



Convention on Biological Diversity

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CONFERENCE OF THE PARTIES TO THE
CONVENTION ON BIOLOGICAL DIVERSITY
SERVING AS THE MEETING OF THE PARTIES TO
THE NAGOYA PROTOCOL ON ACCESS TO
GENETIC RESOURCES AND THE FAIR AND
EQUITABLE SHARING OF BENEFITS ARISING
FROM THEIR UTILIZATION

Fourth meeting – Part II and resumed part II

Montreal, Canada, 7–19 December 2022

Nairobi, 19 and 20 October 2023

REPORT OF THE CONFERENCE OF THE PARTIES SERVING AS THE MEETING OF THE PARTIES TO THE NAGOYA PROTOCOL ON THE SECOND PART OF ITS FOURTH MEETING

Summary

The Conference of the Parties to the Convention on Biological Diversity serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising From Their Utilization held the second part of its fourth meeting in Montreal, Canada, from 7 to 19 December 2022, and in Nairobi, on 19 and 20 October 2023. It adopted 12 decisions, which are provided in section I, while the account of the proceedings of the meeting is contained in section II.

Contents

	<i>Page</i>
I. Decisions adopted by the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol*	3
NP-4/2. Compliance with the Protocol.....	3
NP-4/3. Monitoring and reporting (Article 29)	4
NP-4/4. Access and Benefit-sharing Clearing-House and information sharing (Article 14).....	26
NP-4/5. Enhancing the implementation of the Nagoya Protocol in the context of the Kunming-Montreal Global Biodiversity Framework	27
NP-4/6. Digital sequence information on genetic resources	28
NP-4/7. Measures to assist in capacity-building and development (Article 22) and awareness-raising (Article 21)	29
NP-4/8. Financial mechanism and resources (Article 25).....	32
NP-4/9. Review of effectiveness of structures and processes under the Convention and its Protocols	34
NP-4/10. Global multilateral benefit-sharing mechanism (Article 10)	36
NP-4/11. Specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol	37
NP-4/12. Budget for the integrated programme of work of the Secretariat	38
II. Account of proceedings	44

* For decision NP-4/1, see CBD/NP/MOP/4/4, section I.

I. DECISIONS ADOPTED BY THE CONFERENCE OF THE PARTIES SERVING AS THE MEETING OF THE PARTIES TO THE NAGOYA PROTOCOL*

NP-4/2. Compliance with the Protocol

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol

1. *Welcomes*, with appreciation, the progress made by Parties in the implementation of the Protocol;
2. *Urges* Parties concerned to expedite the adoption and implementation of their access and benefit-sharing legislative, administrative or policy measures and institutional arrangements, among other things the designation of checkpoints, in accordance with the Protocol;
3. *Also urges* those Parties that have relevant national information to be made available to the Access and Benefit-sharing Clearing-House in accordance with the requirement of Article 14, paragraph 2, of the Protocol to make this information available as soon as possible;
4. *Recognizes* the need for further capacity-building and development, technical and scientific cooperation, technology transfer and resource mobilization to accelerate the operationalization of the Protocol and invites Parties, other Governments, donors and relevant organizations to provide additional resources and to support the implementation of the Protocol, in particular in developing countries, including countries with economies in transition, small island developing States and least developed countries;
5. *Welcomes* the submission of eleven additional national reports after the last meeting of the Parties to the Protocol;
6. *Expresses its appreciation* to those non-Parties that submitted national reports on the implementation of the requirements of the Nagoya Protocol;
7. *Urges* Parties that have not yet done so to submit their national report without further delay;
8. *Encourages* Parties to take the Kunming-Montreal Global Biodiversity Framework as an opportunity to strengthen their efforts to implement their obligations under the Protocol effectively;
9. *Recalls* section G of the procedures and mechanisms on compliance¹ whereby a review of the effectiveness of these procedures and mechanisms is to be undertaken as part of the assessment and review provided for in Article 31 of the Protocol.

* Decision NP-4/1 (Budget for the integrated programme of work of the Secretariat) was adopted on 15 October 2021, during the first part of the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising From their Utilization and is therefore included in the corresponding report ([CBD/NP/MOP/4/4](#)).

¹ Decision NP-1/4, annex.

NP-4/3. Monitoring and reporting (Article 29)

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol,
Recalling decision NP-3/4,

Noting the desirability of developing nationally as well as globally relevant indicators for access and benefit-sharing in the context of the Kunming-Montreal Global Biodiversity Framework,

1. *Welcomes* decision 15/6 of the Conference of the Parties and agrees to maintain the synchronized national reporting cycle foreseen in decisions 14/27 and NP-3/4;
2. *Takes note* of the monitoring framework for the Kunming-Montreal Global Biodiversity Framework and its proposed indicators related to access and benefit-sharing, and welcomes the process set forth in decision 15/5;
3. *Adopts* the guidelines and format for submission of the first national report on the implementation of the Nagoya Protocol as contained in the annex to the present decision;
4. *Requests* the Executive Secretary to make the guidelines and the format for the first national report available through the Access and Benefit-sharing Clearing-House;
5. *Requests* Parties to submit a first national report on the implementation of their obligations under the Nagoya Protocol, and welcomes submissions of relevant information by non-Parties:
 - (a) In an official language of the United Nations;
 - (b) Through the Access and Benefit-sharing Clearing-House;
 - (c) At the same time as the seventh national reports under the Convention are due;
6. *Requests* the Executive Secretary to assist Parties for which direct online submission of the national report through the Access and Benefit-sharing Clearing-House is technically not feasible to publish their reports on the Access and Benefit-sharing Clearing-House;
7. *Also requests* the Executive Secretary to consolidate information contained in first national reports and information made available by Parties through the Access and Benefit-sharing Clearing-House for the consideration of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its sixth meeting as well as to make information shared by non-Parties and non-State actors, as appropriate, available for the information of the meeting, as a contribution to the second assessment and review of the effectiveness of the Protocol;
8. *Decides* to keep the format of the national report under review, based on feedback received from Parties and the experience gained and to reflect all relevant articles of the Nagoya Protocol in the reporting format;
9. *Urges* Parties to provide complete and accurate information in their national reports.

Annex

GUIDELINES AND FORMAT FOR THE FIRST NATIONAL REPORT ON THE IMPLEMENTATION OF THE NAGOYA PROTOCOL

Overview

1. Article 29 of the Nagoya Protocol requires each Party to monitor the implementation of its obligations under the Protocol and to report to the Conference of the Parties serving as the meeting of the Parties on the implementation measures it has taken. National reports play an essential role in the review of the implementation of the Protocol and in assisting the Conference of the Parties serving as the meeting of the Parties in taking, within its mandate, the decisions necessary to promote effective implementation of the Protocol in accordance with Article 26, paragraph 4. National reports can also be a useful tool for countries

at the national level to engage with stakeholders, assess their level of implementation of the Protocol and identify challenges in implementation and gaps and needs in terms of capacity, resources and technology.

2. Submitting a national report is one of the key obligations the Nagoya Protocol places on its Parties. As per its procedures, the Compliance Committee under the Nagoya Protocol may examine a situation where a Party fails to submit its national report pursuant to Article 29 of the Protocol.

3. The first national report on the implementation of the Nagoya Protocol is to provide an updated state of implementation of the Protocol since its entry into force or since the submission of the interim national report, as applicable to the Party.

4. Given the time required to prepare, approve and submit a national report, Parties are encouraged to start preparing their reports well before the deadline. It is recommended that Parties involve indigenous peoples and local communities and other relevant stakeholders, as appropriate, in the preparation of the report in order to ensure a participatory and transparent approach to its development.

Content and structure of the report

5. Mandatory questions are based on those provisions of the Protocol that establish obligations for its Parties. Other mandatory questions are ones necessary to contextualize other questions, or which serve as means of collecting information for the assessment and review of the effectiveness of the Nagoya Protocol. Mandatory questions and sub-questions are indicated by an asterisk.

6. The reporting format has been developed with a view to minimizing the reporting burden on countries. Many questions are multiple choice and wherever possible, a standard set of three options is provided for responding to these questions:

- (a) **Yes**, which means that the provision can be considered fully implemented;
- (b) **Yes, to some extent**, which means the provision can be considered partially implemented (e.g. where work is underway to meet the requirement); or
- (c) **No**, which means the provision can be considered not yet implemented.

7. Countries are encouraged to provide brief explanations of their responses in view of contributing more detailed information to review processes under the Nagoya Protocol.

8. The reporting format groups questions by theme, as follows:

Part I – General information

Part II – Institutional structures for the implementation of the Protocol

Part III – Measures on access to genetic resources

Part IV – Measures on fair and equitable benefit-sharing

Part V – Measures on compliance with domestic legislation and on monitoring utilization

Part VI – Measures on compliance with mutually agreed terms

Part VII – Measures addressing special considerations

Part VIII – Measures related to indigenous peoples and local communities

Part IX – Contribution to conservation and sustainable use and benefits received

Part X – Transboundary cooperation

Part XI – Model contractual clauses, codes of conduct, guidelines and best practices and/or standards

Part XII – Awareness-raising and capacity-building

Part XIII – Technology transfer, collaboration and cooperation

Part XIV – Financial resources and resource mobilization

Part XV – Measures related to other international instruments

Part XVI – Optional additional information

Part XVII (offline submission only) – ABS Clearing House record validation

9. At the end of each part, there is a question inviting countries to provide additional information and links to relevant documentation, should they wish to do so.

Submission of the report

10. The first national report is to be submitted online through the ABS Clearing-House and in one of the six official languages of the United Nations. The ABS Clearing-House is available at <https://absch.cbd.int/>. To be able to publish its report, each Party will need to have designated a publishing authority for the ABS Clearing-House. Parties are also encouraged to use the opportunity of the preparation of their first national report to verify that their national records in the ABS Clearing-House are complete and up-to-date.

11. Any country may request technical support and assistance for the online submission of the report by contacting the Secretariat (absch@cbd.int).

12. Only when technically not feasible, and in cases where technical issues persist despite the assistance from the Secretariat, may countries submit the completed offline format of the report to the Secretariat (secretariat@cbd.int). For the report to be considered complete, all mandatory questions must be answered, and the country must include a scanned copy of the last page with the signature of the ABS Clearing-House publishing authority. Subsequently, the Secretariat will make the report available on the ABS Clearing-House.

First national report on the implementation of the Nagoya Protocol¹

Part I - General information	
1. *Country:	<Country name>
2. Time period covered by this report ²	From [month / year] to [month / year]

Part II - Institutional structures for the implementation of the Protocol	
Article 13.1	
3. *Has your country designated a national focal point? ³	<input type="checkbox"/> Yes <input type="checkbox"/> No Please provide more information on your response and a summary of difficulties and challenges encountered. <Text entry>

¹ In view of simplifying this format, questions have been kept concise to the extent possible. Countries are encouraged to refer to the full text of the Nagoya Protocol (available at <https://www.cbd.int/abs/resources/protocol.shtml>) as needed.

² For countries that submitted an interim national report on the implementation of the Nagoya Protocol, the first national report should cover the time elapsed since the submission of the interim national report (e.g. from 2 November 2017 to 30 June 2025). For countries that were not required to submit an interim national report, the first national report should cover the time period since the entry into force of the Nagoya Protocol for the country.

³ To designate a national focal point for access and benefit-sharing, countries should send an e-mail to the Secretariat (secretariat@cbd.int), attaching an official letter addressed to the Executive Secretary with the necessary contact information or the completed designation form available for download at <https://www.cbd.int/abs/common-formats/en/ABSCH-NFP-en.doc>. Contact information of an existing national focal point may be updated by notifying the Secretariat by e-mail (secretariat@cbd.int).

<p>Article 13.2</p> <p>4. *Has your country designated at least one competent national authority?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please provide more information on your response and a summary of difficulties and challenges encountered.</p> <p><Text entry></p> <p><i>If Yes is selected above,</i></p> <p>4.1 *Has your country published information on its competent national authorities in the ABS Clearing-House?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No</p> <p>4.2 Does your country have plans to designate additional competent national authorities in the future?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>Article 17</p> <p>5. *Has your country designated at least one checkpoint?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please provide more information on your response and a summary of difficulties and challenges encountered.</p> <p><Text entry></p> <p><i>If Yes is selected above,</i></p> <p>5.1 *Has your country published information on its checkpoints in the ABS Clearing-House?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No</p> <p>5.2 Does your country have plans to designate additional checkpoints in the future?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>6. Please provide any additional information.</p>	<p><Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment></p>

Part III – Measures on access to genetic resources (Article 6)⁴	
<p>7. *Has your country established legislative, administrative or policy measures on ABS?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No</p> <p>Please explain your response. <Text entry></p> <p><i>↳ If Yes or Yes, to some extent is selected above,</i></p> <p style="padding-left: 40px;">7.1 *Has your country published information on its legislative, administrative or policy measures in the ABS Clearing-House?</p> <p style="padding-left: 40px;"><input type="checkbox"/> Yes, all information is published <input type="checkbox"/> Yes, some information is published <input type="checkbox"/> No</p>
<p>Article 6.1</p> <p>8. In your country, is access to genetic resources subject to prior informed consent?</p>	<p><input type="checkbox"/> Yes, in all cases <input type="checkbox"/> Yes, in some cases <input type="checkbox"/> No, my country has determined that access to its genetic resources is not subject to prior informed consent</p> <p>Please explain your response. <Text entry></p>
<p><i>If you answered No to question 8, please skip questions 9 to 14, and continue to Part IV.</i></p>	
<p>Article 6.3 (b)</p> <p>9. * Does your country have fair and non-arbitrary rules and procedures on accessing genetic resources?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No</p> <p>Please explain your response. <Text entry></p>
<p>Article 6.3 (c)</p> <p>10. * Does your country provide information on how to apply for prior informed consent?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No</p> <p>Please explain your response. <Text entry></p>

⁴ Questions on the provisions of Article 6 related to indigenous peoples and local communities are found in Part VIII of this reporting format.

