establish an ad hoc advisory group of technical experts, not exceeding 15 members, to be tasked with continuing the iterative participatory contribution from the scientific community, National Focal Points and Science and Technology Correspondents on impact-indicator refinement and the monitoring and assessment of impacts;
4. *Requests* the Bureau of the Committee on Science and Technology, with the support of the secretariat, to select the members of the ad hoc advisory group of technical experts in consultation with Parties through the regional and interested groups, and based on written submissions received in response to a public call for experts;
5. *Requests* the Bureau of the Committee on Science and Technology, with the support of the secretariat, to develop terms of reference for the ad hoc advisory group of technical experts, including membership and modalities of work;
6. *Decides* to task the ad hoc advisory group of technical experts with addressing the following four fundamental issues for consideration by the Conference of the Parties at its eleventh session:
  - (a) Identify the best scientific approach to operationally delineate affected areas, including an evaluation of how this delineation was undertaken during the pilot tracking exercise;
  - (b) Develop a mechanism or framework that encourages country Parties to identify nationally and locally relevant impact indicators and integrate these in their contribution to the global impact assessment effort;
  - (c) Further refine the set of the provisionally adopted impact indicators, based on national limitations, the findings of the scientific review and on lessons learned through applications by affected country Parties in the pilot tracking exercise and in the reporting process in 2012, to identify the most meaningful, globally applicable and cost-effective set of impact indicators;
  - (d) Develop a scientifically based approach for integrating, analysing and interpreting impact-indicator information, so that the overall set of impact indicators, when taken together, has the potential to generate at national level relevant information that can be harmonized and used to produce regional and global baseline assessments;
7. *Decides* that the ad hoc advisory group of technical experts should serve for a term of two years, with the group meeting once per year and consulting electronically with the

scientific community, National Focal Points and Science and Technology Correspondents in the intervening period;

8. *Decides* that the secretariat should report on the work done by the ad hoc advisory group of technical experts to the Committee on Science and Technology in order to provide recommendations for adoption at the eleventh session of the Conference of the Parties;

9. *Calls for* a strengthened collaboration between international and regional organizations involved in desertification monitoring in view of better supporting affected country Parties for reporting on indicators for desertification/land degradation and drought within the framework of the UNCCD;

10. *Invites* Parties, and interested organizations with the capacity to do so, to make voluntary contributions to support the pilot tracking exercises and the ad hoc advisory group of technical experts;

### **Reporting against impact indicators for strategic objectives 1, 2 and 3**

11. *Decides* to provisionally adopt the draft reporting templates on the two mandatory impact indicators, contained in document ICCD/COP(10)/CST/3, and to entrust the secretariat with fine-tuning them, based on the conclusions and recommendations of the pilot impact-indicator tracking exercise, after the tenth session of the Conference of the Parties, for use by affected country Parties in the 2012–2013 reporting and review process;

12. *Requests* the secretariat to make available, in all six official languages of the United Nations, the methodological guide to reporting on the two mandatory impact indicators and their associated metrics, contained in document ICCD/COP(10)/CST/INF.6, as well as the glossary of terms and definitions contained in document ICCD/COP(10)/INF.9;

13. *Requests* also the secretariat to make available, in all six official languages of the United Nations, the existing generic template for the remaining impact indicators, provisionally accepted by the Conference of the Parties at its ninth session but considered optional for inclusion in reports by affected countries;

14. *Invites* affected country Parties to use, within their existing capacity and needs, the provisionally accepted set of impact indicators and the metrics/proxies proposed to measure them for the 2012–2013 reporting and review process, in order to help identify the most meaningful, globally applicable and cost-effective set of impact indicators and promote South-South and North-South collaboration on that aspect;

15. *Invites* affected country Parties to refer to the terminology and definitions included in document ICCD/COP(10)/INF.9 when reporting to the Conference of the Parties;

16. *Encourages* affected country Parties with the capacity to do so to use additional impact indicators as long as these fit into the underlying logic of measuring the impact of activities carried out within the ambit of strategic objectives 1, 2 and 3.

*3<sup>rd</sup> plenary meeting*

*14 October 2011*

## Decision 20/COP.10

### **Measures to enable the United Nations Convention to Combat Desertification to become a global authority on scientific and technical knowledge pertaining to desertification/land degradation and mitigation of the effects of drought**

*The Conference of the Parties,*

*Bearing in mind* the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy) contained in the annex to decision 3/COP.8 and, in particular, the implementation framework of the Committee on Science and Technology,

*Acknowledging* the need to mobilize scientific and technical expertise to address the problems of desertification/land degradation and mitigate the effects of drought,

*Recognizing* the work undertaken by the Bureau of the Committee on Science and Technology with the support of the UNCCD secretariat in the assessment of how to organize international, interdisciplinary scientific advice,

*Having reviewed* documents ICCD/COP(10)/CST/6 and the four options presented therein, as well as ICCD/COP(10)/CST/MISC.1,

1. *Requests* the Committee on Science and Technology to plan both long-term and short-term measures to enable the UNCCD to provide scientific support in pursuit of operational objective 3 of The Strategy (to become a global authority on scientific and technical knowledge pertaining to desertification/land degradation and mitigation of the effects of drought);
2. *Decides* to set up an ad hoc working group, taking into consideration regional balance, to further discuss the options for the provision of scientific advice focusing on desertification, land degradation and drought issues, taking into account the regional approach of the UNCCD;
3. *Invites* developed country Parties, national, regional and international organizations and relevant stakeholders to make voluntary contributions to support the ad hoc working group.

