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**AD HOC WORKING GROUP ON LONG-TERM COOPERATIVE ACTION  
UNDER THE CONVENTION**

**Fourth session**

**Poznan, 1–10 December 2008**

**Item 2 (a) of the provisional agenda**

**Organizational matters**

**Adoption of the agenda**

**Provisional agenda and annotations**

**Note by the Executive Secretary\***

**I. Provisional agenda**

1. Opening of the session.
2. Organizational matters:
  - (a) Adoption of the agenda;
  - (b) Organization of the work of the session;
  - (c) Election of officers.
3. Enabling the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, by addressing, inter alia:
  - (a) A shared vision for long-term cooperative action;
  - (b) Enhanced national/international action on mitigation of climate change;
  - (c) Enhanced action on adaptation;
  - (d) Enhanced action on technology development and transfer to support action on mitigation and adaptation;
  - (e) Enhanced action on the provision of financial resources and investment to support action on mitigation and adaptation and technology cooperation.

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\* This document was submitted after the due date because it took longer than expected to finalize it.

4. Work programme for 2009.
5. Report to the Conference of the Parties at its fourteenth session on progress made.
6. Other matters.
7. Report on the session.

## **II. Background**

1. By its decision 1/CP.13 (the Bali Action Plan), the Conference of the Parties (COP) launched a comprehensive process to enable the full, effective and sustained implementation of the Convention through long-term cooperative action, now, up to and beyond 2012, in order to reach an agreed outcome and adopt a decision at its fifteenth session. It decided that the process shall be conducted under a subsidiary body under the Convention, the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA), that shall complete its work in 2009 and present the outcome of its work to the COP for adoption at its fifteenth session.

2. In accordance with the Bali Action Plan, the fourth session of the AWG-LCA will be held from Monday, 1 December to Wednesday, 10 December 2008, in conjunction with the fourteenth session of the COP, the fourth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, the twenty-ninth sessions of the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Subsidiary Body for Implementation (SBI), and the resumed sixth session of the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol.<sup>1</sup>

## **III. Annotations to the provisional agenda**

### **1. Opening of the session**

3. The fourth session of the AWG-LCA will be opened by the Chair on Monday, 1 December 2008 in Poznan, Poland, at the Poznan International Fair.

### **2. Organizational matters**

(a) Adoption of the agenda

4. The provisional agenda for the session will be presented for adoption.

FCCC/AWGLCA/2008/14 <i>Provisional agenda and annotations. Note by the Executive Secretary</i>
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(b) Organization of the work of the session

5. *Background:* The AWG-LCA, at its first session, agreed that its sessions shall be organized in such a manner for there to be sufficient time available for the negotiations of the AWG-LCA in order to enable the COP to reach an agreed outcome and adopt a decision at its fifteenth session.<sup>2</sup>

6. The Chair of the AWG-LCA has prepared a note on the scenario for the fourth session, focusing on the organization of work, which includes suggestions for how the limited time available at the session could be used in the most effective manner. Delegates are invited to consult this scenario note available as document FCCC/AWGLCA/2008/15 for further information on the organization of work.

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<sup>1</sup> COP 14 and the fourth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol will be held from 1 to 12 December 2008.

<sup>2</sup> FCCC/AWGLCA/2008/3, paragraph 24.

7. The AWG-LCA will meet in an opening plenary in the afternoon of Monday, 1 December 2008. Given the limited time available, the Chair will invite statements on behalf of major groups of Parties. Delegations are requested to keep their oral statements as brief as possible and to give a hard copy to the conference officers in advance to facilitate the work of the interpreters. Those wishing to make a written statement available to all delegates should bring copies for distribution.

8. At its first session, the AWG-LCA agreed that its work should be facilitated by workshops and other activities to clarify and deepen understanding of the elements contained in the Bali Action Plan.<sup>3</sup> Accordingly, the AWG-LCA requested the secretariat, under the guidance of the Chair in consultation with Parties, to organize, at its fourth session, three workshops on:

- (a) Shared vision for long-term cooperative action;
- (b) Risk management and risk reduction strategies, including risk sharing and transfer mechanisms such as insurance;
- (c) Cooperation on research and development of current, new and innovative technology, including win-win solutions.

9. The three workshops will be held in the first week of the sessional period. The Chair will present a summary of the views expressed at the workshops to a plenary meeting of the AWG-LCA at this session. The summaries will be incorporated in the Chair's summary of the session in accordance with the conclusions of the first session of the AWG-LCA.