	<p><i>↳ If Yes or Yes, to some extent is selected above,</i></p> <p>10.1 Has your country published information on how to apply for PIC on the ABS Clearing-House through the ABS Procedures common format?⁵</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>Article 6.3 (d)</p> <p>11. *Does your country provide for a clear and transparent written decision by a competent national authority?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.⁶</p> <p><Text entry></p>
<p>Article 6.3 (e)</p> <p>12. *Does your country provide for the issuance of a permit or its equivalent at the time of access?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.⁷</p> <p><Text entry></p> <p><i>↳ If Yes or Yes, to some extent is selected above,</i></p> <p>12.1 *How many permits (or their equivalent) has your country issued during the reporting period? If no permits were issued, please indicate 0.</p> <p><Numerical value></p> <p>Additional information.</p> <p><Text entry></p> <p>12.2 *Has your country published relevant information on permits or their equivalent as internationally recognized certificates of compliance to the ABS Clearing-House?</p> <p><input type="checkbox"/> Yes, in all cases</p> <p><input type="checkbox"/> Yes, in some cases</p> <p><input type="checkbox"/> No</p>

⁵ ABS Clearing-House common formats are available on the Dashboard at <https://absch.cbd.int/register> (login required).

⁶ This could include information on the type of written decision provided (e.g. licence, contract, resolution, access or export permit), or information on progress made towards implementing this provision of the Protocol.

⁷ This could include information on whether permits are issued for all types of genetic resources in the country, on the format of the permit or equivalent, or information on progress made towards implementing this provision of the Protocol.

	<p><i>⌞If Yes, in some cases or No is selected, please explain your response: <Text entry></i></p>
<p>Article 6.3 (g)</p> <p>13. *Does your country have rules and procedures for requiring and establishing mutually agreed terms?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.⁸</p> <p><Text entry></p> <p><i>⌞If Yes or Yes, to some extent is selected above,</i></p> <p>13.1 Has your country published National Model Contractual Clauses to the ABS Clearing-House?⁹</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>14. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry></p> <p><i>and/or <URL and website name></i></p> <p><i>and/or <Attachment></i></p>

Part IV - Measures on fair and equitable benefit-sharing (Article 5)	
<p>Article 5.3</p> <p>15. *Does your country have measures in place requiring that benefits from the utilization of genetic resources, as well as subsequent applications and commercialization, be shared with the Party providing such resources?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.¹⁰</p> <p><Text entry></p>
<p>Article 5.2</p> <p>16. *Does your country have measures in place aiming to ensure that benefits from the utilization of genetic resources held by indigenous peoples and local communities are shared with the indigenous peoples and local communities concerned?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.¹¹</p> <p><Text entry></p>

⁸ This could include information on the measures dealing with this aspect and on the process for establishing mutually agreed terms, or information on the progress made to implement this provision of the Protocol.

⁹ ABS Clearing-House common formats are available on the Dashboard at <https://absch.cbd.int/register> (login required).

¹⁰ This could include information on the measures dealing with the sharing of benefits from the utilization, in your country, of genetic resources provided by other countries and examples of how the measures are applied, or information on the progress made to implement this provision of the Protocol.

¹¹ This could include information on measures in place to facilitate directing benefits to indigenous peoples and local communities or information on the progress made to implement this provision of the Protocol.

<p>Article 5.5</p> <p>17. *Has your country taken measures in order that benefits arising from the utilization of traditional knowledge associated with genetic resources are shared with indigenous peoples and local communities holding such knowledge?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.¹²</p> <p><Text entry></p>
<p>18. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry></p> <p>and/or <URL and website name></p> <p>and/or <Attachment></p>

<p>Part V - Measures on compliance with domestic legislation or regulatory requirements on ABS (Article 15 and Article 16) and monitoring the utilization of genetic resources (Article 17)</p>	
<p>Article 15</p> <p>19. *Has your country taken appropriate, effective and proportionate measures to provide that genetic resources utilized within its jurisdiction have been accessed in accordance with prior informed consent and that mutually agreed terms have been established as required by the domestic ABS legislation or regulatory requirements of other Parties?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.¹³</p> <p><Text entry></p> <p><i>↳ If Yes or Yes, to some extent is selected above,</i></p> <p>19.1 *Has your country encountered and addressed situations of non-compliance with these measures?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Additional information.</p> <p><Text entry></p> <p>19.2 *Has your country cooperated with other Parties in specific cases of alleged violation of ABS measures relating to genetic resources?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>

¹² This could include information on the measures taken or information on the progress made to implement this provision of the Protocol.

¹³ This could include information on the measures for monitoring domestic utilization of genetic resources accessed from other countries, explanation of how the measures are appropriate, effective or proportional, or information on progress made towards implementing this provision of the Protocol.

	<p>Additional information. <Text entry></p>
<p>Article 16</p> <p>20. *Has your country taken appropriate, effective and proportionate measures to provide that traditional knowledge associated with genetic resources utilized within its jurisdiction has been accessed with the prior informed consent or approval and involvement of indigenous peoples and local communities and that mutually agreed terms have been established in accordance with the domestic requirements of the Party where such indigenous peoples and local communities are located?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No</p> <p>Please explain your response. <Text entry></p> <p><i>↳ If Yes or Yes to some extent is selected,</i></p> <p>20.1 *Has your country encountered and addressed situations of non-compliance with these measures? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Additional information. <Text entry></p> <p>20.2 *Has your country cooperated with other Parties in specific cases of alleged violation of ABS measures relating to traditional knowledge associated with genetic resources? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Additional information. <Text entry></p>
<p>Article 17.1(a)</p> <p>21. *Does your country require users of genetic resources to provide the information referred to in Article 17.1 (a)(i)¹⁴ to its designated checkpoint/s?</p>	<p><input type="checkbox"/> Yes, in all cases <input type="checkbox"/> Yes, in some cases <input type="checkbox"/> No</p> <p>Please explain your response.¹⁵ <Text entry></p> <p><i>↳ If Yes, in all cases or Yes, in some cases is selected above,</i></p>

¹⁴ Information related to prior informed consent, to the source of the genetic resource, to the establishment of mutually agreed terms and/or to the utilization of genetic resources.

¹⁵ This could include information on the effectiveness of checkpoints and the functions assigned to them.

	<p>21.1 *Have your designated checkpoint/s collected or received information from users during the reporting period?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><i>↳If Yes is selected,</i></p> <p>21.2 *Has your country published the information collected or received to the ABS Clearing-House through the Checkpoint Communiqué common format?¹⁶</p> <p><input type="checkbox"/> Yes, in all cases</p> <p><input type="checkbox"/> Yes, in some cases</p> <p><input type="checkbox"/> No</p> <p><i>↳If Yes, in some cases or No is selected, please explain your response: <Text entry></i></p> <p>21.3 *Has your country provided the information referred to in Article 17.1 (a)(i) to relevant national authorities and to the Party providing prior informed consent through other means than the ABS Clearing-House?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>Article 17.1(b)</p> <p>22. *Has your country taken measures to encourage users and providers to include provisions in mutually agreed terms to share information on the implementation of such terms?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.¹⁷</p> <p><Text entry></p>

¹⁶ ABS Clearing-House common formats are available on the Dashboard at <https://absch.cbd.int/register> (login required).

¹⁷ This could include information on the measures taken, how they are applied, or information on progress made towards implementing this provision of the Protocol.

<p>Article 17.1 (c)</p> <p>23. *Does your country encourage the use of cost-effective communication tools and systems to monitor utilization and enhance transparency?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.¹⁸</p> <p><Text entry></p>
<p>24. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry></p> <p><i>and/or</i> <URL and website name></p> <p><i>and/or</i> <Attachment></p>

<p align="center">Part VI - Measures on compliance with mutually agreed terms (Article 18)¹⁹</p>	
<p>Article 18.1</p> <p>25. *Is your country encouraging the inclusion of provisions on dispute resolution in mutually agreed terms?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>Article 18.2</p> <p>26. *Does your country ensure that opportunity to seek recourse is available under your legal systems in case of disputes arising from mutually agreed terms?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>Article 18.3 (a)</p> <p>27. *Has your country taken measures regarding access to justice?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>

¹⁸ This could include information on how your country is encouraging the use of cost-effective communication tools and systems or on progress made towards implementing this provision of the Protocol. Links to relevant online tools may be provided in response to question 24.

¹⁹ When filling this section, Parties are encouraged to coordinate at national level to draw from the expertise of other institutions dealing with contractual law, private international law or domestic measures related to access to justice (decision NP-3/1, paragraph 22).

<p>Article 18.3 (b)</p> <p>28. *Has your country taken measures regarding utilization of mechanisms on mutual recognition and enforcement of foreign judgements and arbitral awards?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>29. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry></p> <p>and/or <URL and website name></p> <p>and/or <Attachment></p>

<p align="center">Part VII - Measures addressing special considerations (Article 8)</p>	
<p>Article 8(a)</p> <p>30. *In the development and implementation of its ABS legislation or regulatory requirements, has your country created conditions to promote and encourage research which contributes to the conservation and sustainable use of biodiversity including through simplified measures on access for non-commercial research purposes?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable²⁰</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>Article 8(b)</p> <p>31. *In the development and implementation of its ABS legislation or regulatory requirements, has your country paid due regard to cases of present or imminent emergencies that threaten or damage human, animal or plant health?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p> <p><i>↳ If Yes or Yes, to some extent is selected above,</i></p> <p>31.1 In such cases, has your country considered the need for expeditious access to genetic resources and expeditious fair and equitable sharing, including access to affordable treatments by those in need?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>

²⁰ This response option may be appropriate for countries that have determined not to require prior informed consent for access to their genetic resources.

	Additional information. <Text entry>
<p>Article 8(c)</p> <p>32. *In the development and implementation of its ABS legislation or regulatory requirements, has your country considered the importance of genetic resources for food and agriculture and their special role for food security?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. <Text entry>
<p>33. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment>

Part VIII – Measures related to indigenous peoples and local communities (Articles 6, 7 and 12)

<p>34. *Are there indigenous peoples and local communities in your country?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No Additional information. <Text entry>
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If you answered No to question 34, please skip questions 35 to 41, and continue to Part IX.

<p>Articles 6.2 and 6.3(f)</p> <p>35. *Do indigenous peoples and local communities have the established right to grant access to genetic resources according to your country’s domestic law?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response and if applicable, provide information on the domestic law establishing rights of indigenous peoples and local communities to grant access to genetic resources. <Text entry> ¶If Yes or Yes, to some extent is selected above, 35.1 *Does your country have measures in place with the aim of ensuring that the prior informed consent or approval and involvement of indigenous peoples and local communities is obtained for access to genetic resources? <input type="checkbox"/> Yes <input type="checkbox"/> No 35.2 *Has your country set out criteria and/or process for obtaining prior informed consent or approval and
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	<p>involvement of indigenous peoples and local communities for access to genetic resources?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>Article 7</p> <p>36. *Has your country taken measures with the aim of ensuring that traditional knowledge associated with genetic resources that is held by indigenous peoples and local communities within your country is accessed with their prior informed consent, or their approval and involvement, and that mutually agreed terms have been established?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>Article 12.1</p> <p>37. *Has your country considered indigenous peoples and local communities' customary laws, community protocols and procedures with respect to traditional knowledge associated with genetic resources in implementing the Protocol?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>Article 12.2</p> <p>38. *Has your country established mechanisms, with the effective participation of indigenous peoples and local communities concerned, to inform potential users of traditional knowledge associated with genetic resources about their obligations?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.²¹</p> <p><Text entry></p>
<p>Article 12.3</p> <p>39. *Has your country supported the development by indigenous peoples and local communities, including women within these communities, of community protocols, minimum requirements for mutually agreed terms and model contractual clauses?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.²²</p> <p><Text entry></p>

²¹ This could include information on the mechanisms established and which means were deployed to ensure effective participation of indigenous peoples and local communities, including women within these communities, in the development of such mechanisms.

²² This could include further information on how your country is supporting the development by indigenous peoples and local communities of community protocols, minimum requirements for mutually agreed terms and/or model contractual clauses. Links to such resources can be provided in response to question 41.