*3<sup>rd</sup> plenary meeting*

*14 October 2011*

## Decision 21/COP.10

### **Knowledge management, including traditional knowledge, best practices and success stories**

*The Conference of the Parties,*

*Recalling* articles 6, 17, 18 and 19 of the Convention,

*Underlining* the importance of effective knowledge-sharing systems to support policymakers and end-users, including through the identification and sharing of best practices and success stories,

*Recognizing* the need to further involve the scientific community in the implementation of the Convention at all levels, and the potential in this regard of scientific knowledge brokering, including online networks such as communities of practice,

*Recalling* the terms of reference of the Committee on Science and Technology, as contained in decision 15/COP.1, which task the Committee with making recommendations for promoting participative research on relevant traditional and local technology, knowledge, know-how and practices to combat desertification and mitigate the effects of drought through, inter alia, the utilization of information and services provided by local populations and other competent bodies, including intergovernmental and non-governmental organizations,

*Reaffirming* the role of the Committee on Science and Technology in providing an opportunity for effective knowledge sharing at the global, regional, subregional and national levels in order to support policymakers and stakeholders, including through the identification and sharing of best practices, as stated in decision 13/COP.8,

*Recalling* the terms of reference of the Committee for the Review of the Implementation of the Convention, as contained in the annex to decision 11/COP.9, which task the Committee with compiling best practices on the implementation of the Convention and with forwarding this information for consideration by the Conference of the Parties,

*Recalling* decision 4/COP.9, which requests the secretariat to continue building up efficient knowledge-management and knowledge-brokering systems to serve as tools for successful implementation of the comprehensive communication strategy,

*Having reviewed* the report contained in document ICCD/COP(10)/CST/9,

*Noting* the key role of scientific knowledge-brokering functions within the process of the Convention becoming a global authority on scientific and technical knowledge pertaining to desertification/land degradation and mitigation of the effects of drought,

*Noting with appreciation* the progress made by the secretariat in establishing the comprehensive knowledge-management system of the Convention,

*Commending* the secretariat on carrying out the knowledge needs assessment,

*Welcoming* the improvement of the knowledge-management process under the Convention,

1. *Requests* the secretariat to continue, subject to Parties providing the necessary additional financial resources, to improve knowledge management, including, but not limited to:

(a) Elaborating an information platform related to desertification/land degradation and drought, building on existing similar information and categorizations where appropriate and useful;

(b) Carrying out the taxonomy for internal content categorization with respect to the UNCCD;

(c) Defining criteria and priorities applicable to knowledge management under the Convention, taking into account the results of the knowledge needs assessment;

(d) Preparing the necessary policies, procedures and guidelines for improving the quality and coherence of information products prepared internally and externally;

(e) Establishing synergetic partnerships with existing initiatives/networks;

(f) Encouraging the establishment of links with regional knowledge management systems via existing networks;

(g) Identifying flexible strategies for the transfer, development, deployment and use of proven technologies;

2. *Invites* Parties, international organizations and agencies, scientific institutions and networks, and other relevant stakeholders to support and cooperate with the secretariat in improving knowledge management for the Convention in support of the implementation of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), adopted by decision 3/COP.8;

3. *Requests* the Bureau of the Committee for the Review of the Implementation of the Convention and the Bureau of the Committee on Science and Technology to work together in defining ways to promote the analysis and dissemination of best practices, according to the respective mandates of the Committee on Science and Technology and the Committee for the Review of the Implementation of the Convention, for consideration at the eleventh session of the Conference of the Parties;

4. *Requests* the secretariat to report to the Conference of the Parties at its eleventh session on progress made in implementing this decision.

*3<sup>rd</sup> plenary meeting*

*14 October 2011*

## Decision 22/COP.10

### United Nations Convention to Combat Desertification fellowship programme

*The Conference of the Parties,*

*Recalling* article 19 of the UNCCD,

*Also recalling* decision 15/COP.7 on improving the efficiency and effectiveness of the Committee on Science and Technology,

*Bearing in mind* the need for scientific capacity-building in the implementation of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy),

*Recalling* the consensus on the need to establish a UNCCD fellowship programme expressed by the Committee on Science and Technology at its seventh, eighth and ninth sessions,

*Taking note of* the overview of previous initiatives towards the creation of a fellowship programme contained in document ICCD/COP(10)/CST/8,