10. The workshops will be Party-driven, meaning that substantive discussions will rely primarily on inputs from Parties. All Parties are invited to contribute to the interactive exchange and to bring to the workshops their ideas and proposals for concrete ways to realize the mandate of the Bali Action Plan. Parties are invited to make either brief and focused presentations on proposals (no more than 10 minutes and, if needed, no more than five slides) or to make short interventions (no more than three minutes). Parties intending to make presentations on proposals are invited to inform the secretariat in advance so that the Chair may group together presentations on related topics.<sup>4</sup>

11. Parties are invited to refer to the overview of the session posted on the UNFCCC website<sup>5</sup> and to consult the daily programme, published during the session, for a detailed and up-to-date schedule of the work of the AWG-LCA, including organizational matters for the three workshops mentioned in paragraph 8 above.

12. The SBI, at its twenty-fourth session,<sup>6</sup> recommended that meetings should normally end by 6 p.m. but may, in exceptional circumstances, continue to no later than 9 p.m. This session of the AWG-LCA will be organized accordingly.

13. *Action:* The AWG-LCA will be invited to agree on the approach to the organization of work for the session.

FCCC/AWGLCA/2008/14	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
FCCC/AWGLCA/2008/15	<i>Scenario note on the fourth session. Note by the Chair</i>

<sup>3</sup> FCCC/AWGLCA/2008/3, paragraph 26.

<sup>4</sup> Parties wishing to make presentations are invited to contact the secretariat at <lcaworkshops@unfccc.int> as soon as possible but not later than 1 December 2008.

<sup>5</sup> <[http://unfccc.int/meetings/cop\\_14/items/4481.php](http://unfccc.int/meetings/cop_14/items/4481.php)>.

<sup>6</sup> FCCC/SBI/2006/11, paragraph 102.

(c) Election of officers

14. *Background:* Pursuant to rule 27 of the draft rules of procedure being applied,<sup>7</sup> and in accordance with COP decision 1/CP.13 paragraph 5, the COP at the last meeting of its thirteenth session elected Mr. Luiz Alberto Figueiredo Machado (Brazil) as Chair and Mr. Michael Zammit Cutajar (Malta) as Vice-Chair of the AWG-LCA, on the understanding that these functions shall alternate annually. Consultations undertaken at the request of the President of COP 13 on the nominations for officers of bodies under the Convention and its Kyoto Protocol have confirmed the availability of Mr. Zammit Cutajar to serve as Chair and Mr. Figueiredo Machado to serve as Vice-Chair for the next one-year term and Ms. Lilian Portillo (Paraguay) has been nominated as Rapporteur for the next one-year term.

15. In order to permit the current chair of the AWG-LCA to continue carrying out his duties to the end of COP 14, in particular to present the progress report of the AWG-LCA to the high-level segment of the COP, it is proposed that the election of the next Chair and Vice-Chair be carried out by the COP at the last meeting of its fourteenth session.

16. *Action:* The AWG-LCA will be invited to elect its Rapporteur for the next one-year term (2009).

### **3. Enabling the full, effective and sustained implementation of the Convention through long-term cooperative action now, up to and beyond 2012, by addressing, inter alia:**

- (a) A shared vision for long-term cooperative action
- (b) Enhanced national/international action on mitigation of climate change
- (c) Enhanced action on adaptation
- (d) Enhanced action on technology development and transfer to support action on mitigation and adaptation
- (e) Enhanced action on the provision of financial resources and investment to support action on mitigation and adaptation and technology cooperation

17. *Background:* The AWG-LCA, at its first session, invited its Chair to prepare summaries of the views expressed in the exchanges between Parties during the sessions.<sup>8</sup> The Chair's summary of the views expressed at the third session is contained in document FCCC/AWGLCA/2008/13.

18. The AWG-LCA, at its second session, invited Parties to submit ideas and proposals and, where appropriate and to the extent possible, specific textual proposals on the elements contained in paragraph 1 of the Bali Action Plan, taking into account the interlinkages among the elements and the specific subparagraphs under each of the elements, in order to focus the consideration of all the five elements by the AWG-LCA.<sup>9</sup> It further invited Parties to submit ideas and proposals on the subjects of the AWG-LCA workshops scheduled for 2008.

19. The AWG-LCA, at its third session, invited the Chair to prepare, under his own responsibility, a document assembling the ideas and proposals presented by Parties on the elements contained in paragraph 1 of the Bali Action Plan, taking into account the ideas and proposals presented by accredited observer organizations.<sup>10</sup> In preparation of this document, the Chair has relied on the written submissions received by 30 September 2008 in response to the invitations contained in

<sup>7</sup> FCCC/CP/1996/2.