<p>Article 12.4</p> <p>40. *Has your country endeavoured not to restrict the customary use and exchange of genetic resources and associated traditional knowledge within and among indigenous peoples and local communities?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.²³</p> <p><Text entry></p>
<p>41. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry></p> <p>and/or <URL and website name></p> <p>and/or <Attachment></p>

<p>Part IX - Contribution to conservation and sustainable use (Article 9) and benefits received</p>	
<p>Article 9</p> <p>42. *Does your country encourage users and providers to direct benefits arising from the utilization of genetic resources towards the conservation of biological diversity and sustainable use of its components?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response.</p> <p><Text entry></p>
<p>43. *Has implementation of the Nagoya Protocol contributed to conservation and sustainable use of biodiversity in your country?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Please explain your response and provide, if available, supporting data or examples of impact at national and/or local levels.</p> <p><Text entry></p>
<p>44. *Has your country received monetary benefits from granting access to genetic resources for their utilization and/or access to traditional knowledge associated with genetic resources during the reporting period?</p>	<p><input type="checkbox"/> Yes (please select all those that apply):</p> <ul style="list-style-type: none"> <input type="checkbox"/> Access fees and/or up-front payments <input type="checkbox"/> Milestone payments, royalties and/or license fees <input type="checkbox"/> Research funding, grants and/or scholarships <input type="checkbox"/> Contributions to a national or local fund <input type="checkbox"/> Salaries <input type="checkbox"/> Joint ventures <input type="checkbox"/> Other (please specify): <Text entry> <p><input type="checkbox"/> No / Not applicable</p> <p><i>↳ If Yes is selected above,</i></p>

²³ This could include information on how ABS measures address this aspect.

	<p>*44.1 Please provide the amount of monetary benefits received during the reporting period (in United States dollars) from granting access to genetic resources and/or traditional knowledge associated with genetic resources.</p> <p><Numerical value in USD></p> <p>Additional information.²⁴</p> <p><Text entry></p>
<p>45. *Has your country received non-monetary benefits from granting access to genetic resources for their utilization and/or access to traditional knowledge associated with genetic resources during the reporting period?</p>	<p><input type="checkbox"/> Yes (please select all those that apply):</p> <ul style="list-style-type: none"> <input type="checkbox"/> Sharing of information, research results <input type="checkbox"/> Scientific collaboration and/or joint publications <input type="checkbox"/> Access to and transfer of technology <input type="checkbox"/> Capacity-building, capacity development and/or trainings <input type="checkbox"/> Sustainable development benefits (such as improved food security, livelihood security, etc.) <input type="checkbox"/> Other (please specify): <Text entry> <p><input type="checkbox"/> No / Not applicable</p> <p>Additional information.²⁵</p> <p><Text entry></p>
<p>46. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry></p> <p><i>and/or</i> <URL and website name></p> <p><i>and/or</i> <Attachment></p>

Part X – Transboundary cooperation (Article 11)	
<p>Article 11.1</p> <p>47. *Has your country endeavoured to cooperate, with the involvement of indigenous peoples and local communities concerned where applicable, in instances where the same genetic resources are found <i>in</i></p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable²⁶</p> <p>Please explain your response.²⁷</p>

²⁴ This could include information on who were the main recipients of monetary benefits in the country, and any available disaggregation of those recipients by gender or affiliation, or information on the impact of benefits received.

²⁵ This could include information on who were the main recipients of non-monetary benefits in the country, any available disaggregation of those recipients by gender or affiliation, or information on the impact of benefits received.

²⁶ This response option may be appropriate for countries that have determined not to require prior informed consent for access to their genetic resources or that do not have genetic resources which are also found in situ in other countries.

²⁷ This could include information on cases of cooperation and on the involvement of indigenous peoples and local communities in such cases where applicable.

<p><i>situ</i> within the territory of more than one Party?</p>	<p><Text entry></p>
<p>Article 11.2 48. *Has your country endeavoured to cooperate in instances where the same traditional knowledge associated with genetic resources is shared by one or more indigenous peoples and local communities in several Parties?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No <input type="checkbox"/> Not applicable²⁸</p> <p>Please explain your response.²⁹ <Text entry></p>
<p>49. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment></p>

<p>Part XI - Model contractual clauses, codes of conduct, guidelines and best practices and/or standards (Articles 19 and 20)</p>	
<p>Article 19 50. *Has your country encouraged other actors to develop, update and use model contractual clauses for mutually agreed terms?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No</p> <p>Please explain your response.³⁰ <Text entry></p>
<p>Article 20 51. *Has your country encouraged other actors to develop, update and use of codes of conduct, guidelines and best practices or standards?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No</p> <p>Please explain your response.³¹ <Text entry></p>
<p>52. Please provide information on lessons learned, what worked well and why, difficulties, challenges and</p>	<p><Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment></p>

²⁸ This response option may be appropriate for countries that indicated there are no indigenous peoples and local communities in their country (Q34).

²⁹ This could include information on cases of cooperation and on the involvement of indigenous peoples and local communities in such cases.

³⁰ This could include information on your country's efforts in this regard, or references to specific model contractual clauses developed by other actors in your country.

³¹ This could include information on your country's efforts in this regard, or references to specific codes of conduct, guidelines and best practices or standards developed by other actors in your country.

underlying causes, and any other information relevant to this section.	
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Part XII - Awareness-raising and capacity (Articles 21 and 22)

<p>Article 21</p> <p>53. *Has your country taken measures to raise awareness of the importance of genetic resources and traditional knowledge associated with genetic resources and related access and benefit-sharing issues?</p>	<p> <input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No </p> <p>Please explain your response and, if applicable, provide a summary of activities carried out during the reporting period.</p> <p><Text entry></p> <p>↳ <i>If Yes or Yes, to some extent is selected,</i></p> <p>53.1 Has your country adopted an awareness-raising strategy for the Nagoya Protocol on ABS?³²</p> <p> <input type="checkbox"/> Yes <input type="checkbox"/> No </p> <p>Additional information.</p> <p><Text entry></p>
<p>Article 22</p> <p>54. *Has your country taken measures to build and develop its capacity and strengthen its human resources and institutional capacities to effectively implement the Protocol?</p>	<p> <input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No </p> <p><i>Please explain your response and, if applicable, provide a summary of activities carried out during the reporting period.³³</i></p> <p style="text-align: center;"><Text entry></p> <p>↳ <i>If Yes or Yes, to some extent is selected above,</i></p> <p>54.1 Please provide data, if available, on capacity-building activities carried out during the reporting period.</p> <ul style="list-style-type: none"> - Number of people trained: <Numerical value> - Number of workshops/trainings: <Numerical value> - Number of active capacity-building and development initiatives: <Numerical value>

³² This is encouraged in the awareness-raising strategy for the Nagoya Protocol ([decision NP-1/9](#)).

³³ Countries may wish to refer to the strategic framework for capacity-building and development supporting the implementation of the Nagoya Protocol (adopted in [decision NP-1/8](#)) and its Appendix II, which contains an indicative list of activities.

	Additional information. <Text entry>
<p>Article 22</p> <p>55. *Has your country taken measures to build and develop the capacity and strengthen human resources and institutional capacities of other Parties³⁴ to effectively implement the Protocol?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No <i>Please explain your response and, if applicable, provide a summary of activities carried out during the reporting period.³⁵</i> <Text entry>
<p>56. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<Text entry> and/or <URL and website name> and/or <Attachment>

Part XIII - Technology transfer, collaboration and cooperation (Article 23)	
<p>Article 23</p> <p>57. *Has your country collaborated and cooperated in technical and scientific research and development programmes related to ABS during the reporting period?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No Please explain your response. <Text entry>
<p>58. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<Text entry> and/or <URL and website name> and/or <Attachment>

Part XIV - Financial resources (Article 25) and resource mobilization	
<p>Article 25</p> <p>59. *Has your country made financial or other resources available to other Parties for the purpose of implementing the Protocol during the reporting period?</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No Additional information. <Text entry>

³⁴ Developing country Parties, in particular the least developed countries and small island developing States among them, and Parties with economies in transition.

³⁵ Countries may wish to refer to the strategic framework for capacity-building and development supporting the implementation of the Nagoya Protocol (adopted in [decision NP-1/8](#)) and its Appendix II, which contains an indicative list of activities.

<p>Article 25</p> <p>60. *Has your country received financial or other resources from other Parties or financial institutions for the purpose of implementing the Protocol during the reporting period?</p>	<p><input type="checkbox"/> Yes (please select all those that apply):</p> <ul style="list-style-type: none"> <input type="checkbox"/> From other Parties (please specify): <Text entry> <input type="checkbox"/> From the Global Environmental Facility (GEF) <input type="checkbox"/> From financial institutions other than the GEF (please specify): <Text entry> <input type="checkbox"/> From other sources (please specify): <Text entry> <p><input type="checkbox"/> No</p> <p>Additional information. <Text entry></p> <p>↳ <i>If Yes is selected above,</i></p> <p>60.1 Please provide the amount of resources received (in United States dollars) during the reporting period. <Numerical value></p>
<p>61. Has your country established a mechanism for budgetary allocations of funds for the operation of your national ABS framework?</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> Yes, to some extent</p> <p><input type="checkbox"/> No</p> <p>Please explain your response. <Text entry></p>
<p>62. Does your country have full-time staff working in functions directly related to the implementation of the Nagoya Protocol? If so, how many?</p>	<p><input type="checkbox"/> Yes (please specify):</p> <ul style="list-style-type: none"> <input type="checkbox"/> One <input type="checkbox"/> Less than three <input type="checkbox"/> Between 3 and 5 <input type="checkbox"/> Between 5 and 10 <input type="checkbox"/> 10 or more <p><input type="checkbox"/> No</p> <p>Please provide further information on your response.³⁶ <Text entry></p>
<p>63. Please provide information on experiences related to the mobilization of resources in support of the implementation of the Protocol.</p>	<p><Text entry></p>
<p>64. Please provide information on the status of funds mobilized in support of the implementation of the Protocol.</p>	<p><Text entry></p>

³⁶ This could include information on whether funding for staff positions is secured long-term or on a project basis.

<p>65. Please provide information on lessons learned, what worked well and why, difficulties, challenges and underlying causes, and any other information relevant to this section.</p>	<p><Text entry> and/or <URL and website name> and/or <Attachment></p>
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Part XV – Measures related to other international instruments (Article 4)

<p>66. Is your country a Party to a specialized international access and benefit-sharing instrument?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>↳ If Yes is selected above,</i></p> <p>66.1 Has your country adopted measures to implement this instrument?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> Yes, to some extent <input type="checkbox"/> No</p> <p>Please provide further information on your response. <Text entry></p>
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Part XVI – Optional additional information

<p>67. Please provide any other relevant information that may not have been addressed elsewhere in the report.</p>	<p><Text entry> <i>and/or</i> <URL and website name> <i>and/or</i> <Attachment></p>
<p>68. Please provide any comments or suggestions that you may have regarding the format of this report or the reporting guidelines.</p>	<p><Text entry></p>
<p>69. Notes:³⁷</p>	<p><Text entry></p>

³⁷ The field “Notes” is for personal reference and can be seen only when the record is being edited.

Part XVII (offline submission only) – ABS Clearing-House record validation	
<p><i>Parties are invited to submit this report online through the ABS Clearing-House.</i></p> <p><i>Only if technically not feasible, offline reports may be submitted in MS Word format, together with a scanned copy of this page signed by the ABS Clearing-House publishing authority, by e-mail to secretariat@cbd.int. For the report to be considered complete, all mandatory questions must be answered. If your country has not yet designated a publishing authority, please attach the appropriate designation form.³⁸</i></p>	
*Country:	<Country name>
*Name of the ABS-CH publishing authority:	<Text entry>
*Date:	<YYYY-MM-DD>
<p><i>I hereby confirm that the above information is correct and agree to its inclusion in the ABS Clearing-House.</i></p>	
*Signature of the ABS-CH publishing authority:	

³⁸ The designation form for the publishing authority and national authorized users for the ABS Clearing-House is available for download at <https://www.cbd.int/abs/common-formats/en/ABSCH-PA-NAU-en.doc>. The ABS national focal point may also complete this process online through the User Management function available on the Dashboard at <https://absch.cbd.int/register> (login required).