1. *Decides* that the multi-stakeholder partnership model should be applied in launching the fellowship programme;
2. *Requests* the secretariat to form a steering group, in collaboration with those institutions that formally express interest in the development of the fellowship programme, to articulate a clear strategy for the fellowship programme and to take advantage of existing opportunities for training and exchanges, catalysing further support where possible;
3. *Invites* the steering group to build partnerships, working with other institutions, including United Nations organizations, and with Parties to the Convention, to review the scope of the fellowship programme in order to ensure that it meets the current and future needs of Parties;
4. *Requests* the secretariat to link this approach to its efforts on capacity-building activities in the context of the implementation of operational objective 4 of The Strategy, ensuring regional balance and an appropriate curriculum;
5. *Invites* Parties and interested organizations with the capacity to do so to make voluntary contributions to support the fellowship programme in its multi-stakeholder partnership model;
6. *Requests* the secretariat to explore, in consultation with the Bureau of the Committee on Science and Technology, the possibilities of having national, regional and international institutions support the fellowship programme;

7. *Requests* the secretariat to facilitate, in collaboration with the multi-stakeholder partnership, the establishment of the fellowship programme and to report on progress at the eleventh session of the Conference of the Parties.

*3<sup>rd</sup> plenary meeting*

*14 October 2011*



## Decision 23/COP.10

### Roster of independent experts

*The Conference of the Parties,*

*Recalling* article 24, paragraph 2, of the Convention,

*Recalling also* decision 21/COP.9,

*Having reviewed* the report contained in document ICCD/COP(10)/22,

*Recognizing* the need to improve the quality of services provided to Parties in order to enhance the implementation of the Convention in line with the provisions of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy),

*Noting* the increasing need to involve the scientific community in the implementation of The Strategy and of activities in the work programme of the Committee on Science and Technology,

*Acknowledging* the ongoing efforts made by the secretariat to update the roster of independent experts based on nominations submitted by Parties,

1. *Invites* Parties to revise and update the details of existing national experts, and to propose new candidates for the roster in order to achieve better representation of all relevant disciplines, including traditional and local knowledge, know-how and practices, and of women, and a more balanced geographical distribution of non-governmental organizations;
2. *Urges* Parties that have not yet nominated experts for the roster to do so, as they deem necessary, and provide full postal and e-mail addresses, through regular diplomatic channels, no later than six months before the eleventh session of the Conference of the Parties;
3. *Requests* the Committee on Science and Technology to review the current list of disciplines, taking into account the Proposed International Standard Nomenclature for Fields of Science and Technology<sup>39</sup> as well as the lists of disciplines used by the Parties at national level, and to update the list with new disciplines, including traditional and local knowledge, know-how and practices, as needed;
4. *Further requests* the Committee on Science and Technology to present an updated list for consideration by the Conference of the Parties at its eleventh session;
5. *Directs* the secretariat to set up web-based facilities to ease the process of updating the roster of independent experts;

<sup>39</sup> See <[unesdoc.unesco.org/images/0008/000829/082946EB.pdf](http://unesdoc.unesco.org/images/0008/000829/082946EB.pdf)>.

6. *Requests* the secretariat to report to the Conference of the Parties at its eleventh session on progress made in implementing this decision.

*3<sup>rd</sup> plenary meeting*  
*14 October 2011*

## Decision 24/COP.10

### The role and responsibilities of science and technology correspondents

*The Conference of the Parties,*

*Recalling decision 15/COP.7 on improving the efficiency and effectiveness of the Committee on Science and Technology, in particular paragraph 6, which encourages country Parties to select a science and technology correspondent for the Committee, coordinated by the national focal point,*

*Further recalling decision 22/COP.9 on science and technology correspondents, in particular paragraph 1, which requests the Bureau of the Committee on Science and Technology to consult with Parties and the regional groups to develop recommendations on the role and responsibilities of the science and technology correspondents for consideration at the second special session and the tenth session of the Committee on Science and Technology,*

*Having reviewed the report prepared by the secretariat contained in document ICCD/COP(10)/CST/7,*

*Having discussed the role and responsibilities of science and technology correspondents,*

1. *Decides* that the role of science and technology correspondents is to assist national focal points in scientific matters related to the implementation of the Convention, and that their responsibilities shall include assisting national focal points in:

(a) Enhancing relationships and networks with the scientific community at the local, national, regional and global level with the support of national focal points;

(b) Establishing a dialogue with scientists and technologists at the local, national, regional and global level;

(c) Measuring progress in the achievement of the strategic objectives of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy) and the reporting process;

2. *Recommends* that any further responsibilities for science and technology correspondents should be proposed by the national focal points to their respective science and technology correspondents;

3. *Invites* the secretariat to communicate on matters relating to the involvement of science in the Convention process with national focal points, copying science and technology correspondents;

4. *Invites* Parties and other interested organizations with the capacity to do so to contribute to the Supplementary Fund of the Convention, in order to ensure wider participation of science and technology correspondents in the official meetings of the Convention and to strengthen their involvement in the Convention process.