<sup>8</sup> FCCC/AWGLCA/2008/3, paragraphs 22 and 26.

<sup>9</sup> FCCC/AWGLCA/2008/8, paragraphs 25 and 26

<sup>10</sup> FCCC/AWGLCA/2008/12, paragraph 27.

the Bali Action Plan, and in the conclusions of the first and second sessions of the AWG-LCA<sup>11</sup>, as well as the presentations made in the in-session workshops. The Chair was further requested to update the document before the end of the fourth session based on submissions received after 30 September 2008 and the ideas and proposals put forward during that session.<sup>12</sup>

20. The AWG-LCA, at its second session, requested the secretariat, subject to the availability of financial resources, to prepare and make available for consideration at its fourth session the following documents:<sup>13</sup>

- (a) A technical paper on challenges and opportunities for mitigation in the agricultural sector;
- (b) A technical paper on mechanisms, including innovative insurance tools, that can be used to manage financial risks from direct impacts of climate change in developing countries, including consideration of the unique circumstances of the most vulnerable developing countries, especially least developed countries, small island developing States and countries in Africa, and the design of appropriate mechanisms bringing together inputs from technical experts in the fields of insurance, reinsurance, and hazard assessment;
- (c) An update of the technical paper on investment and financial flows to address climate change,<sup>14</sup> taking into account paragraph 1 of the Bali Action Plan;
- (d) An information note on adaptation related activities within the United Nations system.

21. These documents – FCCC/TP/2008/8, FCCC/TP/2008/9, FCCC/TP/2008/7 and FCCC/AWGLCA/2008/INF.2, respectively – will provide inputs to the AWG-LCA at its fourth session.

22. *Action:* The AWG-LCA will be invited to explore the ideas and proposals assembled by the Chair, to clarify proposals on the table, to identify their common elements and to consider what needs to be negotiated under each of the five elements of the Bali Action Plan to reach an agreed outcome.

<i>FCCC/AWGLCA/2008/13</i>	<i>Summary of views expressed during the third session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Note by the Chair</i>
<i>FCCC/AWGLCA/2008/15</i>	<i>Scenario note on the fourth session. Note by the Chair</i>
<i>FCCC/AWGLCA/2008/16</i>	<i>Ideas and proposals on paragraph 1 of the Bali Action Plan. Note by the Chair</i>
<i>FCCC/AWGLCA/2008/INF.2</i>	<i>Adaptation-related activities within the United Nations system. Note by the Secretariat</i>
<i>FCCC/AWGLCA/2008/MISC.5</i>	<i>Ideas and proposals on the elements contained in paragraph 1 of the Bali Action Plan. Submissions from Parties</i>
<i>FCCC/AWGLCA/2008/MISC.6</i>	<i>Ideas and proposals on the elements contained in paragraph 1 of the Bali Action Plan. Submissions from intergovernmental organizations</i>

<sup>11</sup> Decision 1/CP.13, paragraph 8, document FCCC/AWGLCA/2008/3, paragraph 23, and document FCCC/AWGLCA/2008/8, paragraphs 25 and 26.

<sup>12</sup> FCCC/AWGLCA/2008/15, paragraph 9.

<sup>13</sup> FCCC/AWGLCA/2008/8, paragraph 28.

<sup>14</sup> Investment and Financial Flows to Address Climate Change. <[http://unfccc.int/files/cooperation\\_and\\_support/financial\\_mechanism/application/pdf/background\\_paper.pdf](http://unfccc.int/files/cooperation_and_support/financial_mechanism/application/pdf/background_paper.pdf)>.

<i>FCCC/TP/2008/7</i>	<i>Investment and financial flows to address climate change: an update. Technical paper</i>
<i>FCCC/TP/2008/8</i>	<i>Challenges and opportunities for mitigation in the agricultural sector. Technical paper</i>
<i>FCCC/TP/2008/9</i>	<i>Mechanisms to manage financial risks from direct impacts of climate change in developing countries. Technical paper</i>

#### 4. Work programme for 2009

23. *Background:* The AWG-LCA, at its first session, agreed to start considering its work programme for 2009 at its second session in 2008, and complete it no later than at its fourth session in 2008.<sup>15</sup>

24. The AWG-LCA, at its second session, confirmed that it would hold four sessions in 2009, in March/April, in June in conjunction with the thirtieth sessions of the SBSTA and the SBI, in August/September and in conjunction with the fifteenth session of the COP,<sup>16</sup> in conformity with the overall schedule of meetings under the Convention. The AWG-LCA noted that, for planning purposes, a decision on whether an additional session in 2009 would be necessary should be reached no later than at COP 14.<sup>17</sup>

25. At its third session, the AWG-LCA concluded on the approach to conducting its work in 2009. The AWG-LCA determined that it would shift into full negotiating mode in 2009 and organize its work accordingly, advancing negotiation on all elements of the Bali Action Plan in a comprehensive and balanced way.<sup>18</sup>

26. *Action:* The AWG-LCA will be invited to conclude its consideration of its work programme for 2009, and determine if an additional session would need to be scheduled in 2009.