NP-4/4. Access and Benefit-sharing Clearing-House and information sharing (Article 14)

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol

1. *Welcomes* progress made by the Secretariat in the development and administration of the Access and Benefit-sharing Clearing-House;

2. *Also welcomes* the efforts made by Parties, other Governments, indigenous peoples and local communities and relevant stakeholders to make information available in the Access and Benefit-sharing Clearing-House;

3. *Recalls* decision NP-3/3, paragraph 3, in which Parties that have not yet done so are urged to publish all mandatory information available at the national level on the Access and Benefit-sharing Clearing-House in accordance with the obligations in Article 14, paragraph 2, of the Protocol, as soon as possible, considering that the publication of mandatory information in the Access and Benefit-sharing Clearing-House is essential for the implementation of the Nagoya Protocol;

4. *Also recalls* decision NP-3/3, paragraph 4, which urges Parties to provide information on their national access and benefit-sharing procedures through the voluntary common format on procedures, as available in the Access and Benefit-sharing Clearing-House;

5. *Further recalls* decision NP-3/3, paragraph 9, which invites Parties, other Governments, and relevant organizations to make use of the interoperability mechanisms of the Access and Benefit-sharing Clearing-House to facilitate information exchange with their relevant databases, websites and information technology systems, decision NP-3/3, paragraph 10, which invites Parties, other Governments, and relevant organizations, as appropriate, to include capacity-building activities related to the Access and Benefit-sharing Clearing-House in their relevant capacity-building activities, plans and projects in coordination with the Executive Secretary, and decision NP-3/3, paragraph 11, which invites Parties, other Governments, relevant international organizations, regional development banks and other financial institutions, as appropriate, to support capacity-building activities related to the Access and Benefit-sharing Clearing-House, including the Protocol's system for monitoring the utilization of genetic resources;

6. *Invites* Parties, other Governments, relevant international organizations, regional development banks and other financial institutions, as appropriate, to support capacity-building and development activities, including those related to setting up national access and benefit-sharing electronic permitting systems and other relevant information technology systems that make use of the interoperability mechanisms of the Access and Benefit-sharing Clearing-House;

7. *Requests* the Executive Secretary, taking into account the progress already made, to continue to develop and administer the Access and Benefit-sharing Clearing-House following the goals and priorities for the further development and administration of the Access and Benefit-sharing Clearing-House as found in the annex to decision NP-3/3, in accordance with the modalities of operation and feedback received, particularly that of Parties and of the Informal Advisory Committee to the Access and Benefit-sharing Clearing-House, and to explore ways to accommodate national circumstances.

NP-4/5. Enhancing the implementation of the Nagoya Protocol in the context of the Kunming-Montreal Global Biodiversity Framework

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol,

Recalling decision NP-3/15,

Emphasizing the importance of advancing the implementation of the third objective of the Convention and the objective of the Nagoya Protocol in the context of the Kunming-Montreal Global Biodiversity Framework in a balanced manner,

1. *Welcomes* decision 15/4 of the Conference of the Parties to the Convention adopting the Kunming-Montreal Global Biodiversity Framework as a flexible global framework for action by all Parties and stakeholders to reach the 2050 Vision for biodiversity and achieve the three objectives of the Convention, as well as the objective of the Nagoya Protocol;

2. *Endorses* the Kunming-Montreal Global Biodiversity Framework, as contained in the annex to decision 15/4 of the Conference of the Parties, and takes note of other related decisions adopted by the Conference of the Parties at its fifteenth meeting;

3. *Invites* Parties, and encourages other Governments, to make use of the approach to planning, monitoring, reporting and review under the Convention to enhance the implementation of the Nagoya Protocol and the integration of access and benefit-sharing in revised or updated national biodiversity strategies and action plans.

NP-4/6. Digital sequence information on genetic resources

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol,

Recalling decision NP-3/12,

1. *Welcomes* the outcome of the deliberations of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework regarding digital sequence information on genetic resources;
2. *Also welcomes* decision 15/9 of the Conference of the Parties to the Convention, on digital sequence information, and endorses the multilateral mechanism for benefit-sharing from the use of digital sequence information on genetic resources established therein;
3. *Endorses* the process established in decision 15/9, and requests the Ad Hoc Open-ended Working Group on Benefit-sharing from the Use of Digital Sequence Information on Genetic Resources to also report to the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its fifth meeting.

NP-4/7. Measures to assist in capacity-building and development (Article 22) and awareness-raising (Article 21)

A. Capacity-building and development to support the effective implementation of the Nagoya Protocol

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol

1. *Takes note* of the findings and recommendations of the evaluation of the strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol,¹ which includes the input provided by the Informal Advisory Committee on Capacity-building for the Implementation of the Nagoya Protocol at its fourth meeting;

2. *Endorses* the long-term strategic framework for capacity-building and development² adopted under the Convention to support the implementation of the Kunming-Montreal Global Biodiversity Framework;³

3. *Welcomes* the recommendations for the improvement of the strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol, and agrees to revise it in line with the Kunming-Montreal Global Biodiversity Framework, the long-term strategic framework for capacity-building and development to support the implementation of the Kunming-Montreal Global Biodiversity Framework and the findings of the evaluation referred to in paragraph 1 above;

4. *Takes note* of the report of the Informal Advisory Committee on Capacity-building for the Implementation of the Nagoya Protocol on its fourth meeting, held during the intersessional period;⁴

5. *Decides* to extend the mandate of the Informal Advisory Committee until the fifth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, to update its terms of reference to include supporting the revision and updating of the strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol, and to expand the membership of the Informal Advisory Committee to include representatives of the business sector, the research community and youth;

6. *Also decides* that the Informal Advisory Committee will hold one meeting, and online consultations as needed, to support the revision and updating of the strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol;

7. *Urges* Parties and encourages other Governments and relevant organizations in a position to do so:

(a) To expand their efforts to build and develop the capacities of developing country Parties, in particular the least developed countries and small island developing States among them, and Parties with economies in transition, in line with the provisions of the Convention and its Nagoya Protocol, to implement the Nagoya Protocol, taking into account the priority areas identified in the annex to the present decision and in the Kunming-Montreal Global Biodiversity Framework;

(b) To continue to make available information on capacity-building and development needs, initiatives and resources and share best practices and lessons learned on the Access and Benefit-sharing Clearing-House;

8. *Requests* the Executive Secretary to prepare, in consultation with Parties, a revised strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol, in line with the Kunming-Montreal Global Biodiversity Framework and the long-term strategic

¹ The strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol was adopted in decision NP-1/8. The evaluation of the strategic framework is contained in document CBD/SBI/3/INF/1.

² Decision 15/8, annex I.

³ Decision 15/4, annex.

⁴ CBD/NP/CB-IAC/2019/1/4.

framework for capacity-building and development, taking into account the findings of the evaluation, for the consideration of the Subsidiary Body on Implementation at its fourth meeting and for adoption by the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its fifth meeting.

B. Awareness-raising

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol

1. *Welcomes* the progress made in the implementation of the awareness-raising strategy⁵ for the Nagoya Protocol;

2. *Takes note* of the feedback from Parties, other Governments and other relevant stakeholders on the *CEPA Toolkit - Including Considerations for Access and Benefit-sharing*,⁶ and encourages Parties, other Governments, relevant organizations and other stakeholders to continue to utilize the toolkit as part of their awareness-raising and capacity-building activities;

3. *Welcomes* the communications strategy to support the Kunming-Montreal Global Biodiversity Framework⁷ as an important element to also raise awareness on access and benefit-sharing and the related goal and target of the Kunming-Montreal Global Biodiversity Framework;

4. *Encourages* Parties, other Governments, relevant organizations and other stakeholders to continue to implement awareness-raising activities and to make information on awareness-raising tools and resources available through the Access and Benefit-sharing Clearing-House;

5. *Requests* the Executive Secretary to continue facilitating the implementation of the awareness-raising strategy through capacity-building and development activities and by encouraging the use of the access and benefit-sharing awareness-raising toolkit in related capacity-building and development and awareness-raising projects carried out by Parties, other Governments and relevant organizations;

6. *Also requests* the Executive Secretary to consider incorporating elements of the awareness-raising strategy when revising the strategic framework for capacity-building and development to support the effective implementation of the Nagoya Protocol, for the consideration of the Subsidiary Body on Implementation at its fourth meeting and for adoption by the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its fifth meeting.

Annex

SPECIFIC PRIORITIES FOR CONTINUED CAPACITY-BUILDING AND DEVELOPMENT TO SUPPORT THE IMPLEMENTATION OF THE NAGOYA PROTOCOL

Specific priorities for continued capacity-building and development identified during the assessment and review of the Nagoya Protocol include:⁸

(a) Developing access and benefit-sharing legislation or regulatory requirements, considering Article 8 of the Protocol and the need to ensure that the Protocol and other relevant international instruments are implemented in a mutually supportive manner;

(b) Enhancing the implementation of the provisions on compliance with domestic legislation and regulatory requirements on access and benefit-sharing, monitoring the utilization of genetic resources including the designation of checkpoints, as well as the provisions related to indigenous peoples and local communities;

⁵ As set out in decision NP-1/9.

⁶ <https://www.cbd.int/abs/doc/cepa-toolkit-en.pdf>.

⁷ Decision 15/14, annex.

⁸ Element (g) was added during the adoption of the present decision, and was not identified during the first assessment and review (NP-3/1).

(c) Supporting the participation of indigenous peoples and local communities in the implementation of the Protocol, including by supporting the development by indigenous peoples and local communities of community protocols and procedures, minimum requirements for mutually agreed terms and model contractual clauses for benefit-sharing arising from the utilization of traditional knowledge associated with genetic resources, taking into consideration their customary laws;

(d) Raising awareness among interested and relevant stakeholders and encouraging their participation in the implementation of the Protocol;

(e) Capacity-building and development needs related to the measuring and reporting of both monetary and non-monetary benefits that arise from the utilization of genetic resources and traditional knowledge associated with genetic resources;

(f) Strategic communication at the global, regional and national levels on access and benefit-sharing as an area for capacity-building and development;

(g) Development of tools and checklists to help integrate gender concerns in the different competency areas to be addressed by capacity-building initiatives and activities, including the integration of gender responsiveness into access and benefit-sharing policies and measures.

NP-4/8. Financial mechanism and resources (Article 25)**A. The financial mechanism**

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol,

Having considered the information on the implementation of the Nagoya Protocol provided in the report of the Council of the Global Environment Facility and the programming directions of the eighth replenishment of the Global Environment Facility,¹

1. *Welcomes* the eighth replenishment of the Global Environment Facility Trust Fund and the support this makes available to Parties for the implementation of the Nagoya Protocol, and expresses its appreciation to the countries that contributed to the seventh replenishment;

2. *Also welcomes* the Biodiversity Focal Area Strategy contained in the programming directions for the eighth replenishment of the Global Environment Facility Trust Fund, which includes an objective relating to the effective implementation of the Nagoya Protocol;

3. *Urges* eligible Parties to prioritize access and benefit-sharing projects during the programming of their eighth-replenishment country allocations under the System for Transparent Allocation of Resources;

4. *Encourages* Parties to incorporate access and benefit-sharing activities in projects developed under other objectives of the biodiversity focal area, the integrated programmes and relevant global programmes of the programming directions for the eighth replenishment of the Global Environment Facility Trust Fund;

5. *Requests* the Executive Secretary to provide information on funding opportunities for supporting the implementation of access and benefit-sharing activities under the eighth replenishment of the Global Environment Trust Fund to eligible Parties;

6. *Recommends* that the Conference of the Parties at its fifteenth meeting include the following elements in the four-year (2022–2026) outcome-oriented framework of programme priorities for the eighth replenishment of the Global Environment Facility Trust Fund:

(a) Specific priorities for continued capacity-building to support the implementation of the Nagoya Protocol;²

(b) Support for the integration and mainstreaming of access and benefit-sharing on genetic resources and traditional knowledge associated with genetic resources in policies and activities related to biodiversity and sustainable development;

(c) Support for the development and sustainment of long-term institutional capacities for managing, monitoring and evaluating national access and benefit-sharing frameworks;

7. *Encourages* Parties to cooperate at the global, regional and subregional levels and recommends to the Conference of the Parties to request the Global Environment Facility to continue providing and enhancing support for joint projects, at global, regional or national levels, in order to maximize synergies and opportunities for cost-effective sharing of resources, information, experiences and expertise;

8. *Recommends* to the Conference of the Parties, in adopting guidance to the financial mechanism, that it invite the Global Environment Facility to make financial resources available in a timely manner to eligible Parties to assist them in meeting their reporting obligation under the Protocol;

9. *Invites* Parties to the Nagoya Protocol to participate actively in the sixth review of the effectiveness of the financial mechanism under the Convention;

¹ Report of the Global Environment Facility presented to the fifteenth meeting of the Conference of the Parties to the Convention on Biological Diversity (CBD/COP/15/8); GEF Programming Directions ([GEF/R.08/29/Rev.01](#)).

² Decision NP-4/7, annex.

10. *Requests* the Executive Secretary, subject to the availability of resources, in the context of the sixth review of the effectiveness of the financial mechanism, to collect views and information from eligible Parties on their experiences and lessons learned in accessing and utilizing funds from the financial mechanism for the implementation of the Nagoya Protocol, including information on challenges and underlying reasons, to access and utilize Global Environment Facility funds and on possible barriers to regional collaboration.

B. Resource mobilization

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol,

Recalling decision NP-1/7, and decision XI/4, paragraph 12, in which the Conference of the Parties decided to include consideration of resource mobilization for the Nagoya Protocol in the implementation of the strategy for resource mobilization in support of the achievement of the three objectives of the Convention for the period 2008–2015,

1. *Welcomes* decision 15/7 of the Conference of the Parties relating to resource mobilization, and takes note of the process established therein to further develop financial reporting under the Convention;
2. *Requests* the Technical Expert Group on Financial Reporting to also consider financial reporting related to resource mobilization for the Nagoya Protocol;
3. *Encourages* Parties to consider resource mobilization for the Nagoya Protocol in the implementation of the strategy for resource mobilization adopted in decision 15/7 of the Conference of the Parties, and in particular, to include provisions for the implementation of the Nagoya Protocol in national biodiversity finance plans, taking into account Article 20 of the Convention on Biological Diversity and Article 25 of the Nagoya Protocol.