*3<sup>rd</sup> plenary meeting*

*14 October 2011*

## Decision 25/COP.10

### Election of officers of the Committee on Science and Technology

*The Conference of the Parties,*

*Recalling* rules 22 and 31 of the rules of procedure of the Conference of the Parties, amended by decisions 20/COP.2 and 1/COP.5,

*Recalling* also the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), in particular paragraph 14 on the institutional arrangements of the Committee on Science and Technology,

*Bearing* in mind the importance of ensuring continuity in the work of the Committee on Science and Technology in the framework of the implementation of The Strategy,

*Aware of* the terms of reference of the Committee for the Review of the Implementation of the Convention contained in the annex to decision 11/COP.9 on additional procedures or institutional mechanisms to assist in the review of the implementation of the Convention, in particular paragraph 9 on the election of the Chairperson and Vice-Chairpersons of the Committee for the Review of the Implementation of the Convention,

*Recalling* decision 24/COP.9 entitled “Election of officers of the Committee on Science and Technology”, whereby the Conference of the Parties decided to include on its agenda for its tenth session the issue of amending the rules of procedure (including rule 22),

*Taking note* of document ICCD/COP(10)/24 entitled “Amendment of the rules of procedure (including rule 22)”,

1. *Decides* to replace paragraph 1 of rule 22 with the following:

"At the commencement of the first meeting of each ordinary session, a President and nine Vice-Presidents shall be elected from among the representatives of the Parties present at the session in such a manner that every geographical region shall be represented by at least two members. The Chairperson of the Committee on Science and Technology, also to be elected from among the representatives of the Parties present at the session in such a manner that every geographical region shall be represented by at least one member, shall be elected at the final meeting of the Conference of the Parties, and shall assume his or her office immediately. They shall serve as the Bureau of the session. One of the Vice-Presidents shall act as Rapporteur. In appointing the Bureau, due regard shall be paid to the need to ensure equitable geographical distribution and adequate representation of affected country Parties, particularly those in Africa, while not neglecting affected country Parties in other regions. The offices of President and Rapporteur shall normally be subject to rotation among the regional groups recognized by the practice of the United Nations."

2. *Decides also* to replace rule 31 with the following:

"The Chairperson of the Committee on Science and Technology shall be elected by the Conference of the Parties. Unless the Conference of the Parties decides otherwise, the Chairperson of any other subsidiary body shall be elected by the Conference of the Parties. Each subsidiary body shall elect its own four Vice-Chairpersons, one of whom shall act as

Rapporteur. The Chairperson and four Vice-Chairpersons of such subsidiary bodies shall be elected with due regard to the need to ensure equitable geographical distribution and adequate representation of affected country Parties, particularly those in Africa, while not neglecting affected country Parties in other regions and shall not serve for more than two consecutive terms. The Vice-Chairpersons of the Committee on Science and Technology shall be elected at the final meeting of the session of the Committee held in conjunction with the sessions of the Conference of the Parties, and shall assume their office immediately.”

*9<sup>th</sup> plenary meeting  
21 October 2011*

## Decision 26/COP.10

### Date, venue and programme of work of the third special session of the Committee on Science and Technology

*The Conference of the Parties,*

*Recalling* article 23 of the Convention,

*Bearing in mind* the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy) adopted by decision 3/COP.8, in particular the implementation framework for the Committee on Science and Technology,

*Recognizing* that regional meetings play an important role in the review of progress made, and make a useful contribution to the implementation of the Convention and The Strategy,

*Recalling* decision 12/COP.8 on the functioning of the Committee on Science and Technology,

*Recalling* decision 16/COP.9 on reshaping the operation of the Committee on Science and Technology in line with The Strategy,

*Having reviewed* the costed draft two-year work programmes for the Committee on Science and Technology and the secretariat contained in document ICCD/COP(10)/8,

*Recalling* decision 16/COP.9, whereby the Parties decided that the third special session of the Committee on Science and Technology shall be held in 2012 to address in a scientific and technical conference-style format the topic “Economic assessment of desertification, sustainable land management and resilience of arid, semi-arid and dry sub-humid areas”,

1. *Decides* that the third special session of the Committee on Science and Technology shall be held for four days in Bonn, Germany, not later than March 2013, in the event that no Party makes an offer to host the session and meet the additional financial cost;
2. *Decides* to include the following items in the agenda of the third special session of the Committee on Science and Technology:
  - (a) The UNCCD 2nd Scientific Conference;
  - (b) Progress made in refining the impact indicators for strategic objectives 1, 2 and 3 of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), in accordance with decision 19/COP.10;
  - (c) Preparation of the UNCCD 3rd Scientific Conference on “Combating DLDD for poverty reduction and sustainable development: the contribution of science, technology, traditional knowledge and practices”;
3. *Requests* the secretariat to review the provisions for regional meetings in preparation for the meetings of the Committee on Science and Technology, as contained in its biennial costed work programme, and to seek financial contributions to enable those regional meetings to take place;

4. *Requests* the Executive Secretary, in consultation with the Bureau of the Conference of the Parties, to accommodate any offer from a Party to host the third special session of the Committee on Science and Technology;
5. *Requests* the Executive Secretary to take the necessary measures to prepare for the third special session of the Committee on Science and Technology, including the conclusion of a legally binding agreement at the international level with a host country/government;
6. *Requests* the Executive Secretary to facilitate the participation of the science and technology correspondents in the third special session of the Committee on Science and Technology;
7. *Invites* developed country Parties, international, national and regional organizations and relevant stakeholders to make voluntary contributions for the organization of the third special session of the Committee on Science and Technology;
8. *Requests* the secretariat to circulate, in all six official languages of the United Nations, at least six weeks prior to the third special session of the Committee on Science and Technology, a provisional annotated agenda and documentation for the session, reflecting the decision contained in paragraph 2 above.