#### 5. Report to the Conference of the Parties at its fourteenth session on progress made

27. *Background:* The COP, at its thirteenth session, requested the AWG-LCA to report to the COP at its fourteenth session on progress made. The report will be presented by the Chair to the COP at the opening of the high-level segment on Thursday, 11 December. The COP further agreed to take stock, at COP 14, of the progress made on the basis of a report by the group.<sup>19</sup>

28. *Action:* The AWG-LCA will be invited to consider its report to the COP on progress made.

<i>FCCC/AWGLCA/2008/3</i>	<i>Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its first session, held in Bangkok from 31 March to 4 April 2008</i>
<i>FCCC/AWGLCA/2008/6</i>	<i>Summary of views expressed during the first session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on the development of the two-year work programme that was mandated under paragraph 7 of the Bali Action Plan. Note by the Chair</i>

<sup>15</sup> FCCC/AWGLCA/2008/3, paragraph 25.

<sup>16</sup> 30 November to 11 December 2009.

<sup>17</sup> FCCC/AWGLCA/2008/8, paragraph 36.

<sup>18</sup> FCCC/AWGLCA/2008/12, paragraph 33.

<sup>19</sup> Decision 1/CP.13, paragraphs 9–10.

<i>FCCC/AWGLCA/2008/8</i>	<i>Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its second session, held in Bonn from 2 to 12 June 2008</i>
<i>FCCC/AWGLCA/2008/11</i>	<i>Summary of views expressed during the second session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Note by the Chair</i>
<i>FCCC/AWGLCA/2008/12 and Corr.1</i>	<i>Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its third session, held in Accra from 21 to 27 August 2008</i>
<i>FCCC/AWGLCA/2008/13</i>	<i>Summary of views expressed during the third session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Note by the Chair</i>

## **6. Other matters**

29. Any other matters arising during the session will be taken up under this item.

## **7. Report on the session**

30. *Background:* A draft report on the work of the session will be prepared for adoption by the AWG-LCA at the end of the session.

31. *Action:* The AWG-LCA will be invited to adopt the draft report and authorize the Rapporteur to complete the report after the session, under the guidance of the Chair and with the assistance of the secretariat.

Annex

**Documents prepared for the  
Ad Hoc Working Group on Long-term Cooperative Action under the  
Convention at its fourth session**

**Documents prepared for the session**

FCCC/AWGLCA/2008/13	Summary of views expressed during the third session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Note by the Chair
FCCC/AWGLCA/2008/14	Provisional agenda and annotations. Note by the Executive Secretary
FCCC/AWGLCA/2008/15	Scenario note on the fourth session. Note by the Chair
FCCC/AWGLCA/2008/16	Ideas and proposals on paragraph 1 of the Bali Action Plan. Note by the Chair
FCCC/AWGLCA/2008/INF.2	Adaptation-related activities within the United Nations system. Note by the secretariat.
FCCC/AWGLCA/2008/MISC.5	Ideas and proposals on the elements contained in paragraph 1 of the Bali Action Plan. Submissions from Parties
FCCC/AWGLCA/2008/MISC.6	Ideas and proposals on the elements contained in paragraph 1 of the Bali Action Plan. Submissions from intergovernmental organizations
FCCC/TP/2008/7	Investment and financial flows to address climate change: an update. Technical paper
FCCC/TP/2008/8	Challenges and opportunities for mitigation in the agricultural sector. Technical paper
FCCC/TP/2008/9	Mechanisms to manage financial risks from direct impacts of climate change in developing countries. Technical paper.

**Other documents before the session**

FCCC/AWGLCA/2008/3	Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its first session, held in Bangkok from 31 March to 4 April 2008
FCCC/AWGLCA/2008/6	Summary of views expressed during the first session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on the development of the two-year work programme that was mandated under paragraph 7 of the Bali Action Plan. Note by the Chair
FCCC/AWGLCA/2008/8	Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its second session, held in Bonn from 2 to 12 June 2008



FCCC/AWGLCA/2008/11	Summary of views expressed during the second session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention. Note by the Chair
FCCC/AWGLCA/2008/12 and Corr.1	Report of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on its third session, held in Accra from 21 to 27 August 2008

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