NP-4/9. Review of effectiveness of structures and processes under the Convention and its Protocols

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol,

A. Experience with concurrent meetings

Recalling decisions NP-1/12, NP-2/12 and NP-3/10,

Having reviewed the experience in holding concurrently meetings of the Conference of the Parties, the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol and the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, using the criteria agreed earlier,

Taking into account the views of Parties and observers that participated in the concurrent meetings held in 2016 and 2018 as synthesized and presented in the notes by the Executive Secretary on the review of experience in holding concurrent meetings of the Conference of the Parties to the Convention and meetings of the Parties to the Protocols,¹

1. *Notes with satisfaction* that the concurrent meetings were overall considered to have allowed for increased integration among the Convention and its Protocols, and improved consultations, coordination and synergies among the respective national focal points;

2. *Notes* that most of the criteria were considered as being met or partially met, and that further improvements in the functioning of the concurrent meetings are desirable, in particular to improve the outcomes and effectiveness of the meetings of the Parties to the Protocols;

3. *Reiterates* the importance of ensuring the full and effective participation of representatives of developing country Parties, in particular the least developed countries and small island developing States among them, and countries with economies in transition, in the concurrent meetings, and the importance, in particular, of ensuring adequate participation of representatives in meetings of the Parties to the Protocols by making funding available for such participation, and, in this regard, recalls decision 14/37, paragraphs 36 to 46;

4. *Requests* the Executive Secretary, in consultation with the Bureau, to further improve the planning and organization of future concurrent meetings on the basis of the experience gained to date and the views expressed by Parties and observers;

B. Experience with virtual meetings

Recognizing the restrictions imposed as a result of the COVID-19 pandemic since March 2020, which rendered in-person meetings impracticable and led to the convening of virtual meetings,

5. *Notes* the expeditious adjustments and arrangements made by the Secretariat, and the understanding and flexibility demonstrated by presiding officers and participants, which allowed the convening of a number of meetings and consultations in a virtual setting in response to the limitations caused by the pandemic situation, notwithstanding the inconveniences arising from such a setting, and the limitations that were agreed with regard to decision-making;

6. *Agrees* that the convening of formal meetings in a virtual setting, while important in terms of responding to the extraordinary circumstances caused by the COVID-19 pandemic, does not set a precedent for the future organization of similar meetings under the Convention and the Protocols;

7. *Encourages* Parties and observers to continue to participate in hybrid meetings, when applicable, and in extraordinary circumstances, in virtual meetings, while recognizing the need to ensure the full and effective participation of Parties, especially developing country Parties, in particular the least developed and small island developing States among them, and Parties with economies in transition, to

¹ See [CBD/SBI/2/16/Add.1](#) and associated information notes ([CBD/SBI/2/INF/1](#) and [INF/2](#)).

promote transparent and inclusive processes under the Convention and the Protocols, as long as no final decisions are taken virtually, with the exception of budgetary and procedural matters;

8. *Requests* the Executive Secretary to compile views from Parties, and relevant stakeholders, and the experience and relevant studies available, especially within the United Nations system, on conducting virtual and hybrid meetings held in 2021 and 2022, and to prepare options for procedures for such hybrid meetings, and under extraordinary circumstances for virtual meetings, taking into account the specific challenges faced by delegations with network and connectivity difficulties, especially by developing country Parties, in particular the least developed and small island developing States among them, and Parties with economies in transition, as well as indigenous peoples and local communities and observers, and by those delegations from countries where meetings are scheduled at difficult times, addressing issues about equity, participation and legitimacy for consideration by the Subsidiary Body on Implementation, at its fourth meeting;

9. *Requests* the Subsidiary Body on Implementation to consider the compilation of views, analysis and options referred to in paragraph 8 above and to make recommendations to address the issues to the governing bodies of the Convention and the Protocols for consideration at their next meeting;

C. Other areas to improve effectiveness

10. *Requests* the Executive Secretary to prepare, in consultation with Parties, Bureau members, partners and stakeholders, an analysis of options to further improve the effectiveness of meetings under the Convention and the Protocols, including, *inter alia*, options to improve negotiating processes, to better follow up on previous decisions, to benefit from innovations in decision-making methods and technologies, and to explore modalities for the engagement of observers in processes under the Convention and the Protocols, while ensuring that the rules of procedure of the Convention and the Protocols are respected, and to submit such analysis of options to the Subsidiary Body on Implementation for consideration at its fourth meeting.

NP-4/10. Global multilateral benefit-sharing mechanism (Article 10)

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol

Decides to revisit the issue of the need for and modalities of a global multilateral benefit-sharing mechanism as provided in Article 10 of the Nagoya Protocol at its fifth meeting.

NP-4/11. Specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol,

Noting the divergence of views among Parties with regard to specialized international access and benefit-sharing instruments in the context of Article 4 of the Nagoya Protocol,

1. *Takes note* of recommendation 3/16 of the Subsidiary Body on Implementation, on specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol;
2. *Decides* to further review the item at its fifth meeting on the basis of recommendation 3/16 referred to above.

NP-4/12. Budget for the integrated programme of work of the Secretariat

The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, Recalling its decision NP-3/16,

Also recalling its decision NP-EM-1/1, in which it approved the extension of the budget for the biennium 2019–2020 and, on an exceptional basis, a core interim budget for 2021,

Further recalling its decision NP-4/1, in which it approved the extension of the budget for the biennium 2019–2020 and, on an exceptional basis, a core interim budget for 2022,

1. *Decides* to adopt an integrated programme of work and budget for the Convention on Biological Diversity, the Cartagena Protocol on Biosafety and the Nagoya Protocol on Access and Benefit-sharing;

2. *Also decides* to share all costs for secretariat services among the Convention, the Cartagena Protocol and the Nagoya Protocol on a ratio of 72:15:13 for the biennium 2023–2024;

3. *Approves* a core (BB) programme budget for the Nagoya Protocol of 2,591,100 United States dollars for the year 2023 and of 2,822,900 United States dollars for the year 2024, representing 13 per cent of the integrated budget of 19,931,600 United States dollars for the year 2023 and 21,714,500 United States dollars for the year 2024 for the Convention and the Protocols, for the purposes listed in the tables 1a and 1b below;

4. *Adopts* the scale of assessments for the apportionment of expenses for 2023 and 2024, in accordance with the current scale of assessments of the United Nations,¹ as contained in table 2 of the present decision;

5. *Notes with concern* that a number of Parties to the Convention and its Protocols have not paid their contributions to the core budgets (BY, BG and BB Trust Funds) for 2022 and prior years, including Parties that have never paid their contributions, and also notes that, in accordance with the International Public Sector Accounting Standards adopted by the United Nations,² arrears estimated at 275,653 United States dollars for the Nagoya Protocol are outstanding at the end of 2021 and are deducted from the fund balance to cover doubtful debt and, so, cannot be used for the benefit of all the respective Parties and requests the United Nations Environment Programme to accept contributions from all Parties to the Convention in a timely manner;

6. *Decides* to apply, mutatis mutandis, paragraphs 4 to 6, 8 to 17 and 19 to 39 of decision 15/34 of the Conference of the Parties.

¹ As per General Assembly resolution 76/238.

² See General Assembly resolution 60/283, sect. IV.

**Table 1a. Integrated budget for the Convention and its Protocols for the period 2023-2024
(by object of expenditure)**

<i>Object of expenditure</i>	2023	2024	<i>Total</i>
	<i>(Thousands of United States dollars)</i>		
A. Staff costs	11,890.2	12,267.1	24,157.3
B. Temporary assistance/overtime	100.0	100.0	200.0
C. Consultants/subcontractors	50.0	50.0	100.0
D. Training	5.0	5.0	10.0
E. Structural review	250.0	0.0	250.0
F. Bureau meetings	108.0	170.9	278.9
G. Expert meetings	130.0	350.0	480.0
H. Meetings of intergovernmental bodies*	2,241.6	3,343.0	5,584.6
I. Public awareness material/communications	50.0	50.0	100.0
J. Translation of CHM website/website projects	65.0	65.0	130.0
K. Travel on official business	320.0	320.0	640.0
L. Rent and associated costs	1,445.7	1,473.0	2,918.7
M. Information technology	65.0	65.0	130.0
N. General operating expenses	726.6	726.6	1,453.2
Subtotal (I)	17,447.1	18,985.6	36,432.7
II. Programme support costs (13%)	2,268.1	2,468.1	4,736.2
Subtotal (I + II)	19,715.2	21,453.7	41,168.9
III. Working Capital Reserve	216.4	260.8	477.2
Grand Total (I + II + III)	19,931.6	21,714.5	41,646.1
Nagoya Protocol share of the integrated budget (13%)	2,591.1	2,822.9	5,414.0
Less contributions from host country	-212.4	-216.4	-428.8
Less use of reserve	-26.7	-26.7	-53.4
Net total (to be shared by Parties)	2,352.1	2,579.9	4,931.9

* Meetings funded from the core budget:

Twelfth meeting of the Ad Hoc Open-ended Working Group on Article 8(j) and Related Provisions.

Twenty-fifth and twenty-sixth meetings of the Subsidiary Body on Scientific, Technical and Technological Advice.

Fourth and fifth meetings of the Subsidiary Body on Implementation.

Sixteenth meeting of the Conference of the Parties to the Convention / Eleventh meeting of the Parties to the Cartagena Protocol / Fifth meeting of the Parties to the Nagoya Protocol, held concurrently.

Table 1b. Integrated budget for the Convention and its Protocols for the period 2023-2024

	2023	2024	2023-2024
	<i>(Thousands of United States dollars)</i>		
A. Governing and Subsidiary bodies	2,479.6	3,863.9	6,343.5
B. Executive direction and management	2,909.8	3,028.0	5,937.8
C. Programme of work	8,909.1	9,094.9	18,004.0
D. Administrative support	3,148.6	2,998.8	6,147.4
Subtotal	17,447.1	18,985.6	36,432.7
Programme support costs	2,268.1	2,468.1	4,736.2
Working Capital Reserve	216.4	260.8	477.2
Total requirements	19,931.6	21,714.5	41,646.1
Nagoya Protocol share of the integrated budget (13%)	2,591.1	2,822.9	5,414.0
Less contributions from host country	-212.4	-216.4	-428.8
Less reserve	-26.7	-26.7	-53.4
Net total (to be shared by Parties)	2,352.0	2,579.8	4,931.8

Table 2. Contributions to the Trust Fund for the Nagoya Protocol on Access and Benefit-Sharing for the biennium 2023-2024³

Party	Scale of assessments 2022-2024	Scale with 22% ceiling, no LDC paying more than 0.01%	Contribution due as of 1 January 2023 (US\$)	Contribution due as of 1 January 2024 (US\$)	Total 2023-2024 (US\$)
Afghanistan	0.006	0.009	221	242	463
Albania	0.008	0.013	294	323	617
Angola	0.010	0.010	235	258	493
Antigua and Barbuda	0.002	0.003	74	81	154
Argentina	0.719	1.125	26,451	29,013	55,464
Austria	0.679	1.062	24,980	27,399	52,379
Bahamas	0.019	0.030	699	767	1,466
Bahrain	0.054	0.084	1,987	2,179	4,166
Belarus	0.041	0.064	1,508	1,654	3,163
Belgium	0.828	1.295	30,461	33,411	63,873
Benin	0.005	0.008	184	202	386
Bhutan	0.001	0.002	37	40	77
Bolivia (Plurinational State of)	0.019	0.030	699	767	1,466
Botswana	0.015	0.023	552	605	1,157
Brazil	2.013	3.149	74,057	81,228	155,285
Bulgaria	0.056	0.088	2,060	2,260	4,320
Burkina Faso	0.004	0.006	147	161	309
Burundi	0.001	0.002	37	40	77
Cambodia	0.007	0.010	235	258	493
Cameroon	0.013	0.020	478	525	1,003
Central African Republic	0.001	0.002	37	40	77
Chad	0.003	0.005	110	121	231
China	15.254	23.859	561,183	615,526	1,176,709
Comoros	0.001	0.002	37	40	77
Congo	0.005	0.008	184	202	386
Côte d'Ivoire	0.022	0.034	809	888	1,697
Croatia	0.091	0.142	3,348	3,672	7,020
Cuba	0.095	0.149	3,495	3,833	7,328
Czechia	0.340	0.532	12,508	13,720	26,228
Democratic Republic of Korea	0.005	0.008	184	202	386
Democratic Republic of the Congo	0.010	0.010	235	258	493
Denmark	0.553	0.865	20,344	22,315	42,659
Djibouti	0.001	0.002	37	40	77
Dominican Republic	0.067	0.105	2,465	2,704	5,168
Ecuador	0.077	0.120	2,833	3,107	5,940
Egypt	0.139	0.217	5,114	5,609	10,723
Eritrea	0.001	0.002	37	40	77
Estonia	0.044	0.069	1,619	1,775	3,394
Eswatini	0.002	0.003	74	81	154
Ethiopia	0.010	0.010	235	258	493
European Union		2.500	58,802	64,496	123,298
Fiji	0.004	0.006	147	161	309
Finland	0.417	0.652	15,341	16,827	32,168
France	4.318	6.754	158,856	174,239	333,095
Gabon	0.013	0.020	478	525	1,003

³ As per United Nations General Assembly resolution 76/238.