*3<sup>rd</sup> plenary meeting*  
*14 October 2011*



## Decision 27/COP.10

### Programme of work of the eleventh session of the Committee on Science and Technology

*The Conference of the Parties,*

*Recalling* article 23 of the Convention,

*Recalling* decisions 16/COP.3, 16/COP.4, 16/COP.5, 20/COP.6, 20/COP.7, 18/COP.8 and 26/COP.9 on the programme of work of the Committee on Science and Technology,

*Bearing in mind* the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), adopted by decision 3/COP.8, in particular operational objective 3 on science, technology and knowledge, and its related outcomes,

*Recalling* decisions 13/COP.8 and 16/COP.9 on reshaping the operation of the Committee on Science and Technology in line with The Strategy,

*Having reviewed* the draft multi-year (2012–2015) workplan for the Committee on Science and Technology contained in document ICCD/CRIC(10)/7–ICCD/COP(10)/CST/10 and the costed draft two-year work programmes for the Committee on Science and Technology and the secretariat contained in document ICCD/COP(10)/8,

1. *Encourages* the Committee on Science and Technology to focus its attention on activities that would lead to the achievement of the outcomes described in decision 10/COP.10 on the programme and budget of all Convention bodies;
2. *Decides* that the agenda of the eleventh session of the Committee on Science and Technology shall focus on the following two priorities:
  - (a) The review of progress on the iterative process to refine impact indicators for strategic objectives 1, 2 and 3 of The Strategy;
  - (b) Improvement of knowledge management, including traditional knowledge, best practices and success stories;
3. *Also decides* to include the following items on the agenda of the eleventh session of the Committee on Science and Technology:
  - (a) Consideration of the report of the Committee on Science and Technology on its third special session;
  - (b) Consideration of the draft multi-year (four-year) workplan for the Committee on Science and Technology (2014–2017);
  - (c) Improvement of knowledge management, including traditional knowledge, best practices and success stories;
  - (d) Advice on how best to measure progress on strategic objectives 1, 2 and 3 of The Strategy;

- (i) The iterative process for the refinement of the set of impact indicators for strategic objectives 1, 2 and 3;
  - (ii) Improving the quality and the format of reports on strategic objectives 1, 2 and 3 to be submitted to the Conference of the Parties;
  - (iii) Integrating, analysing and interpreting impact indicator information from a scientific point of view;
  - (e) Consideration of progress made in the organization of international interdisciplinary scientific advice in the Convention process;
  - (f) Reshaping the operation of the Committee on Science and Technology in line with The Strategy:
    - (i) Review of the outcomes of the UNCCD 2nd Scientific Conference;
    - (ii) Assessment of the organization of the UNCCD 2nd Scientific Conference;
    - (iii) Consideration of the progress report on the preparation of the UNCCD 3rd Scientific Conference on 'Combating DLDD for poverty reduction and sustainable development: the contribution of science, technology, traditional knowledge and practices';
    - (g) The roster of independent experts;
4. *Also decides* that the eleventh session of the Committee on Science and Technology shall be held for four days;
5. *Requests* the Executive Secretary to take the necessary measures to prepare for the eleventh session of the Committee on Science and Technology, including the conclusion of a legally binding agreement at the international level with a host country/government;
6. *Also requests* the Executive Secretary to facilitate the participation of the science and technology correspondents in the eleventh session of the Committee on Science and Technology;
7. *Invites* developed country Parties, international organizations and relevant stakeholders to provide financial support for the organization of the eleventh session of the Committee on Science and Technology;
8. *Requests* the secretariat to circulate, in all six official languages of the United Nations, at least six weeks prior to the eleventh session of the Conference of the Parties, a provisional annotated agenda and documentation for that session reflecting the decisions contained in paragraph 3 above.

*3<sup>rd</sup> plenary meeting  
14 October 2011*

## **Decision 28/COP.10**

### **Rule 47 of the rules of procedure**

*The Conference of the Parties,*

*Recalling* its decision 21/COP.2 on consideration of rule 47 of the rules of procedure,

*Taking note* of the draft text of rule 47, as amended by decision 21/COP.2,

*Taking note also* of the report by the secretariat contained in document ICCD/COP(10)/23,

*Requests* the secretariat to include consideration of this pending rule of procedure in the agenda of the eleventh session of the Conference of the Parties and to report on the status of similar rules of procedure under other multilateral environmental agreements.

