



Security Council

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Letter dated 14 December 2021 from the Chair of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities addressed to the President of the Security Council

I have the honour to transmit herewith the report of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities, containing an account of the Committee's activities from 1 January to 31 December 2021. The report, which was approved by the Committee, is being submitted in accordance with the note by the President of the Security Council of 29 March 1995 ([S/1995/234](#)).

I should be grateful if the present letter and the report were brought to the attention of the members of the Security Council and issued as a document of the Council.

(Signed) Trine Heimerback

Chair

Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities



Report of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities

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I. Introduction

1. The present report of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities covers the period from 1 January to 31 December 2021.

2. The Bureau of the Committee consisted of Trine Heimerback (Norway) as Chair and representatives of the Russian Federation and Saint Vincent and the Grenadines as Vice-Chairs.

II. Background

3. By its resolution [1267 \(1999\)](#), the Security Council imposed limited air and financial embargoes to compel the Taliban to cease providing sanctuary and training to terrorists, including Usama bin Laden. The Council subsequently modified the regime by its resolutions [1333 \(2000\)](#) and [1390 \(2002\)](#) and imposed an arms embargo, a travel ban and an assets freeze on designated individuals and entities associated with the Taliban and Al-Qaida. Exemptions to the assets freeze and the travel ban are available.

4. On 17 June 2011, the Security Council unanimously adopted resolutions [1988 \(2011\)](#) and [1989 \(2011\)](#), by which it split the regime in two, establishing one committee for the Taliban and another for Al-Qaida. By its resolution [2253 \(2015\)](#), the Council expanded the listing criteria to include those associated with Islamic State in Iraq and the Levant (ISIL, or Da'esh), in addition to Al-Qaida.

5. By its resolution [2610 \(2021\)](#), adopted on 17 December 2021, the Security Council extended the mandates of the Analytical Support and Sanctions Monitoring Team pursuant to resolutions [1526 \(2004\)](#) and [2253 \(2015\)](#) concerning ISIL (Da'esh), Al Qaida and the Taliban and associated individuals and entities and of the Office of the Ombudsperson until 17 June 2024. The Council decided to review the measures with a view to their possible further strengthening in 30 months, or sooner if necessary.

6. Both the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning ISIL (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities, and the Security Council Committee established pursuant to resolution [1988 \(2011\)](#) are supported by the Monitoring Team. The Monitoring Team initially consisted of 8 experts, and that number was increased to 10 experts in resolution [2253 \(2015\)](#).

7. Further background information on the ISIL (Da'esh) and Al-Qaida sanctions regime can be found in the previous annual reports of the Committee.

III. Summary of the activities of the Committee

8. The Committee met four times in informal consultations, on 7 July, 14 July, and 13 October and 24 November in addition to conducting its work through written procedures. The Committee also met for a joint special meeting with the Security Council Committee established pursuant to resolution 1373 (2011) concerning counter-terrorism on 18 November. The Committee also held one joint briefing with the Security Council Committee established pursuant to resolution 1988 (2011) for all Member States on 3 December.

9. In the light of the challenges posed by the coronavirus disease (COVID-19) pandemic to the Committee's usual procedures, including the limitations on holding in-person meetings, and to ensure the continuity of its work, the members of the Committee agreed, on an exceptional basis, to hold virtual meetings in the form of closed videoconferences, on the following dates: 20 January, 19 February and 20 April.

10. During the closed videoconference held on 20 January, the Committee heard a presentation by the Monitoring Team on the Team's twenty-seventh report (S/2021/68), submitted in accordance with paragraph (a) of annex I to resolution 2368 (2017), and discussed the recommendations contained therein. The Committee also received a quarterly briefing from the Monitoring Team in pursuance of paragraph 99 of resolution 2368 (2017).

11. During the closed videoconference held on 19 February, the Committee heard a presentation on the Monitoring Team's visit to Turkey and received a briefing on Secretariat matters.

12. During the closed videoconference held on 20 April, the Committee received a quarterly briefing from the Monitoring Team in pursuance of paragraph 99 of resolution 2368 (2017).

13. During the informal consultations held on 7 July, the Committee heard a briefing by the Ombudsperson on his findings regarding two delisting requests.

14. During the informal consultations on 14 July, the Committee heard a presentation by the Monitoring Team on the Team's twenty-eighth report (S/2021/655), submitted in accordance with paragraph (a) of annex I to resolution 2368 (2017), and discussed the recommendations contained therein.

15. During the informal consultations on 13 October, the Committee received a quarterly briefing from the Monitoring Team in pursuance of paragraph 99 of resolution 2368 (2017). The Committee also heard a briefing from the Monitoring Team on its report regarding the assets freeze exemption procedures pursuant to resolution 2560 (2020) and reviewed its list of pending matters.

16. During the joint special meeting with the Committee established pursuant to resolution 1373 (2001) on 18 November, the Committees heard presentations from various invited panel members on the subject "Terrorist-financing threats and trends and the implementation of Security Council resolution 2462 (2019)".