Party	Scale of assessments 2022-2024	Scale with 22% ceiling, no LDC paying more than 0.01%	Contribution due as of 1 January 2023 (US\$)	Contribution due as of 1 January 2024 (US\$)	Total 2023-2024 (US\$)
Gambia	0.001	0.002	37	40	77
Germany	6.111	9.558	224,819	246,590	471,409
Ghana	0.024	0.038	883	968	1,851
Greece	0.325	0.508	11,957	13,114	25,071
Guatemala	0.041	0.064	1,508	1,654	3,163
Guinea	0.003	0.005	110	121	231
Guinea-Bissau	0.001	0.002	37	40	77
Guyana	0.004	0.006	147	161	309
Honduras	0.009	0.014	331	363	694
Hungary	0.228	0.357	8,388	9,200	17,588
India	1.044	1.633	38,408	42,127	80,535
Indonesia	0.549	0.859	20,197	22,153	42,350
Japan	8.033	12.565	295,528	324,146	619,674
Jordan	0.022	0.034	809	888	1,697
Kazakhstan	0.133	0.208	4,893	5,367	10,260
Kenya	0.030	0.047	1,104	1,211	2,314
Kiribati	0.001	0.002	37	40	77
Kuwait	0.234	0.366	8,609	9,442	18,051
Kyrgyzstan	0.002	0.003	74	81	154
Lao People's Democratic Republic	0.007	0.010	235	258	493
Lebanon	0.036	0.056	1,324	1,453	2,777
Lesotho	0.001	0.002	37	40	77
Liberia	0.001	0.002	37	40	77
Luxembourg	0.068	0.106	2,502	2,744	5,246
Madagascar	0.004	0.006	147	161	309
Malawi	0.002	0.003	74	81	154
Malaysia	0.348	0.544	12,803	14,042	26,845
Maldives	0.004	0.006	147	161	309
Mali	0.005	0.008	184	202	386
Malta	0.019	0.030	699	767	1,466
Marshall Islands	0.001	0.002	37	40	77
Mauritania	0.002	0.003	74	81	154
Mauritius	0.019	0.030	699	767	1,466
Mexico	1.221	1.910	44,920	49,269	94,189
Micronesia (Federated States of)	0.001	0.002	37	40	77
Mongolia	0.004	0.006	147	161	309
Montenegro	0.004	0.006	147	161	309
Morocco	0.055	0.086	2,023	2,219	4,243
Mozambique	0.004	0.006	147	161	309
Myanmar	0.010	0.010	235	258	493
Namibia	0.009	0.014	331	363	694
Nepal	0.010	0.010	235	258	493
Netherlands	1.377	2.154	50,659	55,564	106,223
Nicaragua	0.005	0.008	184	202	386
Niger	0.003	0.005	110	121	231
Nigeria	0.182	0.285	6,696	7,344	14,040
Norway	0.679	1.062	24,980	27,399	52,379
Oman	0.111	0.174	4,084	4,479	8,563
Pakistan	0.114	0.178	4,194	4,600	8,794

Party	Scale of assessments 2022-2024	Scale with 22% ceiling, no LDC paying more than 0.01%	Contribution due as of 1 January 2023 (US\$)	Contribution due as of 1 January 2024 (US\$)	Total 2023-2024 (US\$)
Palau	0.001	0.002	37	40	77
Panama	0.090	0.141	3,311	3,632	6,943
Peru	0.163	0.255	5,997	6,577	12,574
Philippines	0.212	0.332	7,799	8,555	16,354
Portugal	0.353	0.552	12,987	14,244	27,231
Qatar	0.269	0.421	9,896	10,855	20,751
Republic of Korea	2.574	4.026	94,696	103,865	198,561
Republic of Moldova	0.005	0.008	184	202	386
Romania	0.312	0.488	11,478	12,590	24,068
Rwanda	0.003	0.005	110	121	231
Saint Kitts and Nevis	0.002	0.003	74	81	154
Saint Lucia	0.002	0.003	74	81	154
Samoa	0.001	0.002	37	40	77
Sao Tome and Principe	0.001	0.002	37	40	77
Saudi Arabia	1.184	1.852	43,558	47,776	91,335
Senegal	0.007	0.010	235	258	493
Serbia	0.032	0.050	1,177	1,291	2,469
Seychelles	0.002	0.003	74	81	154
Sierra Leone	0.001	0.002	37	40	77
Slovakia	0.155	0.242	5,702	6,255	11,957
Solomon Islands	0.001	0.002	37	40	77
South Africa	0.244	0.382	8,977	9,846	18,822
Spain	2.134	3.338	78,508	86,111	164,619
Sudan	0.010	0.010	235	258	493
Sweden	0.871	1.362	32,043	35,146	67,190
Switzerland	1.134	1.774	41,719	45,759	87,478
Syrian Arab Republic	0.009	0.014	331	363	694
Tajikistan	0.003	0.005	110	121	231
Togo	0.002	0.003	74	81	154
Tonga	0.001	0.002	37	40	77
Tunisia	0.019	0.030	699	767	1,466
Turkemenistan	0.034	0.053	1,251	1,372	2,623
Tuvalu	0.001	0.002	37	40	77
Uganda	0.010	0.010	235	258	493
Ukraine	0.056	0.088	2,060	2,260	4,320
United Arab Emirates	0.635	0.993	23,361	25,623	48,985
United Kingdom of Great Britain and Northern Ireland	4.375	6.843	160,953	176,539	337,492
United Republic of Tanzania	0.010	0.010	235	258	493
Uruguay	0.092	0.144	3,385	3,712	7,097
Vanuatu	0.001	0.002	37	40	77
Venezuela (Bolivarian Republic of)	0.175	0.274	6,438	7,062	13,500
Viet Nam	0.093	0.145	3,421	3,753	7,174
Zambia	0.008	0.010	235	258	493
Zimbabwe	0.007	0.011	258	282	540
Total	62.368	100	2,352,086	2,579,852	4,931,938

II. ACCOUNT OF PROCEEDINGS

INTRODUCTION

1. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from Their Utilization to the Convention on Biological Diversity held its fourth meeting in conjunction with the fifteenth meeting of the Conference of the Parties to the Convention and the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol on Biosafety.

2. Owing to the circumstances surrounding the coronavirus disease (COVID-19) pandemic, the meeting was held in two parts. The first part was held online with limited in-person participation in Kunming, China, on 12 and 13 October 2021. The second part was held in person. It commenced in Montreal, Canada, was suspended on 19 December 2022, resumed in Nairobi on 19 October 2023 and was closed on 20 October 2023.

3. The present report contains the proceedings of the second part of the meeting.¹

4. The second part of the meeting was preceded by a ceremonial welcome by the Traditional Chief of the Onondaga Nation, Tadodaho Sid Hill, followed by statements by the Prime Minister of Canada, Justin Trudeau, the Secretary-General of the United Nations, the Minister of Ecology and Environment of China and President of the fifteenth meeting of the Conference of the Parties to the Convention, Huang Runqiu, the Premier of Quebec, François Legault, the Mayor of Montreal, Valérie Plante, and the Mayor of Kunming, Liu Jiachen, as well as a cultural presentation, on 6 December 2022.

B. Attendance

5. All States were invited to participate in the second part of the meeting. The following Parties to the Nagoya Protocol attended:

Albania ^a	Central African Republic	Fiji
Angola	Chad	Finland
Antigua and Barbuda	China	France
Argentina	Comoros	Gabon
Austria	Congo ^a	Gambia
Bahamas ^a	Côte d'Ivoire	Germany
Bahrain ^a	Croatia	Ghana
Bangladesh (became a Party to the Nagoya Protocol on 10 April 2023)	Cuba	Greece
Belarus	Czechia	Guatemala
Belgium	Democratic People's Republic of Korea ^a	Guinea ^a
Benin	Democratic Republic of the Congo	Guinea-Bissau
Bhutan	Denmark	Guyana ^a
Bolivia (Plurinational State of) ^a	Djibouti	Honduras
Botswana	Dominican Republic	Hungary
Brazil	Ecuador ^a	India
Bulgaria	Egypt	Indonesia
Burkina Faso	Eritrea	Ireland (became a Party to the Nagoya Protocol on 27 July 2023)
Burundi	Estonia	Japan
Cambodia	Eswatini	Jordan
Cameroon	Ethiopia	Kazakhstan ^a
	European Union	Kenya

¹ The report of the first part I of the fourth meeting was issued as document CBD/NP/MOP/4/4.

Kiribati	Netherlands (Kingdom of the)	Solomon Islands ^a
Kuwait	Nicaragua	South Africa
Kyrgyzstan ^a	Niger	Spain
Lao People's Democratic Republic ^a	Nigeria	Sudan
Lebanon ^a	Norway	Sweden
Lesotho	Oman	Switzerland
Liberia	Pakistan	Syrian Arab Republic
Luxembourg	Palau ^a	Tajikistan
Madagascar	Panama ^a	Togo
Malawi	Peru	Tonga
Malaysia	Philippines	Tunisia
Maldives	Portugal	Turkmenistan
Mali	Qatar	Tuvalu
Malta ^a	Republic of Korea	Uganda
Marshall Islands	Republic of Moldova	Ukraine
Mauritania	Romania	United Arab Emirates
Mauritius	Rwanda	United Kingdom of Great Britain and Northern Ireland
Mexico	Saint Kitts and Nevis	United Republic of Tanzania
Micronesia (Federated States of)	Saint Lucia	Uruguay
Mongolia ^a	Samoa ^a	Vanuatu
Montenegro ^b	Sao Tome and Principe	Venezuela (Bolivarian Republic of)
Morocco	Saudi Arabia	Viet Nam ^a
Mozambique	Senegal	Zambia
Namibia	Serbia ^a	Zimbabwe
Nepal	Seychelles	
	Sierra Leone	
	Slovakia	

Note: Parties with indicator "a" were represented at the Montreal segment of the second part of the meeting only; those with indicator "b" were represented at the Nairobi segment of the second part of the meeting only; and those with no indicator were represented at both.

6. The following States not party to the Nagoya Protocol were also represented:

Algeria	Haiti	Poland ^a
Armenia	Holy See	Russian Federation
Australia	Iceland	Saint Vincent and the Grenadines ^a
Azerbaijan	Iran (Islamic Republic of)	Singapore
Barbados	Iraq ^b	Slovenia
Belize ^a	Israel ^a	Somalia
Bosnia and Herzegovina	Italy	South Sudan
Cabo Verde	Jamaica	Sri Lanka
Canada	Latvia	State of Palestine
Chile	Libya ^a	Suriname ^a
Colombia	Liechtenstein	Thailand
Cook Islands	Lithuania	Timor-Leste ^a
Costa Rica	Monaco	Trinidad and Tobago
Cyprus ^a	Nauru ^a	Türkiye
Dominica ^a	New Zealand	United States of America
El Salvador	Niue ^a	Uzbekistan ^a
Equatorial Guinea	North Macedonia ^a	Yemen
Georgia	Papua New Guinea ^a	
Grenada	Paraguay	

Note: Parties with indicator "a" were represented at the Montreal segment of the second part of the meeting only; those with indicator "b" were represented at the Nairobi segment of the second part of the meeting only; and those with no indicator were represented at both.

7. The list of organizations represented at the meeting is set out in document (CBD/COP/15/17/Add.1).

Item 1. Opening of the meeting

8. The 1st plenary session was held jointly with those of the Conference of the Parties to the Convention and of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, on 7 December 2022. The President of the three bodies, the Minister of Ecology and Environment of China, declared the second part of the meetings open at 10.25 a.m.²

Resumed second part

9. Following the suspension of the meeting on 19 December 2022, the meeting was resumed on 19 October 2023, at the 6th plenary session of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol.

10. The resumed meeting was opened at 4.05 p.m. by the Chair, Guomei Zhou, representing the President, Runqiu Huang, Minister of Ecology and Environment of China.

11. Opening remarks were made by the Chair and the Acting Executive Secretary of the Convention on Biological Diversity, David Cooper.³

Item 2. Organizational matters

A. Election of officers

12. Pursuant to Article 26, paragraph 3, of the Nagoya Protocol, the Bureau of the Conference of the Parties to the Convention also served as the Bureau of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol.