*9<sup>th</sup> plenary meeting*

*21 October 2011*

## Decision 29/COP.10

### Procedures and institutional mechanisms for the resolution of questions on implementation

*The Conference of the Parties,*

*Recalling* article 27 of the Convention, which states that the Conference of the Parties shall consider and adopt procedures and institutional mechanisms for the resolution of questions that may arise with regard to the implementation of the Convention,

*Recalling also* decisions 20/COP.3, 20/COP.4, part A, 21/COP.5, part A, 22/COP.6, part A, 22/COP.7, part A, 20/COP.8 and 28/COP.9,

*Recalling further* the Chairperson's summary of the work of the Ad Hoc Group of Experts at the fifth, sixth, seventh and eighth sessions of the Conference of the Parties,

*Noting* that the question of linkages between article 27 and article 22, paragraph 2, article 26 and article 28 may merit further consideration,

1. *Decides*, for the purposes of fulfilling the provisions of article 27 of the Convention, to reconvene, during its eleventh session, the open-ended Ad Hoc Group of Experts to examine further, and make recommendations on, procedures and institutional mechanisms for the resolution of questions on implementation;
2. *Invites* any Parties and interested institutions and organizations wishing to communicate their views on article 27 to do so, in writing, to the secretariat by 31 January 2013;
3. *Requests* the secretariat to prepare a new working document to include a compilation of submissions by Parties contained in previous documents of the Conference of the Parties on this matter, including a draft to provide options for, and the terms of reference of, a multilateral consultative process, and a compilation also of those views submitted pursuant to paragraph 2 above;
4. *Decides further* that the Ad Hoc Group of Experts shall take as the basis of its work the new working document to be prepared by the secretariat.

*9<sup>th</sup> plenary meeting  
21 October 2011*

## Decision 30/COP.10

### Annexes containing arbitration and conciliation procedures

*The Conference of the Parties,*

*Recalling* article 28, paragraph 2 (a), of the Convention, which refers to arbitration procedures to be adopted as soon as practicable by the Conference of the Parties in an annex to the Convention,

*Recalling also* article 28, paragraph 6, of the Convention, which refers to conciliation procedures to be adopted as soon as practicable by the Conference of the Parties in an annex to the Convention,

*Recalling further* the Chairperson's summaries of the work of the Ad Hoc Group of Experts at the fifth, sixth, seventh and eighth sessions of the Conference of the Parties,

*Recalling* its decisions 20/COP.3, 20/COP.4, part B, 21/COP.5, part B, 22/COP.6, part B, 22/COP.7, part B, 21/COP.8, and 29/COP.9,

1. *Decides*, for the purposes of fulfilling the provisions of article 28 of the Convention, to reconvene, at its eleventh session, the open-ended Ad Hoc Group of Experts to examine further, and make recommendations on, the following:

- (a) The annex on arbitration procedures;
- (b) The annex on conciliation procedures;

2. *Invites* any Parties and interested institutions and organizations wishing to communicate their views on the matter referred to in paragraph 1 above to do so, in writing, to the secretariat by 31 January 2013;

3. *Requests* the secretariat to prepare a new working document to include: (i) a compilation of submissions contained in previous documents of the Conference of the Parties on this matter and those submitted pursuant to paragraph 2 above; and (ii) an updated version of the annexes contained in document ICCD/COP(10)/26 to reflect these views;

4. *Decides further* that the Ad Hoc Group of Experts shall take as the basis of its work the new working document to be prepared by the secretariat.

*9<sup>th</sup> plenary meeting*  
*21 October 2011*

## Decision 31/COP.10

### United Nations Decade for Deserts and the Fight against Desertification

*The Conference of the Parties,*

*Recalling* United Nations General Assembly resolution 62/195 of 19 December 2007, declaring the decade 2010–2020 as the United Nations Decade for Deserts and the Fight against Desertification,

*Recalling* decision 30/COP.9 adopted on 2 October 2009 by the Conference of the Parties at its ninth session,

*Recalling* United Nations General Assembly resolution 64/201 of 21 December 2009 concerning the United Nations Decade for Deserts and the Fight against Desertification,

*Recognizing* the importance of the United Nations Decade for Deserts and the Fight against Desertification and the opportunity it offers to all countries and, in particular, to countries with deserts and those affected by desertification, land degradation and drought,

*Deeply concerned* about the exacerbation of desertification in all regions of the world, particularly in Africa, and about its grave implications for the achievement of the Millennium Development Goals, specifically those relating to the eradication of poverty and environmental sustainability,

*Responding* to the appeal of the United Nations General Assembly at its sixty-fourth session (resolution 64/201), inviting States Parties to the Convention, observers and other relevant stakeholders to organize activities aimed at implementing the Decade,

*Wishing* to highlight and to promote the positive impact of this important initiative pertaining to deserts and the fight against desertification,

*Taking note* of document ICCD/COP(10)/27 entitled “Report on activities supporting the United Nations Decade for Deserts and the Fight against Desertification (2010–2020)”,

*Welcoming* the work undertaken by the Inter-Agency Task Force of the United Nations Decade for Deserts and the Fight against Desertification,