17. During the informal consultations held on 24 November, the Committee heard a briefing by the Ombudsperson on his findings regarding a delisting request. Thereafter, the Committee considered its list of pending matters.

18. On 2 December, the Chair briefed the Security Council on the mandate and general work of the Committee alongside the Chair of the Committee established pursuant to resolution 1373 (2001) concerning counter-terrorism and the Chair of the

Security Council Committee established pursuant to resolution [1540 \(2004\)](#) (see [S/PV.8915](#)).

19. On 3 December, the Committee held one joint briefing for interested Member States with the Committee established pursuant to resolution [1988 \(2011\)](#). During the joint briefing, the Chair raised awareness of the sanctions regime, enhancing transparency and improving the dialogue between the Committees and the broader United Nations membership. The Coordinator of the Monitoring Team and the Ombudsperson also briefed Member States.

20. The Committee provided additional guidance to all Member States by issuing two notes verbales, sent on 11 February and 11 August, on, respectively, the Monitoring Team's recommendations concerning its twenty-seventh and twenty-eighth reports.

21. The Committee sent 89 communications to 45 Member States and other stakeholders, 11 communications to the Office of the Ombudsperson and 3 communications to the focal point for delisting.

IV. Exemptions

22. Exemptions to the assets freeze are contained in paragraphs 1 and 2 of resolution [1452 \(2002\)](#), as amended in resolution [1735 \(2006\)](#), paragraph 75 of resolution [2253 \(2015\)](#) and paragraph 81 of resolution [2368 \(2017\)](#).

23. Exemptions to the travel ban are contained in paragraphs 2 (b) and 10 of resolution [2253 \(2015\)](#), paragraphs 1 (b) and 10 of resolution [2368 \(2017\)](#) and section 12 of the Committee's guidelines for the conduct of its work.

24. Pursuant to paragraphs 10 and 76 of resolution [2253 \(2015\)](#) and paragraphs 10 and 82 of resolution [2368 \(2017\)](#), the focal point mechanism established in resolution [1730 \(2006\)](#) may also receive requests for exemption from the assets freeze and the travel ban, submitted by or on behalf of an individual, group, undertaking or entity on the sanctions list, or by the legal representative or estate of such an individual, group, undertaking or entity, for the Committee's consideration.

25. The Committee received three requests for exemption from the assets freeze, determined to be necessary for basic expenses pursuant to paragraph 81 (a) of resolution [2368 \(2017\)](#), of which one was approved, one was denied and one is under consideration by the Committee. The Committee received one request for an exemption to the assets freeze to cover extraordinary expenses pursuant to paragraph 81 (b) of resolution [2368 \(2017\)](#) that was not approved. The Committee received no requests for exemption from the travel ban and assets freeze through the focal point mechanism pursuant to paragraphs 82 and 83 of resolution [2368 \(2017\)](#). On 27 August, the Committee denied one request for exemption from the assets freeze for extraordinary expenses received on 20 November 2020 through the focal point mechanism pursuant to paragraphs 82 and 83 of resolution [2368 \(2017\)](#).

V. Sanctions list

26. The criteria for the designation of individuals and entities as subject to the travel ban, assets freeze and arms embargo are set out in paragraphs 2 to 4 of resolution [2368 \(2017\)](#). The procedures for requesting listing and delisting are described in the Committee's guidelines for the conduct of its work, and standard forms for listing and delisting are available on the Committee's website.

27. Both the Committee and the Ombudsperson can receive delisting requests. During the reporting period, four individuals and one entity were listed. Three individuals were delisted, all three following a review by the Ombudsperson. The Committee approved amendments to the existing entries of nine individuals on its sanctions list. On 31 January, the Secretariat implemented technical amendments to the existing entries of 52 individuals and 40 entities as a result of the 2019 annual review in accordance with paragraph 86 of resolution [2368 \(2017\)](#). On 29 December, the Secretariat implemented technical amendments to the existing entries of 47 individuals and 15 entities as a result of the 2020 annual review in accordance with paragraph 86 of resolution [2368 \(2017\)](#).

28. As at the end of the reporting period, there were 263 individuals and 90 entities on the sanctions list of the Committee.

VI. Monitoring Team

29. The Monitoring Team comprises 10 experts with broad experience in international counter-terrorism issues.

30. On 20 January and 14 July, the Monitoring Team presented, respectively, its twenty-seventh ([S/2021/68](#)) and twenty-eighth ([S/2021/655](#)) reports to the Committee, in accordance with paragraph (a) of annex I to resolution [2368 \(2017\)](#).

31. In January and July, the Monitoring Team contributed to the reports of the Secretary-General submitted pursuant to paragraph 101 of resolution [2368 \(2017\)](#) ([S/2021/98](#) and [S/2021/682](#)).