13. At its 1st plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol noted that the President, the Vice-Presidents and the Rapporteur who had served during the first part of the fourth meeting would continue to fulfil their functions, with the exception of two of the Vice-Presidents, who had since been replaced.⁴

14. At the 5th plenary session, on 19 December 2022, following the lack of consensus with regard to nominations of Bureau members and the Chair of the Subsidiary Body on Scientific, Technical and Technological Advice, as well as the lack of a quorum to make a decision in that regard, the President proposed to suspend the second part of the meetings of the three bodies to allow Parties to consider the matter at a resumed session of the meetings, to be convened at a later date.⁵

Resumed second part

Election of substitute officers

15. At the 6th plenary session of its fifteenth meeting, on 19 October 2023, the Conference of the Parties to the Convention elected 10 representatives to serve as members of the Bureau, as well as the Chair of the Subsidiary Body on Scientific, Technical and Technological Advice and the Chair of the Subsidiary Body on Implementation, each for a term of office commencing upon the closure of the fifteenth meeting and ending at the closure of the sixteenth meeting.

16. At its 7th plenary session, on 20 October 2023, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol elected, by acclamation, substitutes for matters pertaining to the Nagoya Protocol for the Bureau members from Algeria, Colombia, Georgia and Jamaica.

17. Consequently, the Bureau comprised the following members:

² See CBD/COP/15/17, paras. 9–23, for opening remarks and statements.

³ Ibid., paras. 26–28, for opening remarks.

⁴ Vinod Mathur (India) was replaced by Naresh Pal Gangwar and Andrea Meza Murillo (Costa Rica) was replaced by Eugenia Arguedas Montezuma.

⁵ See CBD/COP/15/17, paras. 29–34, for further information.

- Moustafa Fouda (Egypt)
- Hlobisile Sikhosana (Eswatini)
- Somaly Chan (Cambodia)
- Krishneel Nand (Fiji)
- Angela Lozan (Republic of Moldova)
- Sino Tohirzoda (Tajikistan)
- Corina Sarli (Argentina)
- Jesús Guerra (Cuba)
- Eric Schauls (Luxembourg)
- Norbert Bärlocher (Switzerland)

18. At the same session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol agreed to designate, from among the members of the Bureau of the Subsidiary Body on Scientific, Technical and Technological Advice, Jan Plesník (Czechia) as the substitute for the Chair of that body, Senka Barudanović (Bosnia and Herzegovina), for matters pertaining to the Nagoya Protocol. As the elected Chair of the Subsidiary Body on Implementation, Chirra Achalender Reddy (India), was from a country party to the Nagoya Protocol, there was no such need for designating a substitute.

B. Adoption of the agenda

19. The agenda for the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol, as adopted during the first part of the meeting, was as follows:

1. Opening of the meeting.
2. Organizational matters.
3. Report on the credentials of representatives to the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol.
4. Reports of subsidiary bodies.
5. Report of the Compliance Committee (Article 30).
6. Administration of the Protocol and budget for the trust funds.
7. Financial mechanism and resources (Article 25).
8. Measures to assist in capacity-building and capacity development (Article 22) and measures to raise awareness of the importance of genetic resources and associated traditional knowledge (Article 21).
9. The Access and Benefit-sharing Clearing-House and information-sharing (Article 14).
10. Monitoring and reporting (Article 29).
11. Cooperation with other international organizations, conventions and initiatives.
12. Review of the effectiveness of structures and processes.
13. Post-2020 global biodiversity framework.
14. Digital sequence information on genetic resources.
15. Specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol.
16. Global multilateral benefit-sharing mechanism (Article 10).
17. Other matters.
18. Adoption of the report.

19. Closure of the meeting.

C. Organization of work

20. At its 1st plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol considered a note by the Executive Secretary on the proposed organization of work for the second part of its fourth meeting (CBD/NP/MOP/4/1/Add.4/Rev.1) and agreed to organize its work as set out therein. The three bodies, which held the session jointly, established two working groups to serve them and elected Rosemary Paterson (New Zealand) as Chair of Working Group I and Helena Jeffery Brown (Antigua and Barbuda) as Chair of Working Group II. Marie Haraldstad (Norway) was elected to substitute for Ms. Paterson as Chair of Working Group I for items considered under the Nagoya Protocol.

First stocktake session

21. On 10 December 2022, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol held its 2nd plenary session, which served in part as a stocktake session. The session was held jointly with the Conference of the Parties to the Convention and the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, and the chairs of the working groups and of the budget contact group reported on the progress made to date.⁶

First meeting of the heads of delegation

22. On 14 December 2022, a meeting of heads of delegation was held jointly with the Conference of the Parties to the Convention and the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, to discuss the progress made to date on the key issues under consideration.⁷

Ministerial consultations

23. On 15 December 2022, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol held the opening plenary session of the high-level segment of the meeting jointly with the Conference of the Parties to the Convention and the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol.⁸

Second stocktake session

24. On 17 December 2022, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol held its 3rd plenary session, which served as a second stocktake session. The session was held jointly with the Conference of the Parties to the Convention and the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol, and the Chairs of Working Groups I and II and of the contact group on budget reported on the progress made to date.⁹

Second meeting of the heads of delegation

25. On 18 December 2022, a second meeting of heads of delegation was held jointly with the Conference of the Parties to the Convention on Biological Diversity and the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol to review the draft decisions prepared by the President for the key agenda items associated with the post-2020 global biodiversity framework.¹⁰

Resumed second part

26. At its 6th plenary session, on 19 October 2023, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol considered a document prepared by the Secretariat on the proposed organization of work for the resumed second part of its tenth meeting (CBD/CP/MOP/10/1/Add.6, annex II) and agreed to organize its work as set out therein, except that the election of officers, under agenda item 2, would be held immediately after the consideration of credentials (agenda item 3).

⁶ See CBD/COP/15/17, para. 58, for statements.

⁷ Ibid., para. 60, for statements.

⁸ Ibid., para. 61, for further information on the ministerial consultations.

⁹ Ibid., paras. 63–66, for further information.

¹⁰ Ibid., para. 68, for statements.

Item 3. Report on the credentials of representatives to the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol

27. At its 1st plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol noted that, in accordance with the relevant rules of procedure for meetings of the Conference of the Parties, the Bureau had reviewed the list of observers admitted to the meeting (CBD/COP/15/INF/2) and would examine the credentials of delegations and report thereon at a later session.

28. Accordingly, at the 2nd plenary session, Eric Okoree (Ghana), designated by the Bureau during the first part of the meeting as its representative to report on credentials, informed the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol that 131 Parties were registered as attending the meeting. The Bureau had examined the credentials of the representatives of 100 Parties that were attending the meeting. The credentials of 84 delegations were in full compliance with rule 18 of the rules of procedure, while those of 16 delegations did not fully comply with it and a further 31 delegations had not presented their credentials to date.

29. At the 4th plenary session, on 19 December 2022, Ms. Jeffery Brown, speaking on behalf of Mr. Okoree, presented the report on credentials (CBD/NP/MOP/4/INF/6/Rev.1). She informed the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol that 134 Parties were registered as attending the meeting. The Bureau had examined the credentials of the representatives of 119 Parties that were attending the meeting. The credentials of 106 delegations were in full compliance with rule 18 of the rules of procedure, while those of 13 delegations did not fully comply with it and a further 15 delegations had not presented their credentials to date.

30. A number of heads of delegations had signed a declaration to the effect that they would submit their credentials, in the proper form and in their original version, to the Executive Secretary within 30 days of the closure of the meeting, and no later than 19 January 2023. In keeping with past practice, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol agreed to the Bureau's proposal that those delegations that had yet to submit their credentials or that had submitted credentials that did not fully comply with the provisions of rule 18 should be allowed to participate in the meeting on a provisional basis.

31. As at 18 December 2022, formal credentials issued by the Head of State or Government, by the Minister for Foreign Affairs or, in the case of a regional economic integration organization, by the competent authority, as provided for in rule 18 of the rules of procedure, had been submitted for the representatives of the following 106 Parties participating in the second part of the fourth meeting: Albania, Angola, Antigua and Barbuda, Argentina, Austria, Bahamas, Bahrain, Belarus, Belgium, Benin, Bhutan, Bolivia (Plurinational State of), Botswana, Brazil, Bulgaria, Burkina Faso, Cambodia, Cameroon, China, Côte d'Ivoire, Croatia, Cuba, Czechia, Denmark, Dominican Republic, Ecuador, Egypt, Eritrea, Estonia, Eswatini, Ethiopia, European Union, Fiji, Finland, France, Gambia, Germany, Ghana, Greece, Guatemala, Guinea-Bissau, Guyana, Hungary, India, Indonesia, Japan, Kenya, Kiribati, Kuwait, Kyrgyzstan, Lesotho, Liberia, Luxembourg, Madagascar, Malawi, Maldives, Malta, Mauritania, Mauritius, Mexico, Micronesia (Federated States of), Mongolia, Morocco, Mozambique, Namibia, Netherlands (Kingdom of the), Nicaragua, Niger, Norway, Oman, Palau, Panama, Peru, Philippines, Portugal, Qatar, Republic of Korea, Republic of Moldova, Romania, Rwanda, Saint Kitts and Nevis, Saint Lucia, Samoa, Serbia, Seychelles, Slovakia, South Africa, Spain, Sudan, Sweden, Switzerland, Syrian Arab Republic, Tajikistan, Togo, Tonga, Tunisia, Tuvalu, Uganda, United Arab Emirates, United Kingdom, United Republic of Tanzania, Uruguay, Vanuatu, Viet Nam, Zambia and Zimbabwe.

32. As at 19 January 2023, the following additional eight Parties had submitted valid credentials: Gabon, Honduras, Malaysia, Mali, Nepal, Saudi Arabia, Solomon Islands and Venezuela (Bolivarian Republic of).

Resumed second part

33. At the 6th plenary session, Mr. Okoree informed the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol that 109 Parties were registered as attending the resumed second part of the meeting. The Bureau had examined the credentials of the representatives of 104 Parties that were attending the meeting. The credentials of 103 delegations were in full compliance with rule 18 of the rules

of procedure, while those of 1 delegation did not fully comply with it and a further 5 delegations had not presented their credentials to date.

34. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took note of the report on credentials.

35. At the 7th plenary session, the Secretariat provided an update on credentials. As at that date, valid credentials had been received from the following 105 Parties to the Nagoya Protocol: Angola, Antigua and Barbuda, Argentina, Austria, Bangladesh, Belarus, Belgium, Benin, Bhutan, Brazil, Bulgaria, Burkina Faso, Burundi, Cambodia, Cameroon, Central African Republic, China, Croatia, Cuba, Czechia, Côte d'Ivoire, Democratic Republic of the Congo, Denmark, Djibouti, Dominican Republic, Egypt, Eritrea, Estonia, Eswatini, European Union, Fiji, Finland, France, Gabon, Gambia, Germany, Ghana, Greece, Guatemala, Guinea-Bissau, Hungary, India, Indonesia, Ireland, Japan, Jordan, Kenya, Kiribati, Kuwait, Lesotho, Liberia, Luxembourg, Madagascar, Malawi, Malaysia, Maldives, Mali, Mauritius, Mexico, Montenegro, Morocco, Mozambique, Namibia, Netherlands (Kingdom of the), Nicaragua, Nigeria, Norway, Oman, Pakistan, Peru, Philippines, Portugal, Qatar, Republic of Korea, Republic of Moldova, Romania, Rwanda, Saint Kitts and Nevis, Saint Lucia, Sao Tome and Principe, Saudi Arabia, Senegal, Seychelles, Sierra Leone, Slovakia, South Africa, Spain, Sudan, Sweden, Switzerland, Syrian Arab Republic, Tajikistan, Togo, Tonga, Tunisia, Tuvalu, Uganda, Ukraine, United Arab Emirates, United Kingdom, Uruguay, Vanuatu, Venezuela (Bolivarian Republic of), Zambia and Zimbabwe.

Item 4. Reports of subsidiary bodies

36. At its 1st plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol had before it reports of the intersessional work undertaken since the first part of its fourth meeting, namely, the report of the Subsidiary Body on Scientific, Technical and Technological Advice on its twenty-fourth meeting (CBD/SBSTTA/24/12), the report of the Subsidiary Body on Implementation on its third meeting (CBD/SBI/3/21) and the reports of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework on the second part of its third meeting and on its fourth and fifth meetings (CBD/WG2020/3/7, CBD/WG2020/4/4 and CBD/WG2020/5/5, respectively).

37. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol then heard oral reports from the Chairs of the Subsidiary Body on Scientific, Technical and Technological Advice and the Subsidiary Body on Implementation and the Co-Chairs of the Open-ended Working Group on the Post-2020 Global Biodiversity Framework.

38. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol took note of the information provided and agreed to consider the subsidiary bodies' recommendations under the relevant agenda items.

Item 5. Report of the Compliance Committee (Article 30)

39. At the 1st plenary session, the Chair of the Compliance Committee under the Nagoya Protocol provided a brief review of the report of the Compliance Committee on the work of its sixteenth and seventeenth meetings, as set out in document CBD/NP/MOP/4/2, which had been presented during the first part of the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol. In accordance with the organization of work, substantive matters arising from the report were referred to Working Groups I and II for consideration.