1. *Requests* the secretariat to collate a list of events, actions and activities and from these to develop a programme in support of the United Nations Decade for Deserts and the Fight against Desertification;
2. *Requests* the secretariat to extend its partnership network to include representatives of civil society, intergovernmental organizations and non-governmental organizations;
3. *Invites* States Parties, observers and intergovernmental organizations to submit to the secretariat proposals and contributions in the form of actions, activities and events to mark the United Nations Decade for Deserts and the Fight against Desertification, by 31 December 2011 at the latest, for the compilation of the draft United Nations Decade for Deserts and the Fight against Desertification programme by the secretariat by 30 January

2012 and its finalization and dissemination with the support of the Inter-Agency Task Force by March 2012;

4. *Invites* donor countries, the World Bank, the Global Environment Facility, multilateral funds and arrangements, and partners of the Convention to provide financial and technical support for the elaboration and implementation of the United Nations Decade for Deserts and the Fight against Desertification;

5. *Invites* developed countries, international financial institutions and multilateral funds to make available, as appropriate, voluntary contributions for the implementation of the United Nations Decade for Deserts and the Fight against Desertification;

6. *Encourages* civil society, intergovernmental organizations and non-governmental organizations to suggest and undertake appropriate activities and actions conducive to effective implementation at all levels of the United Nations Decade for Deserts and the Fight against Desertification;

7. *Requests* the secretariat to report to the Conference of the Parties at its eleventh session on voluntary actions and activities carried out by stakeholders to mark the United Nations Decade for Deserts and the Fight against Desertification.

*9<sup>th</sup> plenary meeting  
21 October 2011*

## **Decision 32/COP.10**

### **Designation of a Convention secretariat and arrangements for its functioning: administrative and support arrangements**

*The Conference of the Parties,*

*Recalling* United Nations General Assembly resolutions 52/198 of 18 December 1997 and 56/196 of 21 December 2001 on institutional linkage and related administrative arrangements between the United Nations Secretariat and the secretariat of the Convention, which endorse decision 3/COP.1 on the designation of a Convention secretariat and arrangements for its functioning,

*Approves* the continuation for a further five-year period of the current institutional linkage and related administrative arrangements, to be reviewed by both the General Assembly and the Conference of the Parties no later than 31 December 2017.

*9<sup>th</sup> plenary meeting  
21 October 2011*



## **Decision 33/COP.10**

### **Credentials of delegations**

*The Conference of the Parties,*

*Having considered* document ICCD/COP(10)/30 on the credentials of delegations and the recommendation contained therein,

*Decides* to approve the report.

*9<sup>th</sup> plenary meeting*

*21 October 2011*

## **Decision 34/COP.10**

### **Special segment: interactive dialogue sessions**

*The Conference of the Parties,*

*Having heard* the Chairperson's summary report on the Ministerial session, submitted by H.E. Dr. Don Koo Lee, Minister of the Korea Forest Service and President of the Conference of the Parties at its tenth session,

1. *Takes note* of the Chairperson's summary with appreciation and gratitude;
2. *Decides* to include the Chair's summary as an annex to the report of the Conference of the Parties on its tenth session.

*9<sup>th</sup> plenary meeting*

*21 October 2011*

## Decision 35/COP.10

### Report on the Ninth Round Table of Members of Parliament

*The Conference of the Parties,*

*Having heard* the presentation of the Declaration on “The role of Members of Parliament in the efforts to combat desertification: parliamentary contributions to achieving global sustainability through effective sustainable land management and to implementing the UNCCD Ten-year Strategy”, reflecting the outcomes of the Ninth Round Table of Members of Parliament, which took place in Changwon City, Gyeongnam Province, Republic of Korea, on 13 and 14 October 2011,

1. *Takes note* of the Declaration with appreciation;
2. *Decides* to include the Declaration as an annex to the report of the Conference of the Parties on its tenth session.

*9<sup>th</sup> plenary meeting  
21 October 2011*

## **Decision 36/COP.10**

### **Declaration of civil society organizations attending the tenth session of the Conference of the Parties**

*The Conference of the Parties,*

*Having heard* the presentation of the Declaration made by Lee Sung-gil from Future Forest of the Republic Korea on behalf of civil society organizations attending the tenth session of the Conference of the Parties,

1. *Takes note* with appreciation of the Declaration;
2. *Decides* to include the Declaration as an annex to the report of the Conference of the Parties on its tenth session.

*9<sup>th</sup> plenary meeting  
21 October 2011*

## Decision 37/COP.10

### Declaration by the Sustainable Land Management Business Forum

*The Conference of the Parties,*

*Having heard* the declaration made at the high-level segment by Mr. Kook-Hyun Moon from New Paradigm Institute of the Republic of Korea on behalf of the participants in the Sustainable Land Management Forum launched on the sidelines of the tenth session of the Conference of the Parties,

*Taking into consideration* decision 5/COP.10 on the revised procedures for the accreditation of civil society organizations and representatives from the private sector to the Conference of the Parties and their participation in meetings and processes of the UNCCD,

1. *Takes note* of the declaration referred to in this decision;
2. *Decides* to include the declaration as an annex to the report of the Conference of the Parties on its tenth session.