32. During the closed videoconferences held on 20 January, 19 April, 14 July and 13 October, the Monitoring Team gave a briefing to the Committee pursuant to paragraph 99 of resolution [2368 \(2017\)](#), including on information gathered and analysis relevant to potential sanctions designations by Member States or Committee action that could be taken. The Team also informed the Committee of its trips to Member States on 19 February.

33. The Monitoring Team also assisted the Committee in conducting the annual review of the sanctions list pursuant to resolution [2368 \(2017\)](#). On 14 October, the Coordinator of the Monitoring Team submitted a written briefing on the annual review to the Committee.

34. On 16 December 2020 and 22 June 2021, in accordance with paragraph (e) of annex I to resolution [2368 \(2017\)](#), the Monitoring Team submitted its combined biannual travel plans for the Committee and the Committee established pursuant to resolution [1988 \(2011\)](#) for the periods from January to June and July to December 2021. Accordingly, the Team conducted country visits to two Member States and participated in more than 19 regional and international conferences and other meetings. The Team also organized the eighteenth regional meeting of heads of intelligence and security services, held in Vienna in June.

35. The Monitoring Team held meetings with incoming members of the Security Council in order to raise awareness of the Team's mandate and work.

36. In pursuance of its mandate, the Monitoring Team, through the Secretariat, sent 93 letters to Member States, regional and international organizations, national entities and the Committee.

VII. Ombudsperson

37. The Office of the Ombudsperson submitted five comprehensive reports to the Committee and presented three reports to the Committee. The Committee took a decision in four cases, resulting in the delisting of three individuals and the retention of one individual on the sanctions list. The Ombudsperson, in addition to holding meetings in New York, sent 48 requests for information to Member States and communicated by way of videoconference on three occasions and once in person with officials in their respective capitals to gather information on specific cases.

38. The Ombudsperson submitted periodic reports to the Security Council on 8 February ([S/2021/122](#)), 23 July ([S/2021/676](#)) and 16 December ([S/2021/1062](#)).

39. Daniel Kipfer-Fasciati left his functions as Ombudsperson on 17 December. His successor has not yet been appointed. On 30 November, the Secretariat briefed the Committee in “informal informals” on the status of its selection process for the new Ombudsperson.

VIII. Secretariat administrative and substantive support

40. The Security Council Affairs Division provided substantive and procedural support to the Chair and the members of the Committee. Advisory support was also provided to Member States to promote understanding of the sanctions regime and facilitate the implementation of the sanctions measures. Induction briefings were also provided to incoming members of the Council to familiarize them with the specific issues relevant to the sanctions regime. To complement those briefings, from 3 to 6 December, the Secretariat conducted a pilot issues-based training session on the design, implementation, monitoring, evaluation, adjustments and redesign of sanctions for incoming members of the Council.

41. The Division worked with the Department for General Assembly and Conference Management and the Office of Information and Communications Technology of the Department of Management Strategy, Policy and Compliance to facilitate the conduct of in-person meetings in line with relevant COVID-19 guidance and restrictions and continued to make virtual meetings available as an alternative option.

42. To support the Committee in its recruitment of well-qualified experts to serve on sanctions monitoring groups, teams and panels, a note verbale was sent to all Member States on 2 December to request the nomination of qualified candidates for membership on the roster of experts. In addition, three notes verbales were sent to all Member States, on 8 January and 28 May, notifying them of the three upcoming vacancies on the Monitoring Team and providing information on recruitment timelines, areas of expertise and pertinent requirements. On 6 January and 26 May, the three vacancy announcements were made available online at [careers.un.org](#). With regard to the recruitment process for the new Ombudsperson, two notes verbales were sent to all Member States, on 26 July and 25 August, with requests for the nomination of suitable candidates for the position. On 23 July, the vacancy announcement was made available online at [careers.un.org](#).

43. The Division continued to provide support to the Monitoring Team, assisting in the preparation of the Team’s biannual reports, submitted to the Committee in June and December. The Secretariat facilitated travel by the Monitoring Team to meet with Member States and other stakeholders, taking into account World Health Organization guidelines, national travel advisories and other COVID-19 related requirements. The Secretariat organized a workshop on incidents of intimidation and reprisals associated

with United Nations cooperation, held on 1 December. In addition, the Secretariat organized training sessions for experts on the use of databases provided through the Dag Hammarskjöld Library, to facilitate their monitoring and reporting work.

44. The Secretariat continued to update and maintain the United Nations Security Council Consolidated List and the committee-specific sanctions lists in the six official languages and the three technical formats. Furthermore, the Secretariat implemented improvements concerning the effective utilization of and access to the lists, as well as further developing, in all official languages, the data model approved in 2011 by the Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning ISIL (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities, as requested by the Council in paragraph 54 of its resolution [2368 \(2017\)](#). In December, the Secretariat held informal meetings with relevant stakeholders to present the structure of the new data model for both the Consolidated List and committee-specific sanctions lists before the official roll-out of the new model.