40. Pursuant to notification No. 2022-054, issued by the Secretariat on 1 September 2022, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol extended the term of 10 members of the Committee, two from each region, for two more years. It also agreed that five new members, one from each region, would be elected later in the meeting to replace the five members listed in the annex to the notification whose terms would end on 31 December 2022. It further agreed that two

observers from indigenous peoples and local communities would be elected later in the meeting to replace the two observers listed in the annex to the notification whose terms would end on 31 December 2022.¹¹

41. Working Group II considered agenda item 5 at its 3rd meeting, on 8 December 2022. It had before it a draft decision based on the recommendations of the Compliance Committee contained in the annex to document CBD/NP/MOP/4/2, as set out in the compilation of draft decisions (CBD/NP/MOP/4/1/Add.5).

42. Statements were made by the representatives of the following Parties: Argentina, Chad, Côte d'Ivoire, European Union and its 27 member States, India, Japan, Kenya, Republic of Korea, South Africa, Switzerland, Uganda, United Kingdom and Uruguay.

43. Working Group II agreed that its Chair would prepare a revised draft decision for its consideration, taking account of the statements made.

44. At its 5th meeting, on 9 December 2022, Working Group II considered the revised draft decision submitted by its Chair and approved it for transmission to the plenary session as draft decision CBD/NP/MOP/4/L.4.

45. At its 2nd plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the draft decision as decision NP-4/2.

Resumed second part

46. At its 7th plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol noted that the Bureau had agreed at its meeting held in May 2023, given the uncertainty at the time over when the meeting would resume, to extend until 31 December 2024 the terms of the members and of the observers from indigenous peoples and local communities whose seats were up for election, in order to enable the Compliance Committee to continue its work over the intersessional period.

47. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol endorsed the extension of the terms of the members and observers concerned.

Item 6. Administration of the Protocol and budget for the trust funds

48. At the 1st plenary session, the Executive Secretary reported on the activities of the Secretariat and presented the proposed budget for the programmes of work of the Convention and its Protocols for the biennium 2023–2024 (CBD/COP/15/7).

49. The three bodies established a contact group on budget, chaired by Hamdallah Zedan (Egypt), with the mandate to consider the proposed budget for the biennium 2023–2024 in detail.

50. The Chair of the contact group on budget reported on the group's work at the two stocktake plenary sessions.

51. At the 5th plenary session, the Chair of the contact group on budget provided his final report on the group's work.

52. The Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol then considered draft decision CBD/NP/MOP/4/L.11 submitted by the Chair of the contact group on budget and adopted it as decision NP-4/12.

Item 7. Financial mechanism and resources (Article 25)

53. Working Group II considered agenda item 7 at its 2nd meeting, on 8 December 2022. It had before it a note by the Executive Secretary (CBD/NP/MOP/4/10) and a draft decision, as set out in the compilation of draft decisions.

¹¹ Owing to the suspension of the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol (see CBD/COP/15/17, para. 34), the matter of the election of the members and of the observers from indigenous peoples and local communities of the Compliance Committee was eventually postponed until the second part of the meeting was resumed.

54. Statements were made by the representatives of the following Parties: Argentina, European Union and its 27 member States and United Kingdom.

55. Working Group II agreed that the Chair would prepare a revised draft decision, taking account of the statements made.

56. At its 5th meeting, Working Group II considered the revised draft decision submitted by its Chair and approved it for transmission to the plenary session as draft decision CBD/NP/MOP/4/L.7.

57. At its 2nd plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the draft decision, as orally amended, as decision NP-4/8.

Item 8. Measures to assist in capacity-building and capacity development (Article 22) and measures to raise awareness of the importance of genetic resources and associated traditional knowledge (Article 21)

58. Working Group II considered agenda item 8 at its 1st meeting, on 7 December 2022. It had before it a progress report on measures to assist in capacity-building and awareness raising (Articles 22 and 21) (CBD/NP/MOP/4/5) and notes by the Executive Secretary on an overview of capacity-building and development initiatives providing direct support to countries for the implementation of the Nagoya Protocol (CBD/NP/MOP/4/INF/1), an overview of access and benefit-sharing capacity-building tools and resources (CBD/NP/MOP/4/INF/2) and feedback on the toolkit on communication, education and public awareness and updates on awareness-raising measures (CBD/NP/MOP/4/INF/3).

59. Statements were made by the representatives of the following Parties: European Union and its 27 member States, India and United Kingdom.

60. Working Group II agreed that its Chair would prepare a revised draft decision for its consideration, taking account of the statements made.

61. At its 5th meeting, Working Group II considered the revised draft decision submitted by its Chair and approved it for transmission to the plenary session as draft decision CBD/NP/MOP/4/L.5.

62. At its 2nd plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the draft decision, as orally amended, as decision NP-4/7.

Item 9. The Access and Benefit-sharing Clearing-House and information-sharing (Article 14)

63. Working Group II considered agenda item 9 at its 1st meeting. It had before it a draft decision, as set out in the compilation of draft decisions, a note by the Executive Secretary containing a report on progress in the operation of the Access and Benefit-sharing Clearing-House (CBD/NP/MOP/4/6) and the report of the Informal Advisory Committee to the Access and Benefit-sharing Clearing House on its fourth meeting (CBD/NP/ABSCH-IAC/2019/1/3).

64. Statements were made by the representatives of the following Parties: Argentina, European Union and its 27 member States, India, Kenya, South Africa, Switzerland, United Kingdom and United Republic of Tanzania.

65. Working Group II agreed that its Chair would prepare a revised draft decision for its consideration, taking account of the statements made.

66. At its 5th meeting, Working Group II considered the revised draft decision submitted by its Chair and approved it for transmission to the plenary session as draft decision CBD/NP/MOP/4/L.3.

67. At its 2nd plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the draft decision as decision NP-4/4.

Item 10. Monitoring and reporting (Article 29)

68. Working Group II considered agenda item 10 at its 1st meeting. It had before it a draft decision, as set out in the compilation of draft decisions, a related note by the Executive Secretary (CBD/NP/MOP/4/7)

and another note containing a reference table of revisions made to the format for the first national report under the Nagoya Protocol (CBD/NP/MOP/4/INF/5).

69. Statements were made by the representative of the following Parties: Argentina, Côte d'Ivoire, Democratic Republic of the Congo (on behalf of the African States), European Union and its 27 member States, India, Japan, Kenya, Malawi, South Africa and United Kingdom.

70. Working Group II agreed that its Chair would prepare a revised draft decision for its consideration, taking account of the statements made.

71. At its 5th meeting, Working Group II considered the revised draft decision submitted by its Chair and approved it for transmission to the plenary session as draft decision CBD/NP/MOP/4/L.6.

72. At its 2nd plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the draft decision, as orally amended, as decision NP-4/3.

Item 11. Cooperation with other international organizations, conventions and initiatives

73. Working Group II considered agenda item 11 at its 3rd meeting. It had before it a related note by the Executive Secretary (CBD/NP/MOP/4/8) and another note containing further information from international processes related to access and benefit-sharing (CBD/NP/MOP/4/INF/4).

74. Working Group II took note of the information provided.

Item 12. Review of the effectiveness of structures and processes

75. Working Group II considered agenda item 12 at its 2nd meeting, in conjunction with agenda item 17 on the fifteenth meeting of the Conference of the Parties to the Convention and agenda item 13 of the tenth meeting of the Conference of the Parties serving as the meeting of the Parties to the Cartagena Protocol. It had before it a draft decision based on recommendation 3/13 of the Subsidiary Body on Implementation, as set out in the compilations of draft decisions of the three bodies (CBD/NP/MOP/4/1/Add.5, CBD/COP/15/2, CBD/CP/MOP/10/1/Add.5).

76. At its 6th meeting, on 10 December 2022, Working Group II considered a revised draft decision submitted by its Chair under agenda item 17 of the fifteenth meeting of the Conference of the Parties to the Convention and, following the latter's approval under that agenda item, also approved it, with the appropriate changes, for transmission to the plenary session as draft decision CBD/NP/MOP/4/L.8.

77. At its 2nd plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the draft decision as decision NP-4/9.

Item 13. Post-2020 global biodiversity framework

78. Working Group I considered agenda item 13 at its 1st meeting, in conjunction with sub-item 9 A of the agenda of the fifteenth meeting of the Conference of the Parties to the Convention. It had before it a note by the Executive Secretary on enhancing the implementation of the Nagoya Protocol in the context of the post-2020 global biodiversity framework (CBD/NP/MOP/4/9).

79. Working Group I agreed to resume its consideration of agenda item 13 at a later stage of the meeting, once discussion of sub-item 9 A of the agenda of the fifteenth meeting of the Conference of the Parties to the Convention had progressed.

80. At its 4th meeting, on 19 December 2022, Working Group I considered a draft decision submitted by its Chair and approved it for transmission to the plenary session as draft decision CBD/NP/MOP/4/L.12.

81. At its 5th plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the draft decision as decision NP-4/5.

Item 14. Digital sequence information on genetic resources

82. Working Group I considered agenda item 14 at its 1st meeting, in conjunction with agenda item 11 of the fifteenth meeting of the Conference of the Parties to the Convention.

83. Working Group I agreed to resume its consideration of agenda item 14 at a later stage of the meeting, once discussion of agenda item 11 of the fifteenth meeting of the Conference of the Parties to the Convention had progressed.

84. At its 4th meeting, Working Group I considered a draft decision submitted by its Chair and approved it for transmission to the plenary session as draft decision CBD/NP/4/MOP/L.13.

85. At its 5th plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the draft decision as decision NP-4/6.

Item 15. Specialized international access and benefit-sharing instruments in the context of Article 4, paragraph 4, of the Nagoya Protocol

86. Working Group II considered agenda item 15 at its 3rd meeting. It had before it a draft decision based on recommendation 3/16 of the Subsidiary Body on Implementation, as set out in the compilation of draft decisions.

87. Statements were made by the representatives of the following Parties: Brazil, China, European Union and its 27 member States, Japan, Mexico, Namibia, Norway, Republic of Korea, Switzerland and United Kingdom.

88. Working Group II agreed to establish a group of friends of the Chair to discuss the matter, co-facilitated by Mery Ciacci (European Union) and Patience Gandiwa (Zimbabwe) and composed of the representatives who had made interventions, as well as other Parties.

89. At its 9th meeting, on 16 December 2022, Working Group II heard a report by the co-facilitators of the group of friends of the Chair, which had been unable to resolve the outstanding issues.

90. Statements were made by the representatives of the following Parties: Argentina, Bolivia (Plurinational State of), Brazil, Ethiopia (on behalf of the African States), European Union and its 27 member States, Malawi, Malaysia, Mexico, Namibia, Norway, Republic of Korea, United Kingdom and Uruguay.

91. Working Group II agreed that its Chair would prepare a new draft decision for its consideration.

92. At its 10th meeting, on 16 December 2022, Working Group II considered the draft decision submitted by its Chair and approved it for transmission to the plenary session as draft decision CBD/NP/MOP/4/L.9.

93. At its 5th plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the draft decision as decision NP-4/11.

Item 16. Global multilateral benefit-sharing mechanism (Article 10)

94. Working Group II considered agenda item 16 at its 3rd meeting. It had before it a draft decision based on recommendation 3/17 of the Subsidiary Body on Implementation, as set out in the compilation of draft decisions.

95. Working Group II agreed to defer its consideration of agenda item 16 until discussion on related issues under other agenda items had progressed.

96. At its 10th meeting, Working Group II considered a draft decision submitted by its Chair and approved it for transmission to the plenary session as draft decision CBD/NP/MOP/4/L.10.

97. At its 5th plenary session, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol adopted the draft decision as decision NP-4/10.

Item 17. Other matters

98. In view of the President's decision, under sub-item 2 A of the agenda, to suspend the meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol did not consider agenda item 17 until the meeting resumed.

Resumed second part

99. No other matters were considered.

Item 18. Adoption of the report

100. In view of the President's decision, under item 2 A of the agenda, to suspend the meeting, the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol did not consider agenda item 18 until the meeting resumed.

Resumed second part

101. The present report was adopted at the 7th plenary session, on the basis of the draft report presented by the Rapporteur (CBD/NP/MOP/4/L.1/Rev.2) and on the understanding that the Rapporteur would be entrusted with its finalization.

Item 19. Closure of the meeting

102. As indicated under sub-item 2 A of the agenda, it did not prove possible to conduct the election of officers and conclude the second part of the fourth meeting of the Conference of the Parties serving as the meeting of the Parties to the Nagoya Protocol at its 5th plenary session.

103. Accordingly, the President proposed to suspend the fourth meeting to allow Parties to resolve their differences relating to the election of officers at a resumed session of the meeting, to be convened at a later date, and requested members of the Bureau of the meeting and officers of other bodies to remain in office until the conclusion of the resumed session. No Party expressed any view otherwise.

104. Following the customary exchange of courtesies, the President suspended the meeting at 1 a.m. on 20 December 2022.

Resumed second part

105. Following the customary exchange of courtesies, the meeting was declared closed at 1.15 p.m. on 20 October 2023.