*9<sup>th</sup> plenary meeting  
21 October 2011*

## Decision 38/COP.10

### Programme of work for the eleventh session of the Conference of the Parties

*The Conference of the Parties,*

*Recalling* article 22 of the UNCCD,

*Recalling also* its decisions 9/COP.1, 2/COP.2, 4/COP.3, 5/COP.4, 5/COP.5, 29/COP.6, 30/COP.7, 27/COP.8 and 35/COP.9 on its programme of work, decision 3/COP.8 containing the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy) and decision 7/COP.8,

*Taking into consideration* the relevant decisions taken by the Conference of the Parties at its tenth session,

1. *Decides* to include the following items on the agenda of its eleventh session and, if necessary, its twelfth session:

- (a) The 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018):
  - (i) Review of the report of the Committee for the Review of the Implementation of the Convention, including its recommendations to the Conference of the Parties;
  - (ii) Review of progress in the implementation of the comprehensive communication strategy;
  - (iii) Independent mid-term evaluation of The Strategy;
  - (iv) Alignment of action programmes with The Strategy;
  - (v) Review of the report of the Committee on Science and Technology, including its advice to the Conference of the Parties;
- (b) Maintenance of the roster of experts and the creation, as necessary, of ad hoc panels of experts;
- (c) Governance and institutional arrangements of the Global Mechanism, including recommendations on the new housing arrangements of the Global Mechanism;
- (d) Programme and budget for the biennium 2014–2015;
- (e) Follow up on the High-level Meeting of the General Assembly on ‘Addressing desertification, land degradation and drought in the context of sustainable development and poverty eradication’ and the United Nations Conference on Sustainable Development;
- (f) Collaboration with the Global Environment Facility: amendment of the memorandum of understanding between the UNCCD and the Global Environment Facility on enhanced collaboration;
- (g) Revised procedures for the accreditation of civil society organizations and representatives from the private sector to the Conference of the Parties;

- (h) The United Nations Decade of Deserts and the Fight against Desertification (2010–2020);
  - (i) Outstanding items:
  - (i) Rule 47 of the rules of procedure;
  - (ii) Procedures and institutional mechanisms for the resolution of questions on implementation;
  - (iii) Annexes containing arbitration and conciliation procedures;
- 2. *Decides also* to include interactive dialogue sessions with relevant stakeholders, including ministers, civil society organizations, the business community, the scientific community and members of parliament, on agenda items of relevance to them;
- 3. *Requests* the secretariat to prepare an annotated provisional agenda, in agreement with the President of the Conference of the Parties at its tenth session, taking into consideration provisions contained in the relevant decisions taken during that conference;
- 4. *Also requests* the secretariat to circulate in all official languages at least six weeks prior to the eleventh session of the Conference of the Parties appropriate documentation for that session, reflecting the decisions contained in operative paragraphs 1 and 2 above.

*9<sup>th</sup> plenary meeting*  
*21 October 2011*

## **Decision 39/COP.10**

### **Date and venue of the eleventh session of the Conference of the Parties**

*The Conference of the Parties,*

*Recalling* article 22, paragraph 4, of the UNCCD,

*Also recalling* rule 3 of the rules of procedure,

*Further recalling* General Assembly resolution 40/243 of 18 December 1985,

1. *Decides* that the eleventh session of the Conference of the Parties shall be held in Bonn, Germany, the site of the Convention secretariat, in autumn 2013, or at another venue arranged by the secretariat in consultation with the Bureau of the Conference of the Parties as soon as possible in the event that no Party makes an offer to host that session and meet the additional costs;
2. *Invites* the Executive Secretary, in consultation with the Bureau of the Conference of the Parties, to accommodate any offer from a Party to host the eleventh session of the Conference of the Parties;
3. *Requests* the Executive Secretary to take the necessary measures to prepare for the eleventh session of the Conference of the Parties, including the conclusion of a legally binding agreement at the international level with a host country/government.

*9<sup>th</sup> plenary meeting*  
*21 October 2011*



## **Resolution 1/COP.10**

### **Expression of gratitude to the Government and people of the Republic of Korea**

*The Conference of the Parties,*

*Having met* in Changwon City, Gyeongnam Province, from 10 to 21 October 2011 at the invitation of the Government of the Republic of Korea,

1. *Expresses* its profound gratitude to the Government of the Republic of Korea for having made it possible for the tenth session of the Conference of the Parties to be held in the city of Changwon and for the excellent facilities placed at its disposal;
2. *Requests* the Government to convey to the people of the Republic of Korea the profound gratitude of the Parties to the Convention for the hospitality and warm welcome extended to the participants;
3. *Welcomes* the efforts of the Government of the Republic of Korea in proposing the “Changwon initiative”;
4. *Notes* the potential use of this initiative as a tool for strengthening the implementation of the UNCCD and its 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018).

*9<sup>th</sup> plenary meeting  
21 October 2011